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## Steric Effect Determines the Formation of Lactam-Lactam Dimer or Amide C=O...NH (Lactam) Chain Motif in N-phenyl-2-hydroxynicotinanilides

Hao Liu, Xing Yang, Jingtao Chen, Mingtao Zhang, Sean Parkin, Shuang Cao, Tonglei Li, Zhaoyong Yang, Faquan Yu, and Sihui Long *Cryst. Growth Des.*, **Just Accepted Manuscript •** Publication Date (Web): 29 Apr 2020 **Downloaded from pubs.acs.org on May 4, 2020** 

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# Steric Effect Determines the Formation of Lactam-Lactam Dimer or Amide C=O...NH (Lactam) Chain Motif in N-phenyl-2hydroxynicotinanilides

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#### Crystal Growth & Design

#### **ABSTRACT:**

A series of N-phenyl-2-hydroxynicotinanilides (PHNAs) have been synthesized and their crystal structures have been investigated. The identity of these compounds is revealed to be the tautomer of PHNAs, *i.e.*, 2-oxo-1,2-dihydropyridine-3-carboxylic acid phenylamides, compounds with both amide and lactam functionalities. Among these compounds, those with no or negligible steric hindrance take a near planar conformation and form lactam-lactam dimers, not the widely observed amide NH...O=C hydrogen bond chains, while those with steric hindrance take a twisted conformation and form an amide NH...O=C (lactam) hydrogen bond. A succinct theoretic study combining conformational analysis and molecular electrostatic potential surfaces (MEPS) survey provided a reasonable explanation of the formation of these two different hydrogen bond motifs.

#### 1. Introduction

 In this work, we investigate the solid-state structural properties of a series of N-phenyl-2hydroxynicotinanilides (PHNAs) (Scheme 1), compounds with an interesting structure and some with potent anti-inflammatory activity.<sup>1-3</sup>



Scheme 1. Tautomerization in PHNAs.

By name, PHNAs are amide compounds from the condensation between 2-hydroxynicotinic acid and aniline(s). We previously investigated the crystal structures of 2-, 4-, and 6-hydroxynicotinic acid, and found out that these compounds actually exist in their tautomers, *i.e.*, 2-oxo-1,2-dihydropyridine-3-carboxylic acid, 4-oxo-1,4-dihydropyridine-3-carboxylic acid, and 6-oxo-1,6-dihydropyridine-3-carboxylic acid, respectively.<sup>4-6</sup> 5-Hydroxynicotinic acid has also been studied. In the 5-position the hydroxyl group does not have a vinylogous relationship with the nicotinic N. The tautomer would have to be a zwitterions with the O atom chiefly bearing the negative charge and the NH function bearing most of the partial positive charge. Nevertheless, a series of crystals have been produced<sup>7</sup> (Scheme 2).



Scheme 2. Tautomerization in HNAs.

Will the same tautomerization be observed for PHNAs? If so, the compounds would have two sets of amides, one conventional (open chain), and the other lactam. For amides from primary amines, C=O and NH are in general *trans* (manifested by peptides and the compounds in this work), while for lactams, C=O and NH are *cis* due to the ring formation. For amides, the normally observed hydrogen

#### Crystal Growth & Design

bond motif is C=O...HN chains (catemer), as observed in sheet structure in peptides, or long range C=O...HN in helices.<sup>8-10</sup> The situation for terminal -CONH<sub>2</sub>- such as that in carbamazepine could be complicated due to the presence of two Hs.<sup>11, 12</sup> For lactam, the molecules form  $R_2^2(8)$  homodimers most of the time with no other interference<sup>13</sup> (Scheme 3).



Scheme 3. Lactam-lactam dimer and catemer in lactam and amide.

If the molecules we synthesized actually exist as the lactam tautomer, then the presence of both amide and lactam,  $\beta$  to each other, in an end-to-end fashion, makes the situation complicated. Will the catemer motif prevail, or the dimer predominates? What role will steric hindrance play? The answers are revealed in this work, through a combination of experimental and theoretical approaches.

