Expedient syntheses of naturally occurring (\pm) -3-benzylphthalides and (\pm) -3-aryl-8-hydroxy-3,4-dihydroisocoumarins: Structure revision of the (\pm) -3-benzylphthalide isolated from *Frullania falciloba*

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A simple synthesis of (\pm) -3-benzyl-7-methoxyphthalides (**1b**, **1d** and **4a**–c) from 7-methoxyphthalides (**3a**–c) and a novel AlCl₃-catalysed conversion of (\pm) -3-benzyl-7-methoxyphthalides (**1d**, **4a**–c) to 4'-O-methylhydrangenol **5a**, 4',6-O,O-dimethylthunberginol-C **5b** and related compounds (**5c** and **5d**) is described.

Introduction

Several 3-benzyl-7-hydroxy/methoxyphthalides and 3-aryl-8hydroxy-3,4-dihydroisocoumarins have been isolated from natural sources. Thus, balantiolide **1a**, *O*-methylbalantiolide **1b** and the benzylphthalide **1c** have been isolated ¹ from *Frullania muscicola*. The first isolation² of **1a** was reported in 1986 from the New Zealand liverwort *Balantiopsis rosea*. The phthalide **1d**, isolated ³ in 1987 from the Australian liverwort *Frullania falciloba*, was assigned structure **1e** on the basis of ¹H NMR spectral data. The revised structure **1d** has now been assigned to it.⁴

A large number of 3-aryl-8-hydroxy-3,4-dihydroisocoumarins, such as hydrangenol **2a**, phyllodulcin **2b** and thunberginols C, D, E and G **2c–f**, have been reported⁵ from *Hydrangeae Dalcis folium* (Amacha in Japanese), the fermented and dried leaves of *Hydrangea macrophylla*. The leaves of this plant are used as a sweetening agent. Phyllodulcin **2b** shows antifungal activity and is found to be 600–800 times sweeter than sucrose.⁶ The thunberginols⁶ **2c–f** and hydrangenol 4'-*O*glucoside⁷ showed antiallergic activity in an *in vitro* bioassay using the Schults–Dale reaction in sensitized guinea pig bronchial muscle. These isocoumarins also exhibit antimicrobial^{5,8} activity against oral bacteria.

In view of their natural occurrence, biological activities, and utility as synthetic intermediates, several methods have been developed for the synthesis of 3-benzylphthalides and 3aryl-8-hydroxy-3,4-dihydroisocoumarins. Most of the methods reported⁹ for the synthesis of 3-benzylphthalides involve formation of 3-benzylidenephthalides, which on catalytic hydrogenation provide the corresponding 3-benzylphthalides. The approaches developed¹⁰ for 3-aryl-8-hydroxy-3,4-dihydroisocoumarins involve heteroatom-directed lithiation reaction of benzamides or cyclization of stilbenecarboxylic acids. The stilbenecarboxylic acids are obtained from 3-benzylphthalides or from phthalaldehydic acids and 2-halomethyl benzoates using the Wittig reaction. Most of the approaches for 3-benzylphthalides and 3-aryl-8-hydroxy-3,4-dihydroisocoumarins involve multistep sequences of reactions. The conversion of 3-benzylphthalides into 3-aryl-8-hydroxy-3,4dihydroisocoumarins involves three steps.^{10a} Hence, it was felt necessary to develop convenient methods for the synthesis of (\pm) -7-methoxy-3-benzylphthalides and (\pm) -3-aryl-8-hydroxy-3,4-dihydroisocoumarins.

