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Base Induced Cyclobutenone Rearrangements and Its Application in the Synthesis of Aromatic Amines

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easily available starting materials
a divergent synthesis approach

 D-A reaction was realized in good yields and in a highly regioselective manner under mild conditions

Abstract: A series of fully substituted 2-pyridone 2 and functionalized α -pyrones 3 are synthesized starting from cyclobutenones 1 under a base-promoter in toluene at 80 °C. This rearrangement is complimentary to the previously reported ring expansion of cyclobutenones, providing a divergent synthesis of corresponding products from the same precursor 1. In addition, α -pyrones 3 has also been applied to the synthesis of aromatic amines 7 in good yields (64–83%) and in a highly regioselective manner via D-A reaction under mild conditions. Keywords: substituted pyridones; α -pyrones ; D-A reaction; aromatic amines

Cyclobutenones are extremely versatile synthetic intermediates and have seen a rapid development in the construction of a wide range of organic compounds including several bioactive natural products and drugs^[1]. Owing to their inherent high ring strain, the ring-opening/rearrangement reactions of cyclobutenone triggered by numerous reaction conditions, primarily involving thermolysis^[2], photolysis^[3], and transition metal-catalyzed^[4] have been recognized as one of the most direct, effective, atom-economical and rapid methods for chemical transformations. Over the past decades, the ring-opening reactions of cyclobutenone have been well studied^[5] and they have successfully paved the way for the synthesis of ring-expanded products, e.g. aromatics ^[6], quinones^[7], pyrone^[8], indole alkaloids^[9], cycloheptadienone^[10]. To date, this type reactions of cyclobutenone are still a topical area of continuing interest. Recently, series of 3-aminocyclobut-2-en-1-ones **1** incorporating acyl and amino groups have been easily prepared by our group^[11], which were proven very useful, especially in the synthesis of persubstituted phenols ^[12]and 4-quinolones^[11]. Encouraged by these results and our continued interest in the synthesis of highly valuable carbo- and heterocycles from cyclobutenone derivatives, we further explored the reaction behavior of 3-aminocyclobut-2-en-1-ones **1** and found it was sensitive to base conditions. We now wish to report a simple and efficient access to 2-pyridones **2** and/or α -pyrones **3** relying upon utilization of 3-aminocyclobut-2-en-1-ones **1** in the presence of base-promoter and present a mechanism involved in the

ring-opening/recyclization process.

At the outset of our study, 3-aminocyclobut-2-en-1-one **1a** was prepared and tried the thermal cyclization reaction by heating from 50 °C to 110 °C in toluene. Regrettably, no reaction occurred in the absence of catalyst. After many attempts, it was found when **1a** was subjected to K_2CO_3 (1.0 eqv.) in toluene at 80 °C for a period of time, two different products were obtained (Scheme 1). The structure of **2a** was unambiguously established by its spectra, analytical data and X-ray crystallographic analysis. According to our previous work^[12], **3a** was named 6-ethyl-3-methyl-4-p-tolylamino-pyran-2-one. This result intrigued us to explore the precious reaction conditions to prepare 2-pyridone 2 and/or α -pyrones derivatives **3** selectively from 3-aminocyclobut-2-en-1-one **1** since those compounds with 2-pyridone or α -pyrone structure have emerged not only as key units in many natural product and pharmaceuticals but also as important intermediates for various biologically active molecules^[13].



Scheme 1

Then, we examined the ring-opening/rearrangement of 3-aminocyclobut-2-en-1-one 1a to 2a and 3a by varying reaction conditions and the results were summarized in table 1. Selecting K_2CO_3 (1.0 eqv.) as a base, the reaction of 1a afforded 2a in 58% isolated yield within 6 h in toluene at 80 °C along with α -pyrones 3a in 31% yield (Table 1, entry 1). Increasing the amount of K₂CO₃ from 1.0 to 1.5 equivment made considerable effect on the yield of product 2a and the reaction time was shortened to 4 h (Table 1, entry 2). A further increase in the amount of K_2CO_3 to 2.0 eqv. was not required (Table 1, entry 3). Moreover, the reaction temperature was also found to be an important factor for this transformation. By lowing the temperature to RT, the reaction did not take place (Table 1, entry 4). Higher temperature resulted in a sharply decline in the yield of 2a compared to entry 2, whereas the yield of 3a increased up to 78% (Table 1, entry 5). Addition of other carbonates, such as Cs₂CO₃ and Na₂CO₃ were less effective for improvement of 2a yield (Table 1, entries 6-7). In particular, it should be noted that when hydroxide (KOH, NaOH, LiOH) was utilized to replace K_2CO_3 for this reaction, the product 2a was not observed even if the reaction time was prolonged and in the meantime, α -pyrone **3a** was obtained as the major product in high yields, concominated by a by-product enamine 4a (Table 1, entries 8-10). Subsequently, we moved on to screen the reaction solvent. Among the solvents tested, CH₃CN gave 2a as the main product in 71% yield (Table 1, entry11); When the reaction was carried out in DMF or 1,4-dioxane or H₂O, instead of 2a, α -pyrones 3awas obtained as the major product in moderate yields (Table 1, entries 12-14); In comparison, no 2a and/or 3a could be detected with anhydrous toluene as the solvent (Table 1, entry15). Thus, the most suitable reaction conditions for the formation of 2a or 3a was established by simple changing the base promoter in toluene at 80 °C. **Table 1.** Optimization of reaction conditions for the synthesis of 2-pyridone $2a^a$ and α -pyrone $3a^b$



