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Antioxidant activity of protocatechuates evaluated by DPPH, ORAC, and CAT methods

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3 ABSTRACT

4 Hibiscus sabdariffa L. is a worldwide consumed plant, principally after infusion of its 5 dried sepals and calyces, which are usually discarded. Nevertheless, they represent 6 a potential source of natural bioactive compounds, e.g. polyphenols, which could add 7 value to this under-exploited plant. Protocatechuic acid (PA) was chosen as a model 8 of the phenolic acids that can be extracted from H. sabdariffa. In order to modify PA 9 hydrophilic character, which limits its use in lipid-rich food products, PA was esterified 10 to C₁-C₁₈ alcohols, and the impact of lipophilization on its antioxidant activity was 11 evaluated in both, an homogeneous (DPPH and ORAC methods) and an 12 heterogeneous (CAT method) system. Results herein obtained showed that, 13 depending on the grafted alkyl chain length, lipophilization could positively affect the 14 antioxidant activity of PA in heterogeneous media; therefore, support its use as an 15 innovative way to synthesize molecules with an improved antioxidant capacity and 16 potential to be used as multifunctional preservatives in food.

17

18 HIGHLIGHTS

- 19 Protocatechuic acid (PA) was successfully lipophilized using alcohols from C₁-C₁₈.
- 20 Lipophilization could improve PA antioxidant capacity in heterogeneous media.
- 21 Antioxidant activity is related to compound polarity and method of evaluation.
- 22 Protocatechuates could be considered as potential preservatives in food.
- An innovative way to add-value to phenolic-rich vegetal extracts is proposed.
- 25 KEYWORDS: antioxidant, *Hibiscus sabdariffa* L, protocatechuic acid,
 26 protocatechuates, ORAC, DPPH, CAT, lipophilization, bioactive compounds, food
 27 additive.
- 28

29 **1. Introduction**

30 The incorporation of antioxidants, which are molecules capable of preventing 31 and/or delaying the oxidative lipid damage when used in proper conditions, 32 represents a key alternative to overcome the quality deterioration of lipid-based foods 33 products, provoked mainly by the attack of the reactive oxygen species (ROS) 34 (Laguerre et al., 2015). Depending on different factors, such as the physico-chemical 35 characteristics of the media where they are located and their interaction with other 36 compounds, the antioxidants can act as retarders, when they counteract lipid 37 oxidation by protecting target lipids from oxidation initiators; or by hindering the propagation phase, the so-called "chain-breaking" antioxidants. From a kinetic 38 39 standpoint, chain-breaking antioxidants induce a lag phase where no considerable 40 oxidation occurs, contrary to the retarders, where no distinct lag phase is observed 41 (Laguerre, Lecomte, & Villeneuve, 2007). Between the number of molecules 42 considered as antioxidants, phenolic compounds are particularly important because 43 of their high redox potentials, and also, because they are the most abundant 44 antioxidants found in the diet (Scalbert, Johnson, & Saltmarsh, 2005; Tsao & Deng, 45 2004).

46 Protocatechuic acid (3, 4-dihydroxybenzoic acid; PA) has been mainly recognized 47 as a potent antioxidant. Moreover, it posses antibacterial, anticancer, anti-48 inflammatory, and several others activities (Chao & Yin, 2009; Kakkar & Bais, 2014; 49 Soares et al., 2014; Stojkovic et al., 2013; Yan et al., 2004). PA has been isolated 50 from the dried flowers of *Hibiscus sabdariffa* L., a plant used since ancient times in 51 herbal medicine for its biological properties (Olvera-García et al., 2008; Patel, 2014; 52 Tanaka, Tanaka, & Tanaka, 2011; Tseng et al., 2000), and also, to produce non-53 alcoholic beverages, jellies, confectionaries, and other food products. Though

hibiscus flower is a powerhouse of phytochemicals, it still remains as an underexploited plant (Patel, 2014).

56 Kakkar and Bais (2014) determined that, due to low absorption by oral route, PA is 57 a nontoxic and a relatively safe compound for oral administration. Nevertheless, the 58 incorporation of polyphenols, such as PA, in lipid-rich matrices is complex due to its 59 general low solubility in lipidic media. To counteract this problem, lipophilization of 60 phenolic compounds, by esterification with an acyl or an alkyl donor, has been 61 recently used as a strategy to ameliorate their performance in heterogeneous media, 62 such as food products (Lecomte, Giraldo, Laguerre, Baréa, & Villeneuve, 2010; 63 Sørensen et al., 2014; Trujillo et al., 2006). According to these authors, when grafting 64 a certain carbon chain length, a threshold is reached, called the cut-off effect, after 65 which, a drastic decrease in antioxidant capacity was observed (Laguerre et al., 66 2009; Laguerre et al., 2010). Esterification of PA with some acyl and alkyl donors has 67 been done and the biological properties of the obtained esters were evaluated. The 68 findings showed that, regardless of carbon chain length, they exhibited fungicidal, 69 antioxidant and antiradical activity (Ha, Shimizu, & Kubo, 2014; Nihei, Nihei, & Kubo, 70 2003; Saito, Okamoto, & Kawabata, 2004).

