

Dual Catalyst System for Asymmetric Alternating Copolymerization of Carbon Dioxide and Cyclohexene Oxide with Chiral Aluminum Complexes: Lewis Base as Catalyst Activator and Lewis Acid as Monomer Activator

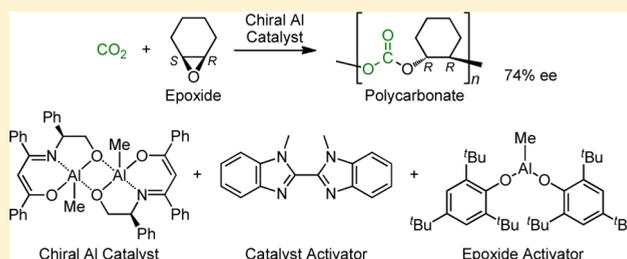
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Supporting Information

ABSTRACT: Optically active aluminum complexes such as Schiff base, binuclear β -ketoiminate, and bisprolinol complexes were found to promote asymmetric alternating copolymerizations of carbon dioxide and cyclohexene oxide. The aluminum Schiff base complexes—tetraethylammonium acetate afforded isotactic poly(cyclohexene carbonate)s with low enantioselectivities. Lewis bases having two coordinating sites were utilized to enhance activity and selectivity based on the binuclear structure of the aluminum β -ketoiminate clarified by X-ray crystallography. [2gAlMe]₂—bulky bisimidazole produced the alternating copolymer with high enantioselectivity (62% ee). The polymerization is considered to preferentially proceed at more crowded, enantioselective site owing to coordination of bulky Lewis bases to aluminums in less enantioselective sites. 3₂AlMe—2-picoline also exhibited a high enantioselectivity (67% ee). Methylaluminum bis(2,6-di-*tert*-butyl-4-methylphenoxide) was applied to perform faster and more enantioselective copolymerizations at low temperature (82% ee). The asymmetric copolymerizations were found to be significantly dependent on size of epoxide, temperature, and kind/amount of activators.

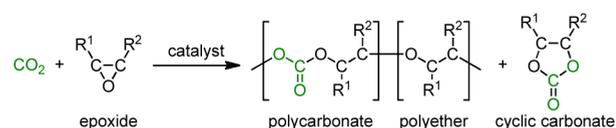


INTRODUCTION

As carbon dioxide (CO₂) has been considered as one of principal substrates giving rise to global warming since the late 1980s, the reduction of CO₂ emission and its concentration in the atmosphere have been required. On the contrary, CO₂ is the most fundamental substance related in origin of life. Namely, CO₂ is an indispensable carbon source for living organisms in nature via the transformation of CO₂ to useful chemicals through photosynthesis.¹ In the field of industrial chemistry, CO₂ has attracted chemists as a C₁ feedstock alternative to fossil resources because CO₂ is abundant in nature, harmless, nonflammable, inexpensive, and easy to handle.² The transformation of CO₂ on a commercial scale has been limited to the productions of urea, methanol, salicylic acid and carbonates. Therefore, useful processes for converting CO₂ into chemicals are of great attractive and interest.³

Polymeric synthesis using CO₂ as a monomer is one of the most desirable processes to effectively incorporate CO₂ into polymeric main chains and to exhibit remarkable properties. The first example is alternating copolymerization of CO₂ and epoxide reported by Inoue, Koinuma, and Tsuruta in 1969 to give aliphatic polycarbonates (Scheme 1).^{4,5} An equimolar mixture of Et₂Zn and H₂O was used as the catalyst. Since this discovery, many heterogeneous and homogeneous catalysts based on various metals were developed for alternating

Scheme 1. Copolymerization of CO₂ and Epoxide



copolymerization of CO₂ and epoxides.^{6–34} Chemists have focused their attentions on development of discrete complexes as catalysts for investigating reaction mechanism or controlling chemoselectivity, regioselectivity, stereoselectivity (tacticity), molecular weight, molecular weight distribution, and sequential arrangement in copolymer.