#### 2. Experimental Section

#### 2.1 Materials

All chemicals were purchased from commercial sources: anilines were from Bide Pharmatech Ltd. (Shanghai, China); thionyl chloride, triethylamine, sulfuric acid and 2-chloronicotinic acid were from Aladdin Industrial Corporation; and solvents for crystal growth were from Sinopharm Chemical Reagent Co., Ltd (Shanghai, China), and were used as received.

#### 2.2 Synthesis

In this study, twelve PHNAs, grouped into two series (1 and 2), one with no or negligible steric hindrance (compounds **1-8**) and the other with various degrees of steric repulsion (compounds **9-12**), have been synthesized<sup>1,14,15</sup> and their crystal structures have been investigated (Figure 1). (For detailed synthesis procedure and characterization, see Supporting Information).



Figure 1. Synthesis of PHNAs. Reagents and condition: a. SOCl<sub>2</sub>/ CH<sub>2</sub>Cl<sub>2</sub>, reflux 3h; b. aniline/ CH<sub>2</sub>Cl<sub>2</sub>, reflux 3h.

#### 2.3 Crystal Growth and Structure Determination

Crystals of each compound were harvested from a suitable solvent for that compound through slow evaporation.<sup>16</sup> The crystal structures of PHNAs were determined by single-crystal X-ray diffraction<sup>17-20</sup> (for details, see SI). The crystallographic data of compounds **1-8** are listed in Table 1.

Table 1. Crystallographic data of compounds 1-8.

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	1	2	3	4	5	6	7	8
Formula	$C_{12}H_{10}N_2O_2$	$C_{13}H_{12}N_2O_2$	$\begin{array}{c} C_{12}H_8F_2N_2\\ O_2 \end{array}$	$\begin{array}{c} C_{13}H_{11}FN_2\\ O_2 \end{array}$	C <sub>13</sub> H <sub>11</sub> ClN 2O <sub>2</sub>	C <sub>13</sub> H <sub>11</sub> BrN 2O <sub>2</sub>	$C_{14}H_{11}F_{3}N_{2}O_{2}$	$\begin{array}{c} C_{14}H_{14}N_2\\ O_2 \end{array}$
Formula weight	214.22	228.25	250.20	246.24	262.69	307.15	296.25	242.27
Crystal size	0.50 × 0.20 × 0.20	0.40 × 0.10 × 0.05	0.40x0.20x0 .10	0.40 × 0.30 × 0.02	0.20 × 0.10 × 0.03	0.30 × 0.10 × 0.04	0.30 × 0.10 × 0.05	0.30 0.20 0.10
Crystal system	monoclinic	monoclinic	monoclinic	triclinic	triclinic	triclinic	monoclinic	monoclin c
Space group	P21/c	P2 <sub>1</sub> /c	P21/c	<i>P</i> -1	<i>P</i> -1	<i>P</i> -1	P21/c	<i>P</i> 2 <sub>1</sub> /c
a/Å	5.3686(3)	4.6946(10)	7.26050(10)	7.423(1))	7.1514(2)	7.164(1)	7.2021(3)	8.1790(3)
b/Å	20.0938(10)	15.0107(3)	7.27930(10)	7.155(1)	7.5805(2)	7.715(1)	23.0479(9)	9.3125(3)
c/Å	9.9143(6)	15.4831(3)	19.8474(4)	10.138(2)	10.3268(3)	10.446(2))	7.4429(3)	16.4409(6 )
α/°	90	90	90	90.12(1)	87.8186(14 )	88.23(1))	90	90
β/°	103.988(5)	98.3020(8)	95.4271(9)	92.70(1)	89.5131(14 )	89.18(1)	90.2459(18 )	93.853(3)
γ/°	90	90	90	89.28(1)	89.2959(13 )	89.68(1)	90	90
Ζ, Ζ'	4, 1	4, 1	4, 1	2, 1	2, 1	2, 1	4, 1	4, 1
V/Å <sup>3</sup>	1037.79(10)	1079.65(4)	1044.26(3)	537.80(15)	559.36(3)	577.01(16)	1235.46(9)	1249.43(7 )
$D_{cal}/g \times cm^{-3}$	1.371	1.404	1.591	1.521	1.560	1.768	1.593	1.288
T/K	293(2)	90(2)	90(2)	90 (2)	90 (2)	90(2)	90(2)	293(2)
Abs coeff (mm <sup>-1</sup> )	0.786	0.097	0.134	0.116	0.336	3.556	0.138	0.711
F(000)	448	480	512	256	272	308	608	512
θ range(deg)	4.401- 71.965	1.90-27.50	1.46-27.47	2.01-27.49	1.66-27.50	1.95-27.47	1.77-27.48	5.393- 66.666