71 In the present work, chemical synthesis of a complete series of PA esters (from C_1 72 to C₁₈) was successfully made. Newly synthesized protocatechuates were assessed 73 for antioxidant activity in three test systems: two without lipid-water interface 74 (DPPH/alcoholic solution, ORAC/aqueous solution) and another one with such an 75 interface (CAT/oil-in-water emulsion). The ORAC and CAT assays differ from each 76 other on their oxidizable substrate: fluorescein in the ORAC assay and 77 triacylglycerols from stripped tung oil (plus Brij 35 as surfactant) in the CAT assay. 78 Not only did these assays allow us to evaluate the effect of the esterification on the

antioxidant activity of the native molecule, but also demonstrated how the test media was a determinant factor in the antioxidant capacity showed by this kind of molecules. The existence of a cut-off effect within the homologous series of the synthesized protocatechuates is also discussed.

83

84 2. Materials and methods

85 2.1. Materials

86 Protocatechuic acid (3,4-dihydroxybenzoic acid, PA), protocatechuic acid ethyl 87 ester (ethyl 3,4-dihydroxybenzoate), sulfuric acid, fluorescein sodium salt (used as 88 fluorescent tracer), Brij 35 (neutral emulsifier), silica gel (high-purity grade, pore size 89 60 Å, 70-230 mesh), aluminum oxide (alumina, type CG-20), sodium carbonate (pure 90 dry), molecular sieves (3 Å, beads, 4-8 mesh), alcohols (used for the synthesis: 91 methanol, butanol, hexanol, octanol, decanol, dodecanol, tetradecanol, hexadecanol, 92 octadecanol), and solvents of HPLC or analytical grade (water, acetonitrile, hexane, 93 ethyl acetate, tetrahydrofuran (THF), formic acid, acetic acid) were purchased from 94 Sigma-Aldrich (Saint Quentin, France). Phosphate buffer (PB) solution (pH 7.2) was 95 purchased from Fluka (Saint Quentin, France). Tung oil (China wood oil), 1,1-96 diphenyl-2-picrylhydrazyl (DPPH) and 2,2'-azobis(2-methylpropionamidine) 97 dihydrochloride (AAPH) were purchased from Aldrich (Saint Quentin, France). Trolox was from ACROS Organics[™] (Illkirch, France). 98

99

100 2.2. Chemical synthesis and purification of protocatechuates

101 2.2.1. Chemical synthesis

PA esters were synthesized as described by Reis et al. (2010). Modifications tothe general procedure were made to allow the use of fatty alcohols with a longer

104 carbon chain and facilitate the purification step. Briefly, 1 g (6.49 mmol) of 105 protocatechuic acid was solubilized in 3 mL of THF. Then, 3 molar equivalents (19.47 106 mmol) of the corresponding alcohol (from methanol to octadecanol, C_1 to C_{18} , respectively) were added. Sulfuric acid was used as catalyst (4 %, v/v of the final 107 108 reaction mixture volume) and the reaction mixtures were incubated in an orbital 109 shaker (250 rpm) at temperatures varying from 45-65 °C, depending on the alcohol 110 used, for approximately 5 to 10 days, protected from light. To remove water 111 generated during the reaction, molecular sieves were added to the medium (40 112 mg/mL of final volume). At different time intervals, samples were withdrawn from the 113 reaction mixture, and then analyzed by reversed-phase HPLC (Section 2.3). The 114 reaction was stopped by the addition of 2 mL of sodium carbonate (1 M). The mixture 115 was dissolved in approximately 100 mL of acetonitrile and filtrated (150 mm standard 116 pleated filter, Grosseron, Saint-Herblain, France). The resulting solution was stocked 117 at 4 °C until purification. In the case of the esters with an alkyl chain length from 14 to 118 18 carbons (solids at room temperature), it was necessary to wash the filter using 119 heated acetonitrile.

120

121 *2.2.2. Flash chromatography*

Protocatechuates were purified using a normal phase column (RediSep® Rf, normal-phase silica Flash column 40 g, Teledyne Isco, Nebraska, USA) in a CombiFlash® Companion® system (Teledyne Isco, Nebraska, USA). Compounds, previously solubilized in acetonitrile, were adsorbed in silica gel to form a pre-column. The mobile phase consisted of A: chloroform, and B: ethyl acetate, with a gradient starting at 0 % B from 0 to 15 min, and to 100 % B, from 15 to 45 min, at a flow rate

of 30 mL/min. Compounds were detected and collected at 260 nm. Fractions wereanalyzed by thin layer chromatography (TLC).