Because organoaluminum systems are known to be effective catalytic systems for homopolymerization of epoxide,³⁵ a Et₃Al—H₂O system (instead of the Et₂Zn—H₂O system) and a Et₃Al—PPh₃ system were utilized for copolymerization of CO₂ and epoxide in the early investigation by Koinuma in 1977 to afford a copolymer with low content of carbonate linkages.^{18a} Since then, aluminum complexes have been applied for the copolymerization by research groups.^{16–18} Our former

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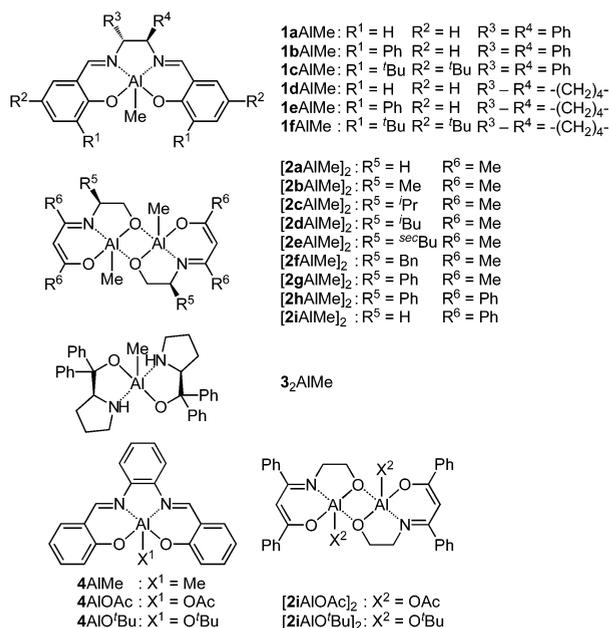


Figure 2. Structures of aluminum complexes: aluminum salen-type Schiff base complexes (**1aAlMe**–**1fAlMe** and **4AlX**'s), bimetallic β -ketoiminate complexes ($[2aAlMe]_2$ – $[2iAlMe]_2$ and $[2iAlX^2]_2$ s), and aminoalkoxide complex (**3₂AlMe**).

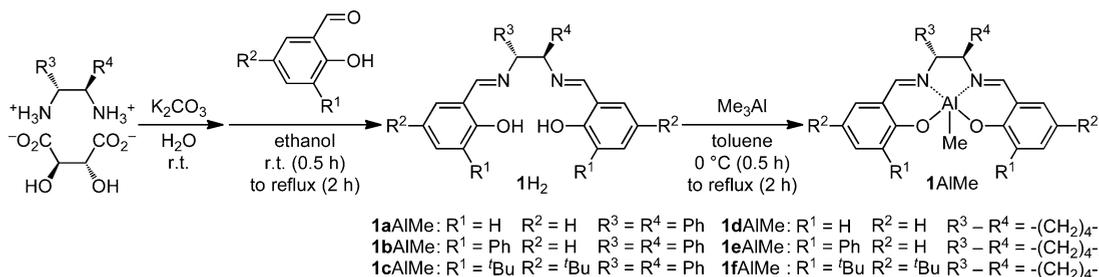
was synthesized via a spontaneous protection of the amino and carboxyl groups of L-proline, the ester was converted into (S)-diphenyl(pyrrolidine-2-yl)methanol (**3H**) by the coupling with phenylmagnesium bromide and the subsequent base-catalyzed hydrolysis (Scheme 5).⁵¹ Each reaction of **1aH₂**–**1fH₂**, **2aH₂**–**2iH₂**, or **3H**, with trimethylaluminum (Me₃Al) was followed by ¹H NMR spectroscopy; the signals assignable to the phenol (at 13–15 ppm for **1aH₂**–**1fH₂**), enol (at 10–12 ppm for **2aH₂**–**2iH₂**), or alcohol (at 4.6 ppm for **3H**) disappeared, whereas novel signals assignable to methyl groups vertically connected to the aluminums (Al–Me) appeared at –2 to –1 ppm. These results indicated the formation of the aluminum complexes (**1aAlMe**–**1fAlMe**,^{39,40} $[2aAlMe]_2$ – $[2iAlMe]_2$,⁴¹ and **3₂AlMe**⁴²).

The structures of $[2gAlMe]_2$ and **3₂AlMe** were investigated by X-ray crystallography because the complexes were obtained as suitable single crystals for X-ray crystallographic analysis (the X-ray data of **3₂AlMe** is described before polymerization results). The single crystal X-ray analysis of $[2gAlMe]_2$ revealed the formation with the 2:2 stoichiometric ratio of aluminum ion with the tridentate dianion derived from the β -ketoimine **2gH₂** (Figure 3, Table 1). The chelation of the [O,N,O] atoms in one dianion to one aluminum ion induced the formation of the 1:1

