

Regioselective Direct C-4 Functionalization of Indole: Total Syntheses of (–)-Agroclavine and (–)-Elymoclavine

Jianbo Lv,[†] Bin Wang,[†] Kuo Yuan, Yuan Wang, and Yanxing Jia*®

State Key Laboratory of Natural and Biomimetic Drugs, School of Pharmaceutical Sciences, Peking University, 38 Xueyuan Road, Beijing 100191, China

Supporting Information

ABSTRACT: An efficient rhodium-catalyzed method for direct C–H functionalization at the C4 position of unprotected indoles has been developed. The utility of this method is demonstrated by the concise total syntheses of agroclavine and elymoclavine in a divergent manner. These syntheses feature a Pd-catalyzed asymmetric allylic alkylation reaction to assemble the triyclic indole moiety, and a ring-closing metathesis reaction to form the D ring.



The 4-substituted indole frameworks are found in a wide variety of bioactive natural products and pharmaceuticals (Figure 1), which include the medicinally important ergot



Figure 1. Natural products and drug derived from 4-substituted indoles.

alkaloids (1-4),¹⁻³ ambiguine H,⁴ and dragmacidin D.⁵ As a result, there is continuing interest in the development of efficient methods for the synthesis of 4-substituted indoles,^{6,7} and most of them are based on heteroannulation of substituted aromatic derivatives in a multistep scheme or utilize the expensive 4-haloindoles as starting materials.^{2–7}

The most desirable method undoubtedly is the direct C4 functionalization of simple indole derivatives. However, selective functionalization of C–H bonds at C4 positions of indoles is extremely difficult due to its inherently poor nucleophilic reactivity and high nucleophilic reactivity of the pyrrole moiety. Despite two successful approaches already reported, direct C4 functionalization is rarely used because of the toxic thallium reagent and harsh reaction conditions.^{8,9} Transition-metal-catalyzed C–H bond functionalization can directly construct C–C and C–X bonds in a single step without prefunctionalization. It is a powerful strategy in organic synthesis,^{10,11} and major

breakthroughs have been achieved recently in transition-metalcatalyzed direct C–H functionalization at the C4 and C5–C7 positions of indoles.^{12–15} In connection with our work on the total synthesis of 3,4-indole alkaloids,¹⁶ we have reported the first site-selective Pd-catalyzed method for the direct olefination of tryptophans at the 4-position (Scheme 1, eq 1).^{12a} Shortly after

Scheme 1. Direct C-4 Functionalization of Indoles



our work, Prabhu and co-workers described a Ru-catalyzed direct C4-functionalization of 1-benzyl-indole-3-carboxaldehydes by employing an aldehyde functional group as the directing group (Scheme 1, eq 2).^{12b} However, the nitrogen of indole was protected in all reported methods. Until now, direct C–H functionalization at the C4 position, even at C5–C7 positions, of unprotected indoles has not been reported. We speculated that unprotected indoles could be employed as the starting material while an aldehyde is present at the C3 position as a directing

Received: June 4, 2017

Organic Letters

group.^{12b,c,17} Herein, we report a method for direct C4functionalization of unprotected indoles, which offer a strategically distinct approach to 4-substituted indoles in a straightforward manner (Scheme 1, eq 3). The utility of this method was demonstrated by the first catalytic asymmetric total syntheses of (–)-agroclavine (1) and (–)-elymoclavine (3).

Initially, 1-*H*-indole-3-carboxaldehyde (**5a**) and *n*-butyl acrylate (**6a**) were employed as model substrates and subjected to Prabhu's reaction conditions (Table 1, entry 1). The desired 4-