130

131 *2.2.3.* TLC analysis

132 Collected samples from flash chromatography were manually applied on silica gel 133 60 F₂₅₄ plates (5 x 10 cm, Merck Millipore, Darmstadt, Germany). Development was 134 carried out with the upper phase of hexane/ethyl acetate/formic acid (70:30:1, v/v/v). 135 The spots were then visualized after spraying plates with a solution of ferric chloride 136 (0.5 mg/mL) in sulfuric acid/acetic acid/water (5:5:90, v/v/v)) followed by heating at 137 150 °C for 10 min. Samples without detected residual alcohol were pooled, 138 evaporated under reduced pressure at 37 °C, and put in a vacuum drying oven at 139 room temperature to eliminate traces of solvent. R_f values (from C₄ to C₁₈ 140 respectively): 0.39, 0.4, 0.42, 0.45, 0.48, 0.5, 0.5, 0.53.

141

142 2.3. HPLC analysis

143 HPLC analysis were carried out on a Shimadzu LC-20AD equipped with a DAD 144 SPO-M20A and a column oven CTO-10AS_{VP} (Shimadzu, Noisiel, France), using a Kinetex 5 µm C18 column (100 Å, 4.6 x 250 mm; Phenomenex, Le Pecq, France). 145 146 The mobile phase was A: acetic acid (0.1 %, v/v), and B: methanol (0.1 %, v/v acetic 147 acid). The following gradient was applied: 0-3 min, isocratic at 100 % A; 3-10 min, 148 linear gradient to 100 % B; 10-20 min isocratic at 100 % B; 20-22 min, linear gradient 149 to 100 % A; 22-25 min, equilibration at 100 % A. Compounds showed maximal 150 absorbance at 260 nm. The purified products were also analyzed by LC-MS, using 151 the same solvent system that for the HPLC analysis.

- 153 2.4. NMR analysis
- Structure of the esterified compounds obtained was confirmed by NMR (¹H NMR,
 500 MHz, MeOD).
- 156

157 Data for metyl protocatechuate: Yield: 88 %; purity: 100 %. ¹H NMR (500 MHz,

158 MeOD) δ = 7.51-7.30 (m, 2H), 6.81-6.79 (m, 1H), 3.83 (s, 3H). LC-MS *m/z*: 166.9 [M-

- 159 H]⁻.
- 160 Data for butyl protocatechuate: Yield: 82 %; purity: 94.3 %. ¹H NMR (500 MHz,
- 161 MeOD) δ = 7.42-7.39 (m, 2H), 6.79 (dd, *J*=8.1, 0.4, 1H), 4.24 (t, *J*=6.5, 2H), 1.79-1.67
- 162 (m, 2H), 1.55-1.41 (m, 2H), 0.98 (t, *J*=7.4, 3H). LC-MS *m/z*: 208.9 [M-H]⁻.
- 163 Data for hexyl protocatechuate: Yield: 82 %; purity: 100 %. δ = 7.49-7.32 (m, 2H),
- 164 6.79 (dd, J=8.1, 0.4, 1H), 4.23 (t, J=6.6, 2H), 1.76-1.70 (m, 2H), 1.46-1.42 (m, 2H),
- 165 1.40-1.29 (m, 4H), 1.02-0.78 (m, 3H). LC-MS *m/z*: 236.9 [M-H].
- 166 Data for octyl protocatechuate: Yield: 85 %; purity: 99.4 %. ¹H NMR (500 MHz,
- 167 MeOD) δ = 7.49-7.32 (m, 2H), 6.79 (dd, *J*=8.1, 0.4, 1H), 4.23 (t, *J*=6.6, 2H), 1.76-1.70
- 168 (m, 2H), 1.54-1.19 (m, 10H), 0.89 (t, *J*=7.0, 3H). LC-MS *m/z*: 265 [M-H]⁻.
- 169 Data for decyl protocatechuate: Yield: 89 %; purity: 99.9 %. ¹H NMR (500 MHz,
- 170 MeOD). δ = 7.43-7.40 (m, 2H), 6.80 (d, J=8.3, 1H), 4.23 (t, J=6.6, 2H), 1.82-1.65 (m,

171 2H), 1.54-1.20 (m, 14H), 0.89 (t, *J*=7.0, 3H). LC-MS *m/z*: 293.1 [M-H].

- 172 Data for dodecyl protocatechuate: Yield: 94 %; purity: 98.5%. ¹H NMR (500 MHz,
- 173 MeOD) δ = 7.43-7.40 (m, 2H), 6.80 (d, J=8.1, 1H), 4.23 (t, J=6.6, 2H), 1.82-1.65 (m,
- 174 ▶ 2H), 1.53-1.17 (m, 18H), 0.89 (t, *J*=7.0, 3H). LC-MS *m/z*: 321.1 [M-H]⁻.
- 175 Data for tetradecyl protocatechuate: Yield: 95 %; purity: 96.6 %. ¹H NMR (500 MHz,
- 176 MeOD) δ = 7.43-7.40 (m, 2H), 6.80 (d, J=8.2, 1H), 4.23 (t, J=6.6, 2H), 1.75-1.71 (m,
- 177 2H), 1.49-1.17 (m, 22H), 0.91-0.88 (m, 3H). LC-MS *m/z*: 349.1 [M-H]⁻.

