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De novo synthesis of *galacto*-sugar δ -lactones via a catalytic osmium/palladium/osmium reaction sequence

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Abstract—A highly efficient route to various 1,5-*galacto*-sugar lactones from dienoates has been developed by using three catalytic reactions. These reactions include (i) an enantioselective osmium-catalyzed dihydroxylation, (ii) a regio- and diastereoselective palladium-catalyzed π -allyl alkylation with *p*-methoxyphenol for alcohol differentiation and protection, and (iii) a diastereoselective dihydroxylation that can be improved using matched enantioselective osmium-catalyzed dihydroxylation condition. © 2005 Elsevier Ltd. All rights reserved.

1. Introduction

The de novo enantioselective synthesis of carbohydrates and their analogous stands as a challenge to asymmetric synthesis,^{2–5} as these compounds are integral components of many important pharmaceuticals and biomolecules.¹ Most existing syntheses are based on chemical manipulation of naturally occurring sugars, where most, if not all, of the stereocenters in the products are derived directly from the starting material. Despite some notable efforts by many, there still does not exist a practical route to all the hexoses.^{2,3}

Recently we reported an expedient and practical synthesis of *galacto*-sugars from simple achiral precursors with complete stereocontrol.^{4,5} This powerful new approach is based on the tandem use of the osmium-catalyzed Sharpless asymmetric dihydroxylation (AD) reaction (Scheme 1).⁴ Herein we report our discovery of a practical and highly stereocontrolled synthesis of various *C*-4



Scheme 1. Synthesis (1- to 3-step) of galacto- γ -sugar lactone.

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protected galacto-sugar δ -lactones via the use of a catalytic Os/Pd/Os reaction sequence. A limitation to the above approach (Scheme 1) is the limit to five membered galacto-lactones. This problem was resolved when the *C*-4 hydroxyl group was removed, in which a Pd- π allyl-catalyzed reduction was added to the sequence and resulted in a 5-step synthesis of either *altro*- or *gluco-C*-4 deoxy sugar lactones **3** and **4** (Scheme 2).⁴

In order to prevent five membered ring lactonization, a selective protection of the C-4 hydroxyl group was required. We were intrigued by the possibility of accomplishing this alcohol differentiation by means of the same Pd- π -allyl intermediate that was used for the deoxy-sugar synthesis (Scheme 2).

While many oxygen nucleophiles were investigated, only phenols gave good yields, reacting with excellent regioand stereocontrol.⁶ Because of its ability for oxidative removal, we pursued our synthetic investigations with p-methoxyphenol (vide infra).

As in our previous syntheses the diols **5a**–**c** can be easily synthesized from dienoate **1a/b** or from the commercially available ethyl sorbate **1c** in good yields and enantiomeric



Scheme 2. Synthesis (5-step) of C-4 deoxy-δ-sugar lactone.

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Scheme 3. Palladium-catalyzed hydroxyl protection at C-4.

excess (80–90% ee) (Scheme 3). The diol **5a** was converted into cyclic carbonate **6a** (87% yield). Treatment of **6a** with a catalytic amount of palladium(0) (1 mol% Pd(0)/ 2 mol% PPh₃) and *p*-methoxyphenol as the nucleophile provided the protected alcohol **7a** in 90% yield, with no loss of enantiomeric excess.⁷ Finally the *C*-2/*C*-3 hydroxyl groups were installed, with *galacto*-stereochemistry, by means of a diastereoselective dihydroxylation of **7a**. Thus, exposure of **7a** to the Upjohn conditions (OsO₄/ NMO) provided **8a** in a 7:1 diastereomeric ratio. Similar yields and selectivities were observed for the conversion of dienoates **1b/c** to *galacto*-triols **8b/c** (58% and 47% overall yield of **8b** and **8c**, respectively; Scheme 3). In all three cases (**8a–c**) the minor diastereomer was easily removed by silica gel chromatography.

While the triols 8a-c were easily obtained in diastereomerically pure form, we were interested in improving both the diastereo- and enantiopurity of these triols. Thus we investigated the use of the Sharpless reagent for the second dihydroxylation (7 to 8).⁸ In this improved procedure the triols 8a-c were isolated to all practical purposes in both enantiomerically and diasteromerically pure form. When the alcohols 7a-c were subjected to Sharpless asymmetric dihydroxylation reactions using the matched reagent system (2% OsO4 and 4% (DHQD)₂ PHAL) triols 8a-c were afforded as a single diastereomer and enantiomer.^{9,10} Triol 8a was isolated in 86% yield (>96% ee), triol 8b was isolated in 85% yield (>96\% ee) and triol **8c** was isolated in 83%yield (>96% ee). The pseudo-enantiomeric catalyst system (2% OsO₄/4% (DHQ)₂PHAL) reacted with the enantiomers of 7a-c (ent-7a-c) to give ent-8a-c in similar yields and stereoselectivities (Scheme 4).

