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# Nucleophilic Addition to $\pi$ -Allyl Gold(III) Complexes: Evidence for Direct and Undirect Paths

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**ABSTRACT:**  $\pi$ -Allyl complexes play a prominent role in organometallic chemistry and have attracted considerable attention, in particular the  $\pi$ -allyl Pd(II) complexes which are key intermediates in the Tsuji–Trost allylic substitution reaction. Despite the huge interest in  $\pi$ -complexes of gold,  $\pi$ allyl Au(III) complexes were only authenticated very recently. Herein, we report the reactivity of (P,C)-cyclometalated Au(III)  $\pi$ -allyl complexes toward  $\beta$ -diketo enolates. Behind an apparently trivial outcome, *i.e.* the formation of the corresponding allylation products, meticulous NMR studies combined with DFT calculations revealed a complex and rich mechanistic picture. Nucleophilic attack can occur at the central and terminal positions



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of the  $\pi$ -allyl as well as the metal itself. All paths are observed and are actually competitive, whereas addition to the terminal positions largely prevails for Pd(II). Auracyclobutanes and  $\pi$ -alkene Au(I) complexes were authenticated spectroscopically and crystallographically, and Au(III)  $\sigma$ -allyl complexes were unambiguously characterized by multinuclear NMR spectroscopy. Nucleophilic additions to the central position of the  $\pi$ -allyl and to gold are reversible. Over time, the auracyclobutanes and the Au(III)  $\sigma$ -allyl complexes evolve into the  $\pi$ -alkene Au(I) complexes and release the C-allylation products. The relevance of auracyclobutanes in gold-mediated cyclopropanation was demonstrated by inducing C–C coupling with iodine. The molecular orbitals of the  $\pi$ -allyl Au(III) complexes were analyzed in-depth, and the reaction profiles for the addition of  $\beta$ -diketo enolates were thoroughly studied by DFT. Special attention was devoted to the regioselectivity of the nucleophilic attack, but C–C coupling to give the allylation products was also considered to give a complete picture of the reaction progress.

# INTRODUCTION

 $\pi$ -Allyl transition metal complexes are prominent compounds in organometallic chemistry. They are key intermediates in several major transformations, in the first place the Pdcatalyzed allylic substitution (the Tsuji–Trost reaction).<sup>1</sup> As a result, a number of fundamental studies have been performed on the structure and reactivity of  $\pi$ -allyl complexes, with palladium in particular. Accordingly, their  $\eta^1/\eta^3$  coordination isomerism as well as the mechanisms of nucleophilic addition to the  $\pi$ -coordinated allyl ligands are nowadays classics in organometallic chemistry textbooks.<sup>2</sup> Most common is the outer-sphere attack at the terminal position of the  $\pi$ -allyl (Figure 1, path 1), leading to  $\pi$ -alkene complexes and ultimately to allylation products. Numerous and thorough studies have been carried out to decipher the underlying factors, to rationalize the observed products, and to enhance synthetic performance. In particular regio- and stereoselectivity have attracted considerable attention when dealing with unsymmetrical  $\pi$ -allyl Pd(II) complexes and chiral ligands. A recognized alternative scenario is the attack at the metal itself (path 2), leading to  $\sigma$ -allyl complexes that can then evolve further by reductive elimination to release the allylation products. Although this inner-sphere path has not been



Figure 1. Possible reactive sites for the addition of nucleophiles to  $\pi$ -allyl complexes.

observed directly with palladium to our knowledge, its involvement is commonly inferred from the stereochemical outcome of the allylic substitution (retention/inversion of

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configuration of the allylic substrates depending on the hard/ soft nature of the nucleophile).<sup>2</sup> In addition, computations have found the inner-sphere path as the lowest energy mechanism in some allylic substitutions.<sup>3</sup> A third possibility for the nucleophile, which remains a chemical curiosity, consists in the outer-sphere attack at the central position of the  $\pi$ -allyl (path 3), leading to a metallacyclobutane derivative. This path was first authenticated in 1977 by Green et al. in the reaction of cationic Cp<sub>2</sub>M( $\pi$ -allyl) complexes (M = Mo, W) with hydrides and strong carbon nucleophiles.<sup>4</sup> Later, it was also observed by Bergmann and Stryker from the reaction of  $Cp^{*}(L)M(\pi\text{-allyl})$  complexes (M = Ir, Rh) with enolates.<sup>5</sup> It is extremely scarce in palladium chemistry where nucleophilic attack at the terminal carbon atoms very much prevails, but the formation of palladacyclobutanes was found to be favored in a few specific systems.<sup>6</sup> Of note, the metallacyclobutanes may evolve to give cyclopropane derivatives, as substantiated occasionally with Ir, Rh and Pd. 5c,6d

The advent of gold-catalyzed  $\pi$ -activation has stimulated huge interest for gold  $\pi$ -complexes over the past three decades.<sup>7</sup> Nevertheless, it was not until 2020 that  $\pi$ -allyl Au(III) complexes were isolated and well characterized. Two parallel studies were carried out by the Tilset group<sup>8</sup> and our group<sup>9</sup> on complexes 1 and 2/2', respectively (Figure 2).<sup>10</sup>



**Figure 2.** First  $\pi$ -allyl Au(III) complexes authenticated.

The  $\pi$ -allyl ligand is asymmetrically coordinated and highly fluxional in the (N,C)-cyclometalated complex **1**. In contrast, it is quasi-symmetrically coordinated, rigid and highly delocalized in the (P,C)-cyclometalated complexes **2** and **2**'.

Taking advantage of the availability and stability of complexes 2 and 2' (no sign of degradation detected after days of storage at room temperature under air), their reactivity toward nucleophiles was assessed.<sup>9</sup>  $\pi$ -Coordination of the allyl moiety to Au(III) was found to mediate nucleophilic additions of  $\beta$ -diketo enolates 3a,b to give the corresponding C-C coupling products 4/4'a,b (Scheme 1). The close analogy with related Pd chemistry prompted us to carry out detailed mechanistic studies on these reactions. As reported hereafter, behind an apparently trivial outcome, meticulous NMR studies combined with DFT calculations revealed a complex and rich mechanistic picture for the allylation of  $\beta$ -diketo enolates mediated by Au(III). Au(III) metallacyclobutane complexes 6,  $\pi$ -alkene Au(I) complexes 7, and Au(III)  $\sigma$ -allyl complexes 8 were authenticated, providing direct evidence for nucleophilic addition of  $\beta$ -diketo enolates to all three reactive sites of the  $\pi$ allyl Au(III) complexes, i.e. the central and terminal carbon atoms (outer-sphere paths) as well as gold itself (inner-sphere path). The formation of Au(III) metallacyclobutane complexes 6 and Au(III)  $\sigma$ -allyl complexes 8 proved reversible, evolving over time to the  $\pi$ -alkene Au(I) complexes 7 and ultimately to the C-allylation products 4. The electronic structure of the  $\pi$ allyl Au(III) complexes 2 and 2' has been analyzed in-depth and compared to that of an analogous Pd complex, providing rationale for the existence of three competitive sites for the

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Scheme 1. Reactions of the (P,C)-Cyclometalated  $\pi$ -Allyl Au(III) Complexes 2/2' with  $\beta$ -Diketo Enolates 3a,b Studied in This Work, with the Three Possible Complexes 6–8 Originating from Nucleophilic Attack at the Central or Terminal Position of the  $\pi$ -Allyl or at Gold



addition of nucleophiles in the case of gold. The reaction profiles for the addition of  $\beta$ -diketo enolates have also been computed by DFT, giving more insight into the factors dictating the favored reaction sites and the progress of the reaction. In addition, the relevance of Au(III) metallacyclobutanes in gold-mediated cyclopropanation has been demonstrated by inducing C–C coupling from 6 before it evolves to the  $\pi$ -alkene complex 7.

