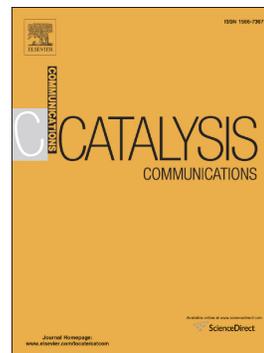


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**Catalytic conversion of furfuryl alcohol or levulinic acid into alkyl
levulinates using a sulfonic acid-functionalized hafnium-based MOF**

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Abstract

Biomass conversion using reusable solid acid catalysts are highly desirable to comply with the principles of green chemistry. Here, we report a sulfonic acid-functionalized hafnium-based metal-organic framework (MOF), UiO-66(Hf)-SO₃H, as an efficient solid acid catalyst for the alcoholysis of furfuryl alcohol (FA) and esterification of levulinic acid (LA) affording alkyl levulinates (ALs). Among the as prepared UiO-66 based MOFs (UiO-66(Hf), UiO-66(Hf)-NH₂, UiO-66(Hf)-SO₃H and UiO-66(Zr)-SO₃H), UiO-66(Hf)-SO₃H holds highest Brønsted acidity and therefore exhibits excellent catalytic activity towards production of ALs. The highest Brønsted acidity in UiO-66(Hf)-SO₃H is the result of the covalently bound sulfonic acid groups present inorganic linkers along with the ligated hydroxyl groups (Hf- μ_3 -OH) to the Hf metal clusters.

Keywords: Furfuryl alcohol; Alkyl levulinates; Levulinic acid; Hafnium-based; metal-organic framework.

1. Introduction

Rapid consumption of fossil resources and environmental concerns over carbon footprint have triggered global research initiatives in the field of catalytic conversion of biomass into chemicals[1]. Development of highly efficient and cost-effective catalytic processes for biomass conversions are desirable in order to replace fossil-based fuels and chemicals with biomass derived fuels and chemicals[2,3]. Alkyl levulinates (ALs) have been attractive due to their versatile applications such as greener solvents, fuel & food additives and renewable starting materials[4]. The unique properties of ALs such as high octane number, flash point stability and lower toxicity make them ideal oxygenates for blending in gasoline[5]. Along with high octane number, the greater solubility of levulinate ester in gasoline or diesel is desirable as less soluble alkyl levulinates can separate from gasoline or diesel at cold temperatures. Levulinate esters of higher molecular-weight alcohols have better solubility in gasoline and diesel[4,5]. In this regard, isopropyl levulinate (IPL) having high octane number and high solubility in gasoline and diesel becomes the choice of material to be used as fuel additive. ALs can be obtained from various biomass-based compounds (Fig. S1 ESI). In general, acid catalyzed esterification of LA produces ALs [6]. However, considering the high cost of LA, commercial production of ALs utilizing cheaply available starting materials such as cellulose [7] and FA [8] is desirable.

Earlier research for the production of ALs involved the use of strong mineral acids such as HCl and H₂SO₄ [9], however, the associated problems such as reactor corrosion, catalyst separation and neutralization limit their applications. Considering the environmental aspects, solid Brønsted acid catalyzed ring opening reaction of FA in alcohols has become the meaningful approach to produce ALs. In this view, various solid acid catalysts such as zeolites [10], metal supported silicates [11], metal oxides [12], heteropoly acids [13], sulphated zirconia [14] and sulfonic acid functionalized materials including graphene [15], silica [16] and carbon [17] have been developed reporting moderate to excellent catalytic activity in production of ALs.