This improvement of enantiopurity results from the fact that both the substrates 7a-c and the catalyst $(OsO_4/(DHQD)_2PHAL)$ direct the stereochemical course of

the reaction in concert (matched case); therefore, the product enantiopurity is even greater than the original starting material enantiopurity.^{8,10,11} This presumably results from the fact that the minor enantiomers (*ent*-**7a**-**c**) do not react with the mismatched $OsO_4/(DHQD)_2PHAL$ catalyst system.

With the C-4 position differentiated, the triols **8a–c** could easily be lactonized giving the δ -lactones **9a–c** (Scheme 4). When triols **8a–c** were treated with 5% Py·TsOH/C₆H₆, the desired lactonization readily occurred providing the *galacto*-pyranones **9a–c** in 80–86% yield.¹² At this stage the relative stereochemistry could be assigned by analysis of ¹H–¹H-coupling constants.¹³ This was easily accomplished on the diacetates **10a–c**, which were readily prepared using Ac₂O/Py in 90–95% yield (Scheme 4).¹⁴

In summary, a highly enantio- and diastereoselective procedure for the preparation of various *galacto*-sugar δ -lactones has been developed. Critical to the success of this approach was the unique use of a regio- and stereospecific Pd- π -allyl reaction for alcohol differentiation and protection. Finally, by selecting the order in which the Sharpless reagents were used, both D- and L-sugars were produced. Further investigation for the development of this methodology for the synthesis of biologically important carbohydrates is ongoing.

2. Experimental section

2.1. (*E*)-Ethyl 3-((4*S*,5*S*)-5-((benzyloxy)methyl)-2-oxo-1,3-dioxolan-4-yl)acrylate (6a)

Into a 250 mL round-bottomed flask was placed 6.5 g (23.2 mmol) of (E,4S,5S)-ethyl 6-(benzyloxy)-4,5-dihydroxyhex-2-enoate **5a** in 25 mL of dichloromethane



Scheme 4. Enantioselective synthesis of L-galacto-δ-lactone.

and 10 mL (116 mmol) of pyridine. The solution was cooled to 0 °C and 7.6 g (25.6 mmol) of triphosgene in 50 mL of dichloromethane was added slowly with an addition funnel. The reaction was stirred for 1.5 h and quenched with saturated aqueous NH_4Cl (40 mL). The layers were separated and the aqueous layer was extracted with ether $(3 \times 50 \text{ mL})$. The combined organic layers were washed with saturated aqueous sodium bicarbonate (30 mL), brine (25 mL), and dried over anhydrous sodium sulfate. After removal of the solvents in vacuo, flash chromatography on silica gel (7:3 (v/v))hexanes/EtOAc) afforded (E)-ethyl 3-((4S,5S)-5-((benzyloxy)methyl)-2-oxo-1,3-dioxolan-4-yl)acrylate 6a as a clear, colorless oil (6.17 g, 87%): $R_{\rm f}$ (30% EtOAc/hex-anes) = 0.37; $[\alpha]_{\rm D}^{25}$ -54.7 (*c* 1.03, CH₂Cl₂); IR (thin film, cm⁻¹) 2983, 2938, 2908, 2872, 1806, 1721, 1665, 1496, 1454, 1369, 1304, 1272, 1174, 1111, 1032, 978 cm^{-1} ; ¹H NMR (CDCl₃, 600 MHz) δ 7.34 (m, 5H), 6.83 (dd, J = 15.6, 5.4 Hz, 1H, 6.14 (dd, J = 15.6, 1.4 Hz, 1H), 5.17 (ddd, J = 6.6, 5.4, 1.2 Hz, 1H), 4.64 (d, J = 12 Hz, 1H), 4.58 (d, J = 12 Hz, 1H), 4.46 (ddd, J = 6.6, 3.6, 3.6 Hz, 1H), 4.24 (q, J = 7.2 Hz, 2H), 3.75 (dd,J = 11.4, 3.6 Hz, 1H), 3.66 (dd, J = 11.4, 3.6 Hz, 1H), 1.30 (t, J = 7.2 Hz, 3H); ¹³C NMR (CDCl₃, 67.5 MHz): δ 164.9, 153.5, 139.7, 136.7, 128.5 (2C), 128.1, 127.7 (2C), 124.5, 79.3, 76.4, 73.7, 67.7, 61.0, 14.1; CIHRMS: Calcd for $[C_{16}H_{18}O_6 + Na]^+$: 329.1001. Found: 329.1003.