#### RESULTS AND DISCUSSION

Part I. Mechanistic Investigations of Nucleophilic Additions to  $\pi$ -Allyl Complexes 2 and 2' by NMR Spectroscopy. With the aim to detect some intermediates on the way to the C-allylation products 4/4'a,b, the reactions of complexes 2 and 2' with the  $\beta$ -diketo enolates 3a,b were monitored by <sup>31</sup>P and <sup>1</sup>H NMR spectroscopy right after mixing. Among the four studied reactions, 2 + 3b and 2' + 3aled to intricate mixtures difficult to decipher (*vide infra*). The reactions 2 + 3a and 2' + 3b were more clear-cut. In both cases, we observed essentially one type of intermediate gold complex that we could isolate and identify. Note that, after 4– 22 h at room temperature, all four coupling reactions lead to the C-allylation products (4/4'a,b, 71–99% yield) along with the Au(I) dimer 5.<sup>9</sup>

Reaction 2 + 3a: Reversible Formation of an Auracyclobutane. Treatment of the  $\pi$ -allyl Au(III) complex 2 with a stoichiometric amount of sodium diethylmalonate 3a instantaneously led to the formation of one major product (85% spectroscopic yield). After workup, this compound was isolated as a yellowish solid in 52% yield. The new complex was unambiguously authenticated by multinuclear NMR spectroscopy as the auracyclobutane complex 6a, resulting from nucleophilic attack at the central position of the  $\pi$ -allyl moiety (Scheme 2, top). The <sup>31</sup>P NMR signal of **6a** is found at  $\delta$  62.3 ppm, upfield by 26 ppm compared to that of 2. Formation of the auracyclobutane is apparent from the large shielding of the H<sub>a</sub> ( $\delta$  0.76 and 1.50), H<sub>c</sub> ( $\delta$  0.14 and 1.25), C<sub>a</sub>  $(\delta$  4.2,  $J_{PC}$  95.7 Hz) and C<sub>c</sub>  $(\delta$  – 14.5,  $J_{PC}$  5.7 Hz) resonances (Figure 3, top).<sup>5a,c,11</sup> The very good match of the experimental data for **6a** (<sup>1</sup>H, <sup>13</sup>C chemical shifts,  $J_{PC}$  coupling constants) with those obtained computationally (Table S3)<sup>12</sup> corroboScheme 2. Reactivity of the  $\pi$ -Allyl Au(III) Complex 2 with Enolate 3a, Reversibility of the Nucleophilic Attack at the Central Position of the  $\pi$ -Allyl



**Figure 3.** Diagnostic <sup>13</sup>C NMR resonances for the allyl fragment in the  $\pi$ -allyl complexes 2/2', auracyclobutane **6a** and  $\pi$ -alkene complex 7'b (data in d<sub>8</sub>-THF, chemical shifts in ppm, coupling constants in Hz).

rates our structural assignment. Note that we are aware of only one example of an auracyclobutane which was reported by Dinger and Henderson in 1999.<sup>13</sup> It was prepared by a completely different route, *i.e.* reaction of a cyclometalated (N,C)Au(III)Cl<sub>2</sub> complex with Ag<sub>2</sub>O and tetracyanocyclopropane. Unfortunately, the presence of four cyano substituents on the carbon atoms bonded to gold prevents comparison of its NMR pattern with that of complex **6a**.

The auracyclobutane **6a** is quite unstable and evolves over time to form the Au(I) dimer **5** and release the coupling product **4a** (22 h, 71% yield). This transformation most likely involves the  $\pi$ -alkene complex **7a** as a key unobserved intermediate (Scheme 2, bottom). We surmise that nucleophilic addition to the central carbon atom of the  $\pi$ -allyl ligand is kinetically favored and reversible. Accordingly, the metallacyclobutane **6a** would be initially formed and then evolve over time toward the  $\pi$ -alkene complex **7a** by nucleophilic attack of the malonate **3a** at the terminal positions of the  $\pi$ allyl complex **2**. Unfortunately, we were not able to obtain crystals of **6a** suitable for X-ray diffraction analysis. In order to impart higher crystallinity, we changed the substituents at phosphorus from isopropyl to cyclohexyl. The corresponding  $\pi$ -allyl Au(III) complex **2-Cy** was reacted with diethyl malonate **3a** (Scheme 3, top).<sup>12</sup> The reaction worked well and cleanly afforded the

Scheme 3. Formation of Auracyclobutanes 6a,c-Cy<sup>a</sup>



<sup>a</sup>Molecular structure of the Au(III) auracyclobutane **6c-Cy** (hydrogen atoms omitted for clarity, ellipsoids shown at 50% probability). Selected bond lengths (Å) and angles (deg): Au–C25 2.086(7), Au–C23 2.111(7), C24–C25 1.543(9), C23–C24 1.555(9), C24–C26 1.524(9), C23–Au–C25 68.5(3), C1–Au–P 82.98(16), C23–C24–C25 99.4(5).

corresponding auracyclobutane 6a-Cy. Attempts to crystallize this complex remained unsuccessful; only the ensuing gold(I) dimer 5-Cy could be analyzed by X-ray diffraction analysis (CCDC-2079647).<sup>12</sup> Gratifyingly, reacting di-tert-butyl malonate 3c with 2-Cy turned out to cleanly afford the auracyclobutane 6c-Cy and crystals suitable for X-ray diffraction analysis (CCDC-2079648) could be obtained in this case by layering a fluorobenzene solution of 6c-Cy with pentane at -25 °C (Scheme 3, bottom).<sup>12</sup> The gold center is in a square planar environment, as expected for a Au(III) complex. The C1-Au-P chelating angle of 82.98(16)° deviates slightly from the ideal 90° value, whereas the C23-Au-C25 angle within the four-membered ring is strongly bent at  $68.5(3)^{\circ}$ . The auracyclobutane ring adopts a quasi-planar diamond shaped geometry [the C23AuC25 and C23C24C25 planes are folded by only 5.1(9)°]. The C23-C24 and C24-C25 bonds [1.555(9) and 1.543(9) Å, respectively] are much longer than in the Au(III)  $\pi$ -allyl complexes 2 and 2' (1.38–  $1.42 \text{ Å})^9$  and are now clearly single bonds. The newly formed C24-C26 bond is also typical for a  $C(sp^3)-C(sp^3)$  bond at 1.524(9) Å. We found only one precedent for a crystallographically characterized metallacyclobutane featuring a malonate substituent.<sup>5g</sup> It is an Ir(III) species deriving from a  $\pi$ -cinnamyl (PhCHCHCH<sub>2</sub>) complex. The four-membered ring is also quasi planar, and the C-C bond formed by nucleophilic attack at the central position is similar at 1.558(6) Å. The formation of **6a,c-Cy** shows that nucleophilic addition to the central position of the  $\pi$ -allyl is not limited to the reaction 2 + 3a and is in fact quite favored for Au(III)  $\pi$ -allyl complexes.

