



An efficient synthetic approach to optically active β -carboline derivatives via Pictet–Spengler reaction promoted by trimethylchlorosilane

Riichiro Tsuji, Masako Nakagawa and Atsushi Nishida*

Graduate School of Pharmaceutical Sciences, Chiba University, 1-33, Yayoi-cho, Chiba 263-8522, Japan

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Abstract—A highly diastereoselective Pictet–Spengler reaction using chiral tryptamine carbamates has been developed. The reaction proceeds using aromatic and aliphatic aldehydes in the presence of trimethylchlorosilane. © 2003 Elsevier Science Ltd. All rights reserved.

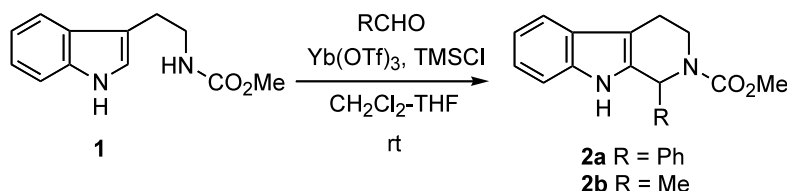
1. Introduction

β -Carboline alkaloids are an important class of natural products, and many have various biological activities. The Pictet–Spengler reaction is a useful method for the synthesis of tetrahydro- β -carboline derivatives and is also a key reaction for the synthesis of natural products.¹ For example, we achieved the total synthesis of Fumitremorgin² and Eudistomins³ using the Pictet–Spengler reaction to construct the C-1 stereogenic center in the tetrahydro- β -carboline moiety. Recently, we reported the first example of a highly enantioselective Pictet–Spengler reaction using enantiopure diisopinocampheylchloroborane as a chiral Lewis acid,⁴ although the substrates were limited to the use of nitrones. The diastereoselective Pictet–Spengler reaction using tryptophan or tryptamine derivatives has also been widely studied. We also found that a combination of Yb(OTf)₃ and TMSCl promoted the Pictet–Spengler reaction of imine itself to give tetrahydro- β -carbolines in excellent yields under mild conditions.⁵ However, the application of this reaction to enantioselective synthesis

has not been successful. Diastereoselective Pictet–Spengler reactions using chiral tryptamine derivatives with a chiral auxiliary on the nitrogen atom have also been intensively studied.⁶ Koomen and co-workers reported a diastereoselective Pictet–Spengler reaction using a chiral *N*-sulfinyl group as a removable chiral auxiliary, which induced up to 76% diastereoselectivity (ds).^{6f} We describe herein an efficient diastereoselective Pictet–Spengler reaction using chiral tryptamine carbamate promoted by trimethylchlorosilane.

2. Results

First, the Pictet–Spengler reaction of tryptamine methylcarbamate **1** with benzaldehyde was examined to determine suitable reaction conditions (Scheme 1). Based upon our previous observations from Pictet–Spengler reactions using imines,⁵ the reaction using a combination of Yb(OTf)₃ (0.1 equiv.) and TMSCl (1.0 equiv.) in CH₂Cl₂–THF was tested and the desired product **2a** was obtained in 89% yield (Table 1, entry



Scheme 1.

* Corresponding author. Fax: 81-43-290-2909; e-mail: nishida@p.chiba-u.ac.jp

1). In the reaction with acetaldehyde, which is more reactive than benzaldehyde, **2b** was obtained under similar conditions but in 46% yield (entry 2). Surprisingly, this reaction proceeded faster in the presence of TMSCl alone and was complete within 30 min in 86% yield (entry 3). Other acidic promoters such as $\text{BF}_3 \cdot \text{OEt}_2$, ZnCl_2 , $\text{Yb}(\text{OTf})_3$, TFA, CSA and TMSOTf gave unsuccessful results.⁷

Comins and co-workers reported the diastereoselective Pictet–Spengler reaction using a chiral carbamate obtained from 3,4-dimethoxyphenethylamine and (–)-8-phenylmenthyl chloroformate.⁸ Therefore, (–)-menthyl carbamate was used in our reaction to obtain optically active tetrahydro- β -carbolines. Although the diastereoselective Pictet–Spengler reaction of menthyl carbamate **3a**, prepared from tryptamine and (–)-menthyl chloroformate proceeded smoothly even at -30°C to give the desired **4a** in 86% yield, its d.e. was only 7% (Table 2, entry 1). However, we were pleased to find that the diastereoselectivity increased dramatically (89% yield, 80% d.e.) when a (–)-8-phenylmenthyl group (**3b**) was used as the chiral auxiliary instead of the (–)-menthyl group (entry 2). *N*-Protected derivatives such as **3c** and **3d** exhibited higher reactivity and enabled the reaction to proceed at lower temperature to give **4b** (81% d.e. at -40°C)⁹ and **4c** (90% d.e. at -60°C) (entries 3 and 4), although these reactions required longer reaction times.

