# PAPER

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# The reaction of NH-indazoles with 1-fluoro-2,4dinitrobenzene: the unusual formation of benzotriazole-N-oxides<sup>†</sup><sup>‡</sup>

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When N-unsubstituted indazoles, like indazole itself, reacted with 1-fluoro-2,4-dinitrobenzene or 1-chloro-2,4,6-trinitrobenzene, three products were obtained whose structures were determined by X-ray diffraction. Besides the two N-substituted nitroaryl derivatives, a third compound was obtained with the same molecular formula  $(C_{13}H_8N_4O_4)$  to which was assigned the structure of a derivative of benzotriazole N-oxide. With the combined use of crystallography, NMR and DFT calculations this reaction was studied with special stress on the mechanism of formation of the benzotriazole-N-oxide.

## Introduction

Many years ago, some of us reported an unprecedented reaction between NH-indazoles and 1-halo-2-nitrobenzenes, such as 1-fluoro-2,4-dinitrobenzene (2) and 1-chloro-2,4,6-trinitrobenzene (picryl chloride).<sup>1,2</sup> In Fig. 1 are reported the results obtained in the first case, using three conditions, reflux in ethanol (10 min), reflux in xylene (60 h) and fusion. The percentages vary from one experiment to the other, here are the values obtained in the first case: 29% of 3, 62% of 4 and 9% of 5.

NH-Indazoles such as indazole itself 1 and 7-methylindazole exist as a mixture of two tautomers, **a** and **b**, but the 1*H*-tautomer **a** is largely predominant.<sup>3-5</sup> When reacting 1 with 1-fluoro-2,4-dinitrobenzene (2) three products were isolated: the 1-dinitrophenyl derivative 3, which is not formed, for obvious steric reasons, when there is a methyl group at the 7-position; the 2-dinitrophenyl derivative 4, and a third isomer 5.<sup>1</sup> The compounds were tentatively identified by <sup>1</sup>H NMR<sup>1</sup> and, in the case of 5, by the  ${}^{1}/({}^{1}H-{}^{13}C)$  of the formyl group (185 Hz).<sup>2</sup> The results obtained with 1-chloro-2,4,6-trinitrobenzene were similar.

To explain the formation of the benzotriazole *N*-oxide 5 we proposed the following mechanism (Fig. 2).<sup>2</sup> It was based on general knowledge but without any experimental proof.

It involves the minor 2H-tautomer 1b but according to the Gustafsson paradox,  $^{3,6-10}$  the less stable tautomer **1b** should be the most reactive. A 1,3-dipolar cycloaddition of the o-nitro group on the 1-azadiene moiety of 2H-indazole 1b affords 6 that followed by a nucleophilic substitution of the fluorine atom yields 7. A [2+2+2] retrocycloaddition would transform 7 into the azo-nitroso derivative 8, an intermediate in the synthesis of benzotriazole N-oxides such as 5 (usually not isolated in the reduction of an azo-nitro derivative).11,12

We decided to study again the reaction of indazole 1 with 1-fluoro-2,4-dinitrobenzene (2) yielding 3, 4 and 5 with the following purposes:

(i) To verify the structure of these compounds in the solid state (X-ray crystallography).

(ii) To carry out GIAO/DFT calculations to determine, by comparison with NMR chemical shifts, some aspects of their structure.

(iii) To search for a mechanism for the reaction  $1 + 2 \rightarrow 5$  to be more consistent with present day knowledge.

### **Results and discussion**

Since we have conserved the reaction crude of 1967, we carried out the separation of the three isomers  $(C_{13}H_8N_4O_4)$  using column chromatography (dichloromethane/hexane/methanol).

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<sup>†</sup> Dedicated to Professor Alan R. Katritzky on the occasion of his 85th birthday. ‡ Electronic supplementary information (ESI) available: General experimental methods; <sup>1</sup>H and <sup>13</sup>C NMR spectra; electronic spectra; computational data. CCDC 806879, 806880, 806881 and 923952. For ESI and crystallographic data in CIF or other electronic format see DOI: 10.1039/c3nj00186e



Fig. 1 The 1967–1968 results (under the three conditions the relative abundances were similar).



Fig. 2 The 1968 proposed mechanism of the formation of benzotriazole *N*-oxide 5.

A fourth product was isolated: the hemiacetal of 5, a rather unstable compound that is irrelevant for the problem under study (in 1967 we used fractional crystallization). We were fortunate to obtain suitable single crystals of 3, 4 and 5.

#### Part I: structure and the mechanism of formation of the 1-(2,4-dinitrophenyl)-1*H*-indazole (3) and 2-(2,4-dinitrophenyl)-2*H*-indazole (4)

**Crystallography.** The structures of **3** and **4** display no noticeable modifications in crystal packing at different temperatures. Both compounds form parallel chains to the *bc* plane, the molecules forming the chains are linked by H-bonds. In both cases, there are weak intramolecular interactions. For compound **3**, the structure at room temperature does not present changes with that derived from low temperature data, except for a higher structure expansion due to higher atomic displacements.

*Crystal structure of 3.* The structure is represented in Fig. 3. The 3D structure is formed by chains parallel to the *bc* plane (Fig. 4). In these chains the molecules are linked by hydrogen bonds  $C-H\cdots O$  between the oxygen atoms of the nitro group



Fig. 3  $\,$  A view of 3 with displacement ellipsoids drawn at the 50% probability level. The numbering does not follow the systematic one.

N(4) of one molecule, O(3) and O(4), and the phenyl carbons of the other molecule, C(12) and C(13). The O(4) forms a hydrogen bond with C(6) of the indazole benzene ring.



Fig. 4 View of the layers along the *bc* plane of 3



There are intramolecular interactions between N(2) and N(3) which fix the orientation of the N(3) nitro group. The distance between N(2) and N(3) is 2.765(2) while N(2) and O(1) is 2.834(2) Å. The chains are supported by weak C-H···O hydrogen bonds between the oxygen atoms of both nitro groups and the phenyl carbons of the other molecule, without noticeable strong interactions located (Fig. 5).

*Crystal structure of* **4**. The asymmetric unit of 2-(2,4-dinitrophenyl)-2*H*-indazole is a dimer (Fig. 6). There are intramolecular interactions between N(1) and N(3) stabilizing the orientation of the N(3) nitro group in both molecules of the dimer, with distances 2.739(3) and 2.737(3) Å respectively. The distances between N(1) and O(1) are 2.881(3) Å for molecule A, and 2.828(2) Å for molecule B.

The molecules of the dimer are linked by C9B-H9B···O1A hydrogen bonds and by  $\pi$ - $\pi$  stacking interactions, with centroidcentroid distances of 3.804(1) and 3.709(1) Å (Fig. 7). The crystal packing is formed by chains parallel to the *bc* plane. In these chains the molecules are linked by weak hydrogen bonds C-H···O between the oxygen atoms of both nitro groups and the benzene CHs of the other molecule along the *b* axis (C2B-H2B···O4B, C7B-H7B···O2B, C9B-H9B···O2B) (Fig. 8). The hydrogen bonds of structures 3 and 4 are listed in Table 7.

**Optimized vs. experimental geometries.** Calculations were carried out at the B3LYP/6-311++G(d,p) level. For structures **3** and **4** we have started with geometries where the nitro group is on the same side as the "pyridine-like" nitrogen atom of the indazole ring (the so-called "orthogonal interaction").<sup>13</sup> Then, we found that the calculated chemical shifts (next section) were consistent with the experimental values in the case of **3** but not in the case of **4**. Therefore, we calculated the opposite conformation (**4**') finding that it was slightly more stable than **4** (0.7 kJ mol<sup>-1</sup>) and subsequently we used this conformation for the NMR calculations. Remember that the X-ray structure of the 2-dinitrophenyl derivative, above discussed, has the **4** or *Z* conformation.



The bond lengths and bond angles are similar for the experimental and calculated geometries of **3** and **4**'. Table 1 reports the torsion angles (in  $^{\circ}$ ) that show only small differences.