Table 1. Optimization of Reaction Conditions⁴

	H H H H H H H H H H H H	^{∕∕} CO₂ <i>n</i> Bu	Ru or Rh oxidant, solvent	← CO ₂ nBu	н Эрен
	Н 5а 6а		7a H		
entry	catalys	t	mol %	solvent	yield (%) ^b
1	[Ru(p-cymene]	$)Cl_2]_2$	5 mol %	DCE	32(55)
2	[RhCp*(MeCN) ₃]SbF ₆		5 mol %	DCE	trace
3	$[RhCp*Cl_2]_2$		5 mol %	DCE	61
4	[RhCp*Cl ₂] ₂		5 mol %	toluene	18
5	[RhCp*Cl ₂] ₂		5 mol %	THF	51
6	$[RhCp*Cl_2]_2$		5 mol %	dioxane	53
7	$[RhCp*Cl_2]_2$		5 mol %	t-AmOH	55
8	$[RhCp*Cl_2]_2$		2.5 mol %	DCE	60
9	$[RhCp*Cl_2]_2$		1.25 mol %	DCE	45

^{*a*}Reaction conditions: **5a** (0.3 mmol), **6a** (1.2 mmol), AgSbF₆ (4 equiv of catalyst), $Cu(OAc)_2$ (0.6 mmol), solvent (1.0 mL). ^{*b*}Isolated yield, based on recovered starting materials in parentheses.

olefination product 7a was obtained in 32% yield. Although the yield of 7a was low, this result showed our proposal was correct. Encouraged by this initial result, we first attempted to improve the yield of 7a using $[Ru(p-cymene)Cl_2]_2$ as the catalyst. However, even after a variety of reaction conditions (various oxidants, solvents, and temperatures) were screened, no improvement was obtained. We then shifted to investigate the metal catalysts and found that they had remarkable influence on the reaction yield (Table 1, entries 1-3). [RhCp*(MeCN)₃]-SbF₆ provided 7a in a trace amount (Table 1, entry 2). Gratefully, [RhCp*Cl₂]₂ delivered 7a in 61% yield (Table 1, entry 3). The effects of solvents were further examined, and DCE gave the best result (Table 1, entries 3-7). To our delight, we also found that the catalytic loading could be reduced to 2.5 mol % without a decrease of the yield (Table 1, entries 8 and 9). It is worthy to note that the reaction must be stopped when 5a was close to completely consumed. Otherwise, a small amount of 2,4diolefination product could be produced. The amount of 2,4diolefination product increased with the prolonging of the reaction time.

Having optimized the reaction conditions, we next explored the scope of the reaction with respect to the alkene coupling partner and the indole-3-carboxaldehyde derivatives (Scheme 2). The substrate scope was quite general. A variety of acrylates and indole-3-carboxaldehyde derivatives could be used as reaction partners and gave the desired products in moderate to high yields. Particularly, 7p was obtained in a remarkable yield of 95%. In addition, substrates with electron-rich groups on the phenyl moiety gave the desired products in higher yield (Scheme 2, 7s and 7t).

Having established the method for direct functionalization at the C4 position of 1-*H*-indole-3-carboxaldehyde derivatives, we





"Reaction conditions: **5** (0.3 mmol), **6** (1.2 mmol), $[RhCp*Cl_2]_2$ (2.5 mol %), AgSbF₆ (10 mol %), and Cu(OAc)₂ (0.6 mmol) in DCE (1.0 mL) at 110 °C for 4 h.

turned our attention to the total synthesis of 3,4-substituted indole alkaloids. Agroclavine $(1)^{18}$ and elymoclavine $(3)^{19}$ were selected as the targets since 1 could be easily converted to festuclavine, costaclavine, setoclavine, and isosetoclavine,²⁰ and most importantly, 3 could be easily converted to lysergic acid (4) and drug pergolide.²¹ In addition, the catalytic asymmetric total synthesis of 1 and the enantioselective synthesis of 3 have not yet been reported. Retrosynthetically, we envisioned 1 and 3 could be generated by late-stage manipulation of 8a and 8b, respectively (Scheme 3). The D-ring of both 8a and 8b could be formed via ring-closing metathesis (RCM) of 9a and 9b. The cyclization precursors 9a and 9b could be accessed from the common intermediate 10. The key chiral 10 could be prepared from 11 by a Pd-catalyzed asymmetric allylic alkylation (AAA).²² In turn, 11 could be readily obtained from 7b.