- 178 Data for hexadecyl protocatechuate: Yield: 59 %; purity: 84.6 %. ¹H NMR (500 MHz,
- 179 MeOD) δ = 7.58-7.38 (m, 2H), 6.89 (d, J=8.3, 1H), 4.22 (t, J=6.6, 2H), 1.82-1.67 (m,

180 2H), 1.59-1.13 (m, 26H), 0.87 (t, *J*=6.8, 4H). LC-MS *m/z*: 377.2 [M-H]⁻.

- 181 Data for octadecyl protocatechuate: Yield: 65 %; purity: 96.1 %. ¹H NMR (500 MHz,
- 182 Acetone) δ = 7.61-7.32 (m, 2H), 6.89 (dd, J=8.3, 0.9, 1H), 4.22 (t, J=6.6, 2H), 1.82-
- 183 1.58 (m, 2H), 1.55-1.12 (m, 30H), 0.87 (t, *J*=6.5, 3H). LC-MS *m/z*: 405.3 [M-H].
- 184
- 185 2.5. Gas chromatography analysis (GC)

186 Methanolic solutions (10 mM) of the synthesized compounds were analyzed by 187 GC using FOCUS GC apparatus (Thermo Scientific, France) equipped with a flame 188 ionisation detector (FID) and a SPBtm-1 capillary GC column (L x I.D. 30m x 0.32 189 mm; df 0.25 µm) (Supelco-Sigma-Aldrich, France). Carrier gas was helium with a flow 190 rate of 1.5 mL/min, and a split ratio of 1/15. The temperature of the injector was 280 °C, and that for flame ionization detection was 310 °C. Oven temperature settings 191 were as follows: 60 to 310 °C at 10 °C/min, and hold at 310 °C for 10 min. Chrom-192 193 Card software was used for data handling.

194

195 2.6. Anti-radical and antioxidant activity measurements

196 *2.6.1. DPPH assay*

The anti-radical activity of the protocatechuic acid and its esters was determined by the DPPH method described by Brand-Williams, Cuvelier, and Berset (1995), adapted to microplate assay. Twenty microliters of each sample dilution in methanol (0-30 μ M final concentration) and 180 μ L of a DPPH methanolic solution (150 μ M final concentration) were added to a 96-well microplate (UV-star, flat-bottom, chimney well, μ clear, Greiner Bio-One, Frickenhausen, Germany). Absorbance was

immediately read at 515 nm, and every minute during the first 15 min, then at 30, 45,
90, and 120 min, when no lecture variation was detected. Trolox was used as internal
control, and for the blank, sample was substituted by methanol. For each antioxidant
concentration tested, the loss on the absorbance was measured and the net
absorbance was obtained from:

208

```
Abs_{net} = Abs_{Blank} - Abs_{spl} (1)
```

210

211 Where Abs_{Blank} corresponds to the absorbance of the blank and Abs_{spl} , to the 212 absorbance of the sample, both obtained at 120 min of reaction. For each compound, 213 four concentrations were evaluated and the values of the Abs_{net} were plotted to 214 obtain a linear equation from which the anti-radical activity was calculated, as follows: 215

216 $ARA_{value} = (Sample_{slope} / Trolox_{slope}) x (moles of Trolox / moles of sample) (2)$

217

Being ARA_{value} the anti-radical activity value of each synthesized antioxidant. A good linear relationship ($R^2 > 0.99$) is needed between Abs_{net} and the antioxidant concentration for all the compounds tested, to make an adequate calculation of the anti-radical activity. The results were expressed as Trolox equivalents (TE) (moles of Trolox/ moles of sample).

223

224 2.6.2. Oxygen radical absorbance capacity assay (ORAC assay)

The peroxyl radical scavenging activity of phenolic antioxidants was determined using the method adapted from Ou, Hampsch-Woodill, and Prior (2001). Dilutions (from 0 to 2 μ M) of each compound previously solubilized in methanol were prepared

228 in PB (pH 7.2). It is important to mention that when methanol replaced PB as solvent 229 for dilutions, fluorescence bleaching of fluorescein did not occur through the reaction 230 time, resulting in a no-decay kinetic curve (data not shown). This behavior suggest an influence from the methanol on the absorption and emission spectra of fluorescein 231 232 (Biswas, Bhattacharya, Sen, & Moulik, 1999; Martin & Lindqvist, 1975). Briefly, 50 µL 233 of sample and 100 µL of a 0.126 µM fluorescein-PB (FL) solution were transferred to 234 a 96-well black microplate (PS, flat- bottom, chimney well, fluotrac 200, black, 235 Greiner Bio-One, Frickenhausen, Germany). After the incubation time (20 min/ 37 °C/ 236 600 rpm, light protected), 50 µL of a freshly prepared AAPH-PB solution (32 mM) were added and fluorescence was immediately read at 515 nm (λ_{ex} : 490 nm). The 237 238 loss of fluorescence was followed every minute for 2 h at 37 \pm 0.1 °C, with 5 s stirring 239 before each measurement. The final mixture (200 µL) in the microplate consisted of 240 0.063 µM of FL, 8 mM of AAPH, and 4 concentrations of the sample (0-2 µM). Trolox 241 was used as the internal control. Blank was prepared using methanol instead of the 242 sample. The area under the curve (AUC) was calculated as:

