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Two-Carbon Ring Expansion of 1-Indanones via Insertion of Ethylene into Carbon–Carbon Bonds

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Supporting Information Placeholder

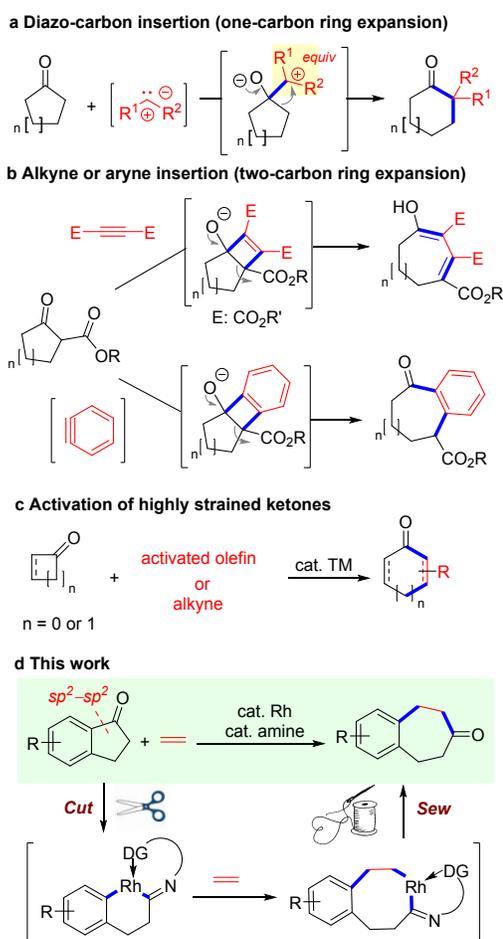
ABSTRACT: A rhodium-catalyzed direct insertion of ethylene into a relatively unstrained carbon–carbon bond in 1-indanones is reported, which provides a two-carbon ring-expansion strategy for preparing seven-membered cyclic ketones. As many 1-indanones are commercially available and ethylene is inexpensive, this strategy simplifies synthesis of benzocycloheptenones that are valuable synthetic intermediates for bioactive compounds but challenging to prepare otherwise. In addition, the reaction is byproduct-free, redox neutral, and tolerant of a wide range of functional groups, which may have implications on unconventional strategic bond disconnections for preparing complex cyclic molecules.

Ring expansion reactions of carbonyl compounds, such as Baeyer–Villiger oxidation, Beckmann rearrangement and various carbon-insertion reactions, are highly valuable transformations and have been frequently utilized in complex molecule syntheses.¹ With a few exceptions, most direct ring-expansion reactions could add only a one-atom unit to the existing structures. Compared to the well-established one-carbon homologation methods² (Scheme 1a), limited approaches are known for direct two-carbon ring expansions of ketones.³ After an accidental discovery in 1974, Proctor elucidated that carbocyclic β -ketoesters could undergo a [2+2] cycloaddition with an activated alkyne, followed by an accelerated retro-4 π cyclization, to give two-carbon extended products⁴ (Scheme 1b). Later, Kuninobu and Takai discovered a similar but efficient rhodium-catalyzed reaction for insertion of terminal alkynes with β -ketoesters⁵, though the reaction was not suitable for preparing 7-membered rings. As a mechanistically related transformation, Caubere⁶, and Stoltz⁷, reported an intriguing two-carbon ring expansion method via benzyne insertion (Scheme 1b).

Alternatively, it could be attractive to directly insert a common unsaturated unit into a cyclic ketone through transition metal-catalyzed C–C activation^{8–10}, which should offer a straightforward and byproduct-free approach for multi-atom ring expansions. The reaction involves oxidative addition of C–C bond to a low-valent transition metal^{8b}, followed by 2 π -insertion to give an enlarged metallocycle and C–C reductive elimination. Such a transformation, also known as a “cut-and-sew” process^{9b}, has been extensively demonstrated in strained three- and four-membered ring systems (Scheme 1c).¹¹ However, for unstrained systems,¹⁰ the scope of the process has been primarily limited to the use of polar C–CN bonds¹² or some special intramolecular reactions¹³. In addition, ethylene, as the most highly produced organic compound, may serve as an appealing two-carbon coupling partner; to the best of our knowledge, the “cut-and-sew” reaction using ethylene as a 2 π unit has been elusive for either

strained or unstrained systems. Moreover, the preference to break the stronger aryl–carbonyl bond (e.g. in 1-indanones), enabled by transition-metal catalysts, could offer complementary selectivity to the conventional¹ or radical-mediated C–C cleavage reactions¹⁴. Herein, we describe our preliminary development of a Rh-catalyzed two-carbon ring expansion of 1-indanones via insertion of ethylene into C–C bonds (Scheme 1d).