Our synthesis commenced with 7b (Scheme 4). During the preparation of 7b, we found that the reaction can be scaled up to 20 g with only 0.5 mol % of catalyst to provide the product 7b in higher yield (68%) than that run at small scale (see Table 1). Boc protection of the indole nitrogen gave aldehyde 12 in 85% yield. Condensation of aldehyde 12 with nitromethane provided the vinyl nitro compound 13. Reduction of ester with DIBAL

Scheme 3. Retrosynthetic Analysis for (-)-Agroclavine and (-)-Elymoclavine



Scheme 4. Synthesis of (–)-Agroclavine



followed by reduction of olefin with NaBH₄ afforded allylic alcohol 14. Acetylation of alcohol furnished the allylic acetate 11. The intramolecular Pd-catalyzed AAA of the nitroacetate 11 employing (S)-(-)-BINAP as the ligand gave 10 and its C5 diastereoisomer in 73% yield (dr = 3.5:1), which could not be separated by flash column chromatography. The enantioselectivity of 10 was determined to be 95% by chiral HPLC analysis of the downstream product (see Supporting Information). Reduction of nitro with zinc provided the corresponding amine, which was alkylated with 15. Subsequent protection of the resulting secondary amine with ClCO₂Me gave carbamate 9a. With diene 9a available, the key RCM was examined. However, reaction of 9a with Grubbs I and II catalysts as well as Hoveyda's catalyst at a variety of solvents and temperatures gave no desired cyclization product. To our delight, Zhan catalyst 1-B proved more powerful to promote the RCM reaction to deliver 8a smoothly, which was not stable and immediately reduced with $LiAlH_4$ to give (-)-agroclavine (1). The physical data of our synthesized products 1 are identical to those reported in the literature.¹⁸ Thus, we have achieved the first catalytic asymmetric

total synthesis of 1, which required only 11 steps from commercially available 5a. Since agroclavine has already been converted to festuclavine, costaclavine, seto-clavine, and isosetoclavine, formal synthesis of these alkaloids was also achieved.²⁰

Having successfully completed the total synthesis of 3 from intermediate 7b, we then conducted the transformation of 10 into 3 following the same sequence as described for 1 (Scheme 5). Reduction of nitro 10 followed by alkylation of amine with

Scheme 5. Synthesis of (–)-Elymoclavine



allylic bromide 16 and protection of the resulting secondary amine with $ClCO_2Me$ gave diene 9b. RCM of 9b followed by reduction with LiAlH₄ gave 17. Finally, removal of the TBS group with PPTS gave (–)-elymoclavine (3).¹⁹

In summary, we have developed a highly efficient method for the synthesis of 4-substituted indole via a rhodium-catalyzed direct functionalization of a wide range of unprotected indoles at the C4 position. This protocol featured mild reaction conditions, a low catalyst loading, and compatibility with diverse functional groups and provided a straightforward strategy for the preparation of 4-substituted indole derivatives. The utility of this method was further demonstrated by the first asymmetric total syntheses of (-)-agroclavine and (-)-elymoclavine. The total syntheses of agroclavine and elymoclavine were achieved in a divergent manner. We expect that this protocol will find broad use in chemical synthesis.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.orglett.7b01681.

Full experimental procedures, and ¹H and ¹³C NMR spectra of compounds 1, 3, 7–14, and 17 (PDF)

AUTHOR INFORMATION

Corresponding Author

*E-mail: yxjia@bjmu.edu.cn.

ORCID 💿

Yanxing Jia: 0000-0002-9508-6622

Author Contributions

[†]J.L. and B.W. contributed equally.

Notes

The authors declare no competing financial interest.

ACKNOWLEDGMENTS

This research was supported by the National Natural Science Foundation of China (Nos. 21372017, 21402003, and 21290183).

REFERENCES

(1) (a) de Groot, A. N. J. A.; van Dongen, P. W.; Vree, T. B.; Hekster, Y. A.; van Roosmalen. *Drugs* **1998**, *56*, 523. (b) Schardl, C. L.; Panaccione, D. G.; Tudzynski, P. In *The Alkaloids*; Cordell, G. A., Ed.; Academic Press: San Diego, CA, 2006; Vol. *63*, p 45. (c) Schiff, P. L. *Am. J. Pharm. Educ.* **2006**, *70*, 98.