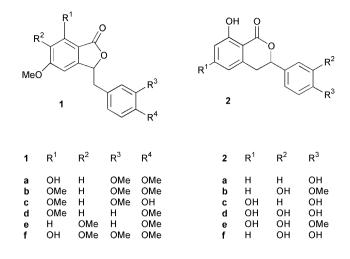
Results and discussion

In continuation of our work on the synthesis of naturally occurring phthalides^{9a} and 3-aryl-3,4-dihydroisocoumarins,^{10h} we report herein a novel method for the synthesis of (\pm) -3-benzyl-7-methoxyphthalides (1b, 1d, 4a-c) and their onestep conversion into 3-aryl-8-hydroxy-3,4-dihydroisocoumarins (5a-d). In the present approach (Scheme 1) phthalide anions are generated from phthalides, and are then treated with benzyl bromides to obtain (\pm) -3-benzylphthalides in a single step. Thus, 7-methoxyphthalide 3a on reaction with LDA in THF at -78 °C followed by treatment with 4-methoxybenzyl bromide furnished (±)-3-(4-methoxybenzyl)-7-methoxyphthalide 4a, mp 108-109 °C, in 56% yield. The phthalide 3b on similar reaction with LDA and 4-methoxybenzyl bromide provided (±)-3-(4-methoxybenzyl)-5,7-dimethoxyphthalide 1d, mp 158-159 °C, in 54% yield. The aromatic protons of the phthalide ring of 1d appeared as doublets (J = 2.0 Hz) at δ 6.17 and 6.39. These chemical shifts correspond to those (δ 6.11 and 6.29) reported ³ for the natural product (mp 78-80 °C), for which the structure 1e was erroneously assigned. The aromatic protons of the phthalide ring in synthetic **1e** appear^{9a} as singlets at δ 6.45 and 7.23. These chemical shifts are totally different to those reported for the natural phthalide. Hence the structure 1d was assigned to the natural phthalide. Though there is a difference in the mps of the synthetic and the natural phthalide, the ¹H NMR and IR spectral data are identical. The difference in melting points could be due to polymorphism.

The phthalides **3b** and **3c** on similar reaction with benzyl bromides, in the presence of LDA, gave the 3-benzylphthalides **1b**, **4b** and **4c** in 40–56% yield. The ¹H NMR spectral properties of **1b** are identical with those reported for the natural O-methylbalantiolide.¹

The 3-benzylphthalide **4a** on reaction with AlCl₃ in methylene dichloride at room temperature gave 4'-O-methylhydrangenol **5a**, mp 121–122 °C (lit., ^{10c} mp 123 °C) in 77% yield. Its spectral properties are identical with those reported for the natural product. The novelty of this reaction is that it provides a (\pm)-3-aryl-8-hydroxy-3,4-dihydroisocoumarin in a single step from 7-methoxy-3-benzylphthalide. Selective demethylation of the 8-methoxy group of the isocoumarin also occurred in this step. 3-Benzylphthalides **1d** and **4b** on similar reaction with AlCl₃ gave 4',6-*O*,*O*-dimethylthunberginol-C **5b** (63%) and the isocoumarin **5c** (73%), respectively. The 3-benzylphthalide **4c**

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under similar conditions provided the 8-hydroxy-3,4-dihydroisocoumarin **5d** (63%) along with a minor amount of the 7-hydroxy-3-benzylphthalide **1f** (19%).

Conclusion

We have developed a simple and useful method for the synthesis of (\pm) -3-benzyl-7-methoxyphthalides from 7-methoxyphthalides and their single-step conversion into (\pm) -3-aryl-8-hydroxy-3,4-dihydroisocoumarins. This procedure can be used as an excellent alternative to previous syntheses of such compounds.

Experimental

All melting points are uncorrected. The IR spectra were recorded on a Perkin-Elmer FTIR-1615 spectrophotometer, and NMR spectra in CDCl₃ solutions on a JEOL FX 90Q (90 MHz), AC 200 Bruker (200 MHz) or Varian VXR 300S (300 MHz) spectrometer. Chemical shifts are expressed in δ (ppm) downfield from TMS as internal standard, and coupling constants *J* are in hertz. *n*-Butyllithium (prepared) was a 1.25 M solution in *n*-hexane, whose exact titre was determined by titration using diphenylacetic acid.¹¹ THF was distilled over LiAlH₄ before use. Phthalides **3a**–**c** were prepared according to the literature procedure.¹² Elemental analyses were obtained using Hosli's rapid carbon/hydrogen analyser. All reactions were performed in oven (125 °C)-dried glassware under an inert atmosphere of dry N₂.