The absolute configuration of the newly formed stereogenic center in **4a** was determined to be *S* by HPLC analysis¹⁰ in comparison with enantiomerically pure **4a** prepared from L-tryptophan methyl ester in seven steps by diastereoselective Pictet–Spengler reaction (33% d.e.) (Scheme 2).¹¹

We then studied the effects of aldehyde substitution (entries 5–9): The substrate **3b** was found to be quite reactive with various aldehydes. For example, sterically hindered aldehyde ($\text{R} = c\text{-Hex}$, entry 8) and linear primary aldehydes ($\text{R} = \text{Et}$, *n*-Bu, entries 6 and 7) were smoothly converted to the corresponding products **4f–g** with good d.e. On the other hand, the less encumbered acetaldehyde gave **4d** in 22% d.e. We assume that the diastereoselectivity of this reaction might depend on the bulkiness of the R group, which would affect the stereochemistry of the C=N bond in the iminium cation intermediate (see below). With the less reactive benzaldehyde, the reaction proceeded at higher temperature in the presence of $\text{Yb}(\text{OTf})_3$ and occurred with lower selectivity (53% yield, 53% d.e., entry 9).

We found that the Pictet–Spengler reaction using (–)-8-phenoxyphenylmenthyl carbamate **6**¹² with isobutyraldehyde gave the opposite absolute configuration of

Table 1. Pictet–Spengler reaction of tryptamine carbamate

Entry	Aldehyde R	$\text{Yb}(\text{OTf})_3$ (equiv.)	TMSCl (equiv.)	Time (h)	Product (%)
1	Ph	0.1	1.0	5	2a (89)
2	Me	0.1	1.0	3	2b (46)
3	Me	None	1.0	0.5	2b (86)

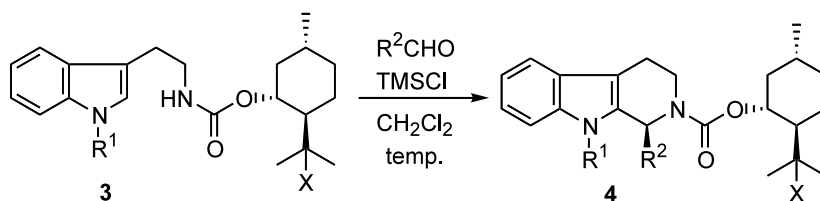
Table 2. Diastereoselective Pictet–Spengler reactions of chiral tryptamine carbamates with aldehydes

Entry	Substrate	R^1	X	Aldehyde R^2	Temp. ($^\circ\text{C}$)	Time (h)	Product	Yield (%)	d.e.% ^a (config.)
1	3a	H	H	<i>i</i> -Pr	-30	21	4a	86	7 ^b
2	3b	H	Ph	<i>i</i> -Pr	-30	24	4b	89	80 (<i>S</i>)
3	3c	TBS	Ph	<i>i</i> -Pr	-40	26	4b	97	81 (<i>S</i>)
4	3d	Bn	Ph	<i>i</i> -Pr	-60	115	4c	83	90 (<i>S</i>)
5	3b	H	Ph	Me	-30	9	4d	86	22 ^b
6	3b	H	Ph	Et	-30	21	4e	72	71 ^b
7	3b	H	Ph	<i>n</i> -Bu	-30	17	4f	72	70 ^b
8	3b	H	Ph	<i>c</i> -Hex	-30	21	4g	73	69 ^b
9 ^c	3b	H	Ph	Ph	0	10	4h	53	53 ^b

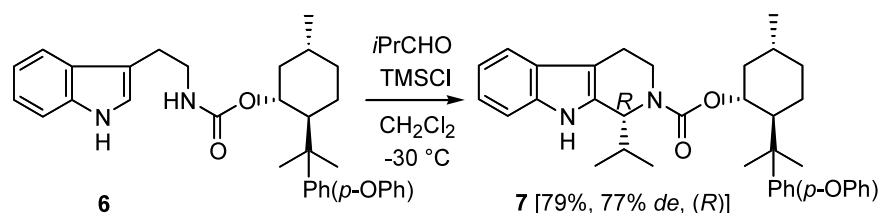
^a Diastereomeric excesses were determined by HPLC.¹⁰

^b The absolute configuration was not determined.

