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2-Phenylspiroindenes: A Novel Class of Selective Estrogen Receptor Modulators (SERMs)

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Abstract—A series of 2-phenylspiroindenes was prepared. The most active analogue (2) was found to be comparable in potency to raloxifene (1) as an estrogen receptor ligand.

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Hormone replacement therapy (HRT) is widely used to treat a variety of conditions, such as hot flashes and osteoporosis, resulting from estrogen deficiency in postmenopausal women.¹ Although HRT is very effective, it is also associated with some serious side-effects, including blood clots and increased risk of cancer. The selective estrogen receptor modulators (SERMs) have generated considerable interest due to their potential ability to provide the benefits of estrogen without the associated liabilities.² The utility of SERMs is exemplified by the use of Tamoxifen³ for the prevention and treatment of breast cancer and raloxifene $(1)^4$ for the treatment and prevention of osteoporosis.^{4d,e} Several additional SERMs, such as lasofoxifene,⁵ fulvestrant,⁶ EM-652,7 ERA-923,8 and TSE-4248 are in late stages of clinical trials for a variety of indications. Although the current SERMs have clear advantages over conventional HRT, they retain some of the disadvantages as well. Clearly, an 'ideal SERM' has not yet emerged.

We became interested in SERMs several years ago and chose to focus initially on raloxifene isosteres. It was known from X-ray crystal studies that the active conformation of raloxifene (1) was one in which the phenylketone at C-3 of the benzothiophene was orthogonal to the benzothiophene ring rather than coplanar.^{4c,f} It has been hypothesized that locking the aromatic rings in this relative configuration by fusing the pendant phenyl ring and the carbonyl linker might result in an especially

active compound.^{4b} We speculated that this might also be accomplished by constructing a spiroindene system such as 2. Molecular modelling studies showed that 2 would overlap nicely with the active conformation of raloxifene. We chose the simplified analogue 3 as our initial synthetic target.



The synthesis of 3 was accomplished using the synthetic route outlined in Scheme 1.⁹ Alkylation of commercially available anisindione 4 with methyl α -bromophenylacetate 5 under basic conditions afforded the desired alkylation product 6 in excellent yield (90% yield of recrystallized product on 1.26 g scale). Hydrogenation of 6 not only reduced the ketones but also resulted in hydrolysis of the methyl ester to the desired carboxylic acid 7 (due to lactonization of the intermediate benzylic hydroxyl to form a lactone followed by hydrogenolysis of the resulting benzylic ester) in very good yield (74% yield of crystalline product on 1.70 g scale). Conversion of acid 7 to the acid chloride by reaction with PCl₅ followed by AlCl₃ catalyzed Friedel–Crafts cyclization afforded the desired ketone 8 in low and variable yield

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Scheme 1. Reagents and conditions: (i) K_2CO_3 , DMF, 50 °C, 15 m, 90%; (ii) H_2 , Pd(OH)₂/C, EtOAc, EtOH, AcOH, 68 h, 74%; (iii) PCl₅, CH₂Cl₂, 0 °C, 1 h; (iv) AlCl₃, CH₂Cl₂, 0 °C, 45 m, 25% from 7; (v) NaBH₄, MeOH, THF, 14 h; (vi) TsOH, benzene, reflux, 2 h, 12–24% from 8; (vii) BBr₃, CH₂Cl₂, 2 h, 40%.

(8 appears to be unstable to chromatography, perhaps due to residual HCl generated in the cyclization). Reduction of the ketone with NaBH₄ followed by acidcatalyzed dehydration yielded olefin 9. Finally, removal of the methyl ether protecting group with BBr₃ afforded the desired spirocycle 3. Despite the fact that 3 is a relatively simple analogue of raloxifene, lacking both the second phenolic hydroxyl group and the basic side chain, it had very good activity in an ER binding assay (Table 1). We were therefore encouraged to continue in this area.

We next turned our attention to the more complex analogues 10 and 11, which each have an additional phenolic hydroxyl group. Compounds 10 and 11 were prepared by the routes outlined in Schemes 2 and 3, respectively. Reaction of 4 with 12 (prepared in essentially quantitative yield by NBS bromination of commercial methyl 4-methoxyphenylacetate)¹⁰ afforded the desired alkylation product 13 in quantitative yield. Hydrogenation of 13 not only reduced the ketones but also resulted in hydrolysis of the methyl ester to the desired carboxylic acid 14 in modest yield. The yield was a bit lower than usual in this case due to incomplete reduction (some monoketone was isolated as a by-product). Conversion of acid 14 to the acid chloride by reaction with PCl₅ followed by AlCl₃ catalyzed Friedel–

 Table 1.
 Estrogen receptor binding affinities (IC₅₀)

Compd	Binding affinity IC_{50} (nM) ^a			
	Human ERα	Human ERβ	Rat ERa	Rat ER _β
1	1.8	12	0.7	3.4
2	1.0	1.9	1.7	2.7
3	24	21	ND	ND
10	4.3	2.1	ND	1.1
11	28	23	34	29
23	19	5.9	2.2	1.2
26	> 10,000	> 10,000	> 10,000	>10,000
28	58	429	114	1070
34	31	12	16	15

^aThe IC₅₀ values were generated in an estrogen receptor ligand binding assay. This scintillation proximity assay was conducted in NEN Basic Flashplates using tritiated estradiol and full length recombinant human ER-alpha and ER-beta proteins.