complex having the five- and six-membered chelate rings. Two 1:1 complexes were then dimerized via μ -O bridges between two aluminums of each other: the distances in the range of 1.82–1.88 Å (for Al–O), 1.99–2.00 Å (for Al–N), and 1.94–1.95 Å (for Al–C) and the angles in the range of 105–116° (for C–Al–O or C–Al–N), 75–91° (for O–Al–O or O–Al–N, *cis*), and 138–144° (for O–Al–O or O–Al–N, *trans*).⁵² This result exhibited that the aluminum complex adopted a five-coordinate, distorted square-pyramidal geometry. Both methyl groups were connected to the aluminums in a *syn* arrangement. The “*syn*-arrangement” is denoted as an arrangement of the substituents and functional groups in the same direction against the plane formed by two aluminums and two tridentate units. In addition, two phenyl groups in $[2gAlMe]_2$ were also aligned in the *syn* arrangement the directions whose were the same as those of the methyl groups on the aluminums. These observations indicated the bimetallic aluminum complex adopted a C₂-symmetric structure similar to a truncated trapezoid (Figure 3B). The R⁵ substituents in $[2bAlMe]_2$ – $[2hAlMe]_2$ (e.g., R⁵ = Ph for $[2gAlMe]_2$) are denoted as “wall” to regulate the direction of CHO approaching the aluminums. The spatial region surrounded by the R⁵ substituents is abbreviated as “inside walls” and the opposite region of “inside walls” against the coordination plane formed by two aluminums and two [O,N,O] chelating units is named as “outside walls”. When a nucleophilic reagent (e.g., alkoxide) attacks a C_s-symmetric *meso*-epoxide coordinated to the aluminum in the region “inside walls”, the approaching directions of the reagent to two tertiary carbons of the epoxide are differentiated by the phenyl groups attached on the asymmetric tetrahedral carbons. The asymmetric ring-opening of the *meso*-epoxide in the enantioselective site (“inside walls”) may generate the optically active oxycarbonyloxy-*trans*-cyclohexane-1,2-diyl repeating units.

A coordination geometry of $[2gAlMe]_2$ in solution was investigated by ²⁷Al NMR analysis in noncoordinating solvent (Figure S2A in the Supporting Information).⁵³ Only one signal assignable to the aluminums of $[2gAlMe]_2$ was observed in the range of 40–100 ppm at the center of 70 ppm. This suggests that the four-coordinated complex in the monomeric state was dimerized to adopt the more stable, five-coordinated complex in C₆D₆ (Scheme S1, Figure S3 in the Supporting Information).⁵⁴ This result was in good agreement with that from X-ray crystallographic analysis. Such a formation of bimetallic five-coordinated aluminum complexes via dimerization was confirmed by Doherty and co-workers:⁴¹ a dimeric structure of a complex $[2aAlCl]_2$ in solution was confirmed by ²⁷Al NMR analysis. Therefore, the structures of other $[2AlMe]_2$ complexes are considered to be similar to that of the complex $[2gAlMe]_2$ though the complexes ($[2AlMe]_2$) except for

Scheme 3. Synthesis of Aluminum Chiral Schiff Base Complexes (**1aAlMe**–**1fAlMe**)



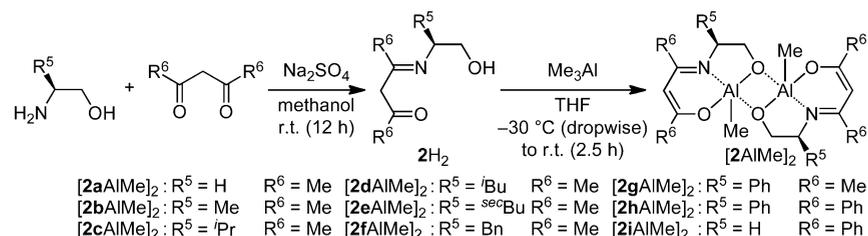
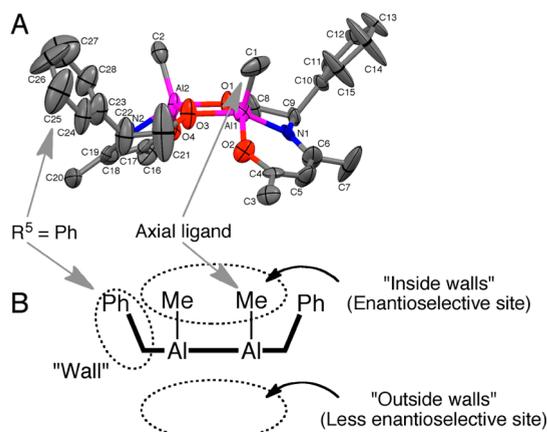
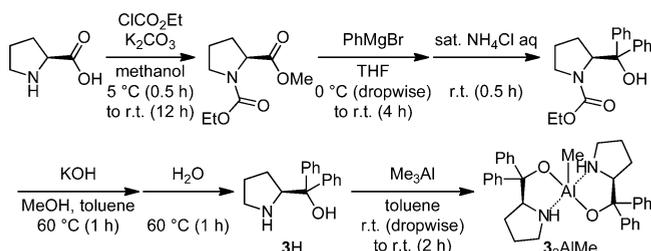
Scheme 4. Synthesis of Bimetallic Aluminum β -Ketoiminate Complexes ($[2aAlMe]_2$ – $[2iAlMe]_2$)Scheme 5. Synthesis of Aluminum Chiral Aminoalkoxide Complex (3_2AlMe)