Limiting	-6≤h≤5	-6≤h≤6	-9≤h≤9	-9≤h≤9	-9≤h≤9	-9≤h≤9	-9≤h≤9	-9≤h≤9
indices	-24≤k≤18	-19≤k≤19	-9≤k≤9	-9≤k≤9	-9≤k≤9	-10≤k≤10	-29≤k≤29	-11≤k≤11
	-12≤l≤11	-20≤l≤20	-25≤l≤25	-13≤l≤13	-13≤l≤13	-13≤l≤13	-9 <u>≤</u> 1 <u>≤</u> 9	-19≤l≤19
Completene ss to 2θ	99.0%	99.9%	99.9%	99.7%	99.9%	99.7%	99.9%	100%
Unique reflections	1582	1851	1736	1986	1811	2273	1694	1677
$R_1[I>2\sigma(I)]$	0.0480	0.0441	0.0478	0.0410	0.0446	0.0313	0.0477	0.0465
wR <sub>2</sub> (all data)	0.1461	0.1215	0.1417	0.1122	0.1123	0.0720	0.1287	0.1518
CCDC Accession Code	1975829	1975832	1975833	1975834	WEPCAW	YAXJOY	1975835	1975838

Compounds 1-8 (Figure 1) are either unsubstituted or mono-substituted at one of the ortho positions of the aniline ring, thus with no or negligible steric hindrance. The molecules take a near planar conformation as indicated by the dihedral angle between the two rings in each molecule (Table 2). As a result, the lactam-lactam dimers, not the normal amide NH...O=C hydrogen bond chains are observed in the crystal structures.

Table 2: Values of the dihedral angle,  $\tau$ , of the molecules in the crystal structures of compounds **1-8**.

1	2	3	4	5	6	7	8
9.85(6)°	6.35 (4)°	2.54 (5)°	6.03(4)°	7.58(6)°	8.38(7)°	7.19 (7)°	15.21 (6)

#### 3. Results and Discussion

#### 3.1 Structural properties

#### Compound 1

The molecule is nearly flat with a dihedral angle between the two aromatic rings of 9.85(6) °, likely due to being free of steric hindrance. The molecules form dimers based on the lactam-lactam  $R_2^2(8)^{21}$  hydrogen bonding motif. In addition, the lactam C=O forms an intramolecular hydrogen bond with the NH from the amide, in a bifurcating hydrogen bonding fashion, while the C=O from the amide is idle (Figure 2). The intermolecular hydrogen bond has a hydrogen bond length of 1.916 Å and hydrogen bond angle of 170.02°, while the intramolecular hydrogen bond has parameters of 1.884 Å and 145.92°.



Figure 2. Crystal packing of compound **1** and the lactam-lactam synthon. For clarity, Hs not participating in hydrogen bonds are omitted.