2.2. (*E*,4*S*,5*S*)-Ethyl 4-(4-methoxyphenoxy)-6-(benzyloxy)-5-hydroxyhex-2-enoate (7a)

Into a 100 mL, round bottomed flask fitted with a condenser and maintained under nitrogen was placed 3 g (9.8 mmol) of (*E*)-ethyl 3-((4S,5S)-5-((benzyl-oxy)methyl)-2-oxo-1,3-dioxolan-4-yl)acrylate**6a**, 50.7 mg (0.49 mmol, 0.5 mol%) of Pd₂(DBA)₃·CHCl₃, 51 mg

(0.19 mmol, 2 mol%) of PPh₃, and 30 mL of CH_2Cl_2 . Triethylamine 1.3 mL, (9.8 mmol) and p-methoxy phenol 2.43 g (19.6 mmol) were added and the mixture was allowed to reflux for 30 min. The reaction was cooled to room temperature and quenched with saturated aqueous sodium bicarbonate (20 mL). The aqueous layer was extracted with ether $(3 \times 30 \text{ mL})$. The organic layer was washed with brine (20 mL) and dried with anhydrous sodium sulfate. After removal of the solvents in vacuo, flash chromatography on silica gel (7:3 (v/v) hexanes/EtOAc) afforded (E,4S,5S)-ethyl 4-(4-methoxyphenoxy)-6-(benzyloxy)-5-hydroxyhex-2-enoate **7a** as a yellow oil (3.4 g, 90%): Mosher ester analysis of this alcohol shows 90% ee; $R_{\rm f}$ (30% EtOAc/hexanes) = 0.32; $[\alpha]_{\rm D}^{25}$ 22.5 (c 1.1, CH₂Cl₂); IR (thin film, ¹) 3484, 2980, 2954, 2923, 2869, 1716, 1660, 1506, cm^{-} 1454, 1368, 1303, 1276, 1227, 1180, 1109, 1036, 983; ¹H NMR (CDCl₃, 600 MHz) δ 7.33 (m, 5H), 6.98 (dd, J = 15.6, 5.4 Hz, 1H), 6.81 (m, 4H), 6.07 (dd, J = 15.6, 1.8 Hz, 1H), 4.86 (ddd, J = 5.4, 4.8, 1.8 Hz, 1H), 4.56 (d, J = 12 Hz, 1H), 4.53 (d, J = 12 Hz, 1H), 4.18 (q, J = 7.8 Hz, 1H), 4.16 (q, J = 7.8 Hz, 1H), 4.01 (ddd, J = 10.2, 6, 5.4 Hz, 1H), 3.76 (s, 3H), 3.68 (dd, J = 9.6, 4.8 Hz, 1H), 3.60 (dd, J = 9.6, 5.4 Hz, 1H), 2.58 (d, J = 5.4 Hz, 1H), 1.27 (t, J = 7.8 Hz, 3H); ¹³C NMR (CDCl₃, 67.5 MHz) & 165.7, 154.5, 151.6, 143.5, 137.6, 128.4 (2C), 127.8 (3C), 123.8, 117.0 (2C), 114.6 (2C), 78.4, 73.6, 72.3, 69.9, 60.6, 55.6, 14.1; CIHRMS: Calcd for $[C_{22}H_{26}O_6 + Na]^+$: 409.1627. Found: 409.1621.

2.3. (2*S*,3*S*,4*R*,5*S*)-Ethyl 4-(4-methoxyphenoxy)-6-(benzyloxy)-2,3,5-trihydroxyhexanoate (8a)

Into a 50 mL round bottom flask was added 10 mL of t-BuOH, 10 mL of water, $K_3Fe(CN)_6$ (4.93 g, 15 mmol), K_2CO_3 (2.07 g, 15 mmol), MeSO₂NH₂ (475 mg, 5 mmol), (DHQD)₂PHAL (155 mg, 0.2 mmol,