(2) For recent reviews on synthesis of ergot alkaloids, see: (a) Liu, H.; Jia, Y. Nat. Prod. Rep. 2017, 34, 411. (b) Ito, M.; Tahara, Y.; Shibata, T. Chem. - Eur. J. 2016, 22, 5468. (c) McCabe, S. R.; Wipf, P. Org. Biomol. Chem. 2016, 14, 5894.

(3) For recent syntheses of ergot alkaloids, see: (a) Inuki, S.; Oishi, S.; Fujii, N.; Ohno, H. Org. Lett. 2008, 10, 5239. (b) Kurokawa, T.; Isomura, M.; Tokuyama, H.; Fukuyama, T. Synlett 2009, 2009, 775. (c) Fukuyama, T.; Inoue, T.; Yokoshima, S. Heterocycles 2009, 79, 373. (d) Deck, J. A.; Martin, S. F. Org. Lett. 2010, 12, 2610. (e) Inuki, S.; Iwata, A.; Oishi, S.; Fujii, N.; Ohno, H. J. Org. Chem. 2011, 76, 2072. (f) Iwata, A.; Inuki, S.; Oishi, S.; Fujii, N.; Ohno, H. J. Org. Chem. 2011, 76, 5506. (g) Liu, Q.; Jia, Y. Org. Lett. 2011, 13, 4810. (h) Umezaki, S.; Yokoshima, S.; Fukuyama, T. Org. Lett. 2013, 15, 4230. (i) Liu, Q.; Zhang, Y.-A.; Xu, P.; Jia, Y. J. Org. Chem. 2013, 78, 10885. (j) Lee, K.; Poudel, Y. B.; Glinkerman, C. M.; Boger, D. L. Tetrahedron 2015, 71, 5897. (k) Lu, Y.; Yuan, H.; Zhou, S.; Luo, T. Org. Lett. 2017, 19, 620. (l) Yuan, H.; Guo, Z.; Luo, T. Org. Lett. 2017, 19, 624. (m) Milde, B.; Pawliczek, M.; Jones, P. G.; Werz, D. B. Org. Lett. 2017, 19, 1914. (n) Liu, H.; Zhang, X.; Shan, D.; Pitchakuntla, M.; Ma, Y.; Jia, Y. Org. Lett. 2017, 19, 3323.

(4) Baran, P. S.; Maimone, T. J.; Richter, J. M. Nature 2007, 446, 404.
(5) (a) Garg, N. K.; Sarpong, R.; Stoltz, B. M. J. Am. Chem. Soc. 2002, 124, 13179. (b) Mandal, D.; Yamaguchi, A. D.; Yamaguchi, J.; Itami, K. J. Am. Chem. Soc. 2011, 133, 19660. (c) Zhang, F.; Wang, B.; Prasad, P.; Capon, R. J.; Jia, Y. Org. Lett. 2015, 17, 1529. (d) Jackson, J. J.; Kobayashi, H.; Steffens, S. D.; Zakarian, A. Angew. Chem., Int. Ed. 2015, 54, 9971.

(6) For the synthetic challenge of 4-substituted indoles, see: (a) Shan, D.; Jia, Y. *Youji Huaxue* **2013**, *33*, 1144. (b) Schwarzer, D. D.; Gritsch, P. J.; Gaich, T. Synlett **2013**, *24*, 1025. (c) Dethe, D. H.; Sau, S. K.; Mahapatra, S. Org. Lett. **2016**, *18*, 6392.

(7) For selective recent examples on the preparation of 4-substituted indoles, see: (a) Davies, H. M. L.; Manning, J. R. *J. Am. Chem. Soc.* **2006**, *128*, 1060. (b) Bronner, S. M.; Goetz, A. E.; Garg, N. K. *J. Am. Chem. Soc.* **2011**, *133*, 3832. (c) Schwarzer, D. D.; Gritsch, P. J.; Gaich, T. Angew. Chem., Int. Ed. **2012**, *51*, 11514. (d) Yamaguchi, M.; Manabe, K. Org. Lett. **2014**, *16*, 2386.