#### Compound 2:

Although one of the Hs at the *ortho* positions of aniline is replaced with a methyl group, steric repulsion between CH<sub>3</sub> and C=O of the amide can be avoided by rotating along the N-C (aniline) bond. Like

compound **1**, the molecule takes a planar conformation with the same dihedral angle being 6.35(4) °. As a result, molecules of compound **2** behave exactly like those of compound **1**, forming dimers based on the lactam-lactam  $R_2^2(8)$  hydrogen bonds. The lactam C=O also forms a bifurcated intramolecular hydrogen bond with NH from the amide (S6) (Figure 3). The hydrogen bond parameters of inter and intramolecular hydrogen bonds are as the follows: 1.886 Å, 172.38° and 1.895 Å, 144.59°, respectively.



Figure 3. Crystal packing of compound **2** and the lactam-lactam synthon. For clarity, Hs not participating in hydrogen bonds are omitted.

#### Compound 3:

The two Hs at the 2 and 3 positions of the aniline are replaced with two fluorines (F). As known, F is similar to H in size, but it is highly electronegative. In one of our previous studies, we successfully applied fluorine substitution on the aniline to force the planar conformation in 2-PNAs.<sup>22</sup> Here, the same effect is observed, as the difluoro substituted compound **3** has a nearly perfectly planar conformation, as indicated by the dihedral angle of 2.54(5) °. Not surprisingly, the hydrogen bonding patterns are the same as those in compounds **1** and **2**, *i.e.*, forming intermolecular dimers based on lactam-lactam  $R_2^2(8)$ 

hydrogen bonding motif (Figure 4). The corresponding hydrogen bond parameters are as the follows: 1.917 Å, 167.90° intermolecularly; and 1.906 Å, 141.38° intramolecularly.



Figure 4. Crystal packing of compound **3** and the lactam-lactam synthon. For clarity, Hs not participating in hydrogen bonds are omitted.

#### Compound 4:

The two Hs at the 2 and 3 positions are replaced by  $CH_3$  and F respectively. The crystal structure is expected to take after that of compound **2**, likely with a slightly flatter conformation. It turned out to be the case as the  $CH_3$  is *cis* to amide NH and the dihedral angle is 6.03 (4) °, and lactam-lactam  $R_2^2(8)$ dimers are observed (Figure 5). The hydrogen bond parameters are as the follows: intermolecular hydrogen bond: 1.925 Å and 166.95°; and intramolecular hydrogen bond: 1.900 Å and 143.36°.



Figure 5. Crystal packing of compound **4** and the lactam-lactam synthon. For clarity, Hs not participating in hydrogen bonds are omitted.

#### **Compound 5**:

Compound 5 is analogous to compound 4 as the F in 4 is replaced with Cl in 5. Cl has a larger size and less electronegativity with regard to F. Compound 5 is expected to behave similarly to compound 4, likely with a larger dihedral angle between the two aromatic rings. In practice, the molecule of compound 5 has an overall similar conformation as that of compound 4 molecule, with the dihedral angle being 7.58 (6) ° (Figure 6). The hydrogen bonding patterns are the same with hydrogen bond parameters: 1.920 Å and 169.56° for the intermolecular hydrogen bond; and 1.890 Å and 144.33° for the intramolecular hydrogen bond.



Figure 6. Crystal packing of compound **5** and the lactam-lactam synthon. For clarity, Hs not participating in hydrogen bonds are omitted.

#### **Compound 6**:

Compound **6** is to compound **5** as compound **5** to compound **4**. No obvious difference is observed except for the dihedral angle slightly higher at 8.38 (7) ° (Figure 7). The hydrogen bond parameters are similar to those of compound **5**: 1.913 Å and 171.16° for intermolecular hydrogen bond; and 1.896 Å and 144.29° for intramolecular hydrogen bond.



Figure 7. Crystal packing of compound **6** and the lactam-lactam synthon. For clarity, Hs not participating in hydrogen bonds are omitted.