Scheme 1. Representative direct methods for ring expansion of cyclic ketones



To explore the proposed ethylene-insertion reaction, unsubstituted 1-indanone (**1a**) was used as the model substrate, and the Jun’s ketimine directing mode^{8d,10b} was employed for C–C activation. The reaction parameters, including different aminopyridines (serving as the temporary directing group),

insertion product,¹⁵ 2-ethyl-1-indanone (**1c**), which was formed in 8% yield. Interestingly, in the absence of the strong σ -donating IMes ligand, the reaction still afforded the desired product **1b** in 60% yield, but gave a poorer selectivity with the α -alkylation product formed in 15% yield (Table S1, entry 2). The Rh catalyst, TsOH/H₂O and the **DG-1** are all critical for this transformation, and no desired product can be produced without any of them (Table S1, entries 3-5). When decreasing **DG-1** to 30 mol% and 50 mol%, the seven-membered ring product **1b** could still be obtained in 54% and 69% yield, respectively (Table S1, entries 6 and 7), suggesting that **DG-1** exhibits some catalytic activity. Other temporary directing groups were found either less efficient or less selective (Table S1, entries 8-11), but it is worth noting that simple 2-aminopyridine **DG-2** favors forming the ketone α -alkylation product (For more control experiments, see *Supporting Information, Section 3*).

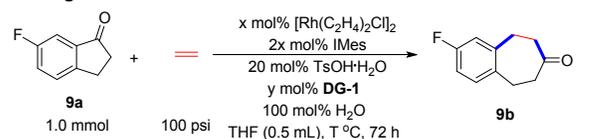
With the optimized conditions in hand, the substrate scope of this ethylene-insertion reaction was then explored (Chart 1). First, C6-substituted 1-indanone substrates were tested. The electronic property of the 6-substituent only had a marginal influence on this reaction, as 1-indanones bearing either electron-donating (**2a-7a**) or -withdrawing groups (**8a-15a**) all gave comparable results to the model substrate **1a**. A series of functional groups, including free phenol (**6a**), sulfonamide (**7a**), chloride (**10a**), ester (**14a**), methyl ketone (**15a**), silyl (**16a**) and free hydroxyl group (**17a**), are tolerated. Besides, substrates containing aryl bromide (**11a**) and boronate (**18a**), which are generally reactive moieties in transition-metal catalysis, still afforded the desired products in moderate yields. Notably, for the substrate that contains two ketone carbonyls (**15a**), C–C activation occurred exclusively at the indanone site. 1-Indanones bearing substituents at the 4- or 5-position exhibited similar reactivity, yielding the corresponding benzocycloheptenones in 52-75% yields (**19a-25a**). As expected, substitutions at the 7-position resulted in lower reactivity due to the increased steric hindrance around the carbonyl functionality (**26a**). In addition, several disubstituted 1-indanones (**27a-31a**) or naphthyl-fused cyclopentanone (**32a**) proved to be competent substrates, affording the desired seven-membered ring products in moderate to good yields.

After examining the steric and electronic influence of the arene part on reactivity, the substitution effect at the aliphatic positions of 1-indanones was next investigated. 3-Methyl-1-indanone (**33a**) showed substantially reduced reactivity under the standard conditions; however, the yield could be improved in the absence of the IMes ligand with a reduced amount of water (50 mol%). Under these new reaction conditions, 3-phenyl 1-indanone (**34a**) afforded the desired product in 42% yield. Gratifyingly, the reaction efficiency was significantly improved when substrates containing an additional substituent on the benzene ring (**35a-40a**), though the exact reason is unclear. For example, 6-trifluoromethyl-3-methyl-1-indanone (**37a**) produced the desired product (**37b**) in 90% yield. Besides methyl and phenyl groups, other alkyl substituents at the 3-position were also tolerated (**41b-43b**). Unsurprisingly, substitution at the α -position (C2) of 1-indanones shut down the reactivity because the steric congestion around the ketone would inhibit forming the imine intermediate. Finally, this reaction can be applied to natural product-derived or tethered indanones. Indanone **44a** with an ester-linked cholesterol and **45a** with an ether-linked androsterone smoothly participated in this two-carbon ring-expansion reaction. Similarly, starting from estrone-fused cyclopentanone **46a**, a seven-membered ketone moiety can be efficiently introduced to give a unique 7-6-6-5 pentacyclic structure, which was unambiguously confirmed by X-ray crystallography.