The unique properties of MOFs such as exceptional porosity, high thermal and chemical stability and ability to undergo post synthetic modifications make them promising materials for heterogeneous catalysis [18,19]. Brønsted acidity in MOFs can be introduced by various methods [20]. The use of sulfonated ligands has been the most popular approach to introduce the -SO₃H group in MOFs. One of the most successful examples is MIL-101-SO₃H,

demonstrated for cellulose hydrolysis [21], and alcoholysis of FA[22]. However, the harsh synthetic conditions and severe toxicity of chromium reagent greatly limit their applications. Hence, $-\text{SO}_3\text{H}$ functionalized Zr-based MOFs have received considerable attention in biomass conversions [23]. Hf and Zr being chemical twins, share similar properties. The, Hf-based MOFs exhibit exceptional thermal and chemical stability [24,25]. Brønsted acidity in Hf-based MOFs can be incorporated using sulfonated ligands during their synthesis and the presence of Hf- μ_3 -OH groups provides additional Brønsted acidity due to the higher dissociation energy of Hf-O bond (802 kJ/mol) as compared to Zr-O bond (766 kJ/mol) [26]. Recently, Hu et al. [27] have reported the Hf-based MOF for the conversion of fructose into 5-hydroxymethylfurfural (HMF).

Herein, for the first time we report direct hydrothermally prepared sulfonic acid-functionalized UiO-66(Hf)- SO_3H , as an efficient catalyst for the conversion of FA or LA into ALs. Due to the presence of both organic and inorganic Brønsted acidic sites, UiO-66(Hf)- SO_3H shows superior performance than that of UiO-66(Zr)- SO_3H towards the production of ALs. Reaction conditions and activity of the present catalytic system have been compared with other reported catalysts for alcoholysis of FA and the results are summarized in Table S1 (ESI).

2. Experimental

The detailed information regarding materials, methods, catalyst preparations and procedures for alcoholysis of FA and esterification of LA are provided in ESI. The graphical representation of the hydrothermal synthesis of UiO-66(Hf)- SO_3H is shown in Fig. 1.

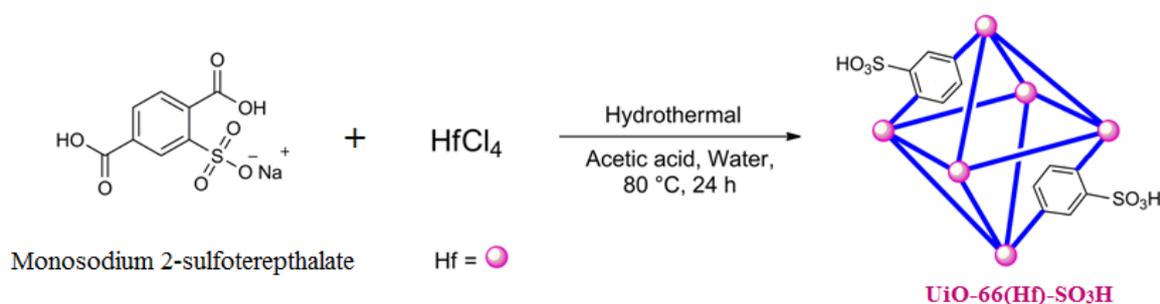


Fig. 1. Hydrothermal synthesis of UiO-66(Hf)- SO_3H .

3. Results and Discussion

3.1 Catalyst characterization

The crystallinity of the as prepared MOFs was initially examined by powder XRD. The PXRD patterns (Fig. S2, ESI) of UiO-66(Hf), UiO-66(Hf)-NH₂, UiO-66(Hf)-SO₃H and UiO-66(Zr)-SO₃H bear close similarity to the earlier reports [25,27]. The sharp XRD peaks at 2θ value of 3.34°, 5.54°, 7.72° and 8.88° (Fig. S2 d) suggest for the cubic crystal structure of UiO-66(Hf)-SO₃H. As in the earlier report [27], UiO-66(Hf)-SO₃H and UiO-66(Zr)-SO₃H are isostructural having molecular formula of $C_{120}O_{291}S_{15}M_{21}H_{386}\{[M_6O_4(OH)_8L]_{3.5}\cdot xH_2O\}$, where M is either Hf or Zr. Thus, XRD results confirm the successful formation of highly crystalline MOFs.