#### **Compound 7**:

Compound 7 is a bioisostere of compounds 5 and 6. The strategy of  $CH_3(CF_3)/Cl$  exchange is widely applied in medicinal chemistry.<sup>23-25</sup> Compound 7 behaves in the same manner as compounds 5 and 6 (Figure 8). Likely because the accumulative electronegativity of three Fs is strong enough to rival that of Cl, the molecule is slightly flatter than that of compound 6. The hydrogen bond style is the same as the ones of the previous compounds and with similar hydrogen bond parameters: 1.917 Å and 168.21° for intermolecular hydrogen bond; and 1.910 Å and 143.57° for intramolecular hydrogen bond. Should CF<sub>3</sub> is replaced by CH<sub>3</sub>, the structure likely would be isostructural to compound 8.



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Figure 8. Crystal packing of compound 7 and the lactam-lactam synthon. For clarity, Hs not participating in hydrogen bonds are omitted.

#### Compound 8:

In compound **8**, an ethyl group is introduced at the *ortho* position of the aniline. Yet since the steric hindrance between  $CH_3CH_2$  and C=O can be avoided by rotation along the N-C bond, the molecule takes a similar conformation to compounds **2-7**. Due to the bulky size of the ethyl group, the dihedral angle is moderately increased to 15.21(6) °. Yet the overall hydrogen bond patterns are similar to those of compounds **1-7** (Figure 9). The hydrogen bonds parameters are as the follows: 1.951 Å and 171.18° for intermolecular hydrogen bond; and 1.949 Å and 142.96° for intramolecular hydrogen bond.



Figure 9. Crystal packing of compound 8 and the lactam-lactam synthon. For clarity, Hs not participating in hydrogen bonds are omitted.

For compounds **9-12** (Figure 1), substituents such as methyl, ethyl and isopropyl groups are installed at both *ortho* positions of the aniline. As a result, we can imagine the molecules no longer take (near) planar conformations since a planar conformation would lead to unavoidable steric repulsion between

the amide C=O and the substituent. The molecules in compounds 9-12 crystallized in space group  $P2_1/c$  as shown in the crystallographic data in Table 3. As expected, all the molecules take a twisted conformation by rotating along the N-C bond to avoid the steric effect, as suggested by the dihedral angles between the two aromatic rings (Table 4). This conformation change leads to a hydrogen bond pattern variation. The lactam-lactam dimer persistent in compounds 1-8 is no longer observed in compounds 9-12, instead a chain based on the hydrogen bond between the amide C=O and the lactam NH is formed. The amide NH only formed a hydrogen bond with the lactam C=O intramolecularly.

	9	10	11	12
Formula	C <sub>14</sub> H <sub>14</sub> N <sub>2</sub> O <sub>2</sub>	C <sub>15</sub> H <sub>16</sub> N <sub>2</sub> O <sub>2</sub>	C <sub>16</sub> H <sub>18</sub> N <sub>2</sub> O <sub>2</sub>	$C_{18}H_{22}N_2O_2$
Formula weight	242.27	256.30	270.32	298.38
Crystal size	$\begin{array}{cccc} 0.25 & \times & 0.20 & \times \\ 0.15 & & \end{array}$	0.50 × 0.10 × 0.10	$\begin{array}{cccc} 0.40 & \times & 0.15 & \times \\ 0.10 & & \end{array}$	0.30 × 0.20 × 0.10
Crystal system	monoclinic	monoclinic	monoclinic	monoclinic
Space group	$P2_1/c$	<i>P</i> 2 <sub>1</sub> /c	$P2_1/c$	$P2_1/c$
a/Å	7.679(1)	3.913(1)	8.12190(10)	9.3920(4)
b/Å	11.305(2)	27.948(6)	11.8718(2)	13.3660(6)
c/Å	14.488(2)	11.494(3)	14.1835(3)	13.4321(7)
α/°	90	90	90	90
β/°	102.99(1)	99.33(1)	94.0379(7)	91.994(2)
γ/ <sup>o</sup>	90	90	90	90
Ζ, Ζ'	4, 1	4, 1	4, 1	4, 1
V/Å <sup>3</sup>	1225.5(3)	1240.4(5)	1364.20(4)	1685.16(14)
$D_{cal}/g \times cm^{-3}$	1.313	1.372	1.316	1.176
T/K	90.0(2)	90.0(2)	90.0(2)	90.0(2)
Abs coeff	0.089	0.092	0.089	0.077

