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A Chelate Silylene-Silyl Ligand Can Boost Rhodium-Catalyzed C-H Bond Functionalizations

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Abstract: The first N-heterocyclic silylene (NHSi)-silane scaffold LSi-R-Si(H)Mes₂ 1 ($L = PhC(NtBu)_2$; -R- = 1,12-xanthendiyl spacer; Mes = 2,4,6-Me₃C₆H₂) was synthesized and used to form the unique rhodium(III) complex (LSi-R-SiMes₂)Rh(H)Cl 2 through its reaction with 0.5 molar equivalents of [Rh(coe)₂Cl]₂ (coe = cyclooctene). An X-ray diffraction analysis revealed that 2 has a (Si^{II}Si^{IV})Rh(H)Cl core with three short Rh…H-C contacts with Me groups of the ligand 1, which cause a distorted pentagonal-bipyramidal coordination of the Rh center. Unexpectedly, the reaction of 2 with 'BuONa yields the novel bis(silyl)hydridorhodium(III) complex 4. Due to the strong donor ability of the chelate Si^{II}-Si^{IV} ligand, 2 and 4 can act as highly efficient pre-catalysts in the Rh-mediated selective C-H functionalization of 2phenylpyridines with C-C-unsaturated organic substrates under mild reaction conditions. A DFT-derived mechanism for the model alkylation reaction of 2-phenylpyridine with ethylene using the pre-catalyst 2 is presented.

Ligands can play a decisive role in determining the nature of the active site of catalysts through stabilizing specific oxidation states of metal centers, and defining the size and shape of the catalytic entity.^[1] In the wide variety of ligands, strongly electron-donating ligands are among the favorites in the chemists' ligand arsenal. Such ligands can facilitate oxidative addition steps of substrates through enhanced nucleophilicity of the metal center in homogeneous catalysis.^[1] In this context, silyl ligands are of particular interest due to their strong σ -donor ability, which generate electron-rich metal sites and concomitantly labilize trans substituents.^[2] Thus far, monoanionic silyl ligands that feature pendant donor groups (Chart 1, left) such as phosphine,^[3b] pyridine,^[3c] quinoline,^[3c] olefin,^[3d] and N-heterocyclic carbenes (NHCs) moieties,^[3e,f] have been developed and applied in transition-metal (TM) mediated activation of inert bonds (i.e., H-H, N-H, C-H, N = N) in catalysis.^[3] N-Heterocyclic silylenes (NHSis), the heavy analogues of NHCs, represent a new type of stronger σ -donors than NHCs and phosphines that have already been demonstrated to be effective in homogeneous catalysis.^[4] Thus, the unique electronic properties of all

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silicon-based donors prompted us to investigate whether the presence of a silylene and silyl donor in a chelate ligand can boost metal-mediated catalytic transformations of organic substrates. To the best of our knowledge, an intramolecular silylene-silyl ligand remained elusive as yet. Inspired by the ability of the 9,9-dimethylxanthene backbone which enabled the synthesis of an intramolecular silylene borane^[5] and a versatile coordination chemistry of the xanthsil ligands developed by Tobita et al.,^[6] we attempted the realization of a silyl-functionalized silylene ligand scaffold featuring the 9,9-dimethylxanthene backbone (Chart 1, right).



Chart 1. Examples of silyl-functionalized chelate ligands.

C-H bond coordination to a TM center is considered to be a central event in TM-mediated C-H bond activation and functionalization. ^[7, 8] Important recent advances include the studies of σ -alkane complexes in solutions by time-resolved infrared spectroscopy or in situ NMR spectroscopy, and characterization of σ -alkane, agostic, and anagostic complexes in the solid states by X-ray diffraction techniques.^[7,9] TM agostic and anagostic complexes have been considered as possible candidates for catalytic C-H bond functionalization, olefin polymerization, hydrocyclization and more.^[10] However, the application of such well-defined TM complexes as (pre)catalyst is scarce.^[7]