**NMR spectroscopy and GIAO/DFT calculations.** The chemical shifts of both dinitrophenyl indazoles (see Experimental section) are reported in Table 2 together with the chemical shifts calculated at the GIAO//B3LYP/6-311++G(d,p) level (see Computational details).



We have transformed the absolute shieldings ( $\sigma$ , ppm) into chemical shifts ( $\delta$ , ppm) using the two empirical equations we have established previously:



Fig. 6 A view of 4 at 120 K with displacement ellipsoids drawn at the 50% probability level. The numbering does not follow the systematic one.



Fig. 7 View of the layers along the *bc* plane of 4 at 120 K.



$$\delta^{1}$$
H = 31.0 - 0.97 $\sigma^{1}$ H (ref. 14) (1)

$$\delta^{13}$$
C = 175.7 - 0.963 $\sigma^{13}$ C (ref. 15) (2)

We have checked that the experimental chemical shifts of 1-(2,4-dinitrophenyl)-2H-indazole correspond to rotamer 4' and not to 4.

**Energies.** Concerning the formation of  $3 \nu s$ . 4 (actually 4') we have reported in Fig. 1 that the isomer 4 is the most abundant, this being true for the three experimental conditions used:

**Table 2** Experimental chemical shifts ( $\delta$ , ppm, in DMSO- $d_6$ ) and calculated chemical shifts ( $\delta$ , ppm) of compounds **3** and **4**'

Со	mp.	$H_3$	${\rm H}_4$	${\rm H}_5$	$H_6$	$H_7$	$H_{3'}$	$\mathbf{H}_{4'}$	$\mathbf{H}_{5'}$	$H_{6'}$	СНО
<sup>1</sup> H	NMR										
3	Exp.	8.52	7.95	7.38	7.61	7.82	8.92	_	8.65	8.29	
	Calcd	8.00	7.75	7.31	7.51	7.56	8.71		8.50	7.67	_
<b>4</b> '	Exp.	9.08	7.81	7.15	7.35	7.64	8.93	_	8.69	8.29	_
	Calcd	7.74	7.56	7.08	7.26	7.70	8.72	—	8.37	8.34	—
Со	mp.	C <sub>3</sub>	C <sub>3</sub>	a (	C <sub>4</sub>	$C_5$	C <sub>6</sub>	C	7	C <sub>7a</sub>	СНО
<sup>13</sup> C	NMR										
3	Exp.	139.	0 12	5.3	122.1	123.2	128.	5 11	0.2	136.1	_
	Calcd	138.	7 12	7.9	122.0	123.0	127.9	9 10	)8.4	139.2	_
<b>4</b> '	Exp.	128.	5 12	3.2	121.8	122.0	128.8	8 11	8.2	150.8	_
	Calcd	122.	9 12	6.0	120.8	124.2	128.9	9 11	9.6	152.2	—
			$C_{1'}$	C	2'	$C_{3^{\prime}}$	С	4′	$C_5$	/	$C_{6'}$
3	Exp.		143.5	13	38.6	121.7	14	45.2	12	8.7	126.2
	Calc	d	140.3	14	17.2	123.7	$1_{4}$	45.0	12	6.7	123.1
<b>4</b> '	Exp.		137.3	14	14.6	122.0	14	47.2	12	8.5	128.7
	Calc	d	140.0	14	14.4	122.5	14	45.5	12	7.4	128.3

reflux in ethanol, reflux in xylene and fusion without solvent.<sup>1,2</sup> The computed values corresponding to part I of this manuscript are gathered in Table 3 and represented in Fig. 9.

Starting from the most stable tautomer, 1*H*-indazole (1a), to reach 4·HF the barrier has a height of 92.9 kJ mol<sup>-1</sup> while to reach 3·HF the barrier is lower, 88.3 kJ mol<sup>-1</sup>. This is in

Fable 1       Torsion angles (°, absolute values) calculated at the B3LYP/6-311++G(d,p) level							
Molecule	Calculated		Experimental				
3	N2-N1-C1'-C2' = 41.0 C1'-C2'-N-O = 46.4 C3'-C4'-N-O = 0.6	N2-N1-C1'-C2' = 35.5 C1'-C2'-N-O = 53.1 C3'-C4'-N-O = 4.5					
<b>4</b> <sup><i>a</i></sup>	N1-N2-C1'-C2' = 42.2 C1'-C2'-N-O = 48.6 C3'-C4'-N-O = 0.0	N1-N2-C1'-C2' = 29.1 C1'-C2'-N-O = 63.1 C3'-C4'-N-O = 9.0	N1-N2-C1'-C2' = 28.0 C1'-C2'-N-O = 66.0 C3'-C4'-N-O = 6.7				
4'	N1-N2-C1'-C2' = 149.8 C1'-C2'-N-O = 47.1 C3'-C4'-N-O = 0.1						

<sup>*a*</sup> Two independent molecules in the unit cell.

**Table 3** Computed energy values for the formation of 1-(2,4-dinitrophenyl)-1*H*indazole (**3**) and 2-(2,4-dinitrophenyl)-2*H*-indazole (**4**) corresponding to PCM(EtOH)/B3LYP/6-31G(d)+ZPE calculations (absolute values in hartrees, relative values in kJ mol<sup>-1</sup>)

Entry	Total energy	$E_{\rm rel}$ (with regard to $1 \mathbf{a} \cdots 2$ )	$E_{\rm rel}$ (with regard to $1b \cdots 2$ )
1a + 2	-1120.11382	11.7	
1a· · ·2	-1120.11834	0.0	
TS1	-1120.08842	78.7	
INT1	-1120.09189	69.5	
TS2	-1120.07854	104.6	
4 + HF	-1120.11488	9.2	
4	-1019.68197		
1b + 2	-1120.10692	30.1	12.9
1b· · ·2	-1120.11182	17.2	0.0
TS3	-1120.08263	93.7	76.5
INT2	-1120.08644	83.7	66.5
TS4	-1120.08025	100.0	82.8
3 + HF	-1120.12156	-8.4	-25.6
3	-1019.69031		

contradiction with the data of Fig. 1 (the most abundant being isomer 4) but the difference only amounts to 4.6 kJ mol<sup>-1</sup>. The reaction between 2 and saturated amines has activation barriers in the 65–80 kJ mol<sup>-1</sup> range,<sup>16</sup> in reasonable agreement with those we have calculated taking into account the differences between indazole and aliphatic amines.

# Part II: structure and the mechanism of formation of the 2-(1*N*-oxide-6-nitro-2*H*-benzotriazol-2-yl)benzaldehyde (5)

**Crystallography.** Crystal packing is formed by double chains parallel to the *ab* plane (Fig. 10). One chain is formed by hydrogen bonds  $C-H\cdots O$  between the oxygen atom O(4) of

the nitro group N(4) of one molecule, and the hydrogen (H4) of the phenyl carbon (C4) of other molecule along the *ab* plane, and is connected to an antiparallel chain by  $C-H\cdots O$  hydrogen bonds between the oxygen atom O(1) of the formyl group of one chain and the hydrogen (H11) of the phenyl carbon (C11) of the other chain, forming a double chain. This interaction fixes the unexpected disposition of the formyl group (Fig. 11–13).

**Optimized geometries vs. experimental geometries.** Neglecting the conformation of the nitro group, compound 5 is characterized by two torsion angles, the first one ( $\theta_1$ ) about the N2–C1' bond (interring, N1–N2–C1'–C6') and the second one ( $\theta_2$ ) about the C2'–C(O) bond (formyl group, C3'–C2'–C–O). Thus, four minima are possible if all structures corresponding to two different angles are stable. Actually, one of them is not a minimum (Fig. 14). The three other structures have very similar energies with differences below 2 kJ mol<sup>-1</sup>, the most stable being **5b** (–1020.16370 hartrees).



Fig. 10  $\,$  A view of 5 with displacement ellipsoids drawn at the 50% probability level. The numbering does not follow the systematic one.



Fig. 9 Energy profile (kJ mol<sup>-1</sup>) of the formation of 3 and 4 from 1 and 2b (PCM: ethanol).