(8) (a) Hollins, R. A.; Colnago, L. A.; Salim, V. M.; Seidl, M. C. J. *Heterocycl. Chem.* **1979**, *16*, 993. (b) Somei, M.; Yamada, F.; Kunimoto, M.; Kaneko, C. *Heterocycles* **1984**, *22*, 797.

(9) (a) Iwao, M. *Heterocycles* **1993**, *36*, 29. (b) Chauder, B.; Larkin, A.; Snieckus, V. Org. Lett. **2002**, *4*, 815.

(10) For some recent reviews on transition-metal-catalyzed C-H activation reactions: (a) Li, J.; Ackermann, L. Nat. Chem. 2015, 7, 686.
(b) Song, G.; Li, X. Acc. Chem. Res. 2015, 48, 1007. (c) Gensch, T.; Hopkinson, M. N.; Glorius, F.; Wencel-Delord, J. Chem. Soc. Rev. 2016, 45, 2900.

(11) For recent reviews on C–H bond functionalization in synthesis, see: (a) Hartwig, J. F. *J. Am. Chem. Soc.* **2016**, *138*, 2. (b) Tao, P.; Jia, Y. *Sci. China: Chem.* **2016**, *59*, 1109.

(12) For C4 selective C-H bond functionalization of indoles, see: (a) Liu, Q.; Li, Q.; Ma, Y.; Jia, Y. Org. Lett. **2013**, *15*, 4528. (b) Lanke, V.; Prabhu, K. R. Org. Lett. **2013**, *15*, 6262. (c) Lanke, V.; Bettadapur, K. R.; Prabhu, K. R. Org. Lett. **2016**, *18*, 5496. (d) Yang, Y.; Gao, P.; Zhao, Y.; Shi, Z. Angew. Chem., Int. Ed. **2017**, *56*, 3966. (e) Liu, X.-H.; Park, H.; Hu, J.-H.; Hu, Y.; Zhang, Q.-L.; Wang, B.-L.; Sun, B.; Yeung, K.-S.; Zhang, F.-L.; Yu, J.-Q. J. Am. Chem. Soc. **2017**, *139*, 888. For the C-H activation/cyclization of indolyl aldehydes, see: (f) Liu, X.; Li, G.; Song, F.; You, J. *Nat. Commun.* **2014**, *5*, 5030.

(13) For C5 selective C–H bond functionalization of indoles, see ref 12d.

(14) For C6 selective C-H bond functionalization of indoles, see: (a) Yang, G.; Lindovska, P.; Zhu, D.; Kim, J.; Wang, P.; Tang, R.-Y.; Movassaghi, M.; Yu, J.-Q. J. Am. Chem. Soc. **2014**, *136*, 10807. (b) Feng, Y.; Holte, D.; Zoller, J.; Umemiya, S.; Simke, I. R.; Baran, P. S. J. Am. Chem. Soc. **2015**, *137*, 10160. (c) Yang, Y.; Li, R.; Zhao, Y.; Zhao, D.; Shi, Z. J. Am. Chem. Soc. **2016**, *138*, 8734.

(15) For C7 selective C-H bond functionalization of indoles, see: (a) Xu, L.; Zhang, C.; He, Y.; Tan, L.; Ma, D. Angew. Chem., Int. Ed. **2016**, 55, 321. (b) Yang, Y.; Qiu, X.; Zhao, Y.; Mu, Y.; Shi, Z. J. Am. Chem. Soc. **2016**, 138, 495. For C7 selective C-H borylation of indoles, see: (c) Paul, S.; Chotana, G. A.; Holmes, D.; Reichle, R. C.; Maleczka, R. E.; Smith, M. R. J. Am. Chem. Soc. **2006**, 128, 15552. (d) Robbins, D. W.; Boebel, T. A.; Hartwig, J. F. J. Am. Chem. Soc. **2010**, 132, 4068. For C7 selective C-H amidation of indoles, see: (e) Kim, Y.; Park, J.; Chang, S. Org. Lett. **2016**, 18, 1892. (f) Song, Z.; Antonchick, A. P. Org. Biomol. Chem. **2016**, 14, 4804. (g) Xu, L.; Tan, L.; Ma, D. J. Org. Chem. **2016**, 81, 10476.