From a practical viewpoint, the limits of the reaction condition, i.e. the lowest catalyst loading/temperature that could still afford good synthetic efficiency, were probed. To our delight, when decreasing the loading of the rhodium/IMes from 10 mol% to 3 mol% and decreasing **DG-1** from 100 mol% to 50 mol%, the reaction still worked well to give 85% conversion and 65% yield of product **9b** (Scheme 2a, entry 2 vs. entry 1). Using 5 mol% rhodium/IMes and 50 mol% **DG-1**, the reaction efficiency almost reached to the level of the original conditions (Scheme 2a, entry 3). Besides, when running at a lower temperature (130 °C), the reaction still proceeded smoothly even with 5 mol% rhodium/ligand (Scheme 2a, entry 4). The reaction is also scalable. On gram scales, good yields could still be obtained with 1-indanones bearing either an electron-donating or -withdrawing group, and **DG-1** could be easily recycled (Scheme 2b). Moreover, synthesis of enantiomerically enriched 5-substituted benzocycloheptanone (Scheme 2c) could be achieved using chiral 1-indanone **R-35a** that was prepared in three steps from commercially available arylboronic acid **47** and α,β -unsaturated ester **48** via asymmetric conjugate addition¹⁶. The slight erosion of enantioselectivity was likely due to the unproductive C–C activation at the C1–C2 position, which led to reversible β -hydrogen elimination¹⁷.

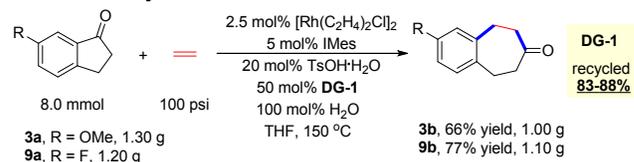
Scheme 2. Synthetic applications

a Pushing the limits of the reaction conditions

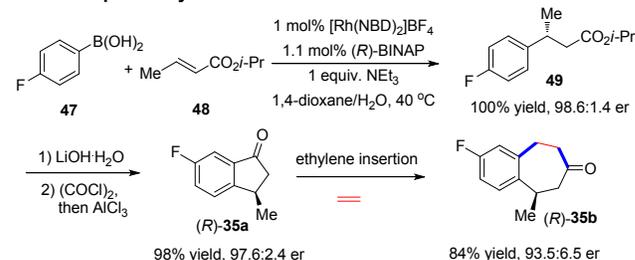


Entry	[Rh(C ₂ H ₄) ₂ Cl] ₂ /IMes	DG-1	T	Conversion	Yield
1	5 mol%/10 mol%	100 mol%	150 °C	>95%	77%
2	1.5 mol%/3 mol%	50 mol%	150 °C	85%	65%
3	2.5 mol%/5 mol%	50 mol%	150 °C	>95%	74%
4	2.5 mol%/5 mol%	100 mol%	130 °C	86%	74%

