Organocatalysis

An NHC-Catalyzed In Situ Activation Strategy to β -Functionalize Saturated Carboxylic Acid: An Enantioselective Formal [3+2] Annulation for Spirocyclic Oxindolo- γ -butyrolactones

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Abstract: An in situ NHC-catalyzed activation strategy to β -functionalize saturated carboxylic acid was developed. This asymmetric formal [3+2] annulation could deliver spirocyclic oxindolo- γ -butyrolactones from saturated carboxylic acid and isatin in good yields with high to excellent enantioselectivities. The easy availability of the starting materials, direct installation of functional units at unreactive carbon atom and the convergent assembly make this protocol attractive in the field of organic synthesis.

ed an elegant way to set up an aryl or alkyl group at the β -position directly through photoredox one-electron oxidation of enamine to the β -carbon radical.^[5] Even so, the direct installation of a functional group at the unreactive β -position of readily available carbonyl compounds, for example, saturated carboxylic acids, deserves further study.

N-heterocyclic carbenes (NHCs) have been confirmed as flexible catalysts for several "umpolung" reactions,^[6] such as the benzoin condensation,^[7] Stetter reaction,^[8] a³–d³ umpolung (homoenolate).^[9] Moreover, NHC-catalyzed β -carbon functionalizations of derivatives of saturated carboxylic acids including

Carbonyl compounds including carboxylic acids, esters, ketones and aldehydes are very useful building blocks employed widely for the synthesis of pharmaceuticals and materials. Thus, much effort has been devoted to the transformation of these important compounds. Traditionally, the conjugate addition of α,β -unsaturated carbonyl compounds with nucleophiles is a powerful strategy for introducing a functional group at the β -position of a carbonyl group.^[1] The amino-catalyzed



Scheme 1. Some strategies to generate homoenolates or their equivalents.

oxidation of saturated aldehydes to the corresponding α , β -unsaturated iminium and subsequent reaction with nucleophilic reagents could also functionalize β carbon atoms circuitously.^[2] However, the direct functionalization of unreactive saturated carbon atoms remote from carbonyl group is a great challenge for organic chemists.^[3] Recently, several groups disclosed the transition-metal, for example, palladium-catalyzed $\beta\beta$ -functionalization of carbonyl compounds by chelation-assisted C(sp³)– H activation in the presence of auxiliary groups or directing groups (Scheme 1 a).^[4] In addition, MacMillan's group present-

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Supporting information for this article is available on the WWW under http://dx.doi.org/10.1002/chem.201500345. esters and anhydrides through the generation of homoenolates have been achieved successfully (Scheme 1 b and c).^[10] In 2014, Scheidt's group found a facile NHC-promoted generation of enolate from the acyl imidazole formed in situ from the carboxylic acid and carbonyldiimidazole (CDI), which paved a new avenue to the direct activation of α -carbon of carboxylic acid (Scheme 2).^[11]

Later, the work of Ye et al. showed that α,β -unsaturated acyl azoliums could be obtained readily from α,β -unsaturated carboxylic acids via mixed anhydrides generated in situ (Scheme 3).^[12] The activation of carboxylic acids in situ was proved to be an efficient strategy to give rise to reactive intermediates such as enolates and α,β -unsaturated acyl azoliums under the catalysis of NHC (for the in situ activation of carboxylic acids catalyzed by cinchona alkaloids or isothioureas developed by Smith and Romo, please see ref. [13]). However, as an alternative of classical a³–d³ umpolung, a challenging approach

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Scheme 2. An in situ strategy for formation of enolate from carboxylic acid.

with great significance to homoenolate is the direct conversion from carboxylic acids.

Since the coupling reagents, such as diisopropylcarbodiimide (DIC) and 2-(7-aza-1*H*-benzotriazole-1-yl)-1,1,3,3-tetramethyluronium hexafluorophosphate (HATU), are employed widely in peptide synthesis to activate the carboxylic acids via intermediates including esters and anhydrides,^[14] we envisaged that these intermediates could be produced in situ and their reaction with NHC could also deliver homoenolate in the pres-



Scheme 3. An in situ protocol to form α , β -unsaturated acyl azoliums.