The chemical analysis of UiO-66(Hf)-SO₃H was studied by XPS (Fig. S3). The surveys scan (Fig. S3a) containing peaks corresponding to Hf, S, C and O element only, indicates the high purity of UiO-66(Hf)-SO₃H. Absence of peak corresponding to Na element signifies the complete protonation of the ligand during the synthesis of MOF. The formation of Hf-O chemical bond between Hf metal clusters and organic linkers was confirmed from the peaks present at 225 eV and 214.5 eV (Fig. S3b) assigned to the Hf 4d_{5/2} and Hf 4d_{3/2} [28]. The Hf 4f peaks appeared at 20.7 eV and 19.4 eV and were assigned to Hf 4f_{5/2} and Hf 4f_{7/2} (Fig. S3 c). The Hf 4f peaks in UiO-66(Hf)-SO₃H appeared at higher binding energies (Fig. S3c) as compared to the Hf 4f peaks in HfO₂ (Hf 4f_{5/2} at 18.5 eV and Hf 4f_{7/2} at 16.9 eV), indicating the strong Lewis acidity of UiO-66(Hf)-SO₃H [29]. The S 2p high-resolution scan (Fig. S3d) shows two peaks at 170.5 eV (S 2p_{1/2}) and 169.6 eV (S 2p_{3/2}) consistent with the presence of -SO₃H groups in UiO-66(Hf)-SO₃H.

The presence of completely protonated -SO₃H groups in UiO-66(Hf)-SO₃H was further confirmed by FTIR analysis (Fig. S4). The FTIR bands at 1230 cm⁻¹ and 1180 cm⁻¹ (Fig. S4c,d) can be attributed to the symmetric and asymmetric stretching vibrations of O=S=O, respectively [30].

The FE-SEM images (Fig. S5) and TEM images (Fig. S6a,b) reveal the polyhedral morphology of UiO-66(Hf)-SO₃H having average particle size of 1 μm. HR-TEM analysis (Fig. S6c,d) indicate the high crystallinity of the material with lattice spacing of ~0.3 nm representing the (110) crystal plane of UiO-66(Hf)-SO₃H.

Elemental composition and distribution of the as-synthesized UiO-66(Hf)-SO₃ were studied by HAADF-STEM. The mapping result (Fig. S7 and Fig. S8, ESI) confirmed the uniform distribution of elements throughout the crystal.

The N₂ physical adsorption/desorption isotherms (Fig. S9, ESI) indicate the high porosity of UiO-66(Hf)-SO₃H, possessing a BET surface area of 510 m² g⁻¹. The total pore volume of the material was 0.64 cm³ g⁻¹.

The high thermal stability of UiO-66(Hf)-SO₃H was confirmed by TG analysis. TGA result (Fig. S10, ESI) shows weight loss of the material in two steps. The first weight loss by about 10% in the temperature range of 50-250 °C could be possibly due to the removal of residual solvents and Hf-μ₃-OH groups from the MOF. The second weight loss by about 25% above 400 °C can be assigned to the collapse of framework structure due to the degradation of organic matter.

The Brønsted acid concentrations in various as synthesised MOFs were obtained by acid-base titration experiment and results are summarised in Table 1. Saturated aqueous solution of NaCl was used for ion-exchange to release the acidic protons from MOFs in the solution, which was subsequently titrated with 0.1 M NaOH to quantify the acidic protons exchanged out in the previous step. Among the as synthesised MOFs, UiO-66(Hf)-SO₃H exhibits highest Brønsted acidity due to the higher concentration of acidic protons (14.3 mol) per mole of MOF.

3.2 Catalytic conversion of furfuryl alcohol into alkyl levulinates

3.2.1 Screening of the as-prepared catalysts

The presence of completely protonated -SO₃H groups suggest that UiO-66(Zr)-SO₃H and UiO-66(Hf)-SO₃H should be the efficient solid acid catalyst for alcoholysis of FA (Scheme S1). The catalytic activity of as-prepared UiO-66-based MOFs was evaluated towards the alcoholysis of FA to isopropyl levulinate (IPL) using isopropyl alcohol, and the obtained results are given in Table 1. Initially, UiO-66(Hf) was used as a catalyst which afforded 8% yield of IPL (Table 1, entry 1), whereas no formation of IPL was observed when UiO-66(Hf)-NH₂ was used as a catalyst (Table 1, entry 2). These results clearly indicate the catalytic inactivity of Lewis acidic as well as Lewis basic sites towards the alcoholysis of FA. It was interesting to observe that the use of UiO-66(Zr)-SO₃H as a catalyst provided 52% yield of