Reaction 2' + 3b: Formation of a (P,C)-Cyclometalated Au(I)  $\pi$ -Alkene Complex. NMR monitoring of the reaction between the  $\pi$ -methallyl Au(III) complex 2' and the  $\beta$ -diketo enolate 3b (Scheme 4) also showed the instantaneous formation of one major complex (82% spectroscopic yield). Scheme 4. Reactivity of  $\pi$ -Allyl Au(III) Complexes 2'/2'-Cy with  $\beta$ -Diketo Enolate 3b



Upon workup, this product was isolated in 56% yield. It shows a <sup>31</sup>P NMR signal at  $\delta$  58.1 ppm, very close to that of the auracyclobutane complex 6a. However, the <sup>1</sup>H and <sup>13</sup>C NMR signatures for the two species are markedly different, suggesting that nucleophilic attack did not occur at the central position of the  $\pi$ -allyl to give **6'b** in this case. Complete NMR characterization at -30 °C enabled assignment of all signals unequivocally.<sup>12</sup> Most diagnostic is the shielding of the C<sub>2</sub> and  $C_c$  resonances with respect to the  $\pi$ -allyl precursor 2' (Figure 3, bottom), but to a much lesser extent than that observed for the auracyclobutane 6a. These data made us envision the formation of the  $\pi$ -alkene complex 7'b by nucleophilic attack at the terminal positions of the  $\pi$ -methallyl. To confirm this hypothesis, the NMR data for 7'b were computed and a very good agreement was found with those determined experimentally (Table S7).<sup>12</sup> Complex 7'b is unstable and leads with time to the formation of the C-allylation product 4'b together with the Au(I) dimer 5 (20 h, 99% yield).

Since crystals of 7'b suitable for X-ray diffraction analysis could not be obtained, we again turned to the PCy<sub>2</sub>-substituted system. The corresponding  $\pi$ -methallyl complex 2'-Cy reacted similarly with enolate 3b. The ensuing  $\pi$ -alkene complex 7'b-Cy was obtained in >98% spectroscopic yield and fully characterized.<sup>12</sup> Gratifyingly, crystals of suitable quality could be grown in this case (CCDC-2079649) by layering pentane over a diethyl ether solution of 7'b-Cy at 6 °C (Figure 4). Accordingly, the gold center is in a trigonal planar environment. The alkene is  $\eta^2$ -coordinated to gold with almost identical Au-C bond lengths [Au-C23 2.144(5) and Au-C24 2.158(4) Å]. Of note, the alkene sits in the coordination plane of gold [the PAuC1 and C23AuC24 planes are tilted by only  $6.6(4)^{\circ}$ ], despite the associated steric shielding. This suggests significant Au  $\rightarrow \pi^*(C=C)$  backdonation, as also apparent from the noticeable elongation of the C=C bond (1.420(9) Å versus 1.339 Å in the free alkene). Gold(I)  $\pi$ complexes are presumed to be key intermediates in a number of catalytic transformations and have therefore attracted considerable attention. Among stable  $\pi$ -alkene Au(I) complexes, compounds 7'b and 7'b-Cy represent rare examples of neutral species. They are tricoordinate, but do not involve



**Figure 4.** Molecular structure of the  $\pi$ -alkene Au(I) complex 7'b-Cy (hydrogen atoms omitted for clarity, ellipsoids shown at 50% probability). Selected bond lengths (Å) and angles (deg): Au-C23 2.144(5), Au-C24 2.158(4), C23-C24 1.420(9), P-Au-C23 122.9(2), P-Au-C24 160.6(2), C1-Au-C23 152.6(2), C1-Au-C24 114.1(2), P-Au-C1 84.3(1).

chelating (N,N) or (P,N) ligands as is the case for known examples.<sup>7e</sup> In fact, compounds 7'b and 7'b-Cy represent the first cyclometalated  $\pi$ -alkene Au(I) complexes.

Of note, complete NMR characterization of 7'b-Cy in solution at -20 °C revealed the presence of two isomers, referred to as *trans*-7'b-Cy and *cis*-7'b-Cy (Figure 5, top), in a



**Figure 5.** Trans and cis isomers of the  $\pi$ -alkene Au(I) complex 7'b-Cy and  ${}^{31}P{-}^{31}P$  EXSY spectrum (202.45 MHz, CD<sub>2</sub>Cl<sub>2</sub>, -10 °C, mixing time 250 ms) substantiating their interconversion.

10/1 ratio. The major form corresponds to that observed in the solid state, with the CH<sub>2</sub> end *cis* to the PCy<sub>2</sub> group. The <sup>13</sup>C olefinic resonances of 7'b-Cy are significantly shielded compared to those of the free alkene 4'b, further supporting strong Au  $\rightarrow \pi^*(C=C)$  backdonation and considerable metallacyclopropane character in 7'b-Cy. At 25 °C, the <sup>1</sup>H NMR resonances of *trans*-7'b-Cy and *cis*-7'b-Cy are significantly broadened, suggesting fluxional behavior and/or interconversion of the two isomers on the NMR time scale. This was further supported by <sup>31</sup>P-<sup>31</sup>P EXSY experiments where exchange between *trans*-7'b-Cy and *cis*-7'b-Cy was observed at -10 °C (Figure 5, bottom).<sup>12</sup>

Back to Reactions 2 + 3b and 2' + 3a: Nucleophilic Attack at the Allyl Moiety versus Gold. Having unambiguously identified auracyclobutanes and  $\pi$ -alkene Au(I) complexes as intermediates in the previous reactions, we tried to take advantage of this knowledge to decipher the complex mixtures obtained in the two other reactions 2 + 3b and 2' + 3a. Mixing 2 and 3b at room temperature immediately followed by cooling to -20 °C provided a reaction mixture much easier to analyze (Scheme 5). It contains two analogous

# Scheme 5. Reactivity of the $\pi$ -Allyl Au(III) Complex 2 with $\beta$ -Diketo Enolate 3b



species identified as the *trans* and *cis* forms of the  $\pi$ -alkene Au(I) complex 7b (10/3 ratio). At room temperature, these compounds rapidly evolve to release the C-allylation product 4b (4 h, 96% yield).