PCR = peptide coupling reagents; FG = functional group

Scheme 4. Our proposal for NHC-catalyzed generation of homoenolate.

ence of base effectivelv (Scheme 4). To continue our interest in the cascade synthesis of heterocycles and NHC catalysis,^[15] herein we report our preliminary results of NHC-catalyzed asymmetric annulation for the synthesis of spirocyclic oxindolo-y-butyrolactones, which are of biological interest, from saturated carboxylic acid through in situ activation strategy in the presence of coupling reagents.^[9a, b, 16]

We commenced our study from the optimization of reaction conditions, which are summarized in Table 1. The NHC-catalyzed formal [3+2] cyclization of 3-(4-bromophenyl)propanoic acid (1 a) and 1-benzylindoline-2,3-dione (2a) was tested as a model reaction. Firstly, we evaluated the reaction conditions by screening the peptide coupling reagents. CDI and BOP-Cl did not work for this reaction (Table 1, entries 1 and 4). Gratifyingly, the desired [3+2] annulation product 3a could be obtained with 89% ee by DCC/ HOBt and DIC/HOBt. When HATU was investigated, much better performance was observed (83% yield, 3:1 d.r. and 93% ee). Next, we explored the

Table 1. Optimization of the reaction conditions.[a] cat. (15 mol %) соон Base (1.5 equiv) PCR (1.5 equiv) Β'n В'n Solvent, 20 °C, 12h 2a 1a (1.2 equiv) 3a 4a Ar=C₆H₅ 4b Ar=C₆F₅ BF 4c Ar=2,4,6-(CH₃)₃C₆H₂ OTBS BF BF₄ 6 5 л d.r.^[d] ee [%]^[e] Yield [%]^[c] NHC cat. PCR^[b] Solvent Entry Base 4 a Cs₂CO₃ CDI dioxane 1 DCC/HOBt 2 67 4:1 89 4a Cs₂CO₃ dioxane 3 Cs₂CO₃ DIC/HOBt dioxane 55 89 4 a 4:1 Cs₂CO₃^[j] BOP-CI dioxane 4 4a 5 4 a Cs₂CO₃ HATU dioxane 83 3:1 93 6 4b Cs₂CO₃ HATU dioxane 7 4 c Cs₂CO₃ HATU dioxane 85 7.1 95 8 5 HATU dioxane Cs₂CO₃ trace 9 6 Cs₂CO₂ HATU dioxane 1.5:1 93 80 10 4 c K₂CO₃ HATU dioxane 79 5:1 97 11 4 c DBU HATU dioxane 12 4 c DABCO HATU dioxane trace _ 13 4 c NaOAc HATU dioxane 14 4 c Cs₂CO₃ HATU CH₂Cl₂ 74 3:1 94 15 HATU THF 72 4:1 96 4 c Cs₂CO₂ Cs₂CO₃ HATU toluene 79 16 4 c 69 2:1 17 4 c Cs₂CO₃ HATU DME 85 98 6:1 77 18 4 c^[f] Cs₂CO₃ HATU DME 80 5:1 4 c^[g] 19 Cs₂CO₃ HATU DMF 83 5:1 79 20 4 c^[h] Cs₂CO₃ HATU DME 80 6:1 93 4 c^[i] HATU DME 78 91 21 Cs₂CO₃ 6:1 [a] Reaction conditions: 1 a (0.24 mmol), 2 a (0.2 mmol), NHC cat. (0.03 mmol), base (0.3 mmol), PCR (0.3 mmol),

[a] Reaction conditions: **1a** (0.24 mmol), **2a** (0.2 mmol), NHC cat. (0.03 mmol), Dase (0.3 mmol), PCR (0.3 mmol), solvent (4 mL), 20 °C, 12 h. [b] PCR = peptide coupling reagent. [c] Isolated yields. [d] Diastereomeric ratio determined by ¹H NMR spectroscopy. [e] The *ee* values were determined by HPLC. [f] 10 mol% of NHC. [g] 20 mol% of NHC. [h] 0 °C. [j] 40 °C. [j] 2.5 equiv.

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influence of catalysts; in contrast to the NHC precursor 4a and 6, precatalyst 4c gave the product in good yield, diastereoand enantioselectivity (Table 1, entries 5, 7 and 9), whereas the catalyst 4b and 5 failed to give the desired annulation product (Table 1, entries 6 and 8). A catalyst loading test indicated that 15 mol % of 4c would be better. Decreasing or increasing loading of the catalyst showed lower enantioselectivity (Table 1, entries 18 and 19). Then, K₂CO₃, Cs₂CO₃, DABCO (1,4-diazabicyclo [2.2.2]octane), DBU (1,8-diazabicyclo[5.4.0]undec-7-ene) and NaOAc were deployed to assess the scope of the base. The results demonstrated that inorganic bases are more effective than organic bases; screening of inorganic bases revealed that Cs₂CO₃ was the best choice (Table 1, entries 10-13). Subsequently, the influence of different solvents on the reaction of 1 a and 2 a were examined to optimize the conditions (Table 1, entries 14-17); we found that DME is better than 1,4-dioxane, CH₂Cl₂, THF and toluene. Temperature screening showed that

20 °C should be optimal (Table 1, entries 20 and 21). Based on the above results, it was clear that the formation of spirocyclic oxindolo- γ -butyrolactones in high yield with good to excellent enantioselectivity and diastereoselectivity is facilitated by the combination of triazole carbene precursor **4c** and Cs₂CO₃ in DME as the solvent at 20 °C.