Table 3. Crystallographic data of compounds 9-12.

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(mm <sup>-1</sup> )				
F(000)	512	544	576	640
$\theta$ range(deg)	2.31 -27.48	1.46 -27.47	2.24 -27.46	2.15 -27.49
Limiting	-9≤h≤9	-5≤h≤5	-10≤h≤10	-12≤h≤12
Indices	-14 <u>≤</u> k≤14	-36≤k≤36	-15≤k≤15	-17≤k≤17
	-18 <u>≤</u> 1 <u>≤</u> 18	-14 <u>≤</u> 1≤14	-18 <u>≤</u> 1 <u>≤</u> 18	-17 <u>≤</u> 1≤17
Completene ss to 2θ	100%	99.9%	99.9%	99.9%
Unique reflections	1970	1907	2497	1778
$R_1[I>2\sigma(I)]$	0.0491	0.0466	0.0408	0.0694
wR <sub>2</sub> (all data)	0.1468	0.1355	0.1122	0.2235
CCDC Accession Code	1975939	1975940	1975841	1975842

Table 4. Values of the dihedral angle,  $\tau$ , of the molecules in the crystal structures of compounds 9-12.

9	10	11	12
57.67 (4)°	42.04 (5)°	77.79(9)°	56.83 (3)°

### Compound 9:

Compound **9** has two methyl groups at the *ortho* positions of the aniline. The molecule is twisted with the dihedral angle of 57.67 (4)  $^{\circ}$  (Figure 10). The intermolecular hydrogen bond has a bong length of 1.955 Å and a bond angle of 156.21 $^{\circ}$ , and the intramolecular hydrogen bond has parameters of 1.960 Å for bond length and 137.36 $^{\circ}$  for bond angle.



Figure 10. Crystal packing of compound **9** and the lactam-lactam synthon. For clarity, Hs not participating in hydrogen bonds are omitted.

#### Compound 10:

Compared with compound **9**, other than two methyl groups at the *ortho* position of the aniline, a third methyl was introduced at the *para* position for compound **10**. The molecule is twisted similar to compound **9**, although to a lesser degree, with a dihedral angle of 42.04(5) ° (Figure 11). The corresponding hydrogen bond parameters are as the follows: intermolecular hydrogen bond: 1.927 Å and 161.59°; and intramolecular hydrogen bond: 1.949 Å and 138.45°.



Figure 11. Crystal packing of compound **10** and the lactam-lactam synthon. For clarity, Hs not participating in hydrogen bonds are omitted.

#### Compound 11:

Compound **11** has two ethyl groups at the *ortho* positions of the aniline. Although the size of ethyl is nearly doubled compared to that of methyl, the steric repulsion is not increased since the  $CH_3$  of the ethyl group can point away from NH, thus the molecule has a twisted conformation with a similar dihedral angle of 56.83(3) ° (Figure 12). The intermolecular hydrogen bond has a bond length of 1.914 Å and a bond angle of 166.05°; and the intramolecular hydrogen bond parameters are 1.935 Å for bond length, and 137.64° for bond angle.



Figure 12. Crystal packing of compound **11** and the lactam-lactam synthon. For clarity, Hs not participating in hydrogen bonds are omitted.