Crafts cyclization afforded the desired cyclic ketone 15 which was immediately reduced with $NaBH_4$ followed by acid-catalyzed dehydration to afford the penultimate intermediate 16 in 13% overall (for the four steps from 14) yield. Removal of the methyl ether protecting groups with BBr₃ afforded the desired spiroindene 10 in 54% yield.

Similarly, reaction of 4 with 17 (prepared in essentially quantitative yield by NBS bromination of commercial methyl 3-methoxyphenylacetate)¹⁰ afforded the desired alkylation product 18 in excellent yield. Hydrogenation of 18 afforded the desired carboxylic acid 19 in 72% recrystallized yield. Conversion of acid 19 to the acid chloride by reaction with PCl₅ followed by AlCl₃ catalyzed Friedel–Crafts cyclization afforded the desired cyclic ketone 20 which was immediately reduced with NaBH₄ followed by acid-catalyzed dehydration to afford the penultimate intermediate 21 in 6% overall (from 19) yield. Removal of the methyl ether protecting groups with BBr₃ afforded spiroindene 11 in 80% yield.

We were very pleased to find that 10 was significantly more active than 3 in the ER binding assay (Table 1). Interestingly, though, the meta substituted analogue 11 was slightly less active than 3 despite the presence of the additional hydroxyl group.

The availability of ketone 15, an intermediate in the synthesis of 10, allowed us to explore the effect of alkyl substitution at C-3 of the spiroindene. Reaction of 15 with methylmagnesium chloride followed by dehydration with *p*-toluenesulfonic acid afforded the 3-methyl-spiroindene 22 in low yield. Deprotection of 22 then provided the desired 3-methylspiroindene diol 23. Introduction of the methyl group at C-3 resulted in a slight decrease in ER binding affinity (Scheme 4).

The low overall yield of the synthetic routes outlined in Schemes 1–3 prompted us to examine an alternative route. It has been reported that indene can be di-alky-lated with a dibromide under basic conditions to afford spiroindenes.¹¹ We hypothesized that this route could be applied to 2-phenylindenes to afford compounds like 2. Indeed, reaction of 2-phenylindene 24 with α, α' -



Scheme 2. Reagents and conditions: (i) K_2CO_3 , DMF, 50 °C, 15 m, 100%; (ii) H_2 , Pd(OH)₂/C, EtOAc, EtOH, AcOH, 23 h, 44%; (iii) PCl₅, CH₂Cl₂, 0 °C, 1 h; (iv) AlCl₃, CH₂Cl₂, -20 °C, 1 h then 0 °C, 75 m; (v) NaBH₄, MeOH, THF, 2.5 h; (vi) TsOH, benzene, reflux, 2 h, 13% from 14; (vii) BBr₃, CH₂Cl₂, 2 h, 54%.



Scheme 3. Reagents and conditions: (i) K₂CO₃, DMF, 50 °C, 20 m, 88%; (ii) H₂, Pd(OH)₂/C, EtOAc, EtOH, AcOH, 39 h, 72%; (iii) PCl₅, CH₂Cl₂, 0 °C, 1 h; (iv) AlCl₃, CH₂Cl₂, 0 °C, 90 m; (v) NaBH₄, MeOH, THF, 2 h; (vi) TsOH, benzene, reflux, 2 h, 6% from 19; (vii) BBr₃, CH₂Cl₂, 2 h, 80%.



Scheme 4. Reagents and conditions: (i) PCl₅, CH₂Cl₂, 0 °C, 75 m; (ii) AlCl₃, CH₂Cl₂, 0 °C, 75 m; (iii) MeMgCl, THF, 90 m; (iv) TsOH, benzene, reflux, 2 h, 6% from 14; (v) BBr₃, CH₂Cl₂, 2 h, 53%.

dibromoxylene 25 under phase transfer conditions afforded the parent spiroindene 26 in low yield. Similarly, reaction of 24 with dibromide 27^{12} under the same conditions resulted in spiro-alkylation with deprotection to afford the hydroxy-spiroindene 28 in very low yield. Not surprisingly, 26 and 28 were significantly less active than 10.