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2	K ₂ CO ₃ (1.5)	toluene	80	79	15	0	
3	$K_2CO_3(2.0)$	toluene	80	68	20	0	
4	$K_2CO_3(1.5)$	toluene	rt	0	0	0	
5	$K_2CO_3(1.5)$	toluene	90	19	78	0	
6	$Cs_2CO_3(1.5)$	toluene	80	77	17	0	
7	$Na_2CO_3(1.5)$	toluene	80	36	33	0	
8	KOH (1.5)	toluene	80	0	96	trace	
9	NaOH(1.5)	toluene	80	0	90	9	
10	LiOH(1.5)	toluene	80	0	87	11	
11	$K_2CO_3(1.5)$	CH ₃ CN	80	71	25	0	
12	$K_2CO_3(1.5)$	DMF	80	0	58	0	
13	$K_2CO_3(1.5)$	1,4-dioxane	80	0	61	0	
14	$K_2CO_3(1.5)$	H_2O	80	trace	65	0	
15	K ₂ CO ₃ (1.5)	anhydrous toluene	80	0	0	0	

^{a/b}Reaction conditions: 1a (1.0 mmol), solvent (5.0 mL). ^{c/d/e}Isolated yields

To generalized this approach, several kinds of 3-aminocyclobut-2-en-1-ones 1 were studied for the synthesis of fully substituted 2-pyridone 2 in the presence of 1.5 eqv. K_2CO_3 at 80 °C in toluene. As shown in Table 2, substituted 1 having aryl group on the nitrogen atom can participate in the ring-opening/recyclization process to afford the major product 2-pyridone 2a-h in moderate yields (Table 2, entries 1-8). However, the reaction of 1i (R = OMe) under the same optimized reaction conditions failed to produce even trace of 2i or 3i (Table 2, entry 9). Using 1j (R¹ = *n*-butyl group) as substrate, the reaction gave α -pyrone 3j in good yield whereas the yield of 2-pyridone 2j dropped to trace amounts (Table 2, entry 10). As for 1k (R¹ = piperidyl), the corresponding product 2k was not observed and α -pyrone 3k was obtained in 87% yield (Table 2, entry 11). Notably, no formation of 2l and/or 3l was detected in case of a pyridine group at R¹ (Table 3, entry 12), which is due to the conversion of 11 to naphthyridine 5 (Scheme 2)^[9]. The structure of 5 was confirmed by its spectral data (¹HNMR, ¹³CNMR and HRMS) and finally by the X-ray crystallographic data.

Table 2. Ring-expanding reaction of 1 with K₂CO₃ in toluene^a



Entry	1	R	R1	R ²	2 Yield ^b (%)	3 Yield ^c (%)
1	1 a	Et	4-MeC ₆ H ₄	Н	79(2 a)	15(3a)
2	1b	Et	C_6H_5	Н	74(2b)	19(3b)
3 ^d	1c	Et	$4-ClC_6H_4$	Н	73(2 c)	20(3c)
4	1d	Et	4-MeOC ₆ H ₄	Н	77(2d)	16(3d)
5	1e	Et	$2-MeC_6H_4$	Н	71(2e)	25(3e)
6	1f	Et	2,4-Me ₂ C ₆ H ₃	Н	63(2f)	30(3f)
7 ^e	1g	Et	$4-CF_3C_6H_4$	Н	75(2g)	17(3g)
8	1h	Me	$4-MeC_6H_4$	Н	80(2h)	11(3h)
9	1i	OMe	$4-MeC_6H_4$	Н	0(2i)	0(3i)
10	1j	Et	<i>n</i> -butyl	Н	trace(2j)	82(3j)
11	1k	Et	$(CH_{2})_{5}$	-	0(2k)	87(3 k)
12 ^f	11	Et	C ₅ H ₄ N	Н	0(21)	0(3 I)

^aReaction conditions: 1 (1.0 mmol), K_2CO_3 (1.5 mmol), toluene (5 mL), 80 °C, 5 h. ^{b/e}Isolated yields. ^{d/e} Reaction conditions: 1 (1.0 mmol), K_2CO_3 (1.5 mmol), toluene (5 mL), 60 °C, 10 h. ^fSubstrate **11** was converted to naphthyridine **5** in 96% yield.



