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An easy access to halogenated and non-halogenated spiro-hexadienones



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ABSTRACT

We describe an improved protocol for the synthesis of spiro-hexadienones from Morita-Baylis-Hillman adducts. Solvent modification and temperature resulted in a significant increase in the yield. This protocol was used to synthesize mono- and dibrominated spiro-hexadienones in good overall yields. This report is the first to describe the synthesis of halogenated spiro-hexadienones from Morita-Baylis-Hillman adducts.

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Compounds that contain the spiro-hexadienone moiety as part of their structures typically exhibit remarkable biological activities. This structural architecture with varied substitution patterns can be observed in certain natural products. Most often, the biological effects exhibited by these natural products are closely related to the presence of the spiro-hexadienone motif. In Figure 1, some examples of this class of biologically active natural compounds are shown.

The chemical and biological relevance of spiro-hexadienones has attracted the attention of the chemical community. Therefore, several approaches for the synthesis of this class of compounds have been developed. For example, radical chemistry, electrophilic cyclization, carbene chemistry, multi-component reactions or palladium mediated reactions have been employed to synthesize spiro-hexadienones. Most recently, a sustainable phenol oxidation mediated by NaNO₂ was also used for the synthesis of spiro-hexadienones.

However, despite these methodologies, the most commonly employed methods are those based on phenol oxidation mediated by hypervalent iodine.⁸

Due to our interest in the use of Morita–Baylis–Hillman adducts as building blocks for organic synthesis, we have recently developed a new synthetic strategy to prepare spiro-hexadienones. Although it is operationally simple, the yields varied from low

(36%) to moderate levels (70%). To circumvent this problem, in this Letter, we describe the optimization of our methodology based on varying key reaction parameters, such as solvent and temperature. Basically, our methodology takes advantage of phenol oxidation mediated by hypervalent iodine reagents. The suitably substituted β -keto esters, which were used as substrates for the key oxidation step, were directly and efficiently prepared from Morita–Baylis–Hillman adducts using the phosphine-free Mizoroki–Heck reaction. 11

In this communication, we report an improved and efficient protocol for the synthesis of halogenated and non-halogenated spiro-hexadienones from Morita-Baylis-Hillman adducts.

First, a set of Morita–Baylis–Hillman adducts, which were synthesized according to a method developed by our group several years ago, were prepared. ¹² In all cases, we observed yields ranging from good to excellent. The adducts were obtained in a straightfor-

Figure 1. Examples of biologically active natural products that contain the spirohexadienone moiety in their structures.

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Table 1Preparation of Morita-Baylis-Hillman adducts

Entry	MBH adducts	Yield ^{a,b,c} (%)	
1	4 , $R^1 = C_6H_5$; $R^2 = CO_2CH_3$	85	
2	5 , $R^1 = 4 - NO_2 - C_6H_4$; $R^2 = CO_2CH_3$	96	
3	6 , $R^1 = 2 - C_4 H_4 S$; $R^2 = CO_2 CH_3$	90	
4	7, $R^1 = 3,4-(OCH_2O)-C_6H_3$; $R^2 = CO_2CH_3$	70	
5	8 , $R^1 = 3,4,5-CH_3O-C_6H_2$; $R^2 = CO_2CH_3$	71	
6	9 , $R^1 = 3,4,5-CH_3O-C_6H_2$; $R^2 = CO_2C_2H_5$	80	
7	10 , $R^1 = 4 - CH_3O - C_6H_4$; $R^2 = CO_2CH_3$	99	
8	11 , $R^1 = 3 - CH_3O - C_6H_4$; $R^2 = CN$	77	
9	12 , $R^1 = CH_3CH_2$; $R^2 = CO_2C_2H_5$	85	
10	13 , $R^1 = CH_3(CH_2)_7CH_2$; $R^2 = CO_2CH_3$	85	
11	14 , $R^1 = 4 - F_3 C - C_6 H_4$; $R^2 = CO_2 CH_3$	90	

- ^a Yields refer to isolated and purified products.
- $^{\rm b}$ Spectral data ($^{\rm l}$ H, $^{\rm l3}$ C NMR, IR, HRMS) are all compatible with the proposed structures.
- c Reaction times varied from 15 min to 96 h.

ward manner, and after isolation, only filtration on silica gel was required to achieve a high level of purity (\geqslant 98%). The results are summarized in Table 1.