b Gram-scale syntheses



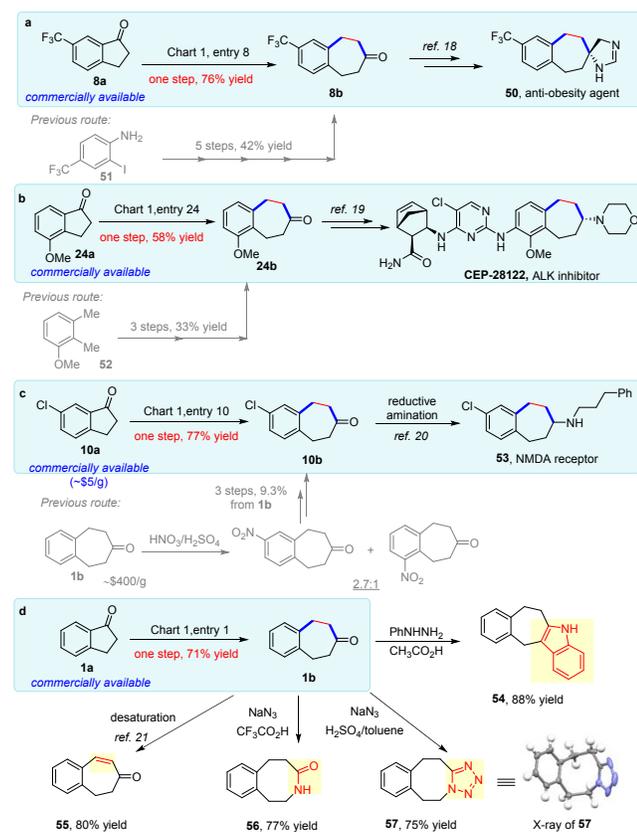
c Enantiospecific synthesis



Benzocycloheptenones have been frequently used in the synthesis of bioactive compounds that contain seven-membered rings; however, the conventional approaches for preparing benzocycloheptenones are often inefficient¹⁸⁻²⁰ (Scheme 3). Thus, this two-carbon homologation approach could contribute to shortening the syntheses of those complex pharmaceutical agents. For example, the trifluoromethyl-substituted benzocycloheptanone (**8b**), prepared in a single step using this method, was the key intermediate in the synthesis of anti-obesity agent **50** (Scheme 3a). As a comparison, the prior approach

required five steps from 2-iodo-4-trifluoromethyl-aniline **51**¹⁸. In the second case, CEP-28122 is a highly potent and selective inhibitor of ALK (anaplastic lymphoma kinase), showing promising antitumor activity in human cancers¹⁹. One key structural motif is the benzocycloheptene moiety, which was synthesized from methoxyl-substituted benzocycloheptenone **24b**. The previous synthesis of **24b** used three steps from 1-methoxy-2,3-dimethylbenzene **52** with a 33% overall yield¹⁹. Now, **24b** can be prepared straightforwardly via the “cut-and-

Scheme 3. Application Potentials in the Syntheses of Bioactive Molecules



sew” process from commercially available 4-methoxy-1-indanone **24a** (Scheme 3b). The third example involves the synthesis of amine **53**, which is a NMDA (*N*-methyl-D-aspartate) receptor for the potential treatment of various neurological disorders.²⁰ The existing route prepared the key intermediate **10b** in three steps from unsubstituted benzocycloheptenone **1b** that is either very expensive or requires an additional two or three steps for preparation. In addition, the electrophilic aromatic substitution used in this synthetic route exhibited poor site-selectivity, leading to a low overall yield. Analogously, through the two-carbon homologation, compound **10b** was made available in one-step from relatively inexpensive 6-chloro-1-indanone **10a** (Scheme 3c). Moreover, simple transformations of the benzocycloheptanone moiety could afford a range of synthetically useful scaffolds, and here symmetrical benzocycloheptenone **1b** was used to avoid forming regioisomers. For instance, tetracyclic compound **54** was afforded in 88% yield via Fischer indole synthesis. Conjugated seven-membered enone **55** can be prepared in a good yield via ketone desaturation.²¹ Treatment with sodium azide under different conditions delivered either eight-membered lactam **56** (77% yield) or tetrazole-fused 6-8-5 tricyclic **57** (75% yield), in which the structure of **57** was unambiguously confirmed by X-ray crystallography (Scheme 3d).

In summary, we disclose a two-carbon ring expansion method that inserts ethylene into relatively unstrained C–C bonds in 1-indanones, which offers a straightforward but strategically distinct approach for preparing benzocycloheptenones. The reaction is chemoselective, scalable and redox-neutral, which could be used to simplify the syntheses of benzocycloheptene-derived bioactive compounds. Efforts on extending the reaction scope to other cyclic ketones and unsaturated coupling partners,²² as well as detailed mechanistic studies, are ongoing.

ASSOCIATED CONTENT

Supporting Information Experimental procedures; crystallographic data of **46b** and **57**; spectral data. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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Notes

The authors declare no competing financial interests.

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