Figure 3. (A) Ball-and-stick drawings of $[2gAlMe]_2$ with thermal ellipsoids at 50% probability (carbon, gray; nitrogen, blue; oxygen, red; aluminum, pink). Hydrogen atoms are omitted for clarity. (B) Model of bimetallic aluminum complex $[2gAlMe]_2$.

$[2gAlMe]_2$ were not crystallized as suitable single crystals for X-ray crystallographic analysis.

In the crystal, the aluminum bisprolinol complex 3_2AlMe formed a five-coordinate, C_2 -symmetric structure similar to the reported literature (Figures 4 and S1 and Table S2 in the Supporting Information).⁴² The complex 3_2AlMe had two regions: one region is “inside walls” enclosed by two phenyl and two pyrrolidyl groups as “wall” and the other is “outside walls” surrounded by two remaining phenyl groups alone. Since phenyl groups and pyrrolidyl groups in the complex 3_2AlMe are denoted as “wall” to regulate the direction of CHO approaching the aluminum, the spatial region encircled by “walls” (two phenyl and two pyrrolidyl groups) are abbreviated as “inside walls” and the opposite region of “inside walls” against the coordination plane formed by one aluminum and two [N,O] chelating units are named as “outside walls”.

Asymmetric Alternating Copolymerization of CO_2 and CHO with Aluminum Salen-Type Schiff Base Complexes– Et_4NOAc . A series of optically active salen-type Schiff base complex^{25,30,55} ($1aAlMe$ – $1fAlMe$) having (1*R*,2*R*)–

Table 1. Selected Bond Lengths (Å) and Bond Angles (°) for Complex $[2gAlMe]_2$

Length/Å			
Al(1)–C(1)	1.942(9)	Al(2)–C(2)	1.954(9)
Al(1)–O(1)	1.855(4)	Al(2)–O(3)	1.878(5)
Al(1)–N(1)	1.985(7)	Al(2)–N(2)	2.003(7)
Al(1)–O(2)	1.831(5)	Al(2)–O(4)	1.822(5)
Al(1)–O(3)	1.878(5)	Al(2)–O(1)	1.868(5)
Angle/deg			
O(1)–Al(1)–C(1)	113.3(4)	O(3)–Al(2)–C(2)	115.8(3)
C(1)–Al(1)–N(1)	109.6(4)	C(2)–Al(2)–N(2)	111.0(3)
O(2)–Al(1)–C(1)	106.9(4)	O(4)–Al(2)–N(2)	105.6(3)
O(3)–Al(1)–C(1)	104.8(4)	O(1)–Al(2)–C(2)	104.1(3)
O(1)–Al(1)–N(1)	81.0(2)	O(3)–Al(2)–N(2)	79.8(3)
O(2)–Al(1)–N(1)	90.1(2)	O(4)–Al(2)–N(2)	89.8(3)
O(2)–Al(1)–O(3)	90.5(3)	O(4)–Al(2)–O(1)	91.4(2)
O(1)–Al(1)–O(3)	75.3(2)	O(1)–Al(2)–O(3)	75.0(2)
O(2)–Al(1)–O(1)	139.6(3)	O(4)–Al(2)–O(3)	138.3(3)
O(3)–Al(1)–N(1)	143.8(3)	O(1)–Al(2)–N(2)	143.2(3)
Al(1)–O(1)–Al(2)	105.5(2)	Al(1)–O(3)–Al(2)	104.2(3)

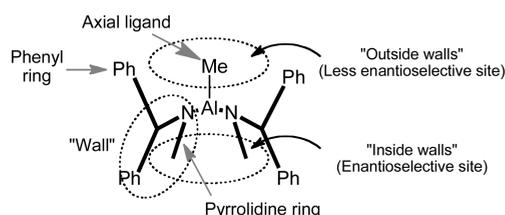


Figure 4. Model of aluminum complex 3_2AlMe .