IPL with 77% conversion of FA (Table 1, entry 4). This is because of the Brønsted acidity in UiO-66(Zr)-SO₃H arising from the dangling -SO₃H groups. The reaction afforded 88% yield of IPL with quantitative conversion of FA when UiO-66(Hf)-SO₃H was used as the catalyst. This can be attributed to the presence of stronger Brønsted acidity in UiO-66(Hf)-SO₃H, which is also confirmed by the acid-base titration experiment. The acid-base titration results (Table 1) reveal the higher concentration of acidic protons in UiO-66(Hf)-SO₃H (14.3 mol) as compared to the UiO-66(Zr)-SO₃H (13.1 mol) per mole of the MOFs. The higher concentration of Brønsted acidity in UiO-66(Hf)-SO₃H might be contributed to the ligated hydroxyl groups (Hf- μ_3 -OH) besides the dangling -SO₃H groups in the organic ligands [27]. Another reaction without any catalyst under similar conditions provided negligible yield of IPL (Table 1, entry 5), indicating the role of the catalyst. Hence, it is concluded that UiO-66(Hf)-SO₃H with highest Brønsted acidity among the as-prepared MOFs is the most active catalyst for the alcoholysis of FA.

Table 1. Screening of various UiO-66-based catalysts for the conversion of FA into isopropyl levulinate.^a

Entry	Catalyst	Mole of acidic proton per mole of MOF [H ⁺]/mol mol ⁻¹	FA ^b Conversion (%)	IPL ^c Yield (%)	FE ^d Yield (%)
1	UiO-66(Hf)	0	13	8	-
2	UiO-66(Hf)-NH ₂	0	5	-	-
3	UiO-66(Hf)-SO ₃ H	14.3	100	88	-
4	UiO-66(Zr)-SO ₃ H	13.1	77	52	23
5	-	-	6	-	-

^a Reaction conditions: FA, 1 mmol; catalyst, 100 mg; isopropyl alcohol, 20 mL; temperature, 120 °C; reaction time, 4h.

^b FA, furfuryl alcohol. ^c IPL, isopropyl levulinate. ^d FE, furfuryl ether.

3.2.2 Effect of catalyst loading

The effect of UiO-66(Hf)-SO₃H loading was studied and results are presented in Fig. 2. By decreasing the loading of UiO-66(Hf)-SO₃H to 0.4 mol % resulted in lowering the

conversion of FA (87%) and IPL yield (47%), possibly due to the reduced catalytic sites available for the reaction. Increased catalyst loading afforded higher yield of the product, and maximum yield of IPL (88%) was obtained using 0.8 mol % of catalyst. Further increasing the catalyst loading to 1.0 mol % resulted into a slightly decrease in the yield of IPL (83%) due to the side reactions.

