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Discovery of niclosamide and its *O*-alkylamino-tethered derivatives as potent antibacterial agents against carbapenemase-producing and/or colistin resistant *Enterobacteriaceae* isolates

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ABSTRACT

Carbapenemase-producing *Enterobacteriaceae* (CPE) represents the most worrisome evolution of the antibiotic resistance crisis, which is almost resistant to most of available antibiotics. This situation is getting even worse particularly due to the recent emergence of colistin resistance. Herein, niclosamide, an FDA-approved traditional drug, and its novel *O*-alkylamino-tethered derivatives were discovered as new and potent antibacterial agents against carbapenemase-producing and/or colistin resistant *Enterobacteriaceae* isolates. Among these molecules, compound **10** (**HJC0431**) with 4-aminobutyl moiety showed the broad antibacterial activities, effective against 6 strains. *In vitro* checkerboard and time-kill course studies demonstrated the synergistic effects of the screened compounds with colistin against the corresponding strains with various degrees.

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The development of antibiotics for the treatment of fatal infections is considered one of the major breakthroughs of modern medications. However, the acquisition of resistance towards their antimicrobial activity has inevitably been happening. The emergence and dissemination of antibiotic resistant pathogens is becoming a serious threat to human health. The “ESCAPE” pathogens (*Enterococcus faecium*, *Staphylococcus aureus*, *Klebsiella pneumoniae*, *Acinetobacter baumannii*, *Pseudomonas aeruginosa*, and *Enterobacter species*) are responsible for the majority of nosocomial infection and capable of escaping the biocidal action of antimicrobial agents¹⁻³. In particular, carbapenemase-producing *Enterobacteriaceae* (CPE, mostly contributed by *K. pneumoniae*) represents the most worrisome evolution of the antibiotic resistance crisis, which is almost resistant to all available antibiotics, and the mortality rates associated with CPE infections are intolerably high^{4,5}. Currently, the clinically most important carbapenemases in *Enterobacteriaceae* include the class A enzymes of the *Klebsiella pneumoniae* carbapenemases (KPC) type, the zinc-dependent class B metallo- β -lactamases (MBLs) of the VIM, IMP, and NDM types and the plasmid-expressed class D carbapenemases of the OXA-48 type⁶. These types of CPE are structurally and

mechanistically different from each other, and this makes it challenging to identify an agent that is active against all types of CPE. Colistin and tigecycline have been reported as the remaining therapeutic options against various CPE infections⁷⁻⁹. Nevertheless, over the past few years, the colistin resistant CPE cases have been sporadically reported from various parts of the world, such as South Korea, Israel, Singapore and United States¹⁰. Thus, there is an urgent need for the screening and development of new antimicrobial agents to keep up with the evolution of the drug resistance mechanisms in the *Enterobacteriaceae* family.

Niclosamide, an FDA-approved drug, has been traditionally used in the clinic for the treatment of tapeworm infections for several decades, and it is well tolerated with extremely high acute oral LD₅₀ values of > 1000 mg/kg^{11,12}. Its mechanism of anticestodal action has been reported to involve uncoupling oxidative phosphorylation and stimulating adenosine triphosphatase activity in the mitochondrial¹²⁻¹⁵. Over the past several years, accumulated studies showed that niclosamide is a multifunctional drug that can regulate multiple signaling pathways and biological processes including Wnt/ β -catenin, mTORC1, STAT3, NF- κ B, Notch, NS2B-NS3 protease, pH,