(16) For selective our examples, see: (a) Qin, H.; Xu, Z.; Cui, Y.; Jia, Y. Angew. Chem., Int. Ed. 2011, 50, 4447. (b) Hu, W.; Qin, H.; Cui, Y.; Jia, Y. Chem. - Eur. J. 2013, 19, 3139. (c) Shan, D.; Gao, Y.; Jia, Y. Angew. Chem., Int. Ed. 2013, 52, 4902. (d) Zhang, Y.; Liu, Q.; Wang, C.; Jia, Y. Org. Lett. 2013, 15, 3662. (e) Guo, L.; Zhang, F.; Hu, W.; Li, L.; Jia, Y. Org. Lett. Commun. 2014, 50, 3299. (f) Zhang, F.; Guo, L.; Hu, W.; Jia, Y. Tetrahedron 2015, 71, 3756. (g) Tao, P.; Chen, Z.; Jia, Y. Chem. Commun. 2016, 52, 11300. For synthesis of 3,4-fused benzofuran natural products, see: (h) Li, L.; Yang, Q.; Wang, Y.; Jia, Y. Angew. Chem., Int. Ed. 2015, 54, 6255.

(17) For reports on aldehyde-directed C-H functionalization with transition-metal catalysts, see: (a) Kakiuchi, F.; Sato, T.; Igi, K.; Chatani, N.; Murai, S. *Chem. Lett.* **2001**, *30*, 386. (b) Gürbüz, N.; Özdemir, I.; Çetinkaya, B. *Tetrahedron Lett.* **2005**, *46*, 2273. (c) Padala, K.; Jeganmohan, M. *Org. Lett.* **2012**, *14*, 1134. (d) Yang, F.; Rauch, K.; Kettelhoit, K.; Ackermann, L. *Angew. Chem., Int. Ed.* **2014**, *53*, 11285. (e) Santhoshkumar, R.; Mannathan, S.; Cheng, C. H. J. Am. Chem. Soc. **2015**, *137*, 16116.

(18) For the total syntheses of agroclavine, see: (a) Yamada, F.; Makita, Y.; Somei, M. Heterocycles 2007, 72, 599. (b) Somei, M.; Nakagawa, K. Heterocycles 1997, 45, 1263. (c) Ninomiya, I.; Kiguchi, T.; Hashimoto, C.; Naito, T. Chem. Pharm. Bull. 1991, 39, 23. (d) Kiguchi, T.; Hashimoto, C.; Ninomiya, I. Heterocycles 1984, 22, 43. For semisyntheses, see: (e) Wheeler, W. J. Tetrahedron Lett. 1986, 27, 3469. (f) Li, G. S.; Robinson, J. M.; Floss, H. G.; Cassady, J. M. J. Med. Chem. 1975, 18, 892.

(19) For the total syntheses of elymoclavine, see: (a) Ninomiya, I.; Hashimoto, C.; Kiguchi, T.; Naito, T. J. Chem. Soc., Perkin Trans. 1 1990, 707. (b) Ninomiya, I.; Hashimoto, C.; Kiguchi, T. Heterocycles 1984, 22, 1035.

(20) (a) Nakahara, Y.; Niwaguchi, T.; Ishii, H. *Chem. Pharm. Bull.* **1977**, 25, 1756. (b) Hoffmann, A.; Brunner, R.; Kobel, H.; Brack, A. *Helv. Chim. Acta* **1957**, 40, 1358.

(21) (a) Choong, T.-C.; Shough, H. R. Tetrahedron Lett. **1977**, *18*, 1627. (b) Pertz, H. H.; Milhahn, H.-C.; Eich, E. J. Med. Chem. **1999**, *42*, 659.

(22) (a) Genêt, J. P.; Grisoni, S. Tetrahedron Lett. 1986, 27, 4165.
(b) Genêt, J. P.; Grisoni, S. Tetrahedron Lett. 1988, 29, 4543. (c) Kardos, N.; Genêt, J. P. Tetrahedron: Asymmetry 1994, 5, 1525.