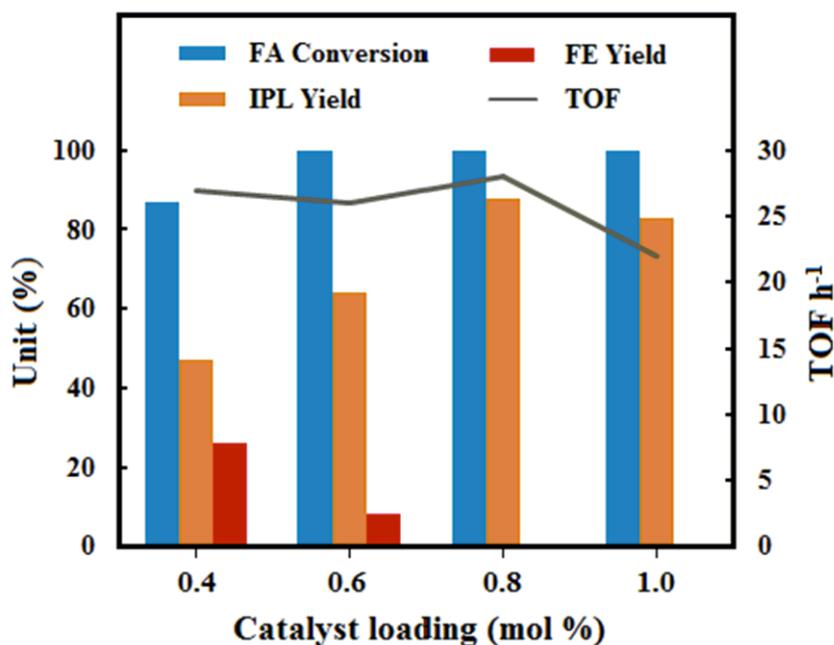


Fig. 2. Effect of catalyst loading on alcoholysis of furfuryl alcohol. Reaction Conditions: FA, 1 mmol; UiO-66(Hf)-SO₃H, 0.4 – 1.0 mol %; isopropyl alcohol, 20 mL; temperature, 120 °C; reaction time, 4h.

3.2.3 Effect of reaction temperature

The reaction temperature (Fig. 3) has significant role in the alcoholysis of FA. At the lowest temperature studied, that is 90 °C, a 92% conversion of FA was achieved corresponding to a 65% yield of IPL along with 25% yield of FE. Increasing the reaction temperature to 100 °C, complete conversion of FA was seen with 73% yield of IPL, whereas the yield of FE was dropped to 12%, result which indicates the consumption of FE during the progress of reaction. Further increase in temperature resulted into an increased yield of IPL and a further decrease in the yield of FE. The maximum yield of IPL (88%) was achieved at 120 °C with negligible amount of FE indicating that the FE is the dominant intermediate in alcoholysis of FA in our case and elsewhere[22,31].

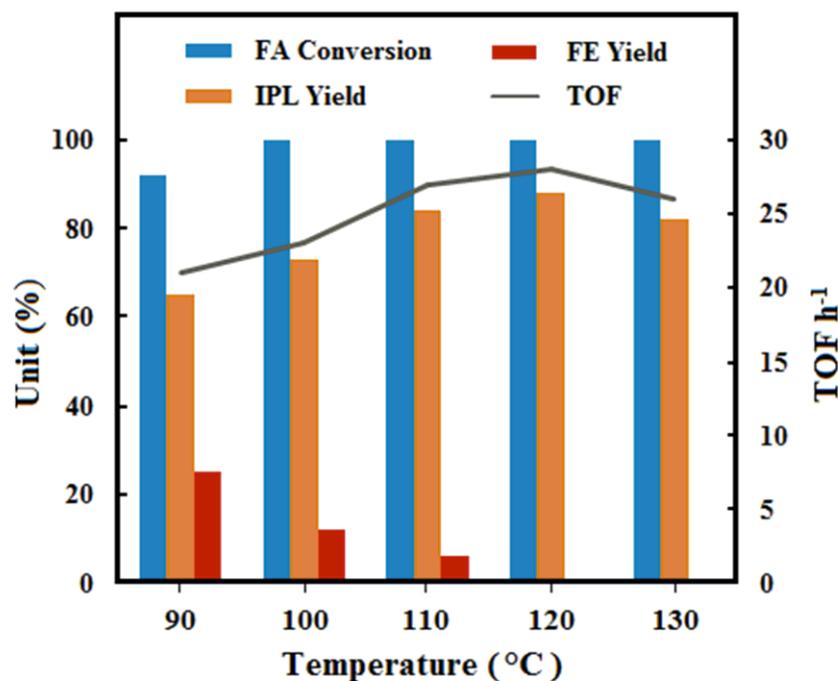


Fig. 3. Effect of reaction temperature on alcoholysis of furfuryl alcohol. Reaction Conditions: FA, 1 mmol; UiO-66(Hf)-SO₃H, 0.8 mol %; isopropyl alcohol, 20 mL; temperature, 90-130 °C; reaction time, 4h.