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The synthesis of the selected compounds was summarized in **Scheme 1**. Analogues **2-6** were easily prepared by Mitsunobu reaction of niclosamide with corresponding amino alcohols. Mitsunobu coupling of niclosamide with *N*-Boc-protected amino alcohols was followed by Boc-deprotection to afford compounds **7-14**. The structures and purity of all synthesized compounds were confirmed by ^1H and ^{13}C NMR, HR-MS and HPLC analysis^{26, 27}. Sixteen clinical carbapenemase-producing and/or colistin resistant (COLR) *Enterobacteriaceae* isolates were selected for screening including carbapenemase-producing *Klebsiella pneumoniae* (KPC) (4 strains), standard KPC (CECT997), carbapenemase-producing NDM-1 COL-susceptible (2 strains) and Enterobacter COL-resistant (9 strains). As shown in **Table 1**, five compounds including niclosamide were discovered with antibacterial activities at the concentration of 50 $\mu\text{g/mL}$ ^{28, 29}. Niclosamide (**1**) showed inhibitory activities against two COL resistant strains: *E. cloacae* 280 and *E. cloacae* 297. Compound **8** with *S*-2-aminobutyl moiety inhibited the growth of *E. coli* NDM-1. Excitingly, compound **10** with 4-aminobutyl moiety showed the broad antibacterial activities, effective against 6 strains: KPC CECT-997, KPC-28, KPC NDM-1, *E. coli* NDM-1, *E. cloacae* 280 and *E. cloacae* 297. Compound **11** with 5-aminopentyl moiety was effective against KPC-28, KPC NDM-1 and *E. coli* NDM-1. Interestingly, compound **12** with 2-(2-

aminoethoxy) ethyl moiety also exhibited inhibitory activities against two strains: *E.coli* NDM-1 and *E. cloacae* 297. However, Compounds **7** with 2-aminoethyl moiety and **9** with 3-aminopropyl were found to have no effects. The active compounds **8** and **10-12** all bear a terminal amino side chain at the phenol moiety significantly improving their aqueous solubility, and the four carbon length side chain (**10**) displayed good and broad antibacterial activities. Meanwhile, compound **10** showed excellent aqueous solubility, with a saturated concentration of 650 µg/mL, which was determined by an HPLC analysis method³⁰. Other amino derivatives **2-6** and **13-14** with no terminal NH₂ moiety displayed no inhibitory activities against the sixteen selected strains at 50 µg/mL.

Chemical reaction scheme showing the synthesis of compounds 2-14 from compound 1 (Niclosamide) via reaction with R-OH (a or b).

Compound 1 (Niclosamide) reacts with R-OH (a or b) to form compounds 2-14.

Structure of Compound 1 (Niclosamide):

Oc1ccc(cc1C(=O)Nc2ccc(cc2)[N+](=O)[O-])Cl

Structure of Compound 2-14 (General structure):

Rc1ccc(cc1Oc2ccc(cc2)C(=O)Nc3ccc(cc3)[N+](=O)[O-])Cl

Structure of R groups (2-14):

2: CN(C)CC

3: C1CCN(CC1)CC

4: C1CCN(CC1)CC (Morpholine derivative)

5: C1CCN(CC1)CC (Piperidine derivative)

6: C1CN(C1)CC

7: NCC

8: C[C@H](N)CC

9: NCC

10: NCCCCC

11: NCCCCCC

12: NCCOCC

13: C1CCNCC1

14: C1CCN(CC1)CC

^aReagents and conditions: (a) ROH, Ph₃P, DIAD, THF, r.t, **2-6**. (b) i. Boc-ROH, Ph₃P, DIAD, THF, r.t; ii. TFA, CH₂Cl₂, 0°C to r.t, **7-14**.

Table 1. Inhibition screening of niclosamide and its *O*-aminoalkyl-tethered derivatives against selected clinical strains of carbapenemase-producing *Enterobacteriaceae* isolates and / or colistin resistant ^a

[illegible]