243

244 AUC= 1 +
$$f_1/f_0 + f_2/f_0 + f_3/f_0 + f_4/f_0 + \dots + f_{120}/f_0$$
 (3)

245

Where f_0 is the initial fluorescence read at 0 min and f_i is the fluorescence read at time *i*. The net AUC was obtained by subtracting the AUC of the blank from that of each sample. ORAC values were obtained from the net AUC and expressed as TE: 249

250 ORAC value= (net AUC_{spl} / net AUC _{Trolox})/ (moles of Trolox / moles of sample) (4)

253 Antioxidant capacity of the synthesized compounds in an microemulsified media 254 was measured by the CAT assay developed by Laguerre et al. (2008), with the 255 improvements to assess both hydrophilic and hydrophobic compounds (Laguerre et al., 2010). This method is based on the oxidation of the triacylolycerols (TAG) from 256 257 the tung oil (previously stripped of tocopherols), which, due to their high content in 258 octadecatrienoic acid with a conjugated triene, are very sensible to oxidation and 259 exhibit strong absorption in the UV domain at 273 nm; making possible the 260 spectrophotometric measurement. An Ultra Turrax homogenizer (Janke &Kunkel, 261 Staufen, Germany) and an Infinite M1000 PRO microplate reader (Tecan, Gröedig, 262 Austria) equipped with Magellan software, were used. According to the authors, it is 263 possible to make spectrophotometric measurements in this emulsion if we consider it 264 to be likely a microemulsion, whose droplet diameter is small enough to avoid light 265 scattering (Laguerre et al., 2010). Results were expressed in TE, in the same way as in Eq (4), corresponding to the CAT value of each compound. 266

267

268 2.7. Statistical analysis

Results are expressed as means \pm standard deviation (SD) of three measurements for the DPPH, ORAC, and CAT assays. The data were subjected to a one-way analysis of variance (ANOVA) using the program JMP software v.8 (SAS Institute, USA). The level of significance was set at *p*< 0.05.

273

274 3. Results and discussions

275

276 3.1. Chemical synthesis and characterization of protocatechuic acid esters

277 PA was chemically esterified with a series of alcohols (from C_1 to C_{18}), with a 278 moderate to good molar yield (58.6-94.5 %). The structure (Fig. 1) and the purity of 279 the products were confirmed by NMR, LC-MS and GC. The commercially available 280 ethyl protocatechuate was also used in this study. Molar yields obtained were similar 281 to those previously reported for the PA chemically esterified with shorter alkyl chains 282 (Reis et al., 2010); and also, with those reported for other phenolics enzymatically 283 esterified, such as hydroxytyrosyl esters (Trujillo et al., 2006), and rosmarinic acid 284 esters (Lecomte et al., 2010). It is worthy to mention that the enzymatic esterification 285 of the PA with short chain alcohols using lipase from Candida antarctica 286 (Novozymes® CALB EC 3.1.1.3) was also assayed (data not shown), detecting only, 287 or no traces, of the corresponding esters after more than twelve days of reaction. 288 Accordingly, some authors have reported a partial, or even total, enzyme inhibition when the acid function (directly bound to the aromatic ring or via double bound) is 289 290 conjugated with a phenolic hydroxyl in *para* position, with regard to the side chain 291 bearing the acid, such as in caffeic or in PA (Figueroa-Espinoza, Laguerre, 292 Villeneuve, & Lecomte, 2013; Guyot, Bosquette, Pina, & Graille, 1997; Lecomte et 293 al., 2010; Stamatis, Sereti, & Kolisis, 1999).

294

295 3.2. Antioxidant evaluation of protocatechuates

296 In Fig. 2 a comparison between the three different methods is attempted to be 297 made. Such a comparison serves just to give a global idea of the behavior of the new 298 synthesized compounds with respect to their antioxidant activity. From this figure, we 299 can clearly observe that the polarity of the system, but also the length of the esterified 300 chain, have a strong influence on the antioxidant capacity of the synthesized 301 compounds. In DPPH test, protocatechuates were more active than their parent

302 molecule. In contrast, for the ORAC assay, a markedly diminution of the 303 protocatechuates antioxidant activity was observed as the esterified alkyl chain 304 length increased. A non-linear behavior was shown in the CAT method. Lipophilization of PA with fatty alcohols from short to medium chain lengths (C_1 to C_6) 305 306 had a positive impact in the behavior of this molecule in an oil-in-water emulsion, 307 which is of great importance having in mind that, from food products to the systems 308 found in cells, we found mostly heterogeneous media. Other parameters of these 309 phenolipids, such as the partition coefficient, are being evaluated.