Fig. 11 View of the chains along the *ab* plane of 5.





Fig. 13 Packing diagram of 5.

The experimental X-ray geometry corresponds to **5c**. The stabilization of these structures is of different origin. For **5a** and **5b**, they are due to hydrogen bonds (HBs) but for **5c** there is a single HB, the other stabilizing force is an orthogonal interaction between the O of the carbonyl group and the N2 nitrogen of the benzotriazole *N*-oxide,<sup>13</sup> which bears a partial positive charge (note that the corresponding resonance form is benzenoid while the more common representation is quinonoid). This orthogonal interaction is clearly apparent in the AIM analysis (Fig. 15).

NMR spectroscopy and GIAO/DFT calculations. The chemical shifts, experimental and calculated, for compound 5 are reported

in Table 4. The experimental chemical shifts are the geometrical average of two or three conformations if one assumes the simplification of considering only the minima. The test of validity of the multiple regressions is not only the correlation coefficient but, mainly, the fact that the sum of individual populations should be close to 1 (or the percentages close to 100). Imposing the intercept to be 0, the following results are obtained:

From <sup>1</sup>H NMR chemical shifts: 23.7% **5a**, 23.6% **5b**, 54.0% **5c** (sum: 101.3%). From <sup>13</sup>C NMR chemical shifts: 33.2% **5a**, 23.1% **5b**, 43.8% **5c** (sum: 100.1%).

The results based on  ${}^{13}$ C chemical shifts should be more reliable (greater range) but, in any case, there are about 25% of each 5a and 5b (s*E* isomers) and 50% of 5c (s*Z* isomer).

If all the values of Tables 2 and 4 are considered together, the <sup>1</sup>H chemical shifts (24 values) are well correlated ( $R^2 > 0.90$ ) and the <sup>13</sup>C chemical shifts (39 values) still better ( $R^2 > 0.98$ ).

The mechanism of formation of 5. All our attempts to characterize a reaction mechanism compatible with that proposed in 1967–1968 met with no success. Formation of 5 was found to be symmetry forbidden and other possible (3+2) reaction paths led to dead ends. We therefore investigated the reaction mechanism outlined in Fig. 16 by computing the reaction profile. The possible stationary points were located and characterized at the B3LYP/6-31G\* level of theory in ethanol solution (*vide infra*). The relative energies of all the stationary points and the shape of the corresponding transition structures are reported in Fig. 17 (all values in kJ mol<sup>-1</sup>).

Formation of both 4' (a rotamer of 4) and 5 starts with the stepwise aromatic nucleophilic substitution reaction of 1*H*-indazole 1 with 1-fluoro-2-nitrobenzene 2. Despite the partial stabilization of saddle point **TS1** by a weak N–O···H hydrogen bond (see Fig. S1 in the ESI‡), the computed activation energy for the addition step is the largest one along the reaction profile leading to 4, as it is expected for this kind of reactions. The substitution product 4 is obtained by *syn*-elimination of HF from the unstable addition intermediate **INT1**, recovery of aromaticity of the nitrophenyl moiety being the driving force for this step. It is noteworthy that 4 lies 9.2 kJ mol<sup>-1</sup> above the complex  $1a \cdots 2$ (Fig. 16).

The sequence of stationary points leading to 5 involves the addition of oxygen Od of the nitro-nitronate group on the Ce atom of 1 via transition structure **TS5**. As it can be seen by inspection of the geometric features of **TS5**, at this step the Na–Cb bond is already formed, and the whole structure is also partially stabilized by an intramolecular hydrogen bond (see Fig. S1 in the ESI‡). The activation energy associated with this step is also noticeable and corresponds to a stepwise (4+2) cycloaddition between the Ce—Na moiety of 1 and 2. It is interesting to note that (4+2) cycloaddition (hetero Diels–Alder) chemistry involving nitroalkenes in the presence of Lewis acids has been described by Denmark *et al.*<sup>17</sup> and, more recently and within a different context, by Danishefsky and Houk *et al.*<sup>18</sup>

The (4+2) hetero Diels–Alder cycloadduct **INT3** can eliminate one equivalent of HF to yield the aromatized intermediate **INT4** *via* **TS6** in a similar way to that computed for **INT1** (Fig. 16 and 17).



Fig. 14 The calculated and experimental conformations of benzotriazole-*N*-oxide 5 (in parentheses, relative energies).



**Fig. 15** AIM molecular graphs of compound **5c** optimized at the B3LYP/6-311++G(d,p) computational level. The atoms are labeled O, N, C and H. The bond critical points are pale grey and the ring critical points are dark grey. The following colors will appear in the electronic version: red: oxygen; blue: nitrogen; gray: carbon; white: hydrogen. Bond critical points: green; ring critical points: red.

Unstable zwitterionic species **INT4** yields (*E*)-2-((2-nitroso-phenyl)diazenyl) benzaldehyde conformers **8** and **8'** via concerted cleavage of Na-Ce and Od-Cf bonds. Surprisingly, the activation energy associated with this retro-(4+2) cycloaddition is quite low, the reaction energy leading to benzaldehyde derivative **8** being quite exothermic (Fig. 16). From this latter local minimum, cyclization to **5** takes place smoothly via a kinetically favored [5-exo-trig] process<sup>19</sup> whose associated transition structure is **TS9** (Fig. 15 and 16). Interestingly, the reaction energy ( $\Delta E_{rxn}$ ) associated with the  $\mathbf{1a} \cdots \mathbf{2} \rightarrow \mathbf{5}$  reaction is computed to be  $-30.0 \text{ kJ} \text{ mol}^{-1}$ , whereas for the  $\mathbf{1a} \cdots \mathbf{2} \rightarrow \mathbf{4}$  transformation it is found that  $\Delta E_{rxn} =$ +9.2 kJ mol<sup>-1</sup>. Therefore, thermodynamic control favors formation of **5** as highly polar zwitterionic intermediates are involved in its formation. Although on the basis of our

**Table 4** Experimental chemical shifts [ $\delta$ , ppm, <sup>1</sup>H (400 MHz) and <sup>13</sup>C (100 MHz) in CDCl<sub>3</sub>] of compound **5** and calculated chemical shifts ( $\delta$ , ppm) for three minimum conformations of compound **5** 