With the optimized reaction conditions in hand, we turned our attention to the scope of substrates by the variation of saturated carboxylic acids 1 and the isatin derivatives 2. It was found that both electron-deficient (Cl, Br, NO₂) and electronrich (CH₃) moieties are tolerated well on the aryl group (3a-3e). The scope of the isatins 2 was then studied. It was found that isatins with both electron-withdrawing groups (5-F, 5-Cl and 5-Br) and electron-donating group (5-Me) are compatible with the reaction conditions. When isatins with the electron-donating group (Me) at the 5-position were engaged in this reaction, both electron-withdrawing and electron-donating groups on the carboxylic acids worked well to afford the corresponding products in high yields and excellent enantioselectivities (93-98% ee). To our pleasure, saturated carboxylic acids with an alkyl group reacted with 2a to

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provide the corresponding cascade product (3 m) with moderate d.r. and high e.r. values, albeit with moderate yield. These results highlighted the wide application scope of this NHC-catalyzed [3+2] reaction.

The optical rotation data and HPLC analysis data of spirocyclic oxindolo- γ -butyrolactone **3b** were found to be in good agreement with those reported in the literature; thus, the absolute configuration could be determined by comparison.^[17]

A plausible catalytic cycle of this NHC-catalyzed [3+2] annulation of saturated carboxylic acid is illustrated in Scheme 5. The addition of NHC to the ester substrate, which was generated in situ from the saturated carboxylic acid, gave the corresponding NHC-bounded intermediate **A**. It could undergo deprotonation to form the enolate intermediate **B**, which was transformed into intermediate **C** through β -sp³-H shift similar to the formation of homoenolate in the NHC-catalyzed reactions of derivatives of carboxylic acids.^[10] Then intermediate **C**



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Scheme 5. Plausible catalytic cycle.

reacted with isatin derivatives 2 through nucleophilic addition giving the zwitterionic intermediate E. The catalyst could then be regenerated by the release of the final cycloadduct 3.

In conclusion, we have developed an NHC-catalyzed β -functionalization of saturated carboxylic acid through in situ activation. The present NHC-catalyzed asymmetric process provides an efficient access to spirocyclic oxindolo- γ -butyrolactones in good to high yields with excellent enantioselectivity. Further studies aimed at the expansion of the reaction scope and the further development of analogous cyclization of saturated carboxylic acids are underway in our laboratory.

Experimental Section:

General procedure for the preparation of compounds 3a-3m

An oven-dried 10 mL Schlenk tube equipped with a magnetic stir bar was charged with triazolium salt 4c (12.6 mg, 0.03 mmol), Cs₂CO₃ (97.5 mg, 0.30 mmol), saturated carboxylic acid 1 (0.24 mmol), isatin 2 (0.2 mmol) and HATU (114.0 mg, 0.3 mmol). This tube was closed with a septum, evacuated, and refilled with nitrogen. To this mixture was added freshly distilled DME (4 mL) with a syringe. Then the mixture was stirred at 0°C until completion (monitored by TLC). After removal of the solvent under reduced pressure, the resulting crude residue was purified by column chromatography (silicagel, mixtures of petroleum ether/ ethyl acetate, 5:1, v/v) to afford the desired product **3**.

Acknowledgements

We are grateful for financial support by National Natural Science Foundation of China (Nos. 21242014, 21372101) and PAPD.

Keywords: annulation · beta-functionalization · N-heterocyclic carbine · organocatalysis · spirocyclic oxindole

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Received: January 27, 2015 Published online on ■■ ■, 0000



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Top cat: An in situ NHC-catalyzed activation strategy to β -functionalize saturated carboxylic acid was developed (see scheme). This asymmetric formal [3+2] annulation delivered spirocyclic oxindolo- γ -butyrolactones from saturated carboxylic acid and isatin in good



yields with high to excellent enantioselectivities. The availability of the starting materials, direct installation of functional units at unreactive carbon atom and the convergent assembly make this protocol attractive for organic synthesis.

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