General procedure for the synthesis of (±)-3-benzylphthalides (1b, 1d, 4a–c)

A solution of the appropriate phthalide 3 (1.30 mmol) in THF (10 mL) was added to a stirred solution of LDA (1.35 mmol) in THF (5 mL) at -78 °C under nitrogen atmosphere. The reac-

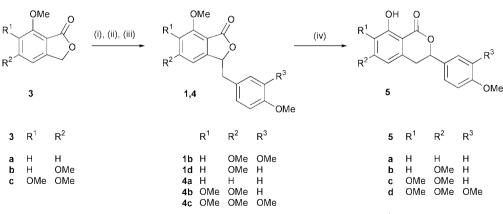
tion mixture was stirred at -78 °C for 30 min and a solution of the corresponding benzyl bromide (1.35 mmol) in THF (5 mL) was added. Stirring was continued and the reaction mixture was allowed to come to room temperature during 2 h. Water (10 mL) was added to the reaction mixture. THF from the aqueous solution was removed *in vacuo*. The residue was acidified with dil. HCl and extracted with CHCl₃ (3 × 10 mL). The combined organic layers were washed with water and dried over Na₂SO₄. The gummy mass, obtained after evaporation of solvent, was purified by chromatography on silica gel, using EtOAc–hexane (3 : 7) as eluent, to give the (±)-3-benzylphthalides (**1b**, **1d** and **4a–c**).

(±)-3-(3,4-Dimethoxybenzyl)-5,7-dimethoxyphthalide (*O*-methylbalantiolide 1b). The anion generated from the phthalide 3b on reaction with 3,4-dimethoxybenzyl bromide gave 1b in 56% yield, mp 158 °C (lit.,¹ mp not mentioned); v_{max}/cm^{-1} (Nujol) 1745; $\delta_{\rm H}$ (300 MHz) 3.07 (dd, 1H, J = 13.5, 6.0, ArCHH), 3.17 (dd, 1H, J = 13.5, 6.1, Ar-CHH), 3.81 (s, 3H, OCH₃), 3.83 (s, 3H, OCH₃), 3.85 (s, 3H, OCH₃), 3.92 (s, 3H, OCH₃), 5.55 (t, 1H, J = 6.1, ArCHO), 6.20 (d, 1H, J = 1.0, ArH), 6.39 (d, 1H, J = 1.0, ArH), 6.72–6.80 (m, 3H, ArH) (Found: C, 66.45; H, 6.12. C₁₉H₂₀O₆ requires C, 66.27; H, 5.85%).

(±)-3-(4-Methoxybenzyl)-5,7-dimethoxyphthalide 1d. The anion generated from the phthalide 3b on reaction with 4-methoxybenzyl bromide gave 1d, in 54% yield, mp 158–159 °C; v_{max}/cm^{-1} (Nujol) 1760; $\delta_{\rm H}$ (200 MHz) 3.05, 3.10 (2 × dd, 2H, $J = 14.0, 6.0, ArCH_2$), 3.75 (s, 6H, 2 × OCH₃), 3.88 (s, 3H, OCH₃), 5.41 (t, 1H, J = 6.0, ArCHO), 6.17 (d, 1H, J = 2.0, ArH), 6.39 (d, 1H, J = 2.0, ArH), 6.77 (m, 2H, ArH), 7.08 (m, 2H, ArH) (Found: C, 68.50; H, 5.88. C₁₈H₁₈O₅ requires C, 68.78; H, 5.77%).

(±)-3-(4-Methoxybenzyl)-7-methoxyphthalide 4a. The anion generated from the phthalide 3a on reaction with 4-methoxybenzyl bromide yielded 4a in 56% yield, mp 108–109 °C; v_{max}/cm^{-1} (Nujol) 1760; $\delta_{\rm H}$ (90 MHz) 3.11 (d, 2H, J = 6.0, ArCH₂), 3.75 (s, 3H, OCH₃), 3.97 (s, 3H, OCH₃), 5.52 (t, 1H, J = 6.0, ArCHO), 6.63–7.25 (m, 6H, ArH), 7.52 (t, 1H, J = 8.0, ArH) (Found: C, 72.05; H, 5.54. C₁₇H₁₆O₄ requires C, 71.82; H, 5.67%).