Herein we report the synthesis and characterization of the first chelate silylene silane LSi-R-SiHMes₂ **1** bearing the 9,9-dimethylxanthene spacer and its unexpected ligation properties towards rhodium. Oxidative addition of Rh^I in [RhCl(coe)]₂ into the Si-H bond of **1** leads to a pentagonal-bipyramidal Rh^{III} site in (LSi-R-SiMes₂)Rh(H)Cl **2** featuring one agostic and two anagostic interactions. Remarkably, **2** exhibits a high catalytic efficiency and selectivity in alkylation of 2-phenylpyridine with norbornene via C-H bond activation under mild reaction conditions. In addition, the selective alkenylation of 2-phenylpyridine with diphenylacetylene could also be achieved. The high activity of **2** surpasses those of previously known rhodium analogues bearing phosphine, NHC, and bis(NHSi) ligands, respectively. DFT calculations revealed that the NHSi-silyl ligand might be the key for the unique performance of the Rh-mediated C-H bond functionalization.

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Scheme 1. Synthesis of the silylene-silane 1 and its reaction with $[Rh(coe)Cl]_2$ to give the Rh^{III} complex 2. coe = cyclooctene.

The silylene-silane **1** is accessible from the corresponding bromosilane precursor via its lithiation and subsequent salt metathesis reaction with one molar equivalent of N,N'-di-tert-butyl(phenylamidinato)-chlorosilylene. It can be isolated as a pale yellow solid in 70% yields in hexane solutions at 0 °C. Its ²⁹Si NMR spectrum features a signal at δ = 13.9 ppm for the silylene group and at δ = -41.1 ppm assignable to the silyl group. The molecular structure of **1** was confirmed by a single-crystal X-ray diffraction analysis (see Fig. S14 in SI), revealing a Si-Si distance of 4.255(1) Å.

As mentioned above, treatment of 1 with 0.5 molar equivalent of $[Rh(coe)_2Cl]_2$ (coe = cyclooctene) in toluene at room temperature leads to (LSi-R-SiMes₂)Rh(H)Cl 2 as orange crystals in 64% yields. The diagnostic resonance in the ¹H NMR spectrum at $\delta = -16.6$ ppm with the J_{Rh-H} coupling constant of 27.6 Hz indicates the formation of a RhH moiety. Variable temperature ¹H NMR measurements show that the o-CH₃ (mesityl group) proton resonance signal at $\delta = 2.84$ ppm at 298 K splits into four peaks at 213 K, which might be due to the restricted rotation of the mesityl groups at low temperatures. Two ²⁹Si NMR resonances are observed at $\delta = 33.3$ (Si^{II}) and 2.36 (Si^{IV}) ppm with $J_{\text{Rh-H}}$ coupling constants of 110.1 and 35.8 Hz, respectively. The solid-state structure of 2 shows a (Si^{II}Si^{IV})Rh(H)Cl core with Rh-Si^{II} and Rh-Si^{IV} distances of 2.2273(7) and 2.3289(6) Å, respectively. Complex 2 is formally a 14e complex without interaction between the Rh and O. Heating of a C₆D₆ solution of 2 at 60 °C in the presence of norbornene as a hydrogen acceptor leads to the formation of the chloride-bridged Rh^{III} dimer 3 via intramolecular C-H bond activation.



Figure 1. Molecular structure (left) and selected distances (Å) of 2: Rh1-Si2 2.2273(7), Rh1-Si3 2.3289(6), Rh1-Cl4 2.4060(6), Rh1-H10 1.45(3).

In order to shed more light into the electronic structure and bonding pattern of **2**, quantum chemical calculations were performed. Based on the experimentally determined structure of **2**, a constrained optimization of only the hydrogen atoms was carried out at the RI-ZORA-B3LYP-D3/def2-TZVP level of theory. The constrainedly optimized geometry is only 0.9 kcal mol⁻¹ higher in energy than the fully optimized one which is in excellent agreement with the experimentally obtained metric data (see *Supporting Information*). The constrainedly optimized geometry was used for further quantum chemical analyses.