IMR					4	115	116	ono
Exp.	7.94	8.31	8.85	8.14	7.9	0 7.90	7.74	9.93
Calcd	7.68	8.36	8.86	8.44	7.6	5 7.66	7.91	9.90
Calcd	7.70	8.27	8.84	8.44	7.7	2 7.69	7.42	9.65
Calcd	7.64	8.25	8.78	7.81	7.8	3 7.73	7.45	10.05
ıp.	C <sub>3a</sub>	$C_4$	$C_5$	C	6	C <sub>7</sub>	C <sub>7a</sub>	СНО
NMR								
Exp.	142.6	120.9	128	<b>3.2</b> 14	45.7	113.1	124.4	187.5
Calcd	142.6	119.7	124	<b>1.7</b> 14	15.6	114.6	125.5	184.1
Calcd	142.8	120.0	124	l.6 14	15.6	114.5	124.8	182.7
Calcd	142.7	119.8	123	<b>3.8</b> 14	45.2	114.9	125.6	185.3
	$C_{1^{\prime}}$	С	2'	$C_{3'}$	(	C <sub>4</sub> ′	$\mathbf{C}_{5'}$	$C_{6'}$
Exp.	132	.6 1	31.9	131.6	1	32.5	134.5	123.7
Calcd	137	.4 1	31.1	129.9	1	31.0	132.8	127.3
Calcd	136	.8 1	31.8	128.8	1	31.5	133.5	127.3
Calcd	133	.6 1	33.4	135.0	1	31.3	133.6	129.4
	Calcd Calcd Calcd Calcd P. VMR Exp. Calcd Calcd Calcd Calcd Calcd Calcd Calcd Calcd Calcd	$\begin{array}{c cccc} & 7.54 \\ Calcd & 7.68 \\ Calcd & 7.60 \\ Calcd & 7.64 \\ \hline p. & C_{3a} \\ \hline p. & 142.6 \\ Calcd & 142.6 \\ Calcd & 142.8 \\ Calcd & 142.7 \\ \hline & C_{1'} \\ \hline & Exp. & 132 \\ Calcd & 137 \\ Calcd & 136 \\ Calcd & 133 \\ \hline \end{array}$	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	LXp.       7.54       6.31       6.33       6.14         Calcd       7.68       8.36       8.86       8.44         Calcd       7.70       8.27       8.84       8.44         Calcd       7.64       8.25       8.78       7.81         p. $C_{3a}$ $C_4$ $C_5$ $C_6$ NMR       Exp.       142.6       120.9       128.2       14         Calcd       142.6       119.7       124.7       14         Calcd       142.8       120.0       124.6       14         Calcd       142.7       119.8       123.8       14         Calcd       142.7       119.8       123.8       14         Calcd       142.7       119.8       123.8       14         Calcd       137.4       131.9       131.6       Calcd       137.4       131.1       129.9         Calcd       136.8       131.8       128.8       128.8       128.8       133.6       133.4       135.0	Lxp.       7.54       6.31       6.35       6.14       7.57         Calcd       7.68       8.36       8.86       8.44       7.67         Calcd       7.70       8.27       8.84       8.44       7.70         Calcd       7.64       8.25       8.78       7.81       7.81         p. $C_{3a}$ $C_4$ $C_5$ $C_6$ NMR       Exp.       142.6       120.9       128.2       145.7         Calcd       142.8       120.0       124.7       145.6         Calcd       142.7       119.8       123.8       145.2         Calcd       142.7       119.8       123.8       145.2         Calcd       142.7       119.8       123.8       145.2         Calcd       132.6       131.9       131.6       1         Calcd       137.4       131.1       129.9       1         Calcd       136.8       131.8       128.8       1         Calcd       133.6       133.4       135.0       1	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$

computational results, we dare to predict that microwave irradiation<sup>20</sup> should increase the yield of 5 and its analogues, the calculated barrier to reach 5 from  $1a \cdots 2$  is 231.4 kJ mol<sup>-1</sup>, too high to be involved in the formation of the benzotriazole-*N*-oxide and the mechanism depicted in Fig. 17 must be rejected.

NJC



Fig. 16 Mechanism connecting 1H-indazole (1a) and 1-fluoro-2,4-dinitrobenzene (2) to the final products 4 and 5



**Fig. 17** Reaction profile for the transformation of **1a** and **2** into either **4** or **5**. All the relative energies have been computed in ethanol at the B3LYP(PCM)/6-31G\*+  $\Delta$ ZPVE level and are given in kJ mol<sup>-1</sup>. The part concerning the formation of **4**' is common with Fig. 9.

We think that 5 could be obtained by thermal isomerization of 4 but all our attempts (see Experimental section) failed; then, since one mole of HF was formed during the reaction (see Fig. 9 and 16), we carried out experiments under conditions as energetic as MW irradiation of a trifluoroacetic solution of 4 containing some drops of  $H_2SO_4$  100%. In all cases, compound 4 was recovered unchanged. The only remaining possibility<sup>21</sup> was that 5 was formed by a photochemical process. The 1967 reaction<sup>1</sup> was carried out using ordinary glass (not Pyrex) and compound 5 was isolated pure by fractional crystallization in ethanol. We repeated the reaction (10 min reflux in ethanol) using actinic glassware: we obtained 30% of 3 and 70% of 4 (by HPLC) without traces of 5. The proportions are similar to our previous ones taking into account that the amount of 5 should be added to that of 4. At 300 K, the 30/70 ratio corresponds to a difference of 2.1 kJ mol<sup>-1</sup>. Finally, we irradiated a sample of 4 in ethanol and obtained 5 in quantitative yield; the reaction was the same in a degassed solution (Ar bubbled through it) and in solution where oxygen (air) as a quencher<sup>22</sup> had been bubbled, thus proving that the



Fig. 18 Model system used to explore the photochemical formation of sixmembered intermediate INT4. The species included in the experimental studies are indicated in grey.

reaction occurs *via* the singlet.<sup>23</sup> The formation of oxygencarbon bonds upon irradiation has been reported previously but on very different compounds: 1-(2,4-dinitrophenyl) and 1-(2,4,6-trinitrophenyl)aziridines,<sup>24</sup> and 1-aryl-2-nitroalkenes (photochromism).<sup>25</sup>

In order to investigate the photochemical conversion of 4 to 5 on the  $S_0$  and  $S_1$  potential energy hypersurfaces associated with the singlet ground state and the first excited singlet state, respectively, we decided to explore the behavior of a computationally simpler system. The critical step on the  $S_0$  ground state reaction coordinate was the formation of **INT4**, whose combined activation energies from 4 were calculated to be prohibitively high. Since in the photochemical experiments the neutral species 4 was used as starting material, we explored this step using the  $9 \rightarrow 10$  transformation as a model system (Fig. 18).

A standard DFT study (Fig. 19A) on the thermal  $9 \rightarrow 10$  reaction at the B3LYP/6-31G\* level of theory led to the characterization of transition structure  $12_{TS}(S_0)$  on the ground state  $S_0$  hypersurface, with an activation energy of 192 kJ mol<sup>-1</sup>, in line with the high barriers previously computed for this kind of reaction. Time-dependent DFT (TDDFT) calculations performed with the same hybrid functional and the basis set led to a vertical transition of type  $n\pi^*$ . This electronic transition involves a double excitation from the HOMO – 2 Kohn–Sham



**Fig. 19** Computational profiles associated with the  $9 \rightarrow 10$  reaction. Bond distances are given in Å. Relative energies are reported in kJ mol<sup>-1</sup>. Grey arrows connect fully optimized structures. Dashed arrows indicate vertical transitions. (A) B3LYP/6-61G\* and time-dependent DFT (TDDFT) B3LYP/6-31G\* profiles. KS<sub>*i*</sub>  $\rightarrow$  KS<sub>*j*</sub> indicates the Kohn–Sham (KS) orbitals involved in the corresponding transition.  $12_{TS}(S_0)$  is the transition structure (TS) that connects local minima 9 and 10 in the S<sub>0</sub> ground state. (B) CASSCF(4,4)-MP2/6-31G\*//CASSCF(4,4)/6-31G\* profile for the same process.  $12_{CI}(S_1-S_0)$  is the conical intersection (CI) that connects 9 on the S<sub>1</sub> hypersurface with 10 in the S<sub>0</sub> ground state.

(KS) orbital, mainly formed by lone pairs of the nitro and pyrazole groups, to the LUMO and LUMO + 1, both of them involving delocalized *n*-systems. Despite its low absorptive capacity, at this point the S1 state has a vertical excitation energy of 341 nm (equivalent to 350.6 kJ mol<sup>-1</sup>), a transition therefore quite close to the visible region (380-740 nm), within the accuracy limits of TDDFT.<sup>26</sup> It is expected that in more conjugated systems such as 4 the wavelength associated with this kind of transition lies in the visible region. Interestingly, the  $S_0$ - $S_1$  vertical transition of  $12_{TS}$  was calculated to be of only *ca.* 121 kJ mol<sup>-1</sup> and is associated with a symmetry allowed  $\pi\pi^*$ HOMO-LUMO transition (Fig. 19A). As a consequence,  $12_{TS}$  in the S<sub>1</sub> state lies *ca.* 33 kJ mol<sup>-1</sup> below **9** and *ca.* 84 kJ mol<sup>-1</sup> below 10. This situation suggested that there should be a conical intersection (CI) connecting both S<sub>0</sub> and S<sub>1</sub> potential energy hypersurfaces and therefore stationary points 9 and 10.