Scheme 2

To obtain deeper insight into the reaction mechanism, some supplementary experiments were conducted. First, we were interested in whether an enamine moiety captured in the reaction of 1 with hydroxides (table 1, entries 8-10), would be able to insert into the four-membered ring. Consequently, we tried the reaction of 1a with $4a_1$ under the same reaction conditions as in Table 2. As a result, the reaction gave 2a in 77% yield and no $2a_1$ was

produced (Scheme 3), which can be inferred enamine 4a was not involved in the formation of 2-pyridone 2a.



Further evidence for this mechanism was provided by reactions of **1a** with different base-promoters in anhydrous toluene and H_2O . The experiments showed that only a trace or no **2a** was detected and **3a** was generated as the main product from **1a** in 65% and 88% yields when the reactions were carried out in H_2O at 80 °C in the presence of K_2CO_3 (1.5 eqv.) for 8 h and KOH (1.5 eqv.) for 5 h (Table 1, entry 14; Scheme 4), respectively. Additionally, no reaction occurred when **1a** was treated with K_2CO_3 or KOH in anhydrous toluene under other identical conditions (Table 1, entry 15; Scheme 5), while most of **1a** remained. These results clearly indicate that a wetted condition even a trace amount of water (Table 1, entries 1-13) and the basicity of the promoter have a significant bearing on the outcome of this reaction.



Scheme 5

On the basis of all of the results described and the related reports on the OH/NH₂-initiated ring-opening reaction of cyclobutenones^[14-17], we considered OH⁻ as a promoter, which comes from KOH solution or hydrolysis of potassium carbonate in a wetted condition, to initiate the reaction. Therefore, plausible mechanisms for the synthesis of 2-pyridones 2 and α -pyrones 3 from 3-aminocyclobut-2-en-1-ones 1 were proposed in Scheme 6 and Scheme 7. The synthesis of 2-pyridones 2 with K₂CO₃ as a base ^[14a,17b]: under a base reagent, cyclobutenones 1 undergoes a tautomerism to form imines I. I acts as a nucleophile and rapidly reacts with another molecule of cyclobutenones 1 to form [4+2] cycloaddition product III via 1,2-addition(III)/ring-opening(IV) and concomitant intramolecular cyclization (II). Then 1,2-addition of β -carbonyl carboxylic acid to give intermediate V, which eventually led to product 2-pyridones 2 after double bond inward shift. (Scheme 6).



Scheme 6 possible mechanism for the formation of 2-pyridones 2

A proposed mechanism for α -pyrones **3** synthesis with KOH as a base promoter is depicted in Scheme 7^[15, 18]: firstly, OH⁻ attacks the carbonyl moiety of substrate **1(A)**, leading to ring opening predominantly at the C₁-C₂ bond and the formation of intermediate vinyl anion **B**^[19], which is consistent with the description of Roberts and co-workers^[15a]. Followed by a proton transfer, the intermediate **C** occurs an intramolecular *O*-nucleophilic addition to furnish a cyclic intermediate **D**. Finally, **D** removes a molecule of water, giving rise to the product α -pyrones **3** (Scheme 7).



Scheme 7 possible mechanism for the formation of α -pyrones 3

When hydroxides was used instead of K₂CO₃ as a promoter in the reaction system under otherwise identical conditions as those in table 2, α -pyrones 3 can be obtained as the mjor product in excellent yields, which was introduced by Han's formerly report ^[12] and no longer elaborated in this paper. To extend the synthetic value of the protocol, our interest was focused on the synthetic use of α -pyrones 3, which has often been taken as a potentially useful scaffold for the synthesis of complex heterocyclic compounds^[20]. Eventually, we found that aromatic amines 7 could be obtained from the prepared α -pyrones 3 as a diene component in Diels–Alder reactions with terminal alkynes 6 in the presence of 5% Cu(OTf)₂ in toluene at 80 °C for 7 h, followed by the extrusion of CO₂ (Table 3). Despite the traditional methods for aromatic compounds via D-A reaction of pyrone with alkynes/ alkenes have been presented, they often suffer from harsh reaction conditions (e.g. high temperatures ≥150 °C, expensive catalyst and ligands), poor yields and low regioselectivities^[23]. From the previous observations, it is anticipated that the above D-A reactions will be further improved and afford the expected product under mild conditions. We detailed herein, the development of this concept into an efficient approach for generating functionalised aromatic amines in good yields and in a highly regioselective manner.