#### Compound 12:

The two isopropyl groups at the *ortho* positions of the aniline lead to a dramatic increase of steric repulsion between amide NH and CH(CH<sub>3</sub>)<sub>2</sub>, causing a drastic increase of the dihedral angle (77.79(9) °) (Figure 13). The corresponding hydrogen bonds have parameters of 1.871 Å and 168.44° intermolecularly; and 1.924 Å and 138.06° intramolecularly.



Figure 13. Crystal packing of compound **12** and the lactam-lactam synthon. For clarity, Hs not participating in hydrogen bonds are omitted.

In a related study of substituted N-aryl-2-chloronicotinamides,  $2-ClC_5H_3NCONHC_6H_4X-4'$ , the hydrogen bonding motifs vary upon the substituents on the benzene ring as both the amide NH...O=C catemer and amide NH...N<sub>pyridine</sub> chain (Figure 14), and other hydrogen bonding patterns are observed.<sup>26</sup>



Figure 14. N-aryl-2-chloronicotinamides and the observed NH...N<sub>pyridine</sub> hydrogen bond.

### **3.2** Computation

Questions are raised after examining the dramatic structural difference between these two series of compounds: why the molecules with negligible steric hindrance don't form chain structures based either on amide NH...C=O or lactam NH...C=O (amide), like that in compounds **9-12**? And why the

molecules with significant steric hindrance don't form amide NH...O=C or lactam-lactam dimer? We turned to theoretical calculations for answers.

Compounds 1 and 9 (for molecules, M1 and M9 are used) are selected as representatives of these two series of compounds. As shown in Figure 15, the most stable conformation of M1 is planar. The hydrogen atoms in the benzene and lactam rings shield the lone pair electrons on the oxygen atom of the amide C=O by forming two <sup>sp2</sup>C-H...O hydrogen bonds, preventing the molecules from forming a hydrogen bond between amide C=O and amide NH or lactam NH (as in compound 9), which would mean the molecule taking a twisted conformation as does M9 (see Figure 16), thus breaking the conjugation between the benzene ring and the amide, which makes the stabilizing energy lower. As a result, the M1s form lactam-lactam dimers. In contrast, M9 is twisted with symmetrical minima (Figure 15c) due to the steric effect between the *ortho* methyl on the benzene ring and oxygen in the amide C=O. The amide C=O hydrogen bonds with <sup>sp2</sup>C-H of the lactam ring. Yet the other lone pair of C=O is freed due to the twisted conformation, ready to participate in hydrogen bonding. Moreover, as shown in Figure 17, the electrostatic potential around the amide oxygen (-45.11 kcal/mol) is significantly higher than that of the lactam oxygen (-31.24 kcal/mol) in **M9**, which means the amide C=O is better matched with the lactam NH with an electrostatic potential of 54.27 kcal/mol. Therefore, M9 molecules prefer to forming hydrogen bonds between the amide C=O and lactam NH, leading to a catmer motif. The choice of hydrogen bond motifs in M1 and M9 is also explained by further calculations. As shown in Figure 18, the stabilizing energy, defined as  $\Delta E_{stabilizing} = \frac{1}{2} (E_{lactam-lactam dimer} - 2 E_{monomer})$  for lactamlactam dimer and  $\Delta E_{stabilising} = E_{catemer} - 2 E_{monomer}$  for catemer, was calculated. For M9, the two symmetrical minima are enantiomers to each other. Theoretically, there are two types of dimers, *i.e.*, PP/MM and PM dimers. Since the lactam-lactam dimer formation is not affected by the chirality of molecule, these two dimers have almost identical energy (Table 4). All geometries were optimized in vacuum at B3LYP[1-2]/6-311+g(d) level by Gaussian 16.27-29 The solvent effects of toluene, carbon tetrachloride (CCl<sub>4</sub>), dichloromethane (DCM) and methanol were considered by the PCM model. It is obvious that the lactam-lactam dimer is more stable than the catemer in M1 regardless of the polarity of solvents, in contrast with the situation in M9. Thus, it can be deduced that M1 and M9 shall follow their intrinsic intermolecular interaction mode in their crystals, echoing the experimental results.