We carried out CASSCF(4,4)-MP2/6-31G\*//CASSCF(4,4)/ 6-31G\* calculation<sup>27</sup> (Fig. 19B) in order to locate this hypothetical conical intersection. These multiconfigurational SCF (MC-SCF) calculations were performed by checking the Kohn-Sham orbitals involved in the  $S_0$ - $S_1$  transitions and the corresponding canonical MOs. According to the TDDFT results, the starting guess was modified in order to include MO<sub>34</sub> (which is similar to  $KS_{34}$ ) in the active space of 9. In the case of 12 and 10, the simpler HOMO-LUMO transition found in the TDDFT calculations resulted in rapidly convergent CASSCF solutions. Actually, for these latter stationary points the CASSCF(2,2) and CASSCF(4,4) results were very similar. Under these conditions we readily located conical intersection  $12_{CI}$  at the CASSCF(4,4)/ 6-31G\* level by starting the optimization from the B3LYP/ 6-31G<sup>\*</sup> geometry and wave function of  $12_{TS}$  (Fig. 19A). As expected, the chief geometric features of 12<sub>CI</sub> were found to be very similar to those computed for 12<sub>TS</sub>, the critical C-O bond distance being a little bit shorter, thus indicating a more advanced structure towards intermediate 10.

From these TDDFT and MC-SCF computational results, we conclude that the reactions indicated in Fig. 17 can be readily performed under UV-visible irradiation. The photochemical reaction path starts with a relatively low energy  $S_0$ - $S_1$  excitation. From this  $n\pi^* S_1$  excited state the system evolves to a  $\pi\pi^*$  state and relaxes to the  $S_0$  state *via* a conical intersection very similar to the transition structure that connects reactants and products under purely thermal conditions. Beyond this latter stationary point, the rest of the elementary steps leading to 5 can occur on the  $S_0$  potential energy hypersurface.

#### Conclusions

The most significant conclusions obtained from the results reported in this paper are:

- The X-ray structures of **3** and **4** have been determined both having the nitro group near the N atom of the indazole ring. The structure of **5** presents an interesting example of orthogonal interaction.

- <sup>1</sup>H and specially <sup>13</sup>C NMR chemical shifts show that in DMSO 1-(2,4-dinitrophenyl)-2H-indazole has the nitro group near the N atom (3) while 2-(2,4-dinitrophenyl)-2H-indazole has the nitro group near the CH (conformation 4').

- The mechanism of formation of both *N*-substituted indazoles is a classical one and the calculated transition states have reasonable values.

– The only found thermal mechanism of formation of 2-(1*N*-oxide-6-nitro-2*H*-benzotriazol-2-yl)benzaldehyde differs considerably from that proposed in 1967–1968. It involves five TSs and five intermediates that have been theoretically characterized. However, it is too high in energy (231 kJ mol<sup>-1</sup>) to be operative.

- The 4 to 5 transformation is a photoisomerization going through the singlet state. This is the first reported example of such a reaction.

- A mechanism has been theoretically calculated for the singlet state photoisomerization.

#### Experimental section

#### Chemistry

General methods. All reagents were purchased from commercial suppliers and used without further purification. Flash chromatography was performed on silica gel (230-400 mesh). Melting points are uncorrected. Microwave reactions were carried out using a Biotage Initiator<sup>™</sup> 2,0. Most <sup>1</sup>H and <sup>13</sup>C NMR spectra were obtained at 300 MHz (<sup>1</sup>H; <sup>13</sup>C 75 MHz) at 298 K and one at 400 MHz for the <sup>1</sup>H NMR spectrum of 5. Abbreviations for multiplicity are as follows: d indicates doublet, t indicates triplet, m indicates multiplet, bs indicates broad singlet, bd indicates broad doublet, dd indicates double doublet, dt indicates double triplet. Chemical shifts are reported in ppm referenced to TMS for CDCl<sub>3</sub> and to the internal solvent residual of DMSO-*d*<sub>6</sub> at 2.50 ppm for <sup>1</sup>H NMR and at 39.5 ppm for <sup>13</sup>C NMR. Assignment of the <sup>1</sup>H and <sup>13</sup>C NMR signals was based on the <sup>1</sup>H-<sup>1</sup>H spin-spin coupling constants as well as on COSY, HMBC and HSQC methods. LC-MS analyses were performed using an Alliance 2695 (Waters) with a diode array UV/Vis detector Waters 2996 and interfaced to a Micromass ZQ mass spectrometer; analyses were performed using reversed phase HPLC silica based columns: column bridge C18 3.5  $\mu$ m. (2.1 imes 100 mm). Using an injection volume of 3  $\mu$ L, a flow rate of 0.25 mL min<sup>-1</sup> and gradient elution (5 to 95%) over 5 min) of acetonitrile in water. Acetonitrile contains 0.08% v/v and water contains 0.1% v/v formic acid. Analyses were monitored at 254 nm wavelength.

The photoreactions were performed in quartz cells. The solutions were irradiated through a dichroic mirror (Dichroic Beam Turning Mirror, Oriel) to cut off UV light (transmission range 260–320 nm) by using a mercury (Xe) lamp (Oriel Research Arc Lamp Source, 400 W). A focusing lens (Fiber Bundle Focusing Assembly, Fused Silica Aspheric, F/2.2, 4 mm Typical Spot Size) was also used. The irradiation was controlled using an electronic shutter (Electronic Shutter Driver, Oriel), a light intensity controller and a digital timer (Oriel, RS-232).

Purification of the three compounds previously obtained<sup>1</sup>. Using a flash chromatography column (eluent 5:1 dichloromethane–hexane  $\rightarrow 9:1$  dichloromethane–methanol), four fractions were isolated: **3** 

was obtained pure in the first fraction, **4** was recrystallized from ethanol from the second fraction, and **5** was recrystallized from acetonitrile from the third fraction. Fourth fraction was a mixture of **5** and an unknown compound, which was identified as the hemiacetal of **5** formed by reaction with traces of ethanol (see ESI<sup>‡</sup>).

1-(2,4-Dinitrophenyl)-1*H*-indazole (3): yellow crystals.  $M_{\rm p}$  = 172–173 °C. Lit. m.p. 172 °C.<sup>1</sup> <sup>1</sup>H-NMR (300 MHz, DMSO- $d_6$ )  $\delta$ ppm: 8.92 (H<sub>3</sub>' Ar, d, *J* = 2.5 Hz, 1H), 8.65 (H<sub>5</sub>' Ar, dd, *J* = 8.9 Hz, J = 2.5 Hz, 1H), 8.52 (H<sub>3</sub> indazole, bd, J = 0.9 Hz, 1H), 8.29 (H<sub>6'</sub> Ar, d, J = 8.9 Hz, 1H), 7.95 (H<sub>4</sub> indazole, dd, J = 8.1 Hz, J = 0.9 Hz, 1H), 7.82 (H<sub>7</sub> indazole, d, J = 8.1 Hz, 1H), 7.61 (H<sub>6</sub> indazole, dt, J = 8.1 Hz, J = 0.9 Hz, 1H, 7.38 (H<sub>5</sub> indazole, dt, J = 8.1 Hz, J =0.9 Hz, 1H). <sup>13</sup>C-NMR (75 MHz, DMSO- $d_6$ )  $\delta$  ppm: 145.2 C<sub>4'</sub>, 143.5 C1', 139.0 C3, 138.6 C2', 136.1 C7a, 128.7 C5', 128.5 C6, 126.2 C6', 125.3 C3a, 123.2 C5, 122.1 C4, 121.7 C3', 110.2 C7. LC/MS: positive ESI-MS:  $m/z = 285.20 [M + H]^+$  (100%); HPLC:  $t_R =$ 5.06 min (99%); eluent: gradient from 10% MeCN + 0.08% formic acid and 90% H<sub>2</sub>O + 0.1% formic acid to 100% MeCN + 0.08% formic acid; flow = 1 mL min<sup>-1</sup>;  $\lambda$  = 256 nm. Proper crystals of 3 for X-ray diffraction were obtained by recrystallization from EtOH with some drops of hexane.