Finally, the reaction 2' + 3a was also reinvestigated by cooling the reaction mixture immediately after mixing. This proved to be the most complex but also the most interesting case (Scheme 6). Initially, two species were observed in a 3/2 ratio. The minor one was authenticated as the auracyclobutane **6'a** based on its very diagnostic shielded AuCH<sub>2</sub> resonances (C<sub>a</sub>  $\delta$  15.7  $J_{PC}$  93.7 Hz, C<sub>c</sub>  $\delta$  -2.8  $J_{PC}$  5.3 Hz). The major species proved to be the  $\sigma$ -allyl Au(III) complex **8'a**. Its structure was unambiguously ascertained by multinuclear

# Scheme 6. Reactivity of the $\pi$ -Allyl Au(III) Complex 2' with Enolate 3a



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NMR spectroscopy. In short, (*i*) the <sup>1</sup>H and <sup>13</sup>C NMR resonances for the  $\sigma$ -methallyl part are very similar to those of the direct precursor of 2', *i.e.* the (P,C)AuI( $\sigma$ -methallyl) complex,<sup>9</sup> (*ii*) a <sup>1</sup>H-<sup>31</sup>P HMBC experiment shows a correlation between the phosphorus and CH(CO<sub>2</sub>Et)<sub>2</sub> signals (Figure S31), and (*iii*) the experimental and computed NMR data are in very good agreement (Table S8).<sup>12</sup> The formation of 8'a provides for the first time evidence for nucleophilic attack at gold. In line with the stronger *trans* influence of carbon versus phosphorus,<sup>14</sup> the incoming malonate is introduced preferentially and actually selectively in the position *trans* to naphthyl. Upon warming the mixture of  $\sigma$ -allyl Au(III) complex 8'a and auracyclobutane 6'a to 25 °C for *ca.* 30 min and cooling again to -20 °C, a completely different <sup>31</sup>P NMR spectrum was obtained (Figure 6). Quantitative conversion



**Figure 6.** Stacked <sup>31</sup>P NMR spectra showing the progress of the reaction between the  $\pi$ -allyl Au(III) complex 2' and enolate 3a in d<sub>8</sub>-THF (Top: at 25 °C and 121.49 MHz, otherwise: at -20 °C and 202.45 MHz).

into the  $\pi$ -alkene Au(I) complex 7'a was apparent, with the *trans* and *cis* forms again coexisting (10/4 ratio). Thus, addition to the central position of the methallyl as well as to gold is reversible, and over time, the reaction evolves toward nucleophilic attack at the terminal positions. Finally, the C-allylation product 4'a is slowly released from the  $\pi$ -alkene Au(I) complex 7'a at room temperature (22 h, 82% yield) with concomitant formation of the Au(I) dimer 5.

Part II. Mechanistic Investigations of Nucleophilic Additions to  $\pi$ -Allyl Complexes 2 and 2' by DFT Calculations. With the aim to better understand and rationalize the experimental results, the electronic structure of the Au(III)  $\pi$ -allyl complexes 2/2' and their reactivity toward  $\beta$ -diketo enolates were investigated in detail by Density Functional Theory (DFT). Calculations were performed at the SMD(THF)-B3PW91/SDD+f(Au),6-31G\*\*(other atoms) level of theory.

Electronic Structure of the Au(III) versus Pd(II)  $\pi$ -Allyl Complexes. The  $\eta^3$  quasi-symmetric coordination of the allyl



**Figure 7.** MO diagrams for the Au(III) complex  $[(P,C)Au(\pi-allyl)]^+ 2$  (left) and its Pd(II) analog  $[(Ph_3P)_2Pd(\pi-allyl)]^+$  complex 2-Pd (right) showing the vacant orbitals relevant to nucleophilic additions. Energy of the MOs in eV, cutoff: 0.05. Contribution of the main atoms in each MO in %. Red lines correspond to MOs with large contributions on the terminal carbon atoms of the  $\pi$ -allyl moiety while blue lines correspond to MOs with large contributions of the  $\pi$ -allyl.

moiety in the Au(III) complexes [(P,C)Au( $\pi$ -allyl/ $\pi$ -methallyl)]<sup>+</sup> 2/2' was previously established by NMR and crystallographic data as well as DFT calculations.<sup>9</sup> Here, the electronic properties of these  $\pi$ -allyl complexes (atomic charges, Molecular Orbitals) were thoroughly analyzed, with respect to the possible sites for nucleophilic addition to occur (terminal and/or central position of the allyl moiety, gold itself). The analogous  $[(Ph_3P)_2Pd(\pi-allyl)]^+$  complex **2-Pd** was also considered to shed light on the peculiar properties of the Au(III) complexes and their ability to afford Au(III) metallacyclobutanes 6,  $\pi$ -alkene Au(I) complexes 7 as well as Au(III)  $\sigma$ -allyl complexes 8. The regioselectivity of nucleophilic additions to  $\pi$ -allyl complexes, in particular Pd(II) species, has attracted much attention computationally. Early pioneering studies by Curtis and Eisenstein pointed out the major role of frontier orbitals (as opposed to atomic charges) and identified the factors responsible for the preference of nucleophiles to attack the terminal positions of  $L_3(OC)_2Mo$ -(II)( $\pi$ -allyl) complexes.<sup>15</sup> Further theoretical investigations by Mealli and Musco refined the description and analysis of the frontier orbitals of  $\pi$ -allyl complexes in the case of nucleophilic additions to  $[(H_3P)_2M(\pi-allyl)]^+$  (M = Pd, Pt) complexes.<sup>16</sup> More recently, reaction profiles of several Pd-catalyzed allylation reactions have been theoretically investigated considering both the inner- and outer-sphere paths.<sup>3,17</sup>

The atomic charges of the Au(III) complexes 2/2' and Pd(II) complex 2-Pd were computed and compared (Table S2).<sup>12</sup> The positive charge is borne by the metal fragment, (P,C)Au or (Ph<sub>3</sub>P)<sub>2</sub>Pd. It is highly concentrated on gold in 2 and 2' [q(Au) = 0.88] but more delocalized in 2-Pd [q(Pd) = 0.29]. For the allyl moiety, very similar situations were found for the three complexes, with minor differences between the terminal and central positions. The charges of the terminal CH<sub>2</sub> and central CH/CMe groups are in fact all close to zero

as well as the total charge of the allyl/methallyl moiety. The fact that Au(III) complexes 2 and 2' readily react with nucleophiles at either sites of the  $\pi$ -allyl or at the metal contrasts with the very favored attack at the terminal sites of Pd(II)  $\pi$ -allyl complexes such as 2-Pd. It is thus unlikely that the reactivity of Au(III)  $\pi$ -allyl complexes is under charge control. In line with previous computational studies,<sup>15,16</sup> frontier orbital control most probably prevails and inspecting the Molecular Orbitals (MO) of the Au(III) and Pd(II) complexes is certainly more relevant.