With the purified adducts in hand, the β -keto esters can be prepared. α -Substituted β -keto esters are classically prepared by Claisen condensation following by alkylation or via a Knoevenagel reaction between β -keto esters and aldehydes followed by hydrogenation of a double bond. Although these approaches are very simple, they present some drawbacks. Di-alkylation can occur, requiring additional purification. Otherwise, depending on the substituents present in the alkylating agent or in the aldehyde, a step involving a protecting group could also be required with both reactions (alkylation or Knoevenagel). In both cases, substituted β -keto esters can be obtained in 2 or 3 steps, if no protecting step is involved.

Several years ago, Alonso et al. \(^{13}\) described a Mizoroki–Heck reaction using new oxime-derived palladacycle catalysts. Based on this catalyst, which provides an in situ source of palladium(0) nanoparticles, we have developed a phosphine-free method to prepare mono-\$\alpha\$-arylated \$\beta\$-keto esters in good yields and high selectivity using the Mizoroki–Heck reaction and the MBH adducts as substrates. \(^{11}\) This approach provides the required \$\beta\$-keto esters in one step from a MBH without the need of any protecting group. Therefore, a solution of the MBH adduct in DMF was treated with iodophenol in the presence of a catalytic amount (0.5 mol %) of the oxime-derived palladacycle (Nájera's catalyst) to afford the required \$\alpha\$-arylated-\$\beta\$-keto esters in good yields, low reaction times and good selectivity. The results are summarized in Table 2.

In our previous communication, 11 the key phenolic oxidation step, which is mediated by a hypervalent iodine reagent, exhibited only moderate yields, which decreased the overall yields of our synthetic sequence. Initially, we decided to optimize this experimental condition by changing the solvent used in the oxidative step. Dohi et al. 14 reported the use of hexa-fluoroisopropanol (HFIP) in phenolic oxidation mediated by hypervalent iodine reagents. Due to its particular physical and chemical characteristics (i.e., low nucleophilicity, high polarity and high hydrogen-bond donor ability), this solvent increases the yield of this oxidative step. We decided to carry out a phenolic oxidation with HIFP and PIFA [phenyliodine bis(trifluoroacetate)] and compare this reaction to the one carried out in acetonitrile. The β -keto ester 15 was used as a model for both reactions. The results are shown in Scheme 1.

Table 2 Preparation of α-arylated β-keto esters

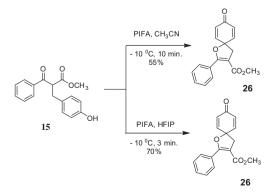
Entry	MBH adducts	Yield ^a (%)
1	4 , $R^1 = C_6H_5$; $R^2 = CO_2CH_3$	15 , 91
2	5 , $R^1 = 4 - O_2 N - C_6 H_4$; $R^2 = CO_2 CH_3$	16 , 71
3	6 , $R^1 = 2 - C_4 H_4 S$; $R^2 = CO_2 CH_3$	17 , 90
4	7, $R^1 = 3,4-(OCH_2O)-C_6H_3$; $R^2 = CO_2CH_3$	18 , 68
5	8, $R^1 = 3,4,5-(CH_3O)_3-C_6H_2$; $R^2 = CO_2CH_3$	19 , 82
6	9 , $R^1 = 3,4,5-(CH_3O)_3-C_6H_2$; $R^2 = CO_2C_2H_5$	20 , 65
7	10 , $R^1 = 4 - CH_3O - C_6H_4$; $R^2 = CO_2CH_3$	21 , 73
8	11 , $R^1 = 3 - CH_3O - C_6H_4$; $R^2 = CN$	22 , 80
9	12 , $R^1 = C_2H_5$; $R^2 = CO_2C_2H_5$	23 , 85
10	13 , $R^1 = C_9H_{19}$; $R^2 = CO_2CH_3$	24 , 75

^a Yields refer to isolated and purified products.