^a All compounds were screened at 50 μ M; + Inhibition of bacterial growth; - No inhibition of bacterial growth; KPC: *Klebsiella pneumoniae* carbapenemases; KPC-07 (VIM-1) (COL susceptible (S) MIC COL= 0.5 μ g/mL); KPC-21 (VIM-1, DHAI) (COL resistant (R) MIC COL= 64 μ g/mL); KPC-28 (OXA-48, CTX-M-15) (COLS MIC COL= 0.5 μ g/mL); KPC-29 (OXA-48, CTX-M-15) (COLR MIC COL= 32 μ g/mL); KPC NDM-1 (MIC COL= 0.5 μ g/mL); *E. coli* NDM-1 (MIC COL= 0.5 μ g/mL); *E. aerogenes* 3 (MIC COL= 32 μ g/mL); *E. cloacae* 32 (MIC COL > 256 μ g/mL); *E. aerogenes* 53 (MIC COL= 32 μ g/mL); *E. cloacae* 142 (MIC COL= 64 μ g/mL); *E. cloacae* 190 (MIC COL= 32 μ g/mL); *E. cloacae* 263 (MIC COL= 8 μ g/mL); *E. cloacae* 272 (MIC COL= 16 μ g/mL); *E. cloacae* 280 (MIC COL= 32 μ g/mL); *E. cloacae* 297 (MIC COL= 3 μ g/mL).

The minimal inhibitory concentration (MIC) values of the selected *in vitro* active derivatives were further evaluated^{31, 32}. As listed in **Table 2**, the MIC values of compound **10** against KPC CTCT-997, KPC-28 and *E. coli* NDM-1 were 15 μ g/mL. Compound **11** also showed good inhibitory activity against KPC-28 strain with an MIC of 15 μ g/mL, while all other MIC values were higher than 15 μ g/mL.

Table 2. Minimal inhibitory concentration (MIC; μ g/mL) of the *in vitro* active derivatives^a

Compd	KPC		<i>E. coli</i> NDM-1	KPC NDM-1	<i>E. cloacae</i>	
	CECT-997	28			280	297
1	-	-	-	-	>15	>15
8	-	-	>15	-	-	-
10	15	15	15	>15	>15	>15
11	-	15	>15	>15	-	-
12	-	-	>15	-	-	>15

^a KPC: *Klebsiella pneumoniae* carbapenemases; - No inhibition.

Considering the drawbacks of colistin and other potential active antibiotics in monotherapy, combination therapy has been raised as an interesting strategy to overcome those limitations as a single agent. *In vitro* synergy against CPE has been documented with some agents such as colistin-rifampin and colistin-tigecycline^{33, 34}. We also investigated *in vitro* synergisms of these active derivatives together with COL using checkerboard assay and time-kill kinetic assays. As depicted in **Table 3**, these active compounds displayed various degrees of synergistic

effects with COL against the selected strains. Some of the time-kill curves also confirmed these synergistic effects. The combination of niclosamide (**1**) and COL was bactericidal against *E. cloacae* 280 since 2 hrs and synergistic since 8 hrs (**Figure 1A**). Compound **10** and COL showed bactericidal effect against *E. coli* NDM-1 since 2 hrs, while their combination was bactericidal since 2 hrs as well (**Figure 1B**). Compound **10** had a synergistic effect with COL against KPC-28 since 8 hrs, and the combination was bactericidal from 4 hrs to 8 hrs (**Figure 1C**). The combination of **11** and COL also had a bactericidal and synergistic activity against KPC-28 since 2 hrs (**Figure 1D**).

Table 3. Checkerboard assay of the *in vitro* active derivatives^a

Compd	Strains	Concentrations	FICI
1	<i>E. cloacae</i> 280	1/8 COL+ 1/8 compound 1	0.25
	<i>E. cloacae</i> 297		
8	<i>E. coli</i> NDM-1	1/4 COL+ 1/4 compound 8	0.50
10	KPC ^b NDM-1	1/4 COL+ 1/4 compound 10	0.50
	<i>E. coli</i> NDM-1	1/8 COL+ 1/8 compound 10	0.25
11	<i>E. coli</i> NDM-1	1/4 COL+ 1/8 compound 11	0.38
		1/8 COL+ 1/4 compound 11	

^a The FICI was interpreted as follow: synergism = FICI \leq 0.5; indifferent > 0.5 to \leq 4; antagonism = FICI > 4. The experiments were performed in triplicate.