1,2-diphenylethane-1,2-diyl or (1*R*,2*R*)-cyclohexane-1,2-diyl group– Et_4NOAc catalytic systems were first employed for alternating copolymerization of CO_2 and CHO with asymmetric induction (Table 2). The copolymerization was carried out with the complex $1aAlMe$ in the presence of Et_4NOAc (1 equiv to $1aAlMe$) at 80 °C under 50 atm of CO_2 at an initial mole ratio ($[CHO]_0/[1AlMe]_0$) of 250 in toluene. The $1aAlMe$ – Et_4NOAc system gave the alternating copolymer in 73% yield for 24 h (the content of carbonate linkages = 98%, the number-averaged molecular weight (M_n) = 6800, the molecular weight distribution (M_w/M_n) = 1.22; Table 2, run 1). The obtained copolymer was converted into *trans*-1,2-cyclohexanediol in 85% yield by alkali-catalyzed hydrolysis. The enantiomeric excess (ee) of the diol was estimated to be 8% (for (*R,R*)-isomer) by optical rotation measurement ($[\alpha]_D -3.1^\circ$ (c 1.4, H_2O)).⁵⁶ This value shows the ratio of (*R,R*) and (*S,S*) units ((*R,R*) units: (*S,S*) units = 54: 46) in the main chain of the copolymer.

To investigate effect of substituents connected to the phenolate rings on enantioselectivity of the catalysts, aluminum complexes ($1bAlMe$ and $1cAlMe$) having one phenyl group at

Table 2. Asymmetric Alternating Copolymerization of CO₂ and CHO with Aluminum Salen-Type Schiff Base Complexes—Et₄NOAc.^a

run	complex	temp/°C	time/h	copolymerization					hydrolysis	
				yield ^b /%	TOF ^c /h ⁻¹	C.L. ^d /%	M _n ^e	M _w /M _n ^e	yield of diol/%	%ee ^f (R,R)
1	1aAlMe	80	24	73	6.2	98	6800	1.22	85	8
2	1bAlMe	80	24	24	2.1	94	1900	1.60	91	12
3	1cAlMe	80	24	29	2.5	97	4400	1.14	88	14
4	1dAlMe	80	24	26	2.2	94	5300	1.23	90	5
5	1eAlMe	80	24	20	1.7	96	3100	1.29	78	10
6	1fAlMe	80	24	31	2.6	94	2800	1.40	95	9
7	1aAlMe	80	6	21	8.8	94	2000	1.23	93	9
8	1aAlMe	60	24	32	2.7	99	6700	1.26	89	13
9	1aAlMe	40	24	58	6.0	70	5800	1.98	79	20
10	1cAlMe	60	6	8	3.3	97	2200	1.66	88	23

^aIn 1 mL of toluene, 80 °C, 50 atm of CO₂, complex 1AlMe (0.1 mmol), Et₄NOAc (0.1 mmol), and CHO (25 mmol). ^bOn the basis of isolated copolymer. ^cTurnover frequency = (mol of reacted CHO)·(mol of cat.)⁻¹·h⁻¹. ^dContent of carbonate linkages (C.L.) = (carbonate unit)·((carbonate unit) + (ether unit))⁻¹, estimated by ¹H NMR (CDCl₃). ^eEstimated by GPC calibrated with polystyrene standard in THF at 40 °C. ^fEstimated from optical rotation of *trans*-1,2-cyclohexanediol in H₂O.