310

311 *3.2.1.* DPPH assay

312 The main mechanism of action of phenolic antioxidants is free radical scavenging 313 (Reis et al., 2010). To evaluate this activity, DPPH assay constitutes a widespread 314 and easy-to-use protocol, even if it does not involve an oxidizable substrate (Lopez-315 Giraldo et al., 2009). Results from the measurement of the scavenging activity of PA 316 and its esters are presented in Fig. 2. Protocatechuates exhibited better antiradical 317 activity than their parent compound. Among them, a slightly but significant difference 318 was observed when increasing from short/medium (C_2 - C_8) to longer carbon chains 319 $(C_{10}-C_{18})$, suggesting that lipophilization positively affected antiradical activity of these 320 compounds, probably due to the increment of their solubility in organic media, as the 321 chain length increased. Accordingly, it was previously reported that PA had a lower 322 DPPH radical scavenging activity than its derivatives, being the protocatechuic propyl 323 ester the most effective of the synthesized compounds (Reis et al., 2010). 324 Nevertheless, chlorogenates and rosmarinates did not show this tendency, being the 325 C_4 and C_8 -chlorogenates, and the C_{12} -rosmarinate, the only compounds with better 326 DPPH scavenging activity compared to the unesterified one (Lecomte et al., 2010;

327 Lopez-Giraldo et al., 2009). Therefore, it has been suggested that the slow radical-328 scavenging reaction of PA compared to its esters is due to the dissociation of the 329 carboxylic acid function to an electron-donating carboxylate ion, which decreases the 330 electron-withdrawing property of the substituent, and thus decreases the 331 susceptibility of the first formed O-quinone towards nucleophilic attack by an alcoholic 332 solvent. Consequently, the ring would be richer in electrons for the acid than for its 333 esters, decreasing the electrophilicity of the carbon (Saito & Kawabata, 2006). 334 Subsequent dimerization was also proposed to explain the increased radical 335 scavenging of protocatechuates in alcohol solvent. Furthermore, a reactivity 336 contribution from the products formed throughout the oxidation reaction was 337 suggested, remarking the importance of adequate assay duration (Lopez-Giraldo et 338 al., 2009; Saito et al., 2004).

339

340 *3.2.2. ORAC assay*

ORAC values obtained for the PA and its derivatives are shown in Fig. 2. A higher 341 342 protective capacity was observed for PA than for its derivatives, also predicted from 343 the behavior in the fluorescence decay curves, where the esters lost fluorescence approximately from the beginning of the reaction (Fig. 3 and Fig. 4). Contrary to the 344 345 behavior observed in the DPPH assay, the grafting of an alkyl chain to the 346 protocatechuic acid, negatively affected the antioxidant capacity of the molecules. 347 Therefore, in this case, the decrease in the antioxidant activity can be explained by 348 the decrease of the solubility of the lipophilized compounds in water. Nevertheless, 349 protocatechuates from C_1 to C_8 were more effective than Trolox (ORAC value from 350 1.6 to 1 TE, respectively). These results are in agreement with others previously 351 reported, e. g. the p-hydroxyphenylacetic acid (HPA) and its conjugates, where HPA

was better than its dodecyl and butyl ester (Yuji et al., 2007); in the case of nitrohydroxytyrosyl esters, side chains with 6 or more carbon atoms induced a negative effect on the antioxidant activity (Trujillo et al., 2014). The possible formation of micelles due to the high hydrophobicity of the synthesized compounds, that decreases the accessibility of the hydroxyl group to the free radicals, is also proposed (Yuji et al., 2007).

358

359 *3.2.3. CAT assay*

360 The antioxidant capacity of a homologous series of PA and its alkyl esters was 361 evaluated by the CAT improved protocol (Laguerre et al., 2010). In contrast to the 362 assays previously discussed, the CAT assay is carried out in a heterogeneous media 363 (emulsified lipid system), as this is closer to natural compartmentalized conditions. 364 Results obtained from the oxidation curves, expressed as TE, are shown in Fig. 2. All 365 the synthesized compounds were capable to delay AAPH-induced oxidation of 366 stripped tung oil, in a concentration depending manner (Fig. 5). In general, protocatechuates with short to medium chain's length (from C₁ to C₆) acted as better 367 368 antioxidants than those with the longest chains.

369 In Fig. 5 it can be observed that esters possessing higher CAT values, e.g. C₁ and 370 C₆-protocatechuates, showed an important difference in the pseudolag phase, which 371 also depends on the ester concentration, compared to the curves from esters with the 372 poorest antioxidant capacity, e.g. C_{14} and C_{18} -protocatechuates, where the 373 pseudolag phase was practically absent. The existence of a pseudolag phase is 374 characteristic of chain-breaker antioxidants, which could interrupt the free radical 375 chain reaction. In other words, they rather scavenge the propagator lipoperoxyl 376 radicals derived from stripped tung oil (LOO'), than stabilize the initiator peroxyl