The MO diagram of the  $[(P,C)Au(\pi-allyl)]^+$  complex 2 was built using the AOMIX program.<sup>18</sup> The molecular orbitals relevant to nucleophilic additions are displayed in Figure 7, left. They arise from the homo (in-plane  $5d_{x^2-y^2}$ ), lumo, and lumo+1 orbitals for the (P,C)Au fragment and the vacant  $\pi 2/$  $\pi$ 3 orbitals of the  $\pi$ -allyl cation. The three frontier orbitals of (P,C)Au interact with the nonbonding  $\pi$ 2 orbital of the allyl to form the HOMO, LUMO, and LUMO+1 of the  $[(P,C)Au(\pi$ allyl)]<sup>+</sup> complex 2. In the vacant orbitals LUMO and LUMO +1, the largest coefficients on the allyl moiety are found on the terminal positions C<sub>term</sub>. There is only minor, if any, contribution of the central position  $C_{\text{cent}}$  but significant participation of the gold atom. Overall, the LUMO and LUMO+1 are relatively similar in shape and close in energy (-1.97 and -1.81 eV, respectively). Interestingly, the LUMO +2 of complex 2 corresponds to a bonding interaction between the antibonding orbital  $\pi$ 3 of the allyl and the lumo+1 of the (P,C)Au fragment. This orbital sits at -1.38 eV, only 0.4–0.6 eV above the LUMO/LUMO+1. The LUMO+2 has a large contribution of the central carbon atom  $C_{\text{cent}}\ (15.5\%\ \text{versus}$ 7.8/6.5% on the terminal positions  $C_{term}$  and significant participation of gold (20.3%).<sup>19</sup> The small energy gap found between the three vacant MO of lowest energy combined with the major contributions of  $C_{term}$  in LUMO/LUMO+1 and

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**Figure 8.** Energy profiles for the nucleophilic addition of enolate 3a to the  $\pi$ -allyl Au(III) complex 2 computed at the SMD(THF)-B3PW91/SDD +f(Au),6-31G\*\*(other atoms) level of theory. Gibbs free energies ( $\Delta G$ ) in kcal/mol.

 $C_{cent}$  in LUMO+2 are consistent with the possibility for nucleophiles to attack at all sites of the  $\pi$ -allyl of complex 2. Nucleophilic addition to gold to give Au(III)  $\sigma$ -allyl complexes is also conceivable given the noticeable contribution of the metal in the three vacant orbitals. A very similar situation is met in the corresponding methallyl Au(III) complex 2' (Figures S56 and S57)<sup>12</sup> indicating that the methyl substituent in the central position of the allyl has no huge impact. The LUMO/LUMO+1 of 2' are slightly raised and brought closer in energy, at -1.81 and 1.75 eV, while the LUMO+2 remains essentially unchanged at -1.32 eV.

A noticeable feature of the gold complexes 2 and 2' is the low energy of the LUMO+2 with the major contribution of the central position  $C_{cent}$  of the  $\pi$ -allyl. This may explain why the formation of the metallacyclobutane is competitive with that of the  $\pi$ -alkene complexes in the case of gold. To corroborate this hypothesis, the MO diagram of the related Pd complex  $[(Ph_3P)_2Pd(\pi-allyl)]^+$  **2-Pd** was analyzed for comparison (Figure 7, right). It is quite similar to those of 2/2', but with some noticeable differences. The LUMO of 2-Pd results from the antibonding interaction between the homo of  $[(Ph_3P)_2Pd]$  (in-plane  $4d_{x^2-y^2}$ ) and the nonbonding  $\pi 2$  orbital of the allyl. It is located at -1.86 eV (very close to that found for 2 and 2') with large contributions from the terminal carbon atoms C<sub>term</sub> (12.3% for each carbon). In stark contrast with that observed for the gold complexes 2 and 2', no vacant orbital with significant contribution from the central carbon atom C<sub>cent</sub> was found before LUMO+15 for 2-Pd. This vacant orbital results from the bonding interaction between the lumo of  $[(Ph_3P)_2Pd]$  and the antibonding orbital  $\pi 3$  of the allyl. It has a much larger coefficient on  $C_{cent}$  than  $C_{term}$  (15.2% versus 6.4/5.8%) but is high in energy (0.34 eV). Of note, the contribution of the metal is substantially smaller in the LUMO +15 of 2-Pd (8.3%) than in the LUMO+2 of 2/2' (20.3 and

18.8%, respectively). In the end, the energy difference between the C<sub>term</sub> and C<sub>cent</sub>-centered vacant orbitals is much larger in the Pd(II) than Au(III) complexes (2.2 versus 0.4–0.6 eV), in line with the marked preference for nucleophiles to attack Pd complexes at the terminal rather than the central position of the  $\pi$ -allyl.

To summarize, inspection of the molecular orbitals revealed noticeable differences between the Au(III) and Pd(II)  $\pi$ -allyl complexes 2/2' and 2-Pd. The LUMO (and LUMO+1 in the case of gold) have large contributions on C<sub>term</sub> in all complexes, but vacant orbitals with significant contributions on C<sub>cent</sub> are accessible in energy only in the Au(III) complexes, making the formation of auracyclobutanes much more favorable than palladacyclobutanes.

Analyzing the electronic structure of the  $\pi$ -allyl complexes is of course informative, but it does not take into account the nucleophile and the way it interacts with the  $\pi$ -allyl complex. To gain more insight into the reactivity of the  $\pi$ -allyl Au(III) complexes with respect to the regioselectivity and reversibility of the nucleophilic additions, the energy profiles for the reactions 2 + 3a and 2' + 3b leading to the auracyclobutane 6aand  $\pi$ -alkene complex 7'b, respectively, were thoroughly investigated, considering and comparing the different possible pathways. Special attention was devoted to the nucleophilic attack of the  $\beta$ -diketo enolate at the  $\pi$ -allyl Au(III) complex, but C–C coupling to give the final allylation products was also considered to have a complete picture of the reaction progress.

The same level of theory SMD(THF)-B3PW91/SDD +f(Au),6-31G\*\*(other atoms) was used throughout this work. Because of convergence issues in the localization of some of the transition states at the SMD(THF)-B3PW91-D3(BJ) level, dispersion effects were not taken into account. Nonetheless, their impact has been assessed on the key paths of the reaction 2 + 3a,<sup>12</sup> and no major differences were



**Figure 9.** Comparison of the Activation Strain diagrams accounting for the nucleophilic attack of **3a** at  $C_{cent}$  (dotted lines) and  $C_{term}$  *cis* to P (solid lines) of the  $\pi$ -allyl complex **2** computed at SMD(THF)-B3PW91/SDD+f(Au),6-31G\*\*(other atoms) level of theory.



Figure 10. Alternative inner-sphere pathway for the reaction of enolate 3a with the  $\pi$ -allyl Au(III) complex 2 computed at SMD(THF)-B3PW91/SDD+f(Au),6-31G\*\*(other atoms) level of theory. Gibbs free energies ( $\Delta G$ ) in kcal/mol.

observed in the energies and geometries. Dispersion does not seem to play a crucial role here.