2-(2,4-Dinitrophenyl)-2*H*-indazole (4): yellow solid.  $M_p$  = 152–154 °C. Lit. m.p. 158 °C.<sup>1</sup> <sup>1</sup>H-NMR (400 MHz, DMSO- $d_6$ )  $\delta$ ppm: 9.08 (H<sub>3</sub> indazole, bs, 1H), 8.93 (H<sub>3'</sub> Ar, d, J = 2.4 Hz, 1H), 8.69 (H<sub>5'</sub> Ar, dd, J = 8.7 Hz, J = 2.4 Hz, 1H), 8.29 (H<sub>6'</sub> Ar, d, J = 8.7 Hz, 1H), 7.81 (H<sub>4</sub> indazole, d, I = 8.6 Hz, 1H), 7.64  $(H_7 \text{ indazole, } d, J = 8.6 \text{ Hz}, 1H), 7.35 (H_6 \text{ indazole, } t, J =$ 7.2 Hz, 1H), 7.15 (H<sub>5</sub> indazole, t, J = 7.2 Hz, 1H). <sup>13</sup>C-NMR (75 MHz, DMSO-*d*<sub>6</sub>) δ ppm: 150.8 C<sub>7a</sub>, 147.2 C<sub>4'</sub>, 144.6 C<sub>2'</sub>, 137.3  $C_{1'}$ , 128.8.  $C_6$ , 128.7  $C_{6'}$ , 128.5  $C_3$ ,  $C_{5'}$ , 126.2  $C_{6'}$ , 123.7  $C_{3'}$ , 123.2 C<sub>3a</sub>, 122.0 C<sub>5</sub>, 121.8 C<sub>4</sub>, 118.2 C<sub>7</sub>. LC/MS: positive ESI-MS: *m*/*z* = 285.20  $[M + H]^+$  (100%); HPLC:  $t_R = 4.90 \text{ min (90%)}$ ; eluent: gradient from 10% MeCN + 0.08% formic acid and 90%  $H_2O + 0.1\%$  formic acid to 100% MeCN + 0.08% formic acid; flow = 1 mL min<sup>-1</sup>;  $\lambda$  = 256 nm. Proper crystals of 4 for X-ray diffraction were obtained by recrystallization from dichloromethane with some drops of hexane.

2-(1*N*-Oxide-6-nitro-2*H*-benzotriazol-2-yl)-benzaldehyde (5): light yellow powder.  $M_{\rm p} = 212-214$  °C. Lit. m.p. 206 °C.<sup>1</sup> <sup>1</sup>H-NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  ppm: 9.93 (CHO, s, 1H); 8.85 (H<sub>7</sub>, d, J = 2.0, 1H), 8.31 (H<sub>5</sub>, q, J = 9.4, J = 2.0 Hz, 1H), 8.14 (H<sub>3</sub>', q, J =6.6, J = 2.3, 1H), 7.94 (H<sub>4</sub>, d, J = 9.4 Hz, 1H), 7.9 (H<sub>4</sub>' and H<sub>5</sub>', septuplet), 7.74 (H<sub>6</sub>', q, J = 7.0, J = 2.0 Hz, 1H). <sup>13</sup>C-NMR (75 MHz, DMSO- $d_6$ )  $\delta$  ppm: 190.2 CHO, 145.2 C<sub>6</sub>, 142.0 C<sub>3a</sub>, 135.0 C<sub>5</sub>', 132.7 C<sub>4</sub>', 132.4 C<sub>1</sub>', 131.2 C<sub>2</sub>', 123.4 C<sub>6</sub>', 128.7 C<sub>5</sub>, 124.1 C<sub>7a</sub>, 123.4 C<sub>6</sub>', 131.0 C<sub>3</sub>', 121.1 C<sub>4</sub>, 112.6 C<sub>7</sub>. LC/MS: positive ESI-MS: m/z = 284.94 [M + H]<sup>+</sup> (100%); HPLC:  $t_{\rm R} = 4.28$  min (99%); eluent: gradient from 10% MeCN + 0.08% formic acid and 90% H<sub>2</sub>O + 0.1% formic acid to 100% MeCN + 0.08% formic acid; flow = 1 mL min<sup>-1</sup>;  $\lambda = 256$  nm. Proper crystals of 5 for X-ray diffraction were obtained by recrystallization from EtOH-water 5:1 with some drops of hexane.

*Thermal isomerization experiments of 2-(2,4-dinitrophenyl)-2H-indazole (4).* Neutral conditions: a solution of 2-(2,4-dinitrophenyl)-2*H*indazole (6 mg, 0.02 mmol) in xylene (10 mL) was refluxed for 22 h. The solvent was evaporated at reduced pressure yielding a light yellow solid. LC-MS retention time 4.88 min  $[M + H]^+ = 285$ . Acid conditions: to a solution of 2-(2,4-dinitrophenyl)-2*H*-indazole (6 mg, 0.02 mmol) in trifluoroacetic acid (10 mL) two drops of H<sub>2</sub>SO<sub>4</sub> 100% were added. The reaction mixture was refluxed for 22 h. The solvent was evaporated at reduced pressure yielding light yellow solid. LC-MS retention time 4.86 min  $[M + H]^+ = 285$ ; 2-(2,4-dinitrophenyl)-2*H*-indazole (6 mg, 0.02 mmol), H<sub>2</sub>SO<sub>4</sub> 100% (two drops) in TFA (10 mL) were heated at 100 °C for 7 h in a microwave reactor. The mixture was evaporated at reduced pressure yielding a light yellow solid. LC-MS retention time 4.84 min  $[M + H]^+ = 285$ . Compound 5 has a LC-MS retention time of 4.12 min  $[M + H]^+ = 285$ .

Preparation of compounds 3 and 4. A solution of indazole (500 mg, 4.2 mmol, 1 equiv.) and 1-fluoro-2,4-dinitrobenzene (530  $\mu$ L, 4.2 mmol, 1 equiv.) in EtOH (15 mL) was heated at reflux for 19 h in an actinic amber round-bottom flask protected from light. Afterwards, the yellow solid formed was filtered and washed with cold EtOH several times. Solvent was removed from the liquid layer, obtaining an oily brown residue. The solid and the oily residue were dried under vacuum. Solid (832 mg, 69% yield) was identified by TLC and LC/MS as a mixture (68:32) of 2-(2,4-dinitrophenyl)-2*H*-indazole and 1-(2,4-dinitrophenyl)-1*H*-indazole, respectively. In the oily residue were found unreacted indazole and two subproducts 2,4-dinitro-1-ethoxybenzene and an unknown one. No traces of 5 were found (<sup>1</sup>H NMR and LC/MS).

Photoisomerization of 2-(2,4-dinitrophenyl)-2H-indazole (4). A solution of 2-(2,4-dinitrophenyl)-2H-indazole  $(10^{-4} \text{ M})$  in EtOH was placed in a quartz cell and bubbled with Ar for 40 min before irradiation. Then, the solution was irradiated from 260 to 320 nm for 2 h using a mercury (Xe) lamp (400 W). An analogous experiment was performed by the irradiation of the solution, this time, in the presence of air. The reactions were monitored by HPLC-MS.

**Crystallographic study.** The structures display no noticeable modifications in crystal packing at different temperatures. Compounds **3** and **4** are formed by chains parallel to the *bc* plane while compound **5** is formed by chains parallel to the *ab* plane. Molecules forming the layers are linked by H-bonds. There are weak intramolecular interactions. For compound **4**, the structure at room temperature does not present changes with that derived from low temperature data, except for the higher structure expansion due to the higher atomic displacements.