^b KPC: *Klebsiella pneumoniae* carbapenemases.

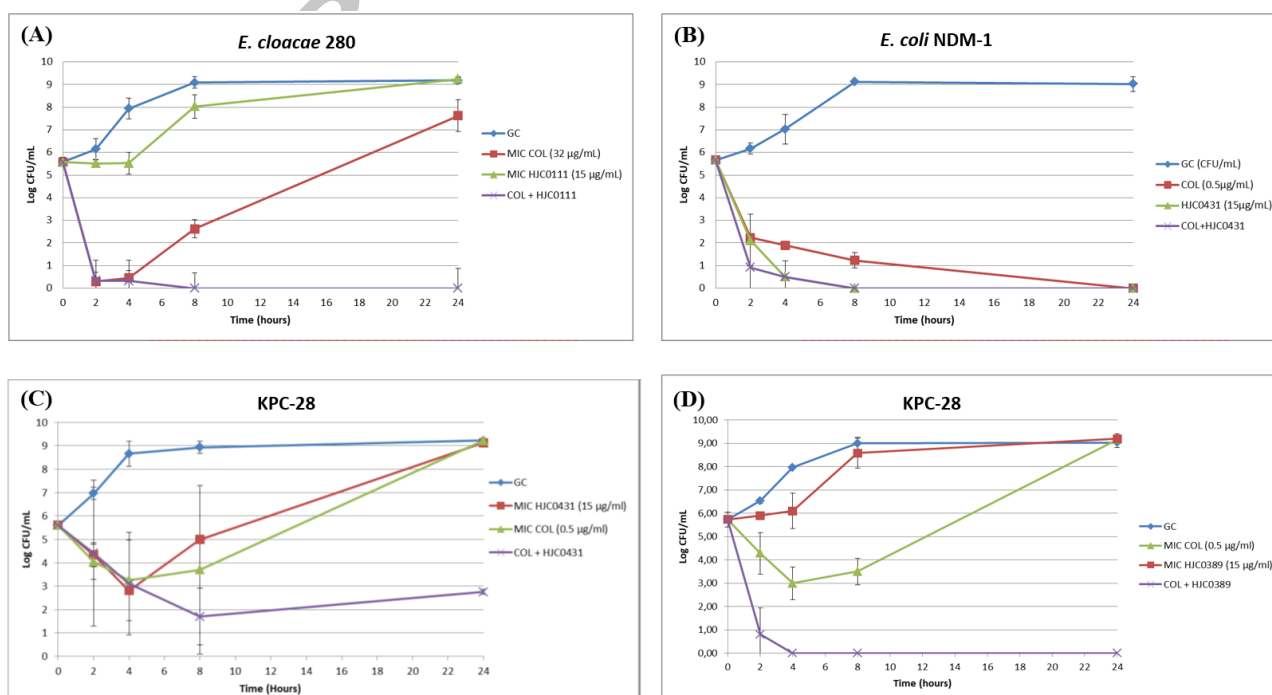


Figure 1. Time-kill curves. (A) Compound **1** (HJC0111) and COL alone and in combination against *E. cloacae* 280. (B) Compound **10** (HJC0431) and COL alone and in combination against *E. coli* NDM-1. (C) Compound **10** (HJC0431) and COL alone and in combination against KPC-28 (OXA-48, CTX-M-15). (D) Compound **11** (HJC0389) and COL alone and in combination against KPC-28 (OXA-48, CTX-M-15).

In summary, niclosamide and its novel *O*-alkylamino-tethered derivatives (**8**, **10**, **11** and **12**) were discovered with antibacterial activities against carbapenemase-producing and/or colistin resistant *Enterobacteriaceae* isolates. Among these compounds, **10** (**HJC0431**) with 4-aminobutyl moiety showed the broad antibacterial activities, effective against 6 strains. *In vitro* checkerboard and time-kill course studies demonstrated the synergistic effect of the screened compounds with COL against the corresponding strains with various degrees. These promising data support the further optimization potential of *O*-alkylamino-tethered derivatives as new and unique antibiotics against carbapenemase-producing and/or colistin resistant *Enterobacteriaceae* isolates.