377 radicals derived from AAPH (ROO) (Laguerre et al., 2008). This would mean that 378 chain-breaker protocatechuates should be near or at the interface oil-water to reduce 379 LOO', see their mobility and solubility, they could easily approach to it. Nevertheless, 380 as they are well solubilized in the aqueous phase, it can be considered that short 381 alkyl chain protocatechuates also reduce radicals derived from AAPH, being C₂ and 382 C₄-protocatechuates the ones that are better distributed into all the emulsion parts 383 (Fig. 6). In contrast, even when PA showed better antioxidant activity than its 384 derivatives with medium to long alkyl chains (C_8 to C_{18}), a pseudolag phase in its 385 decay kinetic curve was not observed, meaning that it is acting mostly as a retarder, scavenging AAPH-derived peroxyl radicals, rather than a pure chain-breaking 386 387 antioxidant. This behavior is associated to the relative hydrophilic nature of PA, 388 therefore, its predominant tendency to move away from the oil-water interface, where 389 lipid oxidation reactions would be greater (Decker, Warner, Richards, & Shahidi, 390 2005; Laguerre et al., 2007). This suggests that lipophilization, since it affects the 391 polarity of the PA, could change its localization within the system and the way it 392 interacts or reacts with its chemical environment. Nevertheless, this polarity 393 modulation from lipophilization, can be only visible in heterogeneous media, such as 394 CAT assay, and not in the homogeneous one (ORAC, DPPH).

Therefore, the stronger or weaker antioxidant capacity of the esters may be seen as a result of their localization toward the oxidizable substrate. As represented in Fig. 6, we can suppose that the short-chain alkyl esters (from 1 to 6 carbons) are distributed in the aqueous phase near to the oil-water interface, or even inserted in the interfacial layer of the oil droplet, where the oxidation process occurs, explaining its higher CAT value. Thus, a cut-off effect could be suggested at the hexyl protocatechuate, from which an important loss of activity is observed (Fig. 2).

402 When comparing our results, where the most efficient alkyl esters were the C2 and 403 C₄-protocatechuates (benzoic acid derivatives) (Fig. 2), to those from similar alkyl 404 chain length series of esters, such as caffeates, ferulates and coumarates (Sørensen et al., 2014), or chlorogenates and rosmarinates (Laguerre et al., 2009; Laguerre et 405 406 al., 2010) (cinnamic acid derivatives), which presented a bell shaped curve chain 407 length, being the medium/long chain esters (from C₈ to C₁₂ depending on the 408 phenolic compound) the most efficient, it is likely that the partition in the emulsion 409 also depends on the phenolic structure itself. Based on this, one cannot generalize 410 on the behavior of the phenolic esters even when they are tested in the same 411 heterogeneous media. Thus, to find the optimum chain length to have the higher CAT 412 value, each series of phenolic esters have to be evaluated, since the behavior of a 413 given antioxidant in an emulsion is under multifactorial control.

414 As for example, Sørensen et al. (2014) reported that in general, the caffeates were 415 better antioxidants than the ferulates and coumarates tested in the CAT assay. PA 416 possesses two hydroxyl groups attached to the aromatic ring as the caffeic acid, but 417 it has not the propenoic chain that contributes to the resonance stabilization and 418 facilitates the homolytic dissociation of the O-H bond in caffeic acid, making it a 419 better antioxidant than PA. Besides, PA possesses an electron withdrawing COOH 420 group directly bonded to the aromatic ring at para position, which raises the O-H 421 bond dissociation enthalpy (BDE) (the higher the BDE, the lower the antioxidant 422 activity) (Laguerre et al., 2011). This could also contribute to explain the higher 423 antioxidant CAT value of caffeates than that of protocatechuates.

The antioxidant activity of amphiphilic molecules implicates the mobility and partition between different phases in an emulsion. Thus, alkyl protocatechuates would partitionate between the aqueous phase, the interface and the oil phase

427 according to their saturated alkyl chain length and depending on the emulsion 428 composition as well. Their antioxidant polar groups scavenge AAPH radicals and/or 429 lipid hydroperoxyl radicals according to their location in the system and to their 430 concentration. In function of the alkyl length chain, and their hydrophilic/lipophilic 431 balance, some protocatechuates could also contribute to physically stabilize the 432 emulsion in the CAT assay. Moreover, since tung oil-emulsion is considered as a 433 water-in-oil microemulsion (Laguerre et al., 2010), it can be supposed that long chain 434 protocatechuates form micelles in the oil droplet, by directing their polar head to a 435 water droplet; also, they could be distributed into the oil droplet (Fig. 6)

436 According to our results, it is likely that protocatechuates present critical chain 437 length (CCL) at C_2 and C_4 . Nevertheless, this result has to be considered cautiously, as it is possible that antioxidant activity is overestimated for the short length 438 439 protocatechuates. When analyzing the antioxidant kinetics in CAT (Fig. 5), it can be 440 observed that from C_1 to C_6 -protocatechuates, there is a pseudo lag phase, which 441 disappears when increasing the alkyl chain length. If all esters presented the same 442 kinetics (chain-breaker or retarder), a direct comparison of CAT value calculated from 443 the AUC would present no problem, as it was made for chlorogenates (Laguerre et 444 al., 2009) or rosmarinates (Laguerre et al., 2010). In the case of protocatechuates, 445 when the pseudolag phase is present, it increases the AUC, then, overestimating the 446 overall antioxidant activity, by comparison with those protocatechuates which have 447 no pseudolag phase. Apparently, it is the first time that such an antioxidant behavior 448 in the CAT assay with an analogous series of alkyl esters is observed. Thus, it would 449 be better to compare molecules with the same antioxidant kinetics profile, what 450 means that the best chain length for the chain-breaking protocatechuates would be 451 either 2 or 4 carbons, for its good solubility and mobility in the media, and the dodecyl

452 ester for the retarder protocatechuates, due to its better migration into the interface453 where the phenolipid would be at the highest concentration.