3.2.4 Effect of reaction time

As summarized in Fig. S11, reaction monitoring after 1h shows 85% conversion of FA with 12% of IPL and 64% of FE yields. Continuing the reaction for another 1h provided 92% conversion of FA, the yield of IPL was increased to 47%, whereas the yield of FE was decreased significantly to 40%, indicating the consumption of FE during the course of the reaction. The complete conversion of FA was achieved after 3h providing 72% yield of IPL along with 23% yield of FE. The reaction after 4h revealed complete consumption of FE affording the maximum yield of IPL, ca. 88%. Hence, these results suggest the conversion of FA into FE as an intermediate, which further gets converted into IPL successively as reaction time increases.

3.2.5 Preparation of different alkyl levulinates

To establish the general applicability of UiO-66(Hf)-SO₃H as an efficient solid acid catalyst for the production of ALs, a range of different ALs have been prepared from the

alcoholysis of FA using various aliphatic alcohols under optimized reaction conditions. As presented in Table 2, alcoholysis of FA in methanol as well as in ethanol produced the corresponding ALs in lower yields, 48% and 62%, respectively, due to the formation of large amount of intermediates (B and C in Fig. S14), which slowly gets converted into product. The alcoholysis of FA in propanol resulted in suppressed formation of intermediates, affording 76% and 88% yield of desired products in 1-propanol and 2-propanol, respectively. It was observed that alcohols having longer carbon chain lengths (4, 5 and 6 carbons) provided a lower yield of the corresponding products. The presence of large carbon chain might have created the steric crowding near the hydroxyl group of alcohol, which probably reduced their efficient nucleophilic attack during the progress of reaction.

Table 2. Conversion of furfuryl alcohol into different alkyl levulinates.^a

Entry	Alcohol	Product	Yield (%) ^b
1	methanol	methyl levulinate	48
2	ethanol	ethyl levulinate	62
3	1-propanol	1-propyl levulinate	76
4	2-propanol	2-propyl levulinate	88
5	1-butanol	1-butyl levulinate	72
6	2-butanol	2-butyl levulinate	66
7	1-pentanol	1-pentyl levulinate	64
8	1-hexanol	1-hexyl levulinate	57

^a Reaction conditions: FA, 1 mmol; UiO-66(Hf)-SO₃H, 0.8 mol %; alcohol, 20 mL; temperature, 120 °C; reaction time, 4h.

^bYield was calculated by GC-MS.

3.3 Catalytic conversion of levulinic acid into alkyl levulinates

The catalytic activity of UiO-66(Hf)-SO₃H was also studied towards the esterification of LA (Scheme S2, ESI). Optimization of various reaction parameters provided 86% yield of the desired product with 93% conversion of LA at 120 °C in 2h using 0.8 mol % of the catalyst (Table 3, entry 2). As summarized in Table 3, different alkyl levulinates were obtained in good yields from the esterification of LA in various alcohols using UiO-66(Hf)-SO₃H as a

catalyst. These results indicate the wide applicability of UiO-66(Hf)-SO₃H as an efficient solid Brønsted acid catalyst.

Table 3. Catalytic conversion of levulinic acid into alkyl levulinates.^a

Entry	Solvent	Temperature (°C)	Time (h)	LA Conversion (%)	AL Yield ^b (%)
1	methanol	100	2	78	65
2	methanol	120	2	93	86
3	methanol	140	2	93	87
4	methanol	120	1.5	86	73
5	methanol	120	2.5	94	85
6	ethanol	120	2	90	85
7	1-propanol	120	2	87	78
8	2-propanol	120	2	88	80

^a Reaction conditions: LA, 1 mmol; UiO-66(Hf)-SO₃H, 0.8 mol %; alcohol, 20 mL; temperature, 120 °C; reaction time, 2h.

^bYield was calculated by GC-MS.