4 mol%), and OsO₄ (25 mg, 0.1 mmol, 2 mol%). The mixture was stirred at room temperature for about 15 min and then cooled to 0 °C. To this solution was added (E,4S,5S)-ethyl 4-(4-methoxyphenoxy)-6-(benzyloxy)-5-hydroxyhex-2-enoate 7a (2.00 g, 5 mmol) in 4 mL CH₂Cl₂ and the reaction was stirred vigorously at 0 °C overnight. The reaction was quenched with solid sodium sulfite (100 mg) at room temperature and stirred for 15 min. Then the mixture was filtered through a pad of Celite/Florisil and eluted with 50 mL 50% Ethyl acetate/MeOH. The combined organic layers were dried over anhydrous sodium sulfate. After removal of the solvents in vacuo, flash chromatography on silica gel (6:4 (v/v) hexanes/EtOAc) afforded 1.8 g (86% yield) of (2S,3S,4R,5S)-ethyl 4-(4methoxyphenoxy)-6-(benzyloxy)-2,3,5-trihydroxyhexanoate **8a** as a viscous oil: R_f (90% EtOAc/hexanes) = 0.43; $[\alpha]_{D}^{23}$ 5.4 (c 1.0, CH₂Cl₂); IR (thin film, cm⁻¹) 3533, 2983, 2957, 2869, 1747, 1653, 1593, 1506, 1466, 1455, 1372, 1296, 1220, 1184, 1044 ; ¹H NMR (CDCl₃, 600 MHz) δ 7.28 (m, 5H), 6.98 (m, 2H), 6.80 (m, 2H), 4.49 (dd, J = 9, 1.8 Hz, 1H), 4.46 (d, J = 11.4 Hz, 1H), 4.43 (d, J = 11.4 Hz, 1H), 4.35–4.29 (m, 3H), 3.76 (s, 3H), 4.28 (q, J = 7.2 Hz, 1H), 4.26 (q, J = 7.2 Hz, 1H), 3.67 (dd, J = 9.6, 6 Hz, 1H), 3.55(dd, J = 9.6, 6 Hz, 1H), 3.18 (d, J = 5.4 Hz, 1H), 2.89 (d, J = 8.4 Hz, 1H), 2.62 (d, J = 7.8 Hz, 1H), 1.29 (t, J = 7.2 Hz, 3H) ; ¹³C NMR (CDCl₃, 67.5 MHz) δ 173.4, 154.4, 152.4, 137.5, 128.4 (2C), 127.8 (3C), 117.1 (2C), 114.7 (2C), 76.6, 73.4, 71.1, 70.6, 70.1, 69.3, 62.1, 55.6, 14.1; CIHRMS: Calcd for $[C_{22}H_{28}O_8 + Na]^+$: 443.1682. Found: 443.1668.

2.4. (3*S*,4*S*,5*S*,6*S*)-5-(4-Methoxyphenoxy)-6-((benzyl-oxy)methyl)-tetrahydro-3,4-dihydroxypyran-2-one (9a)

To a solution of (2S, 3S, 4R, 5S)-ethyl 4-(4-methoxyphenoxy)-6-(benzyloxy)-2,3,5-trihydroxyhexanoate 8a (200 mg, 0.48 mmol) in benzene (3 mL), was added Py TsOH (6 mg, 0.03 mmol, 5 mol%) and the mixture was allowed to reflux for 5 h. The reaction was cooled to room temperature and quenched with saturated aqueous sodium bicarbonate (2 mL). The aqueous layer was extracted with ether $(3 \times 10 \text{ mL})$. The organic layer was washed with brine (10 mL) and dried with anhydrous sodium sulfate. After removal of the solvents in vacuo, flash chromatography on silica gel (4:6 (v/v) hexanes/EtOAc) afforded (3S,4S,5S,6S)-5-(4-methoxyphenoxy)-6-((benzyloxy)methyl)-tetrahydro-3,4-dihydroxypyran-2-one 9a as a viscous oil (160 mg, 85%): $R_{\rm f}$ (90% EtOAc/ hex-anes) = 0.40; $[\alpha]_{\rm D}^{25}$ -26.8 (*c* 2, CH₂Cl₂); IR (thin film, cm⁻¹) 3396, 2929, 2922, 1740, 1506, 1455, 1368, 1328, 1220, 1103, 1034, 923, 830; ¹H NMR (CDCl₃, 600 MHz) δ 7.26 (m, 3H), 7.03 (m, 4H), 6.78 (m, 2H), 4.8 (dd, J = 2.4, 1.8 Hz, 1H), 4.59 (d, J = 10.2 Hz, 1H), 4.54 (ddd, J = 7.2, 6.6, 1.8 Hz, 1H), 4.36 (d, J = 11.4 Hz, 1H), 4.28 (d, J = 11.4 Hz, 1H), 4.17 (ddd, J = 10.2, 1.8, 1.2 Hz, 1H), 3.81 (br s, 1H), 3.74 (s, 3H), 3.72 (d, J = 6.6 Hz, 2H), 3.21 (br s, 1H); ¹³C NMR (CDCl₃, 150 MHz) & 172.1, 154.8, 153.5, 137.0, 128.4 (2C), 127.9 (2C), 127.8, 117.7 (2C), 114.6 (2C), 78.2, 76.7, 73.5, 71.8, 70.4, 67.0, 55.7; CIHRMS: Calcd for $[C_{20}H_{22}O_7+Na]^+$: 397.1257. Found: 397.1285.