Energy Profile for the Reaction 2 + 3a. Nucleophilic additions of diethyl malonate 3a to the three positions of the  $\pi$ allyl moiety of complex 2 were first studied (Figure 8). Attack at the central position (transition state TS1) is very easy, with an activation barrier  $\Delta G^{\ddagger}$  of only 3.9 kcal/mol, and the formation of the auracyclobutane 6a is exergonic ( $\Delta G$  -23.1 kcal/mol). The optimized structure of 6a (Figure S58)<sup>12</sup> matches well with that determined crystallographically for 6c-Cy. The largest differences are a slight tilt of the fourmembered ring (the angle between the CAuC and CCC planes amounts to 21.1°) and a slightly longer C–CH(CO<sub>2</sub>R') bond at 1.539 Å. Low-energy paths were also found for the nucleophilic attack of **3a** at the terminal positions of the  $\pi$ allyl (*trans* to P, **TS2** and *cis* to P, **TS3**), but the corresponding activation barriers ( $\Delta G \ddagger 6.3$  and 4.9 kcal/mol, respectively) are slightly larger than for the attack at C<sub>cent</sub>. The ensuing  $\pi$ alkene Au(I) complexes *trans*-7a and *cis*-7a are downhill in energy from 2 + 3a ( $\Delta G$  -34.2 and -33.7 kcal/mol, respectively) and thus more stable than the auracyclobutane **6a** by about 10 kcal/mol. In line with experimental observations, the formation of **6a** is kinetically favored over *trans*-7a and *cis*-7a. Coordination of the  $\pi$ -allyl fragment to

Article



Figure 11. Energy profiles for the nucleophilic addition of enolate 3b to the  $\pi$ -methallyl Au(III) complex 2' computed at the SMD(THF)-B3PW91/SDD+f(Au),6-31G\*\*(other atoms) level of theory. Gibbs free energies ( $\Delta G$ ) in kcal/mol.

Au(III) directs nucleophilic addition to the normally less reactive central position and drives the reaction to the formation of a metallacyclobutane instead of a  $\pi$ -olefin complex. This is in agreement with the MO analysis described above, with significant contributions of the central carbon atom of the  $\pi$ -allyl in the LUMO+2 of the gold complex 2, which is accessible in energy and close to the LUMO and LUMO+1. In line with experimental observations, the auracyclobutane 6a can reconvert into the  $\pi$ -allyl complex 2 and diethyl malonate 3a ( $\Delta G^{\ddagger}$  27.0 kcal/mol for the reverse process). The reversibility of the nucleophilic attack at C<sub>cent</sub> opens the way to the formation of the more stable  $\pi$ -alkene Au(I) complexes. Yet, trans-7a and cis-7a are not observed experimentally because they spontaneously evolve into the allylation product 4a and (P,C)-cyclometalated gold(I) dimer 5 (the latter process was predicted to be further downhill in energy by 6.6 kcal/mol from trans-7a; see Table S4).<sup>12</sup> The direct formation of  $\pi$ -alkene complexes trans-7a and cis-7a from auracyclobutane 6a (through ring-opening and 1,2migration of the malonate) has also been envisioned as an alternative to the reversible attack of 3a at C<sub>cent</sub>, but the corresponding activation barriers are prohibitively high ( $\Delta G^{\ddagger}$  > 100 kcal/mol).

Then, the Activation Strain Model (ASM) approach<sup>20</sup> was used to assess the relative contributions of the strain and interaction terms and compare the nucleophilic attack of **3a** at the central/terminal positions of the  $\pi$ -allyl complex **2**. The corresponding diagrams for the two most favorable paths, *i.e.* the reactions at C<sub>cent</sub> (**TS1**) and C<sub>term</sub> *cis* to P (**TS3**), are displayed in Figure 9 (see Figure S67 for complete data).<sup>12</sup> Accordingly, the strain energy  $\Delta E_{\text{strain}}$  is smaller for the attack at  $C_{cent}$  than at  $C_{term}$  along the entire reaction coordinate  $\zeta$  (the C…C distance of the forming bond between the  $\beta$ -diketo enolate and the  $\pi$ -allyl). Moreover, the difference between the two increases as the reaction proceeds and approaches the transition state region. Comparatively, the interaction energy term  $\Delta E_{\rm int}$  for the attacks at  $C_{\rm cent}$  than at  $C_{\rm term}$  remains close. It is slightly larger for the addition to  $C_{\text{cent}}$  in the early stage of the reaction (until  $\sim 2.8$  Å) and then reverses to become slightly larger for the addition to  $C_{term}$ . At a C…C distance of about 2.74 Å, near the transition states, the difference in the  $\Delta E_{
m int}$  term is of only 0.8 kcal/mol in favor of the addition to  $C_{term}$ , while the  $\Delta E_{strain}$  term for attack at  $C_{term}$  exceeds that at  $C_{cent}$  by 2.1 kcal/mol (Table S9).<sup>12</sup> Decomposition of this  $\Delta E_{\text{strain}}$  term over the two fragments reveals it is primarily due to the deformation of the  $(P,C)Au(\pi-allyl)^+$  complex. Analysis of the geometric changes on the paths to TS1 and TS3 shows that the largest difference arises from the allyl moiety. Upon attack at C<sub>cent</sub> (**TS1**), the allyl group remains symmetrically  $\eta^3$ coordinated to gold. In contrast, attack at  $C_{term}$  cis to P (TS3) induces some shift of the allyl group to  $\eta^1$ -coordination, which raises the distortion energy (Figures S68–S69).<sup>12</sup>

Given the significant contribution of gold in the vacant orbitals of complex 2 (LUMO, LUMO+1 and LUMO+2) and the experimental characterization of the  $\sigma$ -allyl Au(III) complex 8'a in the reaction 2' + 3a, the inner-sphere route to C–C coupling at Au(III) was also considered for the reaction 2 + 3a (Figure 10). Shifting the allyl group from  $\pi$  ( $\eta^3$ ) to  $\sigma$  ( $\eta^1$ ) coordination to open a vacant site at gold is energetically demanding (~18 kcal/mol, Figure S62).<sup>12</sup> Nonetheless, direct attack of 3a at the gold center of the  $\pi$ -allyl complex 2 turned to be quite easy, with activation barriers



**Figure 12.** Comparison of the activation strain diagrams accounting for the nucleophilic attack of **3b** at  $C_{cent}$  (dotted lines) and  $C_{term}$  *cis* to P (solid lines) of the  $\pi$ -methallyl complex **2**' computed at SMD(THF)-B3PW91/SDD+f(Au),6-31G\*\*(other atoms) level of theory.

 $\Delta G^{\ddagger}$  of 7.0 to 9.0 kcal/mol (for TS7 and TS8, displacement of the Au-C(allyl) bond *cis* and *trans* to P, respectively). It is thermodynamically favored ( $\Delta G$  -14.4 to -16.1 kcal/mol), but the ensuing  $\sigma$ -allyl Au(III) complexes *trans*-8a and *cis*-8a are higher in energy than the auracyclobutane 6a by 7–9 kcal/ mol. Nucleophilic attack at gold is thus neither kinetically nor thermodynamically favored over attack at C<sub>cent</sub>. Furthermore, reductive elimination from trans-8a and cis-8a involves large activation barriers ( $\Delta G^{\ddagger}$  > 50 kcal/mol), as expected for  $C(sp^3)-C(sp^3)$  couplings from tetracoordinate Au(III) complexes.<sup>21</sup> If direct attack at gold is feasible, it is unproductive and the inner-sphere process cannot account for the formation of the allylation products. It is worth noting however that the formation of  $\sigma$ -allyl Au(III) complexes may be reversible, as that of the auracycle ( $\Delta G^{\ddagger}$  23–24 kcal/mol for the backward process from trans-8a and cis-8a), in line with that observed in the reaction 2' + 3a.