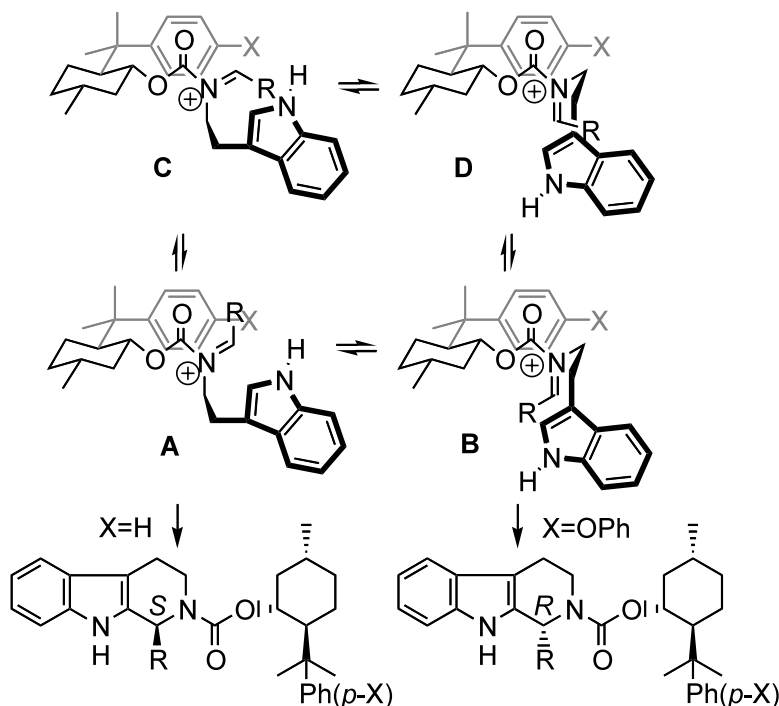
^c Reaction was carried out in the presence of $\text{Yb}(\text{OTf})_3$ (0.1 equiv.) as a co-promoter in CH_2Cl_2 –THF (4:1).



Scheme 2.



Scheme 3.



Scheme 4.

4a with 77% d.e., as shown in Scheme 3. Its absolute configuration was again determined by HPLC analysis in comparison with enantiomerically pure **7** derived from L-tryptophan. These results show that readily available chiral menthyl auxiliaries with the same stereochemistry can induce opposite stereoselectivity at C-1 of the β -carbolines by controlling the transition state. The stereoselectivities using **3b** and **6** can be explained by the transition state models shown in Scheme 4. In the reaction of **3b**, transition state A should be most favorable when considering steric interactions between R and the indole ring leading to (1S)- β -carbolines. On the other hand, when the phenyl group in the chiral auxiliary has a phenoxy substituent at the 4-position, transition state B should be the most stable and gives (1R)-isomers as the major product.

In conclusion, we have realized the diastereoselective Pictet–Spengler reaction using TMSCl as a promoter. The results described here may lead to further progress in the synthesis of homochiral β -carbolines.

Acknowledgements

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7. Trimethylchlorosilane may activate aldehydes by behaving as a mild Lewis acid and can also remove water from the reaction mixture to form (TMS)₂O. Stronger Lewis acids such as BF₃·OEt₂-promoted side reactions.
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9. Deprotection of the TBS group of β-carbolines was observed under these conditions.
10. **Representative procedure** (synthesis of **4b**, Table 2, entry 2): To a solution of carbamate **3b** (105 mg, 0.25 mmol) in dry methylene chloride (2.5 mL) were added isobutanol (54 μL, 0.6 mmol) and TMSCl (63 μL, 0.5 mmol) at –30°C. The reaction mixture was stirred for 24 h at –30°C and quenched with satd NaHCO₃. The organic layer was washed with brine and dried over Na₂SO₄. Removal of solvent in vacuo gave a residue which was purified by column chromatography (silica gel, 8:1 *n*-hex/AcOEt) to give **4b** as a mixture of diastereomers (105 mg, 89%, 80% d.e.). Diastereomeric excess was determined by HPLC [DAICEL CHIRALCEL OD, hexane:*i*PrOH = 95:5, flow rate: 2.0 mL/min, retention time: 4.1 min (minor) and 7.2 min (major)].
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