Moreover, Hirshfeld surface analyses<sup>30</sup> (See SI) confirmed that intermolecular interactions in all compounds are mainly from lactam...lactam (1-8) or lactam...amide (9-12) hydrogen bond.



Figure 15. The correlation between relative energy (defined as  $E_{Relative} = E - E_{min}$  where  $E_{min}$  is the energy of most stable conformation) and dihedral angle (shown in a)) of amide in b) M1 and c) M9.



Figure 16. Sketch of lone pair electrons of a) M1 and b) M9.



Figure 17. Electrostatic potential and local extremes on the molecular surface of a) **M1** and b) **M9** (unit: kcal/mol).



Figure 18. Stabilizing energy of a) M1 and b) M9.

Table 4. Relative energy of P-M and P-P dimers

Dimer type	P-M	P-P
Point Group	Ci Point Group	C2 Point Group
Energies at b3lyp/6- 311+g(d) level	-1604.25336044Hartree	-1604.25339309Hartree
Relative Energy	0	-0.02 kcal/mol

### 4. Conclusion

Twelve PHNAs with various degrees of steric hindrance have been synthesized and their crystal structures revealed their real identities to be 2-oxo-1,2-hihydropyridine-3-carboxylic acid phenylamides, their tautomers. Due to tautomerization, the molecules show a unique structural property, *i.e.*, the coexistence of both lactam and amide functionalities, B to each other, in an end-to-end fashion. Multiple hydrogen bonding possibilities could arise. Yet only two hydrogen bonding motifs are observed, *i.e.*, the lactam-lactam dimer in molecules with no or marginal steric hindrance, and the amide NH...O=C (lactam) catemer in the molecules with significant steric effect due to the presence of bulky alkyl groups at both ortho positions of the aniline ring. A conformational analysis and a molecular electrostatic potential surfaces survey provided a reasonable explanation for the formation of these two motifs and the exclusion of other possibilities, *i.e.*, a (near) planar molecular conformation prevents the amide NH from forming intermolecular hydrogen bond, permitting only the formation of the lactam-lactam dimer, and while a twisted molecular conformation frees the amide NH, allowing it to participate in intermolecular hydrogen bonding with the lactam C=O due to a near perfect match of electrostatic potential surfaces. This combination of experimental and theoretical studies can be used to investigate analogues of N-phenyl-2-hydroxynicotinanilides such as N-phenyl-4-hydroxynicotinanilides and Nphenyl-6-hydroxynicotinanilides, which is underway. And the booming field of crystal engineering<sup>31-34</sup> shall benefit from the knowledge gained in this study and to be generated from related investigations.

Conflicts of interest: There are no conflicts of interest to declare.

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Supporting Information Available: Experimental details of synthesis and characterization of the PHNAs, crystal growth, crystal structure determination. Ten crystal structures of PHNAs in the form of crystallographic information file (CIF) were deposited in the Cambridge Crystallographic Data Centre (CCDC) with accession codes 1975829, 1975832-1975835, and 1975838-1975842 and this material is available free of charge *via* the Internet at https://www.ccdc.cam.ac.uk/.

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For Table of Contents Use Only

# Steric Effect Determines the Formation of Lactam-Lactam Dimer or Amide C=O...NH (Lactam) Chain Motif in N-phenyl-2-hydroxynicotinanilides

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The identity of N-phenyl-2-hydroxynicotinanilides is revealed to be their tautomers, 2-oxo-1,2dihydropyridine-3-carboxylic acid phenylamides, compounds with both amide and lactam functionalities. Steric effect plays an important role in the formation of lactam-lactam dimer or amide-

lactam catemer motif in these compounds. Theoretical studies have provided a reasonable explanation for the experimental observations.