454 C_1 to C_6 -protocatechuates could act as chain-breakers in the CAT assay and were 455 the most efficient in the ORAC assay. They would scavenge the peroxyl radical 456 induced by AAPH or the lipoperoxyl radical derived from the stripped tung oil in the 457 ORAC or CAT assay, respectively. It is interesting to note that in both assays, ORAC 458 and CAT, in the presence of octyl protocatechuates the activity fell down. It is likely 459 that for PA esters, from C_8 alkyl chain length, solubility in the water phase decreases and probably they aggregate into micelles, strongly affecting their antioxidant 460 capacity, as it has been already discussed. C10 to C18-protocatechuates presented 461 462 low antioxidant activity in the ORAC assay and no lag phase in their CAT kinetic 463 curves, meaning that they would act as retarders by stabilizing the initiator peroxyl 464 radicals derived from AAPH in the CAT assay.

Antiradical activity of protocatechuates in homogeneous media was related to the antioxidant solubility, as demonstrated by the DPPH and ORAC values, which were inversely correlated. The partition of protocatechuates in the lipid-water interface on the CAT assay in function of their chain length is also a factor of importance in the antioxidant activity in a non homogeneous system.

470

471 4. Conclusion

The present work showed, in one hand, the importance of combining different methods to assess antioxidant capacity to better determine the properties and mechanism of action of compounds that can be used as antioxidants in food products. On the other hand, here we provide novel data supporting that lipophilizing PA with the correct alkyl chain length can be a strategy to improve its antioxidant

477 activity, by suggesting that the protocatechuates obtained could have potential as 478 natural preservatives in food. Finally, this research shows the potential use of the 479 tropical vegetal by-products, as those from *H. sabdariffa* L., issued i.e. from the 480 beverage industry, for the production of high added value extracts rich in phenolic 481 compounds that could be modified by lipophilization to obtain amphipilic molecules 482 with improved antioxidant capacity.

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633 634 FIGURES LIST

635

- 636 Fig. 1. Acid catalyzed esterification of protocatechuic acid (PA) with alcohols of
- 637 various chain lengths.

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Fig. 2. Antioxidant capacities of PA and protocatechuates expressed as Trolox
equivalents, measured by different methods.

641

- 642 Fig. 3. Fluorescence decay curves induced by AAPH in the presence of A) different
- 643 concentrations of protocatechuic acid (PA): (—) 0 μ M, (\blacksquare) 0.5 μ M, (\blacktriangle) 1.0 μ M, (\blacklozenge)

644 1.5 μM, (Ο) 2.0 μM.

645

Fig. 4. Fluorescence decay curves induced by AAPH in the presence of PA and its
alkyl protocatechuates (PA-C1 to PA-C18) at 1 μM.

648

Fig. 5. Kinetics of stripped tung oil oxidation in the presence of protocatechuic acid and its esters at A) 0.25 μ M and B) 1 μ M. Proposed behavior of the antioxidants with regard to the presence (chain-breaking) or absence (retarder) of the *pseudolag* phase.

653

Fig. 6. Proposed scheme of the distribution of protocatechuic acid and alkylprotocatechuates in an oil-in-water microemulsion in the CAT assay.



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Fig. 2. Antioxidant capacities of PA and protocatechuates expressed as Trolox equivalents (TE), measured by different methods.



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Fig. 3. Fluorescence decay curves induced by AAPH in the presence of different concentrations of protocatechuic acid (PA): (—) 0 μ M, (\blacksquare) 0.5 μ M, (\blacktriangle) 1.0 μ M, (\blacklozenge) 1.5 μ M, (\bigcirc) 2.0 μ M.

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Fig. 4. Fluorescence decay curves induced by AAPH in the presence of PA and its alkyl protocatechuates (PA-C1 to PA-C18) at 1 μ M.

PCCK



Fig. 5. Kinetics of stripped tung oil oxidation in the presence of protocatechuic acid and its esters at A) 0.25 μ M and B) 1 μ M. Proposed behavior of the antioxidants with regard to the presence (chain-breaking) or absence (retarder) of the *pseudolag* phase.



Fig. 6. Proposed scheme of the distribution of protocatechuic acid and alkyl protocatechuates in an oil-in-water microemulsion in the CAT assay.

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663 HIGHLIGHTS 664

- 665 - Protocatechuic acid (PA) was successfully lipophilized using alcohols from C₁-C₁₈.
- 666 - Lipophilization could improve PA antioxidant capacity in heterogeneous media.
- Antioxidant activity is related to compound polarity and method of evaluation. 667
- Protocatechuates could be considered as potential preservatives in food. 668
- - An innovative way to add-value to phenolic-rich vegetal extracts is proposed. 669
- 670
- 671