Interestingly, the short distance of 2.634 Å between Rh1 and the mesityl carbon C28 atom implies the presence of an agostic interaction between Rh and the C28-H hydrogen atom. The agostic M-H-C interactions imply relatively short M-H distances of ~1.8-2.3 Å and small M-H-C bond angles of ~90-140°.[11] Thus, the Rh1-H14 distance of 2.018 Å and the Rh1-C28-H14 angle of 111.32° (Table 1) fall in the common geometric range of agostic M-H interactions. In fact, the 'Quantum Theory of Atoms in Molecules' (QTAIM) molecular graph (Figure 1a) displays a bond critical point (BCP) between the H14 and the Rh1 with electron density $\rho = 0.046$ e Bohr⁻³ and the Laplacian $\nabla^2 \rho = 0.15$ e Bohr⁻ ⁵ (Table 1) that are typical of those detected for agostic interactions.^[12] The NBO second order perturbation analysis reveals a significant amount of donor-acceptor interactions that are responsible for the Rh1-H14 bonding with the major donations from σ (C-H) to σ *(Rh-Si2) ($E^{(2)}$ = 9.1 kcal mol⁻¹), from σ (Rh-H10) to σ^{*} (C-H) to ($E^{(2)} = 4.7$ kcal mol⁻¹) and from the σ (Rh-Si3) and σ (Rh-H10) to the extra-valence-shell orbitals of H14 ($E^{(2)} = 7.0, 6.4$) kcal mol⁻¹.

Table 1. Calculated parameters of the Rh1 agostic and anagostic interactions.

	Н	r(Rh1-H)	α(Rh-H-C)	ρ (e Bohr	∇²ρ (e Bohr⁻
		Å	(deg)	3)	5)
	H14	2.018	111.32	0.046	0.15
	H43C	2.825	129.59	0.010	0.03
/	H49C	2.702	136.11	0.012	0.03



Figure 2. (a) QTAIM molecular graph of **2** focusing on the Rh-H interactions at B3LYP/def2-TZVP level of theory, based on constrained optimization of hydrogen atoms of the X-ray structure of **2** at RI-ZORA-B3LYP-D3/def2-TZVP level of theory. BCPs are shown in orange. (b) The pentagonal-bipyramidal geometry of **2**. The pentagonal plane is highlighted with blue lines.

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Furthermore, QTAIM calculations show two additional BCPs associated with the Rh1-H43C and Rh1-H49C contacts (Figure 1a) with $\rho = 0.010$ e Bohr⁻³, $\nabla^2 \rho = 0.03$ e Bohr⁻⁵ and $\rho = 0.012$ e Bohr⁻³, $\nabla^2 \rho = 0.03$ e Bohr⁻⁵, respectively. With r(Rh1-H43C) = 2.825 Å, α (Rh1-H43C-C43) = 129.59° and r(Rh1-H49C) = 2.825 Å, α (Rh1-H49C-C49) = 136.11° (Table 1), these contacts fall in the range of anagostic interactions that are characterized by relatively long M-H distances of ~2.3–2.9 Å and large M–H–C bond angles of ~110–170°.^[11] The NBO analysis shows only weak donor acceptor interactions that comprise the Rh1-H43C and Rh1-H49C contacts with total $E^{(2)}$ of 13.2 and 3.5 kcal mol⁻¹, respectively (see the full description in *Supporting Information*).

With its four substituents (Si3, Si4, Cl and H10), one agostic (H14) and two anagostic (H43C, H49C) interactions, the Rh center in **2** adopts a distorted pentagonal-bipyramidal geometry, with H10, Si3, H49C, Cl and H43C lying in the pentagonal plane and H13, Si2 in the axial positions (Figure 1b). The readiness of **2** to interact with C-H bonds in agostic and anagostic manners can promote reactions that involve C-H bond activation as discussed below.

 $\mbox{Table 2}.$ Catalytic performance of various Rh complexes in the alkylation of 2-phenylpyridine with norbornene. $^{[a]}$



^[a] Reaction conditions: Rh complexes (5 mol%), 2-phenylpyridine (0.1 mmol), and norbornene (0.2 mmol) in 0.5 mL solvent. ^[b] Yield is based on 2-phenylpyridine determined by ¹H NMR using ferrocene as internal standard. ^[c] 0.05 mmol [Rh(coe)₂Cl]₂ and 0.03 mmol PPh₃ were used, nd (not detectable). ^[d] 40 mmol Hg was added. IPr = 1,3-bis(2,6-diisopropylphenyl)imidazol-2-ylidene), NHSi = bis(NHSi)xanthene ligand, see ref.8b.