the 3-position (for 1bAlMe) and two *tert*-butyl groups at the 3- and 5-positions (for 1cAlMe) of the phenolate ring were employed for the copolymerization (Table 2, runs 2 and 3). The introduction of the bulky groups to the phenolate was expected to improve enantioselectivity. The complexes 1bAlMe and 1cAlMe produced alternating copolymers with more than 94% content of carbonate linkages ($M_n = 1900$, $M_w/M_n = 1.60$ (for 1bAlMe) and $M_n = 4400$, $M_w/M_n = 1.14$ (for 1cAlMe)) and slightly higher enantioselectivities (12% ee (for 1bAlMe) and 14% ee (for 1cAlMe)) than that (8% ee) by 1aAlMe. In contrast, the turnover frequencies (TOFs) were significantly reduced (2.1 h⁻¹ (for 1bAlMe) and 2.5 h⁻¹ (for 1cAlMe)), meaning that the rates of the copolymerizations with 1bAlMe and 1cAlMe were slower than that by 1aAlMe. These results indicate that the introduction of the bulky substituents around the active aluminums in the catalysts led to not only the expected improvement of the enantioselectivity (H < Ph ≈ ^tBu) but also the undesirable lowering of the copolymerization rate (H > Ph ≈ ^tBu).

The effect of diimine backbones ((1*R*,2*R*)-1,2-diphenylethane-1,2-diyl-diimino (1aAlMe–1cAlMe) and (1*R*,2*R*)-cyclohexane-1,2-diyl-diimino (1dAlMe–1fAlMe) groups) on enantioselectivity was next investigated using the complexes 1dAlMe–1fAlMe (Table 2, runs 4–6). The complexes 1dAlMe–1fAlMe showed lower enantioselectivities (5–10% ee) than those of the complexes 1aAlMe–1cAlMe (8–14% ee), while other polymerization data (TOF, content of carbonate linkages, M_n , and M_w/M_n) with 1dAlMe–1fAlMe were similar to those with 1aAlMe–1cAlMe. These results revealed that the *trans*-1,2-diphenylethane-1,2-diyl-diimino backbone was more effective for asymmetric alternating copolymerization of CO₂ and CHO with chiral aluminum complex than the *trans*-cyclohexane-1,2-diyl-diimino one.

Other conditions (time and temperature) affecting enantioselectivity, content of carbonate linkages, and so on were refined for the copolymerization of CO₂ and CHO with the complexes 1aAlMe–1cAlMe. At 6 h for the copolymerization with 1aAlMe–Et₄NOAc, the enantioselectivity and content of carbonate linkages were 9% ee and 94%, respectively (Table 2, run 7), indicating that enantioselectivity and content of carbonate linkages are independent of polymerization time. In general, asymmetric reactions with high enantioselectivities have been achieved at low temperature because enantioselectivities

in asymmetric reactions are significantly dependent on temperature.⁵⁷ Thus, the copolymerizations with 1aAlMe–Et₄NOAc were performed at 60 and 40 °C (Table 2, runs 8 and 9). The enantioselectivities expectedly increased with lowering of the temperature; 13% ee (for 60 °C) and 20% ee (for 40 °C). However, the copolymer synthesized at 40 °C had a low content of carbonate linkages ([carbonate linkages]:[ether linkages] = 70:30). The highest enantioselectivity of 23% was achieved among the asymmetric copolymerizations with the catalysts 1aAlMe–1fAlMe as the complex 1cAlMe was used at 60 °C instead of 1aAlMe (Table 2, run 10).

In the cases except for runs 1 and 9 in Table 2, the polymer yields were relatively low (8–32%). This is because the polymerizations were quenched at the predetermined time regardless of the conversion of CHO on the basis of the results in Table 2, runs 1 and 7. In addition, the observed M_n values were smaller than the calculated ones (e.g., Table 2, run 1; the observed $M_n = 6800$, the calculated $M_n = 13000$). This disagreement is due to the contamination of water into the reaction system.⁵⁸

Asymmetric Alternating Copolymerization of CO₂ and CHO with Aluminum β -Ketoiminate Complexes—Et₄NOAc. The chiral aluminum Schiff-base complexes (1aAlMe–1fAlMe) for the asymmetric alternating copolymerization of CO₂ and CHO were considered to be less effective as described above. Thus, aluminum complexes having chiral skeletons, which have never been used for the asymmetric alternating copolymerization of CO₂ and epoxide, are needed to afford a more highly enantioenriched copolymer. Metal complexes exhibiting catalytic activity for ring-opening polymerization of lactide are known to be effective for alternating copolymerization of CO₂ and epoxide.⁵⁹ In fact, aluminum salen-type ligand complexes including 1fAlMe have been known as active catalysts for polymerizations of lactide and for copolymerization of CO₂ and epoxide.⁶⁰ Doherty and co-workers reported that aluminum β -ketoiminate complexes including [2aAlCl]₂ functioned as catalysts for ring-opening polymerization of *rac*-lactide by an addition of PO or CHO.⁴¹ In addition, achiral and racemic cobalt β -ketoiminate complexes were recently reported as effective catalysts for alternating copolymerization of CO₂ and epoxide (in particular, ethylene oxide) by Yamada.³² However, aluminum β -ketoiminate complexes have been never employed as catalyst for