The change in the solvent results in a net increase in the yield and rate of the reaction. The yield increased from 55% to 70%, and the reaction medium was cleaner than when acetonitrile was used. We also observed a net effect on the rate of reaction. After only 3 min, the substrate was completely transformed to the reaction product based on TLC analysis. Therefore, we decided to test this experimental protocol with the entire set of β -keto esters. The results are summarized in Table 3.

For some cases, we observed a net increase in the yield of the reaction (Table 3, entries 6, 8 and 9). For other cases, no changes were observed (Table 3, entries 2–6). In all of the cases, no decrease in the yield was observed. Apparently, the increase in the yields is substantial for those β -keto esters substituted by alkyl groups (entries 8 and 9, Table 3). However, for all of the cases, the reaction times substantially decreased from 10 to 3 min. These results are due to the high polarity of HFIP. This solvent stabilizes the cationic intermediate formed in this oxidative process, which contributes to an increase in the yield and rate of the reaction. 14

To increase the structural pattern diversity of our spiro-hexadienones, we decided to evaluate the feasibility of this methodology for the preparation of brominated spirohexadienones due to the biological potential exhibited by this particular class of spirohexadienones. Bromine atoms can be incorporated into our sequence using two different approaches. First, the bromination



Scheme 1. Testing a fluorinated solvent for the phenolic oxidation of substituted β -keto exters

Table 3 Improved synthesis of spiro-hexadienones from β -keto esters

$$R^1$$
 OR^2 $PIFA, HFIP$ $O^0C, 3 min.$ R^1 R^2 R^2

Entry	β-Keto esters	Yield ^a (%)
1	16 , $R^1 = 4 - O_2 N - C_6 H_5$; $R^2 = CO_2 CH_3$	27 , 40 (36) ^b
2	17 , $R^1 = 2 - C_4 H_4 S$; $R^2 = CO_2 CH_3$	28 , 40 (40) ^b
3	18 , $R^1 = 3,4-(OCH_2O)C_6H_3$; $R^2 = CO_2CH_3$	29 , 70 (70) ^b
4	19 , $R^1 = 3,4,5-(CH_3O)_3-C_6H_2$; $R^2 = CO_2CH_3$	30 , 70 (69) ^b
5	20, $R^1 = 3,4,5-(CH_3O)_3-C_6H_2$; $R^2 = CO_2C_2H_5$	31 , 65 (65) ^b
6	21, $R^1 = 4 - CH_3O - C_6H_4$; $R^2 = CO_2CH_3$	32 , 58 (36) ^b
7	22, $R^1 = 3 - CH_3O - C_6H_4$; $R^2 = CN$	33 , 40 (35) ^b
8	23 , $R^1 C_2 H_5$; $R^2 = CO_2 CH_3$	34 , 75 (40) ^b
9	24 , $R^1 = C_9 H_{19}$; $R^2 = CO_2 CH_3$	35 , 81 (19) ^b

^a Yields refer to isolated and purified products.

could be performed after the formation of the spiro compounds. ¹⁵ Alternatively, regioselective bromination of the β -keto esters was carried out before the phenolic oxidation step.

Hara et al.¹⁵ reported the direct and easy halogenation of spirohexadienones by quenching the crude reaction medium with an aqueous solution of NaBr. Unfortunately, this bromination protocol did not work in our hands.

Recently, Barontini et al. 16 described a bromination reaction based on a combination of NaBr with oxone and a mixture of dimethylcarbonate and water. Therefore, a subset of the β -keto was submitted to this experimental protocol to yield the corresponding brominated compounds as a mixture of mono- and dibrominated derivatives in good overall yields. The results are summarized in Table 4.