Details of crystal structure determinations including crystal data, data collection, structure determination and refinement parameters are shown in Table 5. X-ray diffraction measurements were performed at room temperature for 4 (298.0 K) and 5 (293 K) and at low temperature (120 K) for compounds 3 and 4, with mirror-monochromated CuK $\alpha$  radiation ( $\lambda = 1.5418$  Å). Images were collected at 55 mm fixed crystal-detector distance, using the oscillation method with variable exposure time per image. The structures were solved using direct methods and subsequent Fourier differences using in all cases the WingX

Table 5 Crystal data and structure refinement for compounds 3, 4 and 5

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Compound	3 (120.0 K)	4 (120.0 K)	4 (298.0 K)	5 (293.0 K)
Formula Formula weight/g	$C_{13}H_8N_4O_4$ 284.23	$C_{13}H_8N_4O_4$ 284.23	$C_{13}H_8N_4O_4$ 284.23	$C_{13}H_8N_4O_4$ 284.23
mol <sup>-1</sup>				
Wavelength	$CuK\alpha$ (1.5418 A)	$CuK\alpha$ (1.5418 A)	$CuK\alpha$ (1.5418 A)	$CuK\alpha$ (1.5418 A)
Crystal system				
space group $a(h)$	$PZ_1Z_1Z_1$	$PZ_1/C$	$P2_1/c$	$P2_1/c$
$u(\mathbf{A})$ $h(\mathbf{A})$	7.0439(2)	7.0730(5)	7.2107(6)	15.0323(6) 15.2058(15)
$D(\mathbf{A})$	11.0402(5) 15.4551(4)	24.0640(10) 14.2280(10)	24.0033(17) 14.4077(11)	13.3938(13) 6 1562(2)
$\mathcal{L}(\mathbf{A})$	00.00	00.00	14.407/(11)	0.1303(3)
α () β (°)	90.00	100.633(7)	100.334(17)	90 558(5)
μ(°)	90.00	90.00	90.00	90
Cell volume/ $Å^3$	1203 1(2)	2399 0(3)	2459 4(3)	1218 09(14)
Z.	4	8	8	4
Calc. density/mg m <sup><math>-3</math></sup>	1.569	1.574	1.535	1.550
Absorption	1.025	1.028	1.003	1.012
coefficient/mm <sup>-1</sup>	11020	1020	1000	1012
F(000)	584.0	1168.0	1168.0	584.0
Crystal size (mm <sup>3</sup> )	$0.14 \times 0.08 \times 0.06$	$0.25 \times 0.05 \times 0.01$	0.28  imes 0.02  imes 0.01	$0.20 \times 0.10 \times 0.03$
Index ranges	-8 < h < 8, -11 < k < 13.	-7 < h < 8, -29 < k < 28.	-8 < h < 7, -23 < k < 29.	-15 < h < 14, -18 < k < 18.
0	-15 < l < 18	-15 < l < 17	-17 < l < 12	-7 < l < 7
$2\Theta$ range for data	9.84 to 140.82	7.28 to $141.34^{\circ}$	7.24 to 141.42	6.88 to 141.62
collection (°)				
Reflections collected	4652	16197	8843	13 222
Independent	$2258 [R_{int} = 0.0311]$	$4554 \left[ R_{\text{int}} = 0.0795 \right]$	$4556 \left[ R_{\text{int}} = 0.0663 \right]$	$2317 [R_{int} = 0.0506]$
reflections				
Completeness	$0.998 \ (\theta = 68.00^{\circ})$	$1.000 \ (\theta = 68.00^{\circ})$	$0.986 \ (\theta = 68.00^{\circ})$	$0.989 \ (\theta = 70.81^{\circ})$
Absorption	Analytical	Analytical	Analytical	Empirical
correction				
Max. and min.	1.00000 and 0.98138	0.987 and 0.873	1.0957 and 0.6170	1.00000 and 0.90640
transmission				
Refinement method	Full-matrix least-squares on	Full-matrix least-squares on	Full-matrix least-squares on	Full-matrix least-squares on
	$F^2$	$F^2$	$F^2$	$F^2$
Data/restraints/	2258/0/190	4554/0/379	4556/0/379	2317/0/190
parameters				
Goodness-of-fit on $F^2$	1.073	0.984	0.998	1.048
Final <i>R</i> indices	$R_1 = 0.0335, wR_2 = 0.0768$	$R_1 = 0.0469, wR_2 = 0.0934$	$R_1 = 0.0599, wR_2 = 0.1241$	$R_1 = 0.0439, wR_2 = 0.1028$
$[I > 2\sigma(I)]$				
<i>R</i> indices (all data)	$R_1 = 0.0383, wR_2 = 0.0796$	$R_1 = 0.0822, wR_2 = 0.1085$	$R_1 = 0.1325, wR_2 = 0.1709$	$R_1 = 0.0676, wR_2 = 0.1171$
Largest diff. peak	0.14 and $-0.22 \text{ e A}^{-3}$	$0.21 \text{ and } -0.20 \text{ e A}^{-3}$	0.19 and $-0.29 \text{ e A}^{-3}$	0.19 and $-0.25 \text{ e A}^{-3}$
and hole	0.2(2)			
Flack parameter	0.3(2)			

package and refined by full-matrix least-squares on  $F^2$ . Empirical absorption correction was applied using the program XABS2. All non-H atoms were anisotropically refined. Hydrogen atoms attached to carbon atoms were positioned geometrically with  $U_{\rm iso}$  values derived from  $U_{\rm eq}$  values of the corresponding carbon atom.

Crystallographic calculations were carried out using the following programs: CrysAlis CCD and  $\text{RED}^{28}$  for data collection, cell refinement, data reduction and empirical absorption correction; SIR 2004<sup>29</sup> for structure solution; SHELXL-97<sup>30</sup> for structure refinement and preparation of materials for publication; PLATON<sup>31</sup> for the geometrical calculations; ORTEP<sup>32</sup> and Mercury<sup>33</sup> for molecular graphics. Crystal data and structure refinement details for the three structures are given in Table 5. Selected bond lengths (Å) and bond angles (°), and hydrogen bonds are listed in Table 6 and 7 for both compounds.

CCDC 806879, 806880, 806881 and 923952, respectively.‡

All the calculations reported in this paper have been performed within Density Functional Theory,<sup>34</sup> using the hybrid three-parameter functional customarily denoted B3LYP.<sup>35</sup> The standard 6-31G(d) basis sets<sup>36</sup> as implemented in the *GAUSSIAN* 09<sup>37</sup> suite of programs have been used in all cases. Solvent effects were taken into account by optimizing geometries and computing energies using the PCM method with ethanol parameters.<sup>38</sup> All stationary points were characterized by harmonic analysis.<sup>39</sup> All transition structures exhibited one and only one imaginary frequency associated with nuclear motion along the reaction coordinate under study. For calculation of absolute shieldings, the B3LYP/6-31G(d) optimized geometries were further optimized at the B3LYP/6-311++G(d,p) level.<sup>40</sup> Absolute shieldings were calculated within the GIAO approximation.<sup>41</sup>

The reactivity of the model systems in the excited state has been carried out using the time-dependent DFT (TDDFT) B3LYP/6-31G(d), CASSCF(4,4)/6-31G(d) and CASSCF(4,4)-MP2/6-31G(d)//CASSCF(4,4)/6-31G(d) computational models within the *GAUSSIAN 09* program.

The bonding characteristics were analyzed by means of the atoms in molecules (AIM) theory.<sup>42,43</sup> For this purpose we have