Since the pioneering work of Murai and coworkers,^[13] TM-mediated C-H bond functionalization has become a useful method in natural product and drug synthesis driven by the ubiquity of C-H bonds.^[14] However, most of the known systems generally need high temperature and strong oxidants as additives.^[15] The strongly electron donating NHSi-silyl ligand scaffold present in **2** prompted us to study whether C-H bond activation steps and subsequent functionalization could be achieved under milder reaction conditions. Choosing 2-phenylpyridine and norbornene as standard substrates the catalytic alkylation competency of **2** with other related rhodium complexes bearing phosphine, NHC, and NHSi ligands (Table 2) was compared. In fact, **2** proved to be an efficient pre-catalyst for alkylation of 2-phenylpyridine with norbornene in the presence of 'BuONa as an additive at 60 °C, affording the corresponding ortho-monoalkylated product in 84% yield after 24 hours (Table 1, entry 1). In sharp contrast, the analogous reactions applying Rh(PPh₃)₃Cl or the combination of [Rh(coe)₂Cl]₂ with different ligands such as PPh₃, and IPr (1,3-bis(2,6-diisopropylphenyl)imidazol-2-ylidene) as pre-catalysts furnished the desired product in much lower yields at 60 °C (Table 1, entries 2-5).

Recently, our group reported the synthesis of the corresponding chelating bis(NHSi)xanthene ligand, which is a strikingly efficient ligand in nickel-catalyzed hydrogenation of olefins.^[8b] However, under similar reaction conditions, the combination of [Rh(coe)2Cl]2 with bis(NHSi)xanthene ligand was ineffective in promoting C-H bond alkylation (Table 1, entry 6). We assume that the higher catalytic activity of 2 stems from the more effective donor ability of the NHSi-silyl ligand. This strong effect of the ligand scaffold **1** in alternation of the catalytic activity of the Rh catalyst points to the nature of the active catalytic species in the C-H bond alkylation. High-valent late transition metals, that are known to be highly active in catalytic functionalization by C-H bond activation such as Pd^{II}, Rh^{III}, Ir^{III} and Ru^{II} typically react via an electrophilic pathway that requires a catalyst to be rather electron-poor.¹⁶ In contrast, similar metal sites in lower oxidation state such as RhI and Ir^I typically react via oxidative addition with C-H bonds, which is facilitated through the presence of strong donor ligands that increase the electron-density at the metal center (e.g., phosphines or NHCs).¹⁶ Thus, the catalytic activity through the presence of the strong σ -donor NHSisilvl ligand 1 is a key factor to achieve the good performance of the rhodium catalyst for the alkylation of 2-phenylpyridine and may indicates that the active catalyst contains low-valent RhI.

Furthermore, we probed the influence of the additive (base) and solvent on the reaction progress. Without addition of 'BuONa, **2** is incapable of promoting C-H bond alkylation. As we discuss below, the addition of a base may facilitates the formation of the catalytically active Rh^I species. The different performance of **2** in C₆D₆ and THF suggests the importance of the nature of solvent in stabilizing the catalytic intermediates. In THF, the reaction proceeds with lower yields, i.e. 25% vs. 84% in toluene (Table 2, Entry 1 and 8). This observation is also concurrent with the conclusion that the active catalytic species is Rh^I that operates via oxidative addition into C-H bond, rather than Rh^{III} operating in a electrophilic pathway. Control experiments showed the addition of mercury does not affect the reaction yield in accordance with a homogenously catalyzed process.^[17]

Encouraged by the high catalytic activity of **2** in alkylation of 2phenylpyridine, we examined its ability with other substituted arylpyridines; it turned out that the corresponding monoalkylated products are produced in good yields of 80-86% (Scheme S1). In addition to the reactions with norbornene, selective alkenylation of 2phenylpyridine with diphenylacetylene could also be achieved, affording an ortho-monoalkenylated product in 82% yields. To our delight, a pyrazole group can also serve as a directing group to produce monoalkenylated and monoalkylated products but in somewhat lower vields.