Table 3. Asymmetric Alternating Copolymerization of CO₂ and CHO with Aluminum β -Ketoiminate Complexes—Et₄NOAc.^a

run	complex	copolymerization					hydrolysis	
		yield ^{d,b} /%	TOF ^c /h ⁻¹	C.L. ^d /%	M _n ^e	M _w /M _n ^e	yield of diol/%	%ee ^f (R,R)
1	[2aAlMe] ₂	36	15.0	97	4200	1.21	89	—
2	[2bAlMe] ₂	26	10.8	96	2700	1.29	88	10
3	[2cAlMe] ₂	16	6.7	96	2500	1.22	94	15
4	[2dAlMe] ₂	19	7.9	98	4900	1.24	90	14
5	[2eAlMe] ₂	20	8.3	96	3100	1.14	79	18
6	[2fAlMe] ₂	28	11.7	97	3600	1.27	87	20
7	[2gAlMe] ₂	18	7.5	99	2000	1.18	95	26
8	[2hAlMe] ₂	32	13.3	98	8800	1.38	93	24

^aIn 1 mL of toluene, 60 °C, 6 h, 50 atm of CO₂, complex [2AlMe]₂ (0.1 mmol), Et₄NOAc (0.2 mmol), and CHO (25 mmol). ^bOn the basis of isolated copolymer. ^cTurnover frequency = (mol of reacted CHO)·(mol of cat.)⁻¹·h⁻¹. ^dContent of carbonate linkages (C.L.) = (carbonate unit)·((carbonate unit) + (ether unit))⁻¹, estimated by ¹H NMR (CDCl₃). ^eEstimated by GPC calibrated with polystyrene standard in THF at 40 °C. ^fEstimated from optical rotation of *trans*-1,2-cyclohexanediol in H₂O.

Table 4. Asymmetric Alternating Copolymerization of CO₂ and CHO with Aluminum β -Ketoiminate Complexes—Lewis Bases.^a

run	complex	Lewis base	copolymerization					hydrolysis	
			yield ^{d,b} /%	TOF ^c /h ⁻¹	C.L. ^d /%	M _n ^e	M _w /M _n ^e	yield of diol/%	%ee ^f (R,R)
1	[2gAlMe] ₂	pyridine	12	5.0	99	2900	1.18	89	22
2	[2gAlMe] ₂	<i>N</i> -MeIm ^g	10	4.2	90	1900	2.27	87	19
3	[2gAlMe] ₂	DMAP	12	5.0	97	1600	1.26	94	39
4	[2hAlMe] ₂	DMAP	16	6.7	99	4300	1.18	91	49

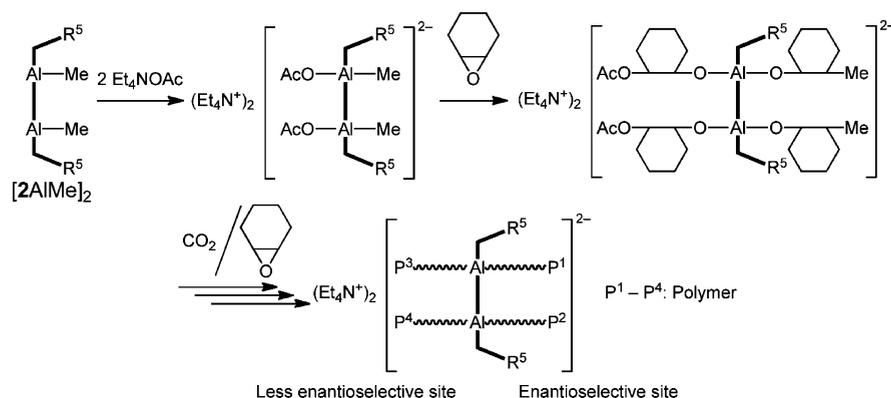
^aIn 1 mL of toluene, 60 °C, 6 h, 50 atm of CO₂, complex [2gAlMe]₂ and [2hAlMe]₂ (0.1 mmol), Lewis base (0.2 mmol), and CHO (25 mmol); *N*-MeIm = *N*-methylimidazole, DMAP = *N,N*-dimethyl-4-aminopyridine. ^bOn the basis of isolated copolymer. ^cTurnover frequency = (mol of reacted CHO)·(mol of cat.)⁻¹·h⁻¹. ^dContent of carbonate linkages (C.L.) = (carbonate unit)·((carbonate unit) + (ether unit))⁻¹, estimated by ¹H NMR (CDCl₃). ^eEstimated by GPC calibrated with polystyrene standard in THF at 40 °C. ^fEstimated from optical rotation of *trans*-1,2-cyclohexanediol in H₂O. ^g0.5 mmol.