The bromination reaction worked nicely, but it led to a mixture of mono- and dibrominated compounds where the major compounds are always dibrominated. A careful analysis of the NMR spectra of the separated isomers indicated that the halogenation reaction was highly regioselective and occurred only on the phenol aromatic ring. To improve the ratio between the brominated products in favour of the monobrominated, we have performed several experiments where we decreased the amount of sodium bromide to 1 equiv. This strategy was only partially successful because the di-brominated compounds remain in the mixture (Table 4, entries 2, 5 and 9).

To complete our study, a solution of the mono- and dibrominated compounds was treated separately with PIFA in HFIP to afford the corresponding brominated spiro-hexadienones in moderate to excellent yields.

Interestingly, an increase in the rate of these reactions was observed, and the reactions were complete after only 1 min.

The results are summarized in Table 5.

In contrast to our first communication, ¹¹ the improved protocol described herein has allowed for the synthesis of several new spiro-hexadienones in 3 steps from Morita–Baylis–Hillman adducts with overall yields ranging from 23% to 54%. 1,1,1,3,3,3–Hexafluoropropanol (HFIP) has resulted in a remarkable modification in the reaction profile, as noted by Dohi. ¹⁴ A huge increase in the reaction yield was observed for some cases, and no decrease in the yields was observed for the other cases. In all of the cases, the rates of the reaction increased.

The β -keto esters were brominated with good selectivity using an easy and simple bromination reaction. By dosing the concentration of the brominating agent in the reaction medium, it was possible to drive the reaction towards mono- or dibrominated β -keto esters. These halogenated compounds were easily transformed into halogenated spiro-hexadienones in four steps from the Morita-Baylis–Hillman adducts. The overall yields for these transformations were moderate to good and varied from 6% to 35% for the monobrominated spirohexadienones and from 19% to 37% for the dibrominated spirohexadienones.

 $\begin{tabular}{ll} \textbf{Table 4} \\ \textbf{Selective halogenation of } \beta\text{-keto esters obtained from Morita-Baylis-Hillman adducts} \\ \end{tabular}$

Entry	β-Keto esters	Brominated β-keto esters% ^{a,b}	
		Mono-	Di-
1	15 , $R^1 = C_6H_5$; $R^2 = CO_2CH_3$	36a , 32	36b , 62
2	15 , $R^1 = C_6H_5$; $R^2 = CO_2CH_3$	36a °, 64	36b ^c , 17
3	16 , $R^1 = 4 - O_2 N - C_6 H_4$; $R^2 = CO_2 CH_3$	37a , $-^{d}$	37b , 52
4	18 , $R^1 = 3,4-(OCH_2O)-C_6H_3$; $R^2 = CO_2CH_3$	38a , 12	38b , 76
5	18 , $R^1 = 3,4-(OCH_2O)-C_6H_3$; $R^2 = CO_2CH_3$	38a ^c , 65	38b ^c , 23
6	19 , $R^1 = 3.4.5$ (CH ₃ O) ₃ -C ₆ H ₂ ; $R^2 = CO_2CH_3$	39a , 20	39b , 79
7	21 , $R^1 = 4$ -(CH ₃ O)-C ₆ H ₄ ; $R^2 = CO_2CH_3$	40a , 24	40b , 71
8	23 , $R^1 = C_2H_5$; $R^2 = CO_2C_2H_5$	41a , 11	41b , 75
9	23 , $R^1 = C_2H_5$; $R^2 = CO_2C_2H_5$	41a °, 67	41b °, 21
10	24 , $R^1 = C_9H_{19}$; $R^2 = CO_2CH_3$	42a , 22	42b , 68
11	25 . $R^1 = 4 - F_3 C - C_6 H_4$: $R^2 = CO_2 CH_3$	43a . 15	43b . 61

- $^{\text{a}}$ Mono- and dibrominated $\beta\text{-keto}$ esters are easily separable by silica gel chromatography.
- ^b Yields refer to isolated and purified products.
- c 1 equiv of NaBr.
- ^d The monobrominated product was not obtained.