2.5. (3*S*,4*R*,5*R*,6*S*)-5-(4-Methoxyphenoxy)-6-((benzyl-oxy)methyl)-tetrahydro-3,4-diacetoxypyran-2-one (10a)

To a solution of (3S,4S,5S,6S)-5-(4-methoxyphenoxy)-6-((benzyloxy)methyl)-tetrahydro-3,4-dihydroxypyran-2-one 9a (150 mg, 0.4 mmol) in CH₂Cl₂ (2 mL) was added excess Ac₂O (0.6 mL, 2 mmol), pyridine (0.3 mL, 4 mmol) and a catalytic amount of DMAP (2.5 mg, 5 mol%). The reaction was stirred for an hour, after which 10 mL ether and 10 mL of NH₄Cl was added to remove excess base. The organic layer was washed with 10 mL CuSO₄ solution, 10 mL brine and the aqueous layer was further extracted with ether $(3 \times 10 \text{ mL})$. The combined organic layers were dried over Na₂SO₄ and the solvent was removed in vacuo. The crude product was purified by flash chromatography on silica gel (4:1 (v/v) hexanes/EtOAc) to yield (3S, 4R, 5R, 6S)-5-(4-methoxyphenoxy)-6-((benzyloxy)methyl)-tetrahydro-3,4-diacetoxypyran-2-one 10a (174 mg, 95% yield) as viscous oil. $R_{\rm f}$ (40% EtOAc/hexanes) = 0.38; $[\alpha]_{\rm D}^{25}$ -59.7 (c 1.0, CH₂Cl₂); IR (thin film, cm⁻¹) 2953, 2922, 2876, 2863, 1754, 1507, 1455, 1373, 1209, 1087, 1034, 929; ¹H NMR (CDCl₃, 270 MHz): δ 7.29 (m, 3H), 7.19 (m, 2H), 6.94 (m, 2H), 6.79 (m, 2H), 5.49 (d, J = 10.2 Hz, 1H), 5.41 (dd, J = 10.2, 2.4 Hz, 1H), 5.04 (dd, J = 2.4, 1.4 Hz, 1H), 4.73 (ddd, J = 7.3, 7.1, 1.4 Hz, 1H), 4.46 (d, J = 11.4 Hz, 1H), 4.38 (d, J = 11.4 Hz, 1H), 3.78 (d, J = 7.1 Hz, 2H), 3.77 (s, 3H), 2.15 (s, 3H), 1.82 (s, 3H); ¹³C NMR (CDCl₃, 67.5 MHz): δ 170.2, 170.1, 165.7, 154.9, 152.9, 136.9, 128.4 (2C), 128.0, 127.9 (2C), 117.7 (2C), 114.6 (2C), 76.5, 73.6, 73.2, 71.4, 69.4, 66.3, 55.6, 20.5, 20.4; CIHRMS: Calcd for $[C_{24}H_{26}O_9+Na]^+$: 481.1475. Found: 481.1466.

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- 7. We have found that this reaction works for various phenols, however, simple alcohols (e.g., BnOH) did not participate in this reaction.
- 8. Recently we have found that when the Sharpless reagent was used in a matched fashion on diols **5a/c**, tetrols were obtained in both excellent diastereoselectivity and enantio-selectivity, see: Ref. 4.

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- The overall sequence requires fewer steps (five vs eight) to a galacto-δ-lactone and occurs in a greater overall yield (40% vs 14%) than a related iterative aldol approach, see: Ref. 3e.
- 13. Particularly revealing coupling constants were the *J*'s between the two axial protons at *C*-2 and *C*-3 (e.g., for **10a**, $J_{2,3} = 10.2$ Hz) and between the equatorial proton at *C*-4 and the two axial protons at *C*-3 and *C*-5 (e.g., for **10a**, $J_{3,4} = 2.4$ Hz and $J_{4,5} = 1.4$ Hz).
- 14. As a representative example of this approach we have included experimental details and spectral data for the conversion of **6a** to **10a**.