(±)-3-(4-Methoxybenzyl)-5,6,7-trimethoxyphthalide 4b. The anion generated from the phthalide 3c on reaction with 4-methoxybenzyl bromide furnished 4b in 55% yield, mp 158 °C; v_{max}/cm^{-1} (Nujol) 1738; $\delta_{\rm H}$ (90 MHz) 3.07, 3.41 (2 × dd, 2H, $J = 15.2, 6.3, ArCH_2$), 3.78 (s, 3H, OCH₃), 3.83 (s, 3H, OCH₃), 3.85 (s, 3H, OCH₃), 4.09 (s, 3H, OCH₃), 5.44 (t, 1H, J = 6.3, ArCHO), 6.29 (s, 1H, ArH), 6.82 (m, 2H, ArH), 7.54 (m, 2H, ArH) (Found: C, 66.10; H, 6.02. C₁₉H₂₀O₆ requires C, 66.27; H, 5.85%).



Scheme 1 Reagents and conditions: (i) LDA, THF, -78 °C; (ii) ArCH 2X; (iii) H⁺; (iv) AlCl₃, CH₂Cl₂.

(±)-3-(3,4-Dimethoxybenzyl)-5,6,7-trimethoxyphthalide 4c. The anion generated from the phthalide 3c on reaction with 3,4-dimethoxybenzyl bromide gave 4c in 40% yield, as a thick liquid; v_{max}/cm^{-1} (Nujol) 1740; $\delta_{\rm H}$ (90 MHz) 3.08, 3.50 (2 × dd, 2H, $J = 14.0, 6.3, ArCH_2$), 3.80 (s, 12H, 4 × OCH₃), 4.06 (s, 3H, OCH₃), 5.45 (t, 1H, J = 6.3, ArCHO), 6.27 (s, 1H, ArH), 6.72 (s, 3H, ArH) (Found: C, 64.01; H, 5.80. C₂₀H₂₂O₇ requires C, 64.16; H, 5.92%).

(±)-3-Aryl-8-hydroxy-3,4-dihydroisocoumarins 5a-d and the (±)-3-benzyl-7-hydroxyphthalide 1f: General procedure

A suspension of anhydrous AlCl₃ (196 mg, 1.47 mmol) in dry methylene dichloride (10 mL) was stirred at room temperature for 20 min. A solution of the appropriate (\pm) -3-benzylphthalide 1 or 4 (0.49 mmol) in methylene dichloride (10 mL) was added during in 5 min. The reaction mixture was stirred for 6 h (monitored by TLC) and poured slowly into ice-cold HCl (1:1 conc. HCl-water; 15 mL). The methylene dichloride layer was separated and the aqueous layer was extracted with methylene dichloride $(2 \times 15 \text{ mL})$. The combined organic extract was washed with water, dried (Na2SO4), and evaporated to give a solid. It was purified by chromatography over silica gel using EtOAc-hexane (1:9) as eluent to afford a solid, which on recrystallization from methylene dichloride-hexane provided the corresponding (±)-3-aryl-8-hydroxy-3,4-dihydroisocoumarins 5a-c. In the case of 4c, along with the isocoumarin 5d the phthalide 1f was also formed.

(±)-3-(4-Methoxyphenyl)-8-hydroxy-3,4-dihydroisocoumarin (4'-O-methylhydrangenol, 5a). The benzylphthalide 4a on reaction with AlCl₃ provided the isocoumarin 5a in 77% yield, mp 121–122 °C (lit.,^{10c} 123 °C); v_{max}/cm^{-1} (Nujol) 1675; $\delta_{\rm H}$ (90 MHz) 3.08 (dd, 1H, J = 16.0, 5.0, C⁴-H), 3.33 (dd, 1H, J = 16.0, 12.5, C⁴-H), 3.80 (s, 3H, OCH₃), 5.55 (dd, 1H, J = 12.5, 5.0, C³-H), 6.61–7.02 (m, 6H, ArH), 7.36 (t, 1H, J = 7.5, ArH), 11.05 (s, 1H, exchangeable with D₂O, OH) (Found: C, 71.28; H, 5.29. C₁₆H₁₄O₄ requires C, 71.10; H, 5.22%).