Energy Profile for the Reaction 2' + 3b. In the case of the reaction 2' + 3b, the  $\pi$ -alkene complex 7'b, not the auracycle 6'b, was observed upon reaction monitoring. To gain more insight into the different regioselectivity of the two reactions, the nucleophilic attacks of  $\beta$ -diketo enolate 3b to the central and terminal positions of the methallyl complex 2' were computed (Figure 11). As expected, the methyl substituent makes addition to the central position more difficult. The formation of the auracyclobutane 6'b from 2' + 3b is only slightly downhill in energy ( $\Delta G$  –5.3 kcal/mol), and the associated activation barrier  $\Delta G^{\ddagger}$  amounts to 12.9 kcal/mol. Because of the formation of a quaternary C atom, this barrier is about 9 kcal/mol higher than that computed for the reaction 2 + 3a and the formation of the auracycle 6'b is less favorable thermodynamically than that of 6a by 18 kcal/mol. Moreover, and in contrast to what was observed for the reaction 2 + 3a, the nucleophilic attack of 3b at the central position of 2' is less favorable kinetically and thermodynamically than at the terminal carbon atoms (*cis* to P,  $\Delta G^{\ddagger}$  9.5 kcal/mol,  $\Delta G$  –16.6 kcal/mol, and *trans* to P,  $\Delta G^{\ddagger}$  12.1 kcal/mol,  $\Delta G$  –17.6 kcal/mol). Of note, the activation barrier for the nucleophilic attack trans to C is 2.5 kcal/mol lower than that trans to P, as expected from the stronger  $\sigma$ -donor character and *trans* effect of C over P.14 In line with experimental observations, the resulting  $\pi$ -alkene complexes *cis*- and *trans*-7'b are close in

energy ( $\Delta G$  1.0 kcal/mol) and were predicted to easily interconvert by rotation of the C==C bond with respect to the (P,C)Au coordination plane (the corresponding transition state **TS**<sub>isom</sub> lies <10 kcal/mol higher in energy).<sup>22</sup> The most stable  $\pi$ -complex *trans*-7'b is the one with the CH<sub>2</sub> end of the alkene *cis* to P. This nicely matches with *trans*-7'b-Cy found as the major form in solution by low temperature NMR spectroscopy and crystallographic characterization. Evolution of the  $\pi$ -alkene complexes 7'b over time to release the free olefin 4'b and the (P,C)-cyclometalated Au(I) dimer 5 is further downhill in energy (by 10.1 kcal/mol from *trans*-7'b, Table S4).<sup>12</sup>

The activation strain diagrams for the reaction 2' + 3b are depicted in Figure 12 (see Figure S72 for complete data).<sup>12</sup> Compared to the reaction 2 + 3a, the additional methyl substituent on the allyl increases the deformation cost. The strain energy term  $\Delta E_{\text{strain}}$  is again smaller for the attack at C<sub>cent</sub> than at C<sub>term</sub>, but the difference between the two is less than for the reaction 2 + 3a. The energy term  $\Delta E_{int}$  is more stabilizing for the nucleophilic addition to C<sub>term</sub> along the entire reaction coordinate for 2' + 3b. The methyl group somewhat shields the nucleophilic attack at C<sub>cent</sub> that leads to the formation of a quaternary C atom. Accordingly, the difference between the terminal/central sites of the  $\pi$ -allyl stems primarily from the interaction energy term  $\Delta E_{int}$  in this case: at a C…C distance of about 2.45 Å, it favors attack at C<sub>term</sub> by 4.4 kcal/mol while the strain energy term  $\Delta E_{\text{strain}}$  is 3.4 kcal/mol larger (Table S11).<sup>12</sup> According to Energy Decomposition Analysis, the main stabilizing contribution to the  $\Delta E_{int}$  term comes from the electrostatic term ( $\Delta E_{elstat}$ ) which accounts for ~70% of the total attractive interaction energy in both cases (Table S12).<sup>12</sup> The largest difference between the attacks at  $C_{\rm term}$  and  $C_{\rm cent}$  is found in the orbital term ( $\Delta E_{orb}$ ). It is more stabilizing for  $C_{\text{term}}$  ( $\Delta E_{\text{orb}}$  –36.9 kcal/mol) than for  $C_{\text{cent}}$  (–32.4 kcal/mol). The Natural Orbital for Chemical Valence (NOCV) extension of the EDA method indicates that the orbital interaction is primarily due to the donation from the  $\pi$  orbital of the  $\beta$ enolate fragment (delocalized over the 3 carbon atoms) to the terminal/central positions of the (P,C)Au(methallyl)<sup>+</sup> moiety (Figure S73).<sup>12</sup>

The energy profiles computed for the reactions 2 + 3a and 2' + 3b are in good agreement with the NMR monitoring and

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the experimental characterization of the auracyclobutane 6aand  $\pi$ -alkene complex 7'b. They confirm that nucleophilic attack at the terminal and central positions of the allyl (as well as gold itself) are all possible and actually competitive. As a result, the favored regioselectivity depends subtly on the combination of the  $\pi$ -allyl Au(III) complex and  $\beta$ -diketo enolate. The formation of metallacyclobutanes is more favorable for Au(III) than for Pd(II) complexes, and thus intrinsically competitive with the formation  $\pi$ -alkene complexes in the case of gold. Nucleophilic attack at the central position of the allyl is less favored when it is substituted (as in the reaction 2' + 3b), but it remains feasible, as observed in the reaction of the methallyl complex 2' with diethyl malonate 3a.

Taking into account that 7'b is the first example of a cyclometalated Au(I)  $\pi$ -complex, its structure was thoroughly analyzed and compared with that of other gold(I)  $\pi$ complexes, in particular 3-coordinate species.<sup>7e</sup> For the sake of simplicity, only trans-7'b is discussed here but cis-7'b was also considered and the bonding situation was found to be very similar in both forms (Table S5).<sup>12</sup> The optimized geometry of trans-7'b nicely reproduces the X-ray diffraction structure of trans-7'b-Cy (Table S5).<sup>12</sup> The alkene is in-plane side-on coordinated to gold, with noticeable elongation of the C=Cdouble bond (1.432 Å) compared to the free olefin 4'b (1.339 Å). The presence of significant Au  $\rightarrow \pi^*(C=C)$  backdonation in 7'**b** is clearly apparent from Charge Decomposition Analysis (CDA). The donation/backdonation ratio d/b (1.65) falls in the lower range of those reported for gold(I)  $\pi$ -complexes. It is actually similar to that found with the (P,N)-chelating ligand MeDalphos and methacrylate, an electron-poor alkene (d/b 1.77).<sup>23</sup> A strong d(Au)  $\rightarrow \pi^*(C=C)$  donor-acceptor interaction (stabilizing interaction  $\Delta E$  45.1 kcal/mol) is also found by Natural Bond Orbital (NBO) analysis (Figure 13).