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- Spectra data of the representative compound: (S)-2-(2-Aminobutoxy)-5-chloro-N-(2-chloro-4-nitrophenyl)benzamide (**8**). Pale yellow solid. ¹H NMR (600 MHz, DMSO-*d*₆) δ 8.51 (d, *J* = 9.1 Hz, 1H), 8.43 (d, *J* = 2.4 Hz, 1H), 8.28 (dd, *J* = 9.1, 2.6 Hz, 1H), 7.92 (d, *J* = 2.8 Hz, 1H), 7.64 (dd, *J* = 8.9, 2.8 Hz, 1H), 7.38 (d, *J* = 9.0 Hz, 1H), 4.24 (dd, *J* = 10.2, 5.0 Hz, 1H), 4.06 (dd, *J* = 10.1, 7.5 Hz, 1H), 3.02 (s, 1H), 1.56 – 1.48 (m, 1H), 1.32 – 1.26 (m, 1H), 0.91 (t, *J* = 7.5 Hz, 3H). ¹³C NMR (150 MHz, DMSO-*d*₆) δ 162.7, 155.6, 143.3, 141.2, 133.4, 130.4, 125.1, 124.8,

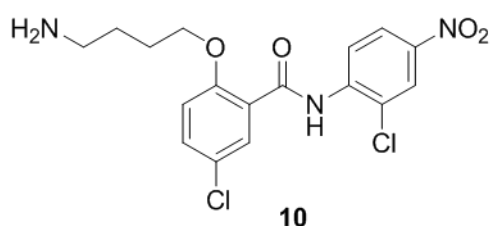
- 124.5, 123.4, 123.3 (2C), 116.4, 74.8, 51.0, 26.5, 10.1. HRMS (ESI) calcd for $C_{17}H_{18}Cl_2N_3O_4$ 398.0674 ($M + H$)⁺, found 398.0670. HPLC analysis conditions: Waters μ Bondapak C18 (300 \times 3.9 mm); flow rate 0.5 mL/min; UV detection at 270 and 254 nm; linear gradient from 10% acetonitrile in water (0.1% TFA) to 100% acetonitrile (0.1% TFA) in 20 min followed by 30 min of the last-named solvent; t_R = 17.07 min; purity (by peak area) 96%.
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 29. The activity screening studies were performed as follows: All compounds were screened at 15 μ g/mL in 96-well plates to identify which produced inhibition of growth of the 16 clinical *Enterobacteriaceae* strains. Briefly, 50 μ L of 1×10^6 Colony Forming Unit (CFU) were inoculated in 50 μ L M \ddot{u} ller Hinton Broth (MHB) medium in each well containing the different derivatives. Then, plates were incubated at 37 $^{\circ}$ C with humidity for 18 hrs. For each tested compound and strain, if after the incubation visual growth was observed in the wells the compound activity was considered negative. If no visual growth was observed in the wells the compound activity was considered positive. The screening was performed in duplicate.
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 32. For the determination of the Minimal Inhibitory Concentration (MIC) a broth microdilution method was used (cation-adjusted M \ddot{u} ller Hinton broth; Becton Dickinson, Cockeysville, Md.), in accordance with the CLSI guidelines (Clinical and Laboratory Standards Institute, 2012). The compounds for which inhibition of the bacterial growth was observed in the screening studies were tested (6 clinical strains). The MIC value was the lowest concentration of derivative compound that completely inhibited the bacterial growth. All assays were performed in triplicate to ensure reproducibility.
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KPC CETT-997	} MIC = 15 µg/mL
KPC-28	
<i>E. coli</i> NDM-1	
KPC NDM-1	} MIC >15 µg/mL
<i>E. cloacae</i> 280	
<i>E. cloacae</i> 297	

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