In order to shed light on the mechanism for the C-H bond functionalization with **2**, stoichiometric reactions of **2** with 'BuONa were carried out. The reaction of **2** with 'BuONa in THF occurred immediately at room temperature with the formation of the bis(silyl)HRh^{III} complex **4** in 65% isolated yields (Scheme 2).^[19] The ¹H NMR spectrum of **4** in C₆D₆ displays six sets of singlets for the methyl of mesityl groups due to

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the restricted rotation of the mesityl groups. The ²⁹Si NMR spectrum of **4** reveals two resonance signals at $\delta = 9.1$ and 2.9 ppm, one at lower-field ($\delta = 9.1$ ppm) is downfield shifted compared with that of **2**. A single-crystal X-ray diffraction analysis reveals that the central structural motive of **4** features a distorted tetrahedral (Si^{II}Si^{IV})Rh(H)N core with Rh-Si^{IV} distances of 2.3131(8) and 2.2273(8) Å, respectively, (see Figure S16).



Scheme 2. Synthesis of 4 from the reaction of 2 with tBuONa..

One possible rout to complex 4 is outlined in Scheme 2, migration of imine from silicon to rhodium could be followed by 1,2-shift of tertbutoxide group from rhodium to silicon. Testing the catalytic performance of the 4 revealed that it has very similar catalytic activity to that of 2 in the reaction of 2-phenylpyridine with norbornene. In situ ¹H-NMR studies on the catalytic reactions also proved the formation of 4 as an intermediate in the catalytic process. For oxidant free rhodiumcatalyzed C-H bond functionalization, Rh^I species is always proposed to be the catalytic active species.^[14] We supposed that **4** might undergo Si-O bond activation, via a 'BuOH elimination, to form an olefin stabilized Rh^I intermediate A (Scheme S3). Such cleavage reactions of Si-O bonds of silvl ligands to form silvlene complexes were proposed by Ogino, Tilley, and Braun et al.^[19] The routes of formation of the proposed catalytically active Rh^I intermediate from 2 and 4 are presented in Scheme S3. A tentative calculated mechanism of model catalytic alkenylation reaction of phenylpyridine with ethylene using the Rh^I intermediate A is shown in Scheme S4. Attempts to detect these genuine catalytically active species were hitherto unsuccessful.

In summary, the reaction of the first chelate NHSi-silane **1** with $[Rh(coe)_2Cl]_2$ led to the unusual rhodium(III) complex (LSi-R-SiMes₂)RhHCl **2** featuring one agostic and two anagostic Rh-H-C interactions. Notably, **2** can boost the selective catalytic alkenylation and alkylation of a C-H bond in the 3-position of 2-phenylpyridine with high catalytic efficiency under relatively mild reaction conditions. Reactivity investigations on the pre-catalyst **2** towards 'BuONa furnished the new agostic bis(silyl) hydridorhodium(III) complex **4**, which is likely to act as intermediate in the catalytic transformations. The high catalytic activity of **2** surpasses those of related rhodium complexes bearing phosphines, NHCs and bis(NHSis) as supporting ligands. The promising steering potential of this new type of strong σ -donor NHSi-silyl scaffold is expected to open new doorways in other catalytic transformations of organic substrates.

Keywords: *N*-Heterocyclic Silylene • DFT Calculations • Agostic Complex • Alkenylation • Atoms in Molecules

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A pentagonal- bipyramidal rhodium(III) complex featuring one agostic and two anagostic interactions with a novel Si^{II}-Si^{IV} ligand has been synthesized, which can be used as precatalyst for alkenylation and alkylation of C-H bonds with high catalytic efficiency under mild reaction conditions.



C-H bonds functionalization under mild condition

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A Chelate Silylene-Silyl Ligand Can Boost the Rhodium-Catalyzed C-H Bond Functionalizations