^b Yields obtained using acetonitrile as solvent.

Table 5Synthesis of mono- and di-brominated spiro-hexadienones from Morita-Baylis-Hillman adducts

Entry	Brominated β-keto esters	Brominated spiro-hexadienones (%) ^{a,b}	
		Mono-brominated	Di-brominated
1	36a , $R^1 = C_6H_5$; $R^2 = CH_3$; $R^3 = Br$; $R^4 = H$	44 , 81	=
2	36b , $R^1 = C_6H_5$; $R^2 = CH_3$; R^3 , $R^4 = Br$	_	51 , 91
3	37b , $R^1 = 4 - O_2 N - C_6 H_4$; $R^2 = CH_3$; R^3 , $R^4 = Br$	_	52 , 53
4	38a , $R^1 = 3.4 - (OCH_2O) - C_6H_3$; $R^2 = CH_3$; $R^3 = Br$; $R^4 = H$	45 , 45	_
5	38b , $R^1 = 3,4-(OCH_2O)-C_6H_3$; $R^2 = CH_3$; R^3 , $R^4 = Br$	_	53 , 66
6	39a , $R^1 = 3.4.5 - (CH_3O)_3 - C_6H_2$; $R^2 = CH_3$; $R^3 = Br$; $R^4 = H$	46 , 51	
7	39b , $R^1 = 3,4,5-(CH_3O)_3-C_6H_2$; $R^2 = CH_3$; R^3 , $R^4 = Br$	_	54 , 66
8	40a , $R^1 = 4$ - $CH_3O-C_6H_4$; $R^2 = CH_3$; $R^3 = Br$; $R^4 = H$	47 , 38	_
9	40b , $R^1 = 4 - CH_3O - C_6H_4$; $R^2 = CH_3$; R^3 , $R^4 = Br$	<u>-</u>	55 , 47
10	41a , $R^1 = R^2 = C_2H_5$; $R^3 = Br$; $R^4 = H$	48 , 71	_
11	41b , $R^1 = R^2 = C_2H_5$; R^3 , $R^4 = Br$	<u>-</u>	56 , 54
12	42a , $R^1 = C_9 H_{19}$; $R^2 = CH_3$; $R^3 = Br$; $R^4 = H$	49 , 80	_
13	42b , $R^1 = C_9 H_{19}$; $R^2 = CH_3$; R^3 , $R^4 = Br$	_	57 , 87
14	43a , $R^1 = 4 - F_3 C - C_6 H_4$; $R^2 = C H_3$; $R^3 = B r$; $R^4 = H$	50 , 74	_
15	43b , $R^1 = 4 - F_3 C - C_6 H_4$; $R^2 = C H_3$; R^3 , $R^4 = Br$	_	58 , 65

^a Yields refer to isolated and purified products.

Conclusion

We have described an improved method for synthesizing non-halogenated and halogenated spiro-hexadienones from Morita-Baylis-Hillman adducts. The method is simple and allows for great structural substitution diversity by only controlling the substitution pattern of the MBH adducts. The structure of the MBH adducts is totally incorporated into the spiro-hexadienones, which contributes to the great atom economy exhibited by this approach. As the cyclization occurs mediated by a hypervalent iodine reagent, the whole sequence presents a reasonable level of sustainability. Due to that, this method could be considered as one of the most efficient to access these types of compounds. To the best of our knowledge, this report is the first to describe the synthesis of halogenated spiro-hexadienones from Morita-Baylis-Hillman adducts.

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Supplementary data

Supplementary data (all spectra (¹H and ¹³C NMR) of compounds synthesized in this work) associated with this article can be found, in the online version, at http://dx.doi.org/10.1016/j.tetlet.2014.07.114.

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^b Spectral data (¹H, ¹³C NMR, IR, HRMS) are all compatible with the proposed structures.