(±)-3-(4-Methoxyphenyl)-8-hydroxy-6-methoxy-3,4-dihydro-

isocoumarin (4',6-*Q*,*O*-dimethylthunberginol-C, 5b). The benzylphthalide 1d on reaction with AlCl₃ provided 5b in 63% yield, mp 118–119 °C; v_{max} /cm⁻¹ (Nujol) 1655; δ_{H} (90 MHz) 3.05 (dd, 1H, *J* = 16.0, 5.0, C⁴-H), 3.33 (dd, 1H, *J* = 16.0, 12.5, C⁴-H), 3.80 (s, 6H, 2 × OCH₃), 5.47 (dd, 1H, *J* = 12.5, 5.0, C³-H), 6.36 (s, 1H, ArH), 6.41 (s, 1H, ArH), 6.91 (d, 1H, *J* = 7.5, ArH), 8.22 (d, 1H, *J* = 7.5, ArH), 11.22 (s, 1H, exchangeable with D₂O, OH) (Found: C, 68.13; H, 5.59. C₁₇H₁₆O₅ requires C, 67.99; H, 5.37%).

(±)-3-(4-Methoxyphenyl)-8-hydroxy-6,7-dimethoxy-3,4-di-

hydroisocoumarin 5c. The benzylphthalide 4b on reaction with AlCl₃ furnished 5c in 73% yield, mp 175 °C; v_{max} cm⁻¹ (Nujol) 3350, 1660; $\delta_{\rm H}$ (90 MHz) 3.04 (dd, 1H, J = 15.2, 3.8, C⁴-H), 3.32 (dd, 1H, J = 15.2, 11.4, C⁴-H), 3.80 (s, 3H, OCH₃), 3.87 (s, 3H, OCH₃), 3.90 (s, 3H, OCH₃), 5.47 (dd, 1H, J = 11.4, 3.8, C³-H), 6.29 (s, 1H, ArH), 6.91 (m, 2H, ArH), 7.36 (m, 2H, ArH), 11.07 (s, 1H, exchangeable with D₂O, OH) (Found: C, 65.54; H, 5.60. C₁₈H₁₈O₆ requires C, 65.44; H, 5.49%).

(±)-3-(3,4-Dimethoxyphenyl)-8-hydroxy-6,7-dimethoxy-3,4dihydroisocoumarin 5d and (±)-3-(3,4-dimethoxybenzyl)-7hydroxy-5,6-dimethoxyphthalide 1f. The benzylphthalide 4c on reaction with AlCl₃ gave 5d and 1f in 63 and 19% yield, respectively. Compound 5d had mp 148 °C; v_{max} /cm⁻¹ (Nujol) 3409, 1661; $\delta_{\rm H}$ (90 MHz) 3.07 (dd, 1H, *J* = 15.2, 3.8, C⁴-H), 3.35 (dd, 1H, *J* = 15.2, 11.4, C⁴-H), 3.87 (s, 12H, 4 × OCH₃), 5.47 (dd, 1H, *J* = 11.4, 3.8, C³-H), 6.32 (s, 1H, ArH), 6.95 (s, 3H, ArH), 11.08 (s, 1H, exchangeable with D₂O, OH) (Found: C, 63.11; H, 5.79. C₁₉H₂₀O₇ requires C, 63.33; H, 5.59%).

Compound **1f** was a viscous liquid; v_{max}/cm^{-1} (nujol) 3340, 1740; $\delta_{\rm H}$ (90 MHz) 3.07–3.18 (m, 2H, ArCH₂), 3.80 (s, 12H, 4 × OCH₃), 5.50 (t, 1H, J = 6.3, ArCHO), 6.29 (s, 1H, ArH), 6.34 (s, 1H, exchangeable with D₂O, OH), 6.72 (s, 3H, ArH) (Found: C, 63.22; H, 5.65. C₁₉H₂₀O₇ requires C, 63.33; H, 5.59%).

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