Although the overall yield of the spiroalkylation route was disappointing in the examples outlined in Scheme 5, we decided to try to apply it to the synthesis of 11 and the isomeric spiroindene 35 with the hope that we could optimize the yield of the problematic spiro-alkylation. LAH reduction of the known indanone 29^{13} followed by dehydration of the resulting alcohol with *p*-toluene-sulfonic acid afforded a mixture of the isomeric 2-phe-nylindenes 31 and 32. Since deprotonation of 31 or 32 affords the same indenyl anion, no attempt was made to separate the two isomers. Alkylation of 31/32 with dibromide 25 afforded the expected mixture of spiro-indenes 21 and 33 in very low yield.¹⁴ Deprotection of 33 afforded the spiroindene diol 34. Diol 34 was less active than 10 but was comparable to the isomeric diol 11. The low overall yield of 34 combined with the una-



Scheme 5. Reagents and conditions: (i) THF, 50% aq NaOH, PhCH₂N(CH₃)₄Cl, 22 h, 50 °C, 16%; (ii) THF, 50% aq NaOH, PhCH₂N(CH₃)₄Cl, 23 h, 50 °C, 5%.

voidable production of two isomeric spiroindenes prompted us to abandon this route (Scheme 6).¹⁵

The disappointing results obtained with the spiro-alkylation sequence prompted us to return to the original sequence for the synthesis of the fully elaborated spiroindene 2 (Scheme 7). Selective deprotection of the known anisindione derivative 35^{16} with sodium thiomethoxide under an argon atmosphere¹⁷ afforded the desired phenol 36 in good yield. A one-pot selective alkylation of 36 at the indandione carbon with bromide 12 followed by alkylation of the phenol with N-chloroacetyl-piperidine¹⁸ provided the advanced intermediate 37 in very good yield. As expected, catalytic hydrogenation of 37 reduced the ketones and hydrolyzed the methyl ester to afford acid **38** in good yield. The acid chloride was formed by reaction of **38** with PCl_5 and treated in situ with $AlCl_3$ to effect cyclization to the ketone **40** in 24% yield. This cyclization reaction was plagued by a fragmentation reaction that afforded by-product **39** in 50% yield as a mixture of olefin isomers. To date, we have been unable to find conditions which avoid this undesirable side reaction.

Cyclic ketone 40 was reduced with $NaBH_4$ and the resulting alcohol was treated with toluenesulfonic acid to effect elimination to the olefin 41. Reduction of the amide with LAH proceeded smoothly to afford the tertiary amine. However, deprotection of the methyl ethers proved to be problematic. The yield of the desired spiro-



Scheme 6. Reagents and conditions: (i) LiAlH₄, THF, 86%; (ii) TsOH, benzene, reflux, 22%; (iii) 25, THF, 50% aq NaOH, PhCH₂N(CH₃)₄Cl, 54 h, 50 °C, 35% combined yield of 21 and 33; (iv) NaSMe, DMF, 100 °C, 22 h, 5% from 31/32.



Scheme 7. Reagents and conditions: (i) NaSMe, NaHCO3, DMF, 130 °C, Ar atmosphere, 58%; (ii) 12, NaHCO3, DMF, 25 °C, 2 h, then N-chloroacetyl-piperidine, K₂CO₃, 55 °C, 3 h, 83%; (iii) H₂, Pd(OH)₂/C, EtOAc, EtOH, AcOH, 69 h, 78%; (iv) PCl₅, CH₂Cl₂, 0 °C; (v) AlCl₃, CH₂Cl₂, 0 °C, 24% (also isolated 50% yield of 39 as a mixture of 1,2- and 2,3- olefin isomers); (vi) NaBH₄, THF; (vii) TsOH, benzene, reflux, 52%; (viii) LiAlH₄, THF; (ix) BBr₃, CH₂Cl₂, 4%.

indene 2 was very low due to the formation of several rearrangement products. However, it was possible to isolate sufficient quantities of 2 for biological evaluation. We were pleased to find that 2 is an extremely potent estrogen receptor ligand, perhaps slightly more potent than raloxifene.

It is clear from the data in Table 1 that the 2-phenylspiroindenes can bind very efficiently to human estrogen receptors. The binding data for the rat and human receptors generally parallel each other with the exception of the methyl-indene analogue 23 which appears to be significantly more potent in the rat. The most active compound, 2, has binding affinity that is comparable to, or perhaps slightly better than, raloxifene (1) itself. Even the less complex analogues such as 3, 10, and 11 are potent estrogen receptor ligands. The binding data confirm the anticipated importance of the position of the phenolic hydroxyl groups. The most active compounds, 2 and 10, have hydroxyl groups in the same orientation as in 1. The next most active compounds, 3 and 11, have the A-ring hydroxyl in the same position as in 1 but either lack the pendant ring hydroxyl, as in 3, or have it shifted over one carbon, as in 11. Shifting both hydroxyl groups, as in 34, results in a further decrease in binding activity. The parent compound, 28, which lacks both hydroxyl groups, is essentially inactive. Incorporation of the raloxifene side chain into compound 10, as in analogue 2, results in only a slight increase in binding affinity. Addition of a methyl group at C-3 of 10, as in 23, results in only a slight decrease in binding suggesting that there may be room at this position for small substituents. Further results in this area will be reported in future communications from this laboratory.

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