**Figure 13.** NLMO associated to  $d(Au) \rightarrow \pi^*(C=C)$  back-donation in the (P,C)-cyclometalated Au(I)  $\pi$ -complex *trans*-7'b, with participation of main atoms in % (cutoff: 0.05). Hydrogen atoms have been omitted for clarity.

Consistently, the C=C bond is noticeably weakened, as shown from the Wiberg bond index (1.348 in *trans*-7'b versus 1.916 for the free alkene 4'b). Comparing *trans*-7'b with the isomerization transition state TS<sub>isom</sub> is also relevant and informative (Tables S5–S6 and Figure S66).<sup>12</sup> In the latter case, the alkene is about perpendicular to the (P,C)Au coordination plane, minimizing Au  $\rightarrow \pi^*(C=C)$  backdonation. As a result, the C=C distance (1.379 Å) and  $\Delta E[Au \rightarrow \pi^*(C=C)]$  (25.1 kcal/mol) are significantly lower, while the Wiberg bond index is higher (1.616). Finally, it is worth noting that the strong Au  $\rightarrow \pi^*(C=C)$  backdonation and metallacyclopropane character of  $7'\mathbf{b}$  are consistent with the significant upfield shift of the <sup>1</sup>H and <sup>13</sup>C alkene resonances (Table S7).<sup>12</sup>

Part III. Auracyclobutanes, Relevant Intermediates in Cyclopropanation Reactions at Gold. On the basis of computational studies, auracyclobutanes have been evoked as possible intermediates in gold-mediated cyclopropanation of alkenes,<sup>24</sup> but no experimental evidence for such a process has been reported so far. Having access to auracyclobutanes by nucleophilic addition to the central position of  $\pi$ -allyl Au(III) complexes, we sought to probe their faculty to form the corresponding cyclopropanes. To this end, the auracyclobutane **6a** was reacted with an excess of I<sub>2</sub> (Scheme 7)<sup>25</sup> directly

Scheme 7. Oxidative C-C Coupling of the Auracyclobutane 6a Leading to Cyclopropane 9a along with the P,C-Cyclometalated Au(III) Diiodo Complex 10



after its formation from 2 + 3a. Pleasingly, C–C coupling occurred before **6a** rearranged to release the C-allylation product **4a**. The desired cyclopropane **9a** was obtained, along with the P,C-cyclometalated Au(III) diiodo complex **10**.<sup>26</sup> Thus, auracyclobutanes can readily afford cyclopropanes despite the strain of the 3-membered ring and the low tendency of Au(III) complexes to undergo C(sp<sup>3</sup>)–C(sp<sup>3</sup>) coupling.<sup>21</sup>

#### CONCLUSION

In summary, with Au(III)  $\pi$ -allyl complexes, nucleophilic additions to the central and terminal positions as well as gold are all possible and are intrinsically competitive. This is in contrast with the well-documented Pd(II)  $\pi$ -allyl complexes where addition to the terminal carbon atoms prevails. Meticulous low temperature NMR monitoring of reactions between Au(III)  $\pi$ -allyl complexes 2/2' and  $\beta$ -diketo enolates 3a-c enabled characterization of auracyclobutanes 6,  $\pi$ -alkene Au(I) complexes 7, and  $\sigma$ -allyl Au(III) complexes 8. All reactions lead ultimately to the formation of the C-allylation product 4 along with the (P,C)-cyclometalated Au(I) dimer 5. Nucleophilic attacks at the central position and at gold are reversible.

Detailed analysis of the electronic properties of the  $\pi$ -allyl gold(III) complexes, combined with the reaction profiles for the nucleophilic attacks at C<sub>term</sub>, C<sub>cent</sub>, and Au, provide valuable insight into the intrinsic competition between the reactive sites as well as the key factors at play and highlight the differences between Au(III) and Pd(II)  $\pi$ -allyl complexes.

The auracyclobutane **6a** and Au(I)  $\pi$ -alkene complex 7'b have been isolated and fully characterized (including by X-ray diffraction for their PCy<sub>2</sub> analogues). Treatment of **6a** with iodine induced C(sp<sup>3</sup>)-C(sp<sup>3</sup>) coupling and afforded the corresponding cyclopropane **9a**, demonstrating the relevance of auracyclobutanes in gold-mediated cyclopropanation reaction and cyclopropane ring-opening. Complex 7'b is the first gold(I)  $\pi$ -complex featuring a cyclometalated ligand to be

reported. Backdonation, for which gold is usually lazy, is significant, as apparent from its spectroscopic and structural data, as well as theoretical analysis of its bonding situation.

Detailed understanding of the reactivity of Au(III)  $\pi$ -allyl complexes is of fundamental and synthetic interest. This first study points out the possible involvement and competition between the different reactive sites of the Au(III)  $\pi$ -allyl complexes, and at the same time the reversibility of the nucleophilic additions at the central position and gold, so that the allylated product originating from the attack at the terminal position is ultimately obtained in all cases. The next steps are as follows: (*i*) to determine how general this picture is in terms of nucleophiles (C-, N-, and O-based, soft versus hard nucleophiles), allyl group (alkyl/aryl-substituted at the central/terminal positions), and ancillary ligand at gold (P,Cversus N,C-cyclometalated species depicted in Figure 2); (ii) to understand what influences the efficiency of the allylation reaction (in terms of yield and rate) and its selectivity when dealing with unsymmetrical  $\pi$ -allyls (linear versus branched products); and (iii) to further outline the common and different features of Au(III) and Pd(II)  $\pi$ -allyl complexes. More studies are also needed to identify the conditions of formation and stability of auracyclobutanes, as well as to further explore their reactivity. Finally, developing catalytic allylation reactions involving Au (III)  $\pi$ -allyl complexes is also highly attractive.<sup>27</sup> To this end, one may take advantage of the approaches recently developed to cycle between Au(I) and Au(III) oxidation states and perform 2-electron redox catalysis.<sup>28</sup>

# ASSOCIATED CONTENT

## **Supporting Information**

The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/jacs.1c04282.

Experimental and computational details, analytical data and NMR spectra (PDF)  $\,$ 

Optimized Cartesian coordinates (XYZ)

#### Accession Codes

CCDC 2079647–2079649 contain the supplementary crystallographic data for this paper. These data can be obtained free of charge via www.ccdc.cam.ac.uk/data\_request/cif, or by emailing data\_request@ccdc.cam.ac.uk, or by contacting The Cambridge Crystallographic Data Centre, 12 Union Road, Cambridge CB2 1EZ, UK; fax: +44 1223 336033.

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## **Author Contributions**

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## Notes

The authors declare no competing financial interest.

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