(asymmetric) copolymerization of CO₂ and epoxide. Thus, an achiral aluminum β -ketoiminate complex [2aAlMe]₂ was first attempted for alternating copolymerization of CO₂ and CHO. The copolymerization of CO₂ and CHO with [2aAlMe]₂ in the presence of Et₄NOAc (1 equiv to Al) was carried out at 60 °C for 6 h under 50 atm of CO₂ at an initial mole ratio ([CHO]₀/[[2aAlMe]₂]₀) of 250 in toluene. The [2aAlMe]₂–tetraethylammonium acetate (Et₄NOAc) system gave the alternating copolymer with the narrow molecular weight distribution in 36% yield (content of carbonate linkages = 97%, M_n = 4200, M_w/M_n = 1.21; Table 3, run 1). The TOF (15.0 h⁻¹) was larger than that using 1aAlMe–1fAlMe (1.7–8.8), meaning that the polymerization with [2aAlMe]₂ were faster than those with 1aAlMe–1fAlMe.

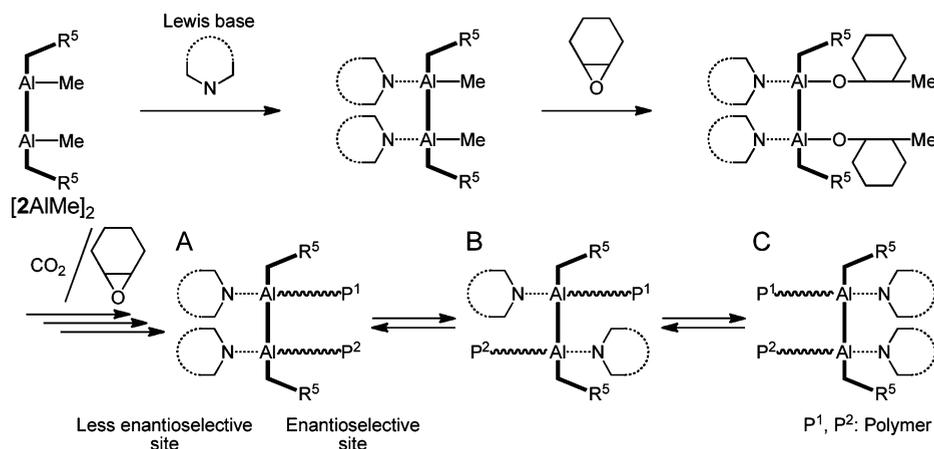
On the basis of the structure of the achiral complex [2aAlMe]₂ being active for the copolymerization, optically active β -ketoiminate complexes ([2bAlMe]₂–[2hAlMe]₂) were designed. Chiral β -ketoiminate complexes consist of aluminum compounds (trialkylaluminums or aluminum halides) and chiral β -ketoimines readily prepared from β -diketones and chiral amino alcohols. The amino alcohols were obtained by reduction of amino acids. Since chiral amino acids are easily available as enantiomerically pure forms from naturally abundant sources or by using asymmetric synthetic methods,⁶¹ chiral β -ketoimines and their metal complexes are attractive as ligands and catalysts. The copolymerizations with the aluminum chiral β -ketoiminate complexes having different R⁵ substituents (R⁵ = Me ([2bAlMe]₂), ^{*i*}Pr ([2cAlMe]₂), ^{*t*}Bu ([2dAlMe]₂), ^{*sec*}Bu ([2eAlMe]₂), Bn ([2fAlMe]₂), Ph ([2gAlMe]₂) were performed under the same condition as that with [2aAlMe]₂ (Table 3, runs 2–7). The alternating copolymers (content of carbonate linkages = 96–99%) were

generated by using all complexes, and the ees of the diols obtained by alkali-hydrolysis of the polymers increased with increasing the sizes of the R⁵ substituents (ee = 10%, 15%, 14%, 18%, 20%, 26%; size = Me < ^{*i*}Pr < ