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β-Ketoesters as Mono- or Bisnucleophiles: A Concise Enantioselective Total Synthesis of (-)-Englerin A and B

Lei Guo^[a], and Bernd Plietker*^[a]

Abstract: A short enantioselective total synthesis of englerin A, a guaiane sesquiterpene with significant in vitro anti-tumor activity, is reported. Key features of this total synthesis are an organocatalytic, asymmetric decarboxylative aldol reaction, a neighboring group participated [4+3] cycloaddition, a novel one-pot Heck coupling-regioselective 1,4-hydrosilylation-Tamao-Fleming oxidation cascade, and a kinetic CBS reduction to obtain the optically pure natural products in 6.7 % overall yield within 12 steps starting from methylglyoxal.

Englerin A and B (1 and 2, Figure 1) are guaiane sesquiterpene type natural products originating from the shrub tree *Phyllanthus engleri* common in East Africa, which were isolated from its stem bark's extraction by Beutler and coworkers in 2009.^[1] Biological evaluation revealed that englerin A is a promising new lead compound in oncology as it targets TRPC4/5, an essential part of the transient receptor potential cation channels TRPC that regulate i.a. the Ca-concentration within cells and controls endothelial permeability, vasodilation, neurotransmitter release and cell proliferation. As such, englerin A inhibits selectively renal cancer cell lines' growth with Gl₅₀ between 1-87 nM.^[1-3] This unusual mode of action renders englerin A to be a potent anti-cancer drug candidate and makes it an interesting starting point for potential antitumor target research.^[3]



Figure 1. Guaiane sesequterpene natural products.

The englerins possess a challenging tricyclic structure featuring a *trans*-fused bicyclo[5.3.0]-guaiane-type moiety plus the seven-membered ring is part of an oxabicyclo[3.2.1]-heptane core system featuring six contiguous stereogenic centers. The interesting molecular structure plus the reported bioactivities

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have spurred interest in developing efficient synthetic strategies.^[4-7] In particular the complex tricyclic core represented a severe synthetic challenge that needed to be addressed. Ringclosing olefin metathesis was successfully shown to be powerful strategy to generate the core structure.^[5a,5c,5e,5f] Alternatively, a conjugate addition-reductive coupling sequence can be used to assemble the tricyclic core in an efficient manner.^[5d] However, the majority of reported syntheses relied on the use of cycloaddition as the key steps, e.g. a Au(I)-catalyzed enynecarbonyl [2+2+2]-cycloaddition,[5b,6a] an oxidopyrylium-based [5+2]-cycloaddition to acrylate, [4a] and a Rh-carbene-[6c,7a] or Ptcatalyzed^[6d] [4+3]-cycloaddition. As the majority of enantioselective syntheses make use of chiral pool materials,^[5] only four enantioselective total syntheses were reported in which a catalytic asymmetric transformation of an achiral material was employed.^[6] We describe here a conceptually novel total synthesis of (-)-englerin A (1) and B (2) in which β -ketoacid derivatives play a pivotal role as either enolate surrogates in an asymmetric organocatalytic aldol reaction or as 1.3bisnucleophiles in a [4+3]-cycloaddition.



Scheme 1. Retrosynthetic analysis (PG: protecting group).

 β -Ketoacids and their corresponding esters are essential building blocks in a variety of total syntheses.^[8] They can either be considered as biological enol-surrogates in aldol-type C-C-bond formations or as 1,3-dianion in cycloaddition chemistry. Inspired by the latter type of transformation we hypothesized, that these compounds could allow for a short straightforward modular total synthesis of englerin A. The corresponding

retrosynthetic strategy is depicted in Scheme 1. Accordingly, the esterification of alcohol 3 in the final step would provide access to englerin A (1), alcohol 3 might be accessible through an oxidation-reduction sequence followed with a diastereoselective olefin hydrogenation of allylic alcohol 4. The latter one was envisaged to derive from Pd-catalyzed Heck-coupling followed by a Pd-catalyzed 1,4-hydrosilylation of the formed 1,3-diene. Tamao-Fleming oxidation of the allyl silane could provide access to the desired alcohol 4. Cyclization precursor 5 can be obtained in a straightforward manner from β -ketoester 6, which might be accessible through a neighboring group assisted formal [4+3]cycloaddition between dianion-surrogate β -ketoester 7 and 1,4diketone 8. This aldol product 8 itself shall be synthesized enantioselectively through a decarboxylative aldol-reaction between enol-surrogate β -ketoacid **9** and methylglyoxal hydrate 10 (Scheme 1).

The synthesis started with the decarboxylative aldol reaction between methylglyoxal **10** and β -ketoacid **9** which could be performed smoothly in a non-enantioselective fashion in water at room temperature without any catalysts (base, acid, metal *etc.*) with 93% yield (see SI).^[9]

Table 1. Development of the enantioselective decarboxylative aldol reaction.

	он он 10	HO H	catalyst (10 mol ⁴ solvent, temperature	%) e, 16 h		
Er	ntry	Catalyst ^b	Solvent ^a	Temp. (°C)	Yield ^c (%)	Ee ^d (%)
	1	(DHQD)₂Pyr	THF	-20	83	-24
	2	(DHQD) ₂ AQN	THF	-20	67	-28
	3	(DHQD)₂PHAL	THF	-20	81	-52
	4	(DHQ)₂PHAL	THF	-20	79	51
	5	(DHQD) ₂ PHAL	THE	-40	76	-52
	6	(DHQD) ₂ PHAL	THF	-80	71	-52
	7	(DHQD) ₂ PHAL	CH ₂ Cl ₂	-20	56	-40
	8	(DHQD) ₂ PHAL	CH₃CN	-20	56	-43
i.	9	(DHQD) ₂ PHAL	THF/TFE ^e	-20	81	-60
1	10	(DHQD) ₂ PHAL	THF/HFIP ^e	-20	66	-47

[a] Concentration: 0.1 M; [b] The structure of the catalysts are shown in the supporting information; [c] Isolated yield; [d] Enantiomeric excess (*ee*) was analyzed by chiral GC; [e] 10% of co-solvents were used. (THF: tetrahydrofurane, TFE: trifluoroethanol; HFIP: hexafluoroisopropanol)

 $Ma^{[10a,c]}$ and List/Song^[10b] reported interesting organocatalytic decarboxylative aldol reactions using chiral bisoxazolines^[10a,c] or cinchona-type catalysts^[10b], however, in the former case β -ketoester reacted with highly reactive trifluoroacetaldehyde hemiacetals^[10a,c], in the latter case, only

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malonic acid half thioesters were used as enolate surrogates^[10b]. Initial screening experiments indicated these catalysts to be active, however, the products were isolated in low enantiopurity. After an extensive screening of various organocatalysts we found cinchona-dimers to catalyze this reaction in an asymmetric manner at low temperature (Table 1). The linker between two cinchona moieties had a strong effect. A phthalazine linker was found to be superior to both anthraquinone- as well as pyrimidine-based linkers (Table 1, entry 1-4). Whereas the temperature had only a minor effect on the enantioselectivity (Table 1, entry 5-6), a strong solvent effect was observed (Table 1, entry 7-10). Trifluoroethanol led to an increase of the enantiomeric excess (ee) to 60% with 81% yield. Despite intense efforts, a further increase proved to be difficult (for details see supporting informations), hence we continued the synthesis with the enantioenriched aldol product and planned to increase the enantiomeric excess through a kinetic asymmetric discrimination at a later stage.

Alcohol **8** was esterified with TBDPS-glycolic acid **11** under Yamaguchi-conditions to afford ester **12** with 78% yield (Scheme 2).



Scheme 2. TMSOTf-mediated [4+3]-cycloaddition. Reagents and conditions: a. 11 (1.05 equiv), 2,4,6-trichlorobenzoyl chloride (1.05 equiv), Et₃N (1.2 equiv), DMAP (0.1 equiv), toluene, rt, 1 h, 78%; b. bis-silylenolether 15 (1.5 equiv), TMSOTf (0.2 equiv), CH₂Cl₂, -78 °C, 30 min, 83% overall yield. (TMSOTf: trimethylsilyloxytrifluoromethansulfonate; DMAP: *N*,*N*-dimethylaminopyridine; TBDPS: *tert*-butyldiphenylsilyl).

Subsequently, the [4+3]-cycloaddition was investigated using methyl acetoacetate. Double deprotonation of the β -ketoester to the corresponding dianion followed by addition of ester 12 resulted in a complex product mixture. Inspired by Molander's pioneering report on methyl acetoacetate derived bissilylenolether 15 as active 1,3-dinucleophile in [4+3]cycloadditions to 1,4-diketone,^[11] we treated ester **12** with diene 15 and were pleased to find that the desired oxa[3.2.1]heptane core 17 was obtained with exclusive regioselectivity and excellent control of diastereoselectivity of the C-O-bonds at C-3 and C-4 in 83% yield.^[12] Silylation of the sterically less hindered carbonyl oxygen atom leads to oxonium species 13 which undergoes a fast hemiketal formation to 14. The adjacent carboxyl group of the ester moiety eventually stabilizes the cyclic oxonium structure thus forcing diene 15 to react trans to the glyoxylic ester to give the first C-C-bond in 16 with correct relative configuration. Intramolecular Mukaiyama-aldol reaction results in the formation of 17, which was fully characterized through extensive NMR-studies.

With oxabicyclic β -ketoester **17** in hand the synthesis was pushed forward to explore the feasibility of the attempted sequential Pd-catalysis. Alkylation of **17** plus decarboxylation using microwave assisted Krapcho-condition led to the formation of ketone **18** with full control of the diastereoselectivity (Scheme 3).





Figure 2. Stereochemical models for Pd-catalyzed 1,4-hydrosilylation (top) and CBS-catalyzed kinetic resolution (bottom).

Formation of the enoltriflate **19** set the stage for the second key transformation. Indeed the use of catalytic amounts of a $(Ph_3P)_2PdCl_2$ led to the formation of the diene **20** through an intramolecular Heck-reaction. Subsequent addition of MeCl_2SiH to the reaction mixture paved the way for the second process in this catalytic sequence, i.e. the Pd-catalyzed regio- and diastereoselective 1,4-hydrosilylation of diene **20** to the corresponding allylsilane **21** (Scheme 3).^[13]

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Scheme 3. Total synthesis of (-)-englerin A and B. Reagents and conditions: c. 4-bromo-1-butene (1.5 equiv), LiHMDS (1.1 equiv), DMPU (2.0 equiv), THF, -78 °C to rt, 2 h, 86%; d. LiCl (1.5 equiv), wet DMSO, microwave, 150 °C, 1 h, 74%; e. KHMDS (1.2 equiv), PhNTf2 (1.2 equiv), THF, -78 °C to 0 °C over 3 h, 93%; f. Pd(PPh₃)₂Cl₂ (0.1 equiv), Et₃N (3.0 equiv), toluene, 80 °C, 30 min, then: MeSiCl₂H (2.5 equiv), 5 h, then: H₂O₂, ethanol, 58%; g. TPAP (0.1 equiv), NMO (1.2 equiv), CH₂Cl₂/MeCN = 10:1, rt, 2 h, 86%; h. (S)-CBS (0.3 equiv), BH3 (0.9 equiv), THF, -10 °C, 2 h, 69%; i. Pd/C (10% w/w), 90 bar H2, EtOH, rt, 48 h, 86%; i. cinnamoyl chloride (1.1 equiv), DMAP (0.2 equiv), CH₂Cl₂/Et₃N = 10/1, 40 °C, 2 h; k. TBAF (5.0 equiv), THF, rt, 6 h, 79% over 2 steps; m) K₂CO₃ (3.0 equiv), MeOH/H₂O = 2:1, 0 °C, 4 h, 71%. (LiHMDS: lithium hexamethyldisalizide: DMPU N,N-dimethylproypylenurea; DMSO. dimethylsulfoxide; NMO: N-methylmorpholine-N-oxide; TPAP: tetra-npropylammonium perruthenate; TBAF: tetra-n-butylammonium fluoride)

The high degree of regio- and diastereoselectivity can be rationalized assuming that the Pd-H-species reacts with the less hindered exocyclic methylene group from the less hindered convex face of diene **20** (Figure 2). Fortunately, oxidative work-

up using H₂O₂ under slightly basic conditions resulted in a clean oxidation of the C-Si-bond in 21 to give allylic alcohol 22 with retention of the configuration. Starting from 19 alcohol 22 was formed in diastereomerically pure form in 58 % yield. Up to this point we were hoping to increase both the enantiopurity and to install the hydroxy group in 23 with correct relative configuration through the use of an asymmetric Corey-Bakshi-Shibata (CBS) reduction after a Ley-oxidation of 22 (Scheme 3).[14] Indeed, this strategy turned out to be particularly successful, the desired alcohol 23 was isolated with 95% enantiomeric excess and an overall yield of 60% starting from 22. Unfavourable steric interactions between the catalyst and the undesired enantiomer might explain the high degree of kinetic resolution (Figure 2). In addition, the reduction of the intermediate α,β -unsaturated ketone using standard protocols with non-chiral reagents (e.g. CeCl₃/NaBH₄, etc.) gave alcohol 23 alongside with a variety of side-products. As such, this example nicely illustrates the privileged role of CBS-type Lewis-acids both with regard to stereo-, but also to regio- and chemoselectivity in asymmetric catalysis.^[14] Finally, diastereoselective hydrogenation of the endocyclic double bond following Ma's elegant approach[5b] led to fully saturated tricycle 24 in 86% yield. Esterification and deprotection provided (-)-englerin A in 79% yield over both steps. Selective saponification of the more reactive glycolic ester moiety gave (-)-englerin B in another 71% yield.

In summary we were able to develop a conceptually novel 12 step synthesis of (-)-englerin A starting from methylglyoxal and featuring an asymmetric organocatalytic decarboxylative aldol reaction, a [4+3]-cycloaddition of β -ketoester-derived bissilylenolether to a 1,4-diketone and formation of the cyclopentane motif through a sequential Pd-catalyzed Heck-reaction-1,4-hydrosilylation-Tamao-Fleming oxidation. Kinetic discrimination via a CBS-reduction step allowed to obtain an advanced intermediate in more than 95% enantiomeric excess. Overall, the synthesis allows the preparation of the natural product in 6.7% overall yield starting from methylglyoxal. The modularity of the synthesis which is based on the initial catalytic decarboxylative aldol-reaction sets the stage for the preparation of a potential englerin A-library to improve and study the mode-of-action. Future work will concentrate on these aspects.

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Keywords: organocatalysis • asymmetric catalysis • natural product • antitumor • total synthesis

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β-Ketoesters as Mono- or Bisnucleophiles: A Concise Enantioselective Total Synthesis of (-)-Englerin A and B

A short enantioselective total synthesis of englerin A, a guaiane sesquiterpene with significant in vitro anti-tumor activity, is reported. Key features are an organocatalytic, asymmetric decarboxylative aldol reaction, a neighboring group participated [4+3] cycloaddition, a one-pot Heck coupling-regioselective 1,4-hydrosilylation-Tamao-Fleming oxidation cascade, and a kinetic CBS reduction.

(-)-englerin A

12 steps, 6.7%

(-)-englerin B 13 steps 4.8% Accepted Manuscript