3.4 Catalyst reusability

Besides the good catalytic activity, reusability is also an important factor considered while catalyst selection, especially in industry. The reusability of UiO-66(Hf)-SO₃H was demonstrated by recycling the catalyst for four times in the production of IPL from the alcoholysis of FA. After each catalytic run, the catalyst was isolated by centrifugation and washed three times with isopropyl alcohol and dried under vacuum at 100 °C for 12h and then used for the next run. Isolation of the catalyst from reaction mixture by centrifugation provided the maximum recovery of the catalyst (90 mg) with 10 mg loss of the catalyst after recycling for four times. The reusability of UiO-66(Hf)-SO₃H was also studied towards esterification of LA. As represented in Fig. 4, the yield of IPL during alcoholysis of FA was decreased from 88% to 73% after the fourth recycle of UiO-66(Hf)-SO₃H. The slight loss in catalytic activity can be attributed to the adsorption of some oligomerized products on the

catalyst surface [31,32]. The catalyst UiO-66(Hf)-SO₃H exhibited excellent catalytic recyclability towards esterification of LA (Fig. 4). The XRD (Fig. S12, ESI) and FEG-SEM analysis (Fig. S13, ESI) of UiO-66(Hf)-SO₃H catalyst recycled for four times shows retention of its crystallinity and polyhedral morphology, respectively. These results suggest high stability of the UiO-66(Hf)-SO₃H catalyst during recycling towards alcoholysis of FA.

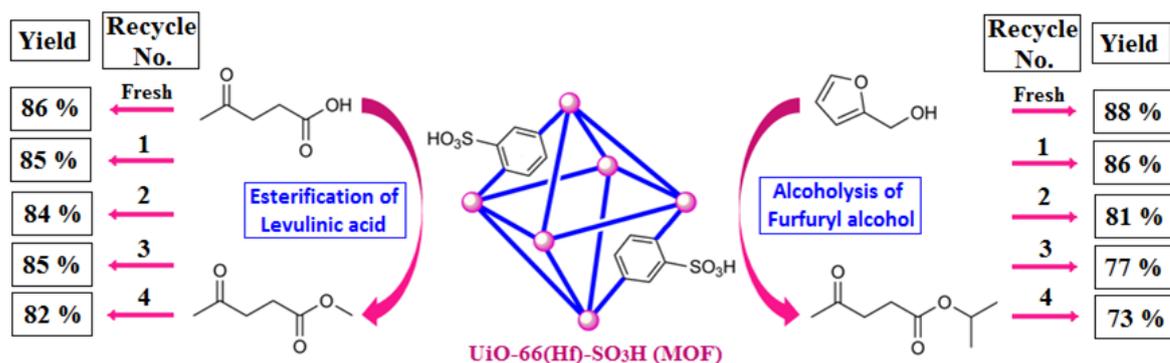


Fig. 4. Reusability of UiO-66(Hf)-SO₃H.

3.5 Plausible reaction mechanism

On the basis of the identified intermediates and earlier reports [13,17,31], a plausible reaction mechanism for the alcoholysis of FA using UiO-66(Hf)-SO₃H as a catalyst has been proposed and shown in Fig. S14. The detailed discussions have been provided in ESI.

4. Conclusions

The two sulfonic acid-functionalized MOFs, UiO-66(Hf)-SO₃H and UiO-66(Hf)-SO₃H were prepared by direct hydrothermal synthesis and used for the alcoholysis of FA to produce ALs. Among the as-prepared sulfonic acid-functionalized MOFs, UiO-66(Hf)-SO₃H exhibited excellent catalytic performance providing 88% of IPL with quantitative conversion of FA at 120 °C in 4h. The superior catalytic activity of UiO-66(Hf)-SO₃H was attributed to its stronger Brønsted acidity resulting from the ligated hydroxyl groups of hafnium metal clusters besides the presence of sulfonic acid groups. Furthermore, the UiO-66(Hf)-SO₃H can be used as an efficient Brønsted acid catalyst for esterification of LA to afford ALs. Our

results have demonstrated the efficient production of alkyl levulinates from biomass derived renewable and abundant molecules.

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Highlights

- Efficient production of alkyl levulinates using a reusable solid acid catalyst.
- Sulfonic acid-functionalized MOF, UiO-66(Hf)-SO₃H holds strong Brønsted acidity.
- Alcoholysis of furfuryl alcohol and esterification of levulinic acid.
- UiO-66(Hf)-SO₃H shows excellent catalytic activity and recyclability.

ACCEPTED MANUSCRIPT