As can be seen from Table 3, the reactions of α -pyrones **3a-f** bearing anyl moiety at R¹, including

electron-rich (Table 3, entry 1 and entries 4-6), phenyl (Table 3, entry2), electron-deficient (Table 3, entry 3) *N*-aryl groups reacted well with but-3-yn-2-one **6a** (1.0 mmol) to afford aromatic amines **7a–f** in moderate yields (64–73%). The presence of a Me group instead of Et at R also showed good reaction activity in the D-A reaction and gave **7h** in 69% yield (Table 3, entry 7). Compounds **7**'s structure was determined by its spectral and analytical data, and further confirmed by the X-ray diffraction of **7a** (Figure 1). Similarly, the present procedure applied to α -pyrones **3j-k** bearing a *n*-butyl or piperidyl group on the nitrogen atom, providing **7j** and **7k** in good yields (Table 3, entries 8-9). Subsequently, we examined the reactivity of other terminal alkynes. To our delight, the reactions of **3a** with **6b**, **6c** and **6d** gave rise to aromatic amines derivatives (**7l**, **7m** and **7n**) in 83%, 57% and 51% yields, respectively (Table 3, entries 10-12). However, when 1-pentyne (**6d**) was applied, no reaction occurred (Table 3, entry 13). Given the results have suggested that the electronic properties of substituents in terminal alkynes **6** has an important effect on the reactivity of our reaction.

Table 3. D-A reaction of 2-pyrones 3 with terminal alkynes 6^[a]

	R ¹	N R ²	\mathcal{L}_{R}^{0} + = \mathbb{R}^{3}	5% Cu tolue 80 °0	$(OTf)_2 R^1 N R^2$	R ³	
		3	6			7	
Entry	1	R	R ¹	R ²	R ³	Product 7	7 Yield ^b (%)
1	3 a	Et	4-MeC ₆ H ₄	Н	COCH ₃ (6a)	7a	73
2	3b	Et	C_6H_5	Н	COCH ₃	7b	71
3	3c	Et	$4-ClC_6H_4$	Н	COCH ₃	7c	67
4	3d	Et	4-MeOC ₆ H ₄	Н	COCH ₃	7d	76
5	3e	Et	$2-MeC_6H_4$	Н	COCH ₃	7e	73
6	3f	Et	$2,4-Me_2C_6H_3$	Н	COCH ₃	7f	64
7	3h	Me	$4-MeC_6H_4$	Н	COCH ₃	7h	69
8	3j	Et	<i>n</i> -butyl	Н	COCH ₃	7j	66
9	3k	Et	(CH ₂) ₅	-	COCH ₃	7k	78
10	3 a	Et	$4-CH_3C_6H_4$	Н	СНО(6b)	71	83
11	3 a	Et	$4-CH_3C_6H_4$	Н	C ₆ H ₅ (6c)	7m	57
12	3 a	Et	$4-CH_3C_6H_4$	Н	4-MeC ₆ H ₅ (6	7n	51
					d)		
13	3 a	Et	$4-CH_3C_6H_4$	Н	C ₃ H ₇ (6e)	70	0

^aReaction conditions: 1 (1.0 mmol), 6 (1 mmol), Cu(OTf)₂ (5 mmol%), toluene (5 mL), 80 °C, 7 h. ^bIsolated yields.



Figure 1. ORTEP drawing of 7a

In conclusion, we have developed a divergent synthesis of 2-pyridones 2 and α -pyrones 3 in good yields starting from the same precursor 3-aminocyclobut-2-en-1-ones 1 upon regulation of base conditions. Also a catalytic amount of Cu(OTf)₂-catalyzed Diels-Alder reaction of α -pyrones 3 with terminal alkynes to furnish aromatic amines 7 has been detailed. Contrasting with the so far reported Diels-Alder reactions of α -pyrones with alkynes, this method features low-cost, short reaction time, mild reaction conditions, operational simplicity, as well

as high regioselectivity.

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The supporting information of detailed experimental procedures, CIF data for **2a** and full characterization data for **2a-h**, **3a-k**, **4a**, **5** and **7a-n** is available free of charge on the line.

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Declaration of interests

 \boxtimes The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:



Highlights:

- 1. It provides a divergent way to form different products from the same precursor .
- 2. The reaction was successfully realized upon regulation of base promoters.
- 3. Also a D-A reaction to furnish aromatic amines 7 has been elaborated.
- 4. The D-A method features mild reaction condition, high yield and regioselectivity.