 Table 6
 Selected bond lengths (Å) and bond angles (°) for 3, 4 and 5

 Table 7
 Hydrogen bonds for compounds 3, 4 and 5

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Table o Selecte	a bona lengtris (A)	anu bonu angles ( ) 101 3, 4		Table / Hydrogen bo	inus ior comp	Jourius <b>3</b> , <b>4</b> ari	u <b>3</b>	
Bond lengths (Å)		Bond angles (°)		D−H· · · A (Å)	d(D–H)/Å	d(H···A)/Å	$d(\mathbf{D}\cdot\cdot\cdot\mathbf{A})/\mathrm{\AA}$	$(D-H\cdot\cdot\cdot A)/^{\circ}$
3				3				
O3-N4	1.224(2)	N2-N1-C7	111.2(1)	$C6-H6\cdots O4^{a}$	0.95	2.53	3.237(3)	131
O1-N3	1.222(2)	N2-N1-C8	118.7(2)	$C12-H12\cdots O3^{a}$	0.95	2.51	3.262(3)	137
O4-N4	1.225(2)	O1-N3-O2	124.6(2)	C13-H13···O4 <sup><math>a</math></sup>	0.95	2.52	3.328(3)	142
N2-N1	1.381(2)	C1-N2-N1	105.5(2)	<i>.</i>				
N1-C7	1.386(2)	O3-N4-O4	124.1(2)	4 (120 K)				
N1-C8	1.395(2)	C10-C11-N4	118.3(2)	$C2B-H2B \cdot \cdot \cdot O4B^{\circ}$	0.95	2.46	3.2663(5)	143
N3-C9	1.472(2)	C12-C11-N4	119.3(2)	$C7B-H7B\cdots O2B^{c}$	0.95	2.48	3.394(3)	161
N2-C1	1.310(3)	N1-C8-C13	120.3(2)	C9B−H9B···O1A"	0.95	2.46	3.213(3)	135
N4-C11	1.465(2)	N1-C8-C9	121.8(2)	C9B−H9B···O2B	0.95	2.51	3.283(3)	139
		C10-C9-N3	115.8(2)	A (200 IV)				
		C8-C9-N3	121.7(2)	4 (298 K) C2B H2B 04B <sup>b</sup>	0.02	0.50	2,210(6)	145
4 000 V				$C2D - \Pi 2D \cdots O4D$	0.93	2.32	3.319(0)	145
4 298 K	1.010(4)	CID NID NOD	102 4(2)	$C/B-H/B\cdots O2B$	0.93	2.55	3.440(3)	101
O2A-N3A	1.219(4)	CIB-NIB-N2B	103.4(3)	$COP HOP OOP^{c}$	0.93	2.50	3.231(0)	130
02D-IN3D	1.221(3) 1.212(5)	NIA NOA COA	113.3(3) 110.2(2)	C9D-119DO2D	0.93	2.30	3.319(0)	139
OJR-N4R	1.212(3) 1.220(5)	C1A-N1A-N2A	119.2(3) 102.4(3)	5				
O4B-N4B	1.229(3) 1.212(5)	O1B-N3B-O2B	103.4(3) 125.2(3)	$G(A) = H(A) \dots O(A)^e$	0.93	2 57	3 251(3)	131
014_N34	1.212(3) 1.216(4)	C7B-N2B-N1B	123.2(3) 113.2(3)	$C(1) - H(1) - O(1)^{f}$	0.93	2.57	3 396(3)	148
OIR N3R	1.210(4) 1.211(4)	O3B-N/B-O/B	113.2(3) 123.8(4)	0(11) 11(11) 0(1)	0.95	2.57	3.330(3)	140
N1B-C1B	1.211(4) 1.341(5)	03A-N4A-04A	123.0(4) 123.7(4)	Symmetry codes: <sup>a</sup> 1	-x, -1/2 +	$y, -1/2 - z.^{b}$	x, 1/2 - y, -	$1/2 + z.^{c} x, 1/2$
N1B-N2B	1.373(4)	O1A-N3A-O2A	125.7(1) 125.5(4)	$2 - y, 1/2 + z.^{d} x, y,$	z. $e^{e} 1 + x, j$	$v, 1 + z.^{f} 1 - $	x, -y, -z.	
N2A-C7A	1.375(4) 1.356(5)		125.5(4)					
N2A-N1A	1.367(4)							
N2A-C8A	1.409(5)							(5, (5))
O4A-N4A	1.220(5)			located the most	relevant	bond criti	cal points	(BCP), and
N1A-C1A	1.351(5)			evaluated the elec	tron dens	ity at each (	of them, wi	th the facil-
N3B-C13B	1.469(5)			ities of AIMAll pro	orams 44	All the inter	actions wer	e character-
N2B-C7B	1.349(5)			ind hat the messee	si af a DC	D h at was a d	h a atama i	e character
N2B-C8B	1.410(5)			ized by the presen	ce of a BC	P between i	the atoms in	ivolved that
N4B-C11B	1.470(6)			are connected by	the corres	ponding bo	nd paths.	
N4A-O3A	1.212(5)							
N4A-C11A	1.459(6)							
N3A-C13A	1.482(5)			Acknowledg	ements			
4 120 K				This work was su	ported by	the Spanis	h Ministeri	o de Econo-
O2A-N3A	1.230(3)	C1B-N1B-N2B	103.0(2)	mía v Compotitivi	ded (MAT	2010 15004	Eastoria d	o Cristolizo
O3A-N4A	1.222(3)	C7A-N2A-N1A	114.0(2)	inia y Competitivi		2010-15094		e Chistanza-
O4B-N4B	1.227(3)	N1A-N2A-C8A	119.4(2)	cion – Consolider	Ingenio 2	010) and FI	EDER fundi	ng. We also
O3B-N4B	1.225(3)	C1A-N1A-N2A	103.2(2)	thank the Ministe	rio de Ciei	ncia e Innov	ación (Proj	ect No. CTQ
O1A-N3A	1.214(3)	O1B-N3B-O2B	126.0(2)	2009-13129-002-0	) and the	Comunidad	d Autónoma	a de Madrid
O1B-N3B	1.218(3)	C7B-N2B-N1B	114.0(2)	(D : + ) (ADDI(		Comunidad		
N1B-C1B	1.347(3)	O3B-N4B-O4B	124.0(2)	(Project MADRISC	DLAR2, rei	t. S2009/PP	Q-1533) for	continuing
N1B-N2B	1.370(3)	O3A-N4A-O4A	124.5(2)	support. Thanks a	re given to	o the CTI (CS	SIC) for an a	allocation of
N2A-C7A	1.362(3)	O1A-N3A-O2A	125.2(2)	computer time. F	. P. C. tha	anks the Sp	anish MICI	NN (Grants
N2A-N1A	1.369(3)			CTO2010 16050 a	nd Inconi	o Concolida	* CSD2007	00006) and
N2A-C8A	1.409(3)			C1Q2010-10959 a	nu mgem	0-Consonae	1 CSD2007-	-00006) and
O4A-N4A	1.228(3)			the Basque Gover	nment (GV	V-EJ, Grant	IT-324-07) f	or financial
NIA-CIA	1.350(3)			support. Technica	al and hu	man suppo	ort provided	l by SGIker
N3B-CI3B	1.477(3) 1.252(2)			(UDV/FHU MICIN	IN GV/FI	FSF) is on	ratefully acl	znowledged
N2B-C2B	1.333(3)			Den the Linetie de	····; ····;	. 1.01) 13 gi		. i i
N4B_C11B	1.410(3) 1.461(3)			For the kinetic da	ita discuss	ion and the	e photochen	nical experi-
N4D-C11D	1.401(3) 1.468(3)			ments and advice	e we warn	nly thank D	Drs José Ign	acio García
N3A_C13A	1.400(3) 1.482(3)			(Universidad de Z	aragoza). I	Ilises Acuña	) (Instituto d	de Ouímica-
NJA CIJA	1.402(3)			Tísico (Desession		Domondo (	latalina and	αο Quiiinea   τουί τόπο=
5				risica Kocasolan	, USIC),	remando C		i Levi Lopez
N4-O3	1,217(3)	O3-N4-O4	123.7(2)	Vilanova (Instituto	de Cienci	a y Tecnolog	gia de Polím	ieros, CSIC).
N4-O4	1.227(3)	O3-N4-C10	118.6(2)					
N4-C10	1.469(3)	Q4-N4-C10	117.7(2)					
N2-N1	1.361(2)	C8-N2-N1	104.9(1)	Notes and re	terence	es		
N2-C8	1.360(2)	O2-N2-N1	123.7(1)					
N2-O2	1.262(2)	O2-N2-C8	131.4(1)	1 J. Elguero, A. J	Fruchier a	nd R. Jacou	ier. Bull. So	c. Chim. Fr.
N1-C7	1.421(2)	N2-N1-C7	122.4(1)	1067 0010		Juoqu	,	
N1-N3	1.339(2)	N3-N1-N2	114.1(1)	1907, 2019.		1.5		<i>a</i> 1 '
C2-C1	1.474(3)	N3-N1-C7	123.4(1)	2 J. Elguero, A. I	ruchier a	nd R. Jacqu	ier, Bull. So	c. Chim. Fr.,
O1-C1	1.202(3)	O1-C1-C2	125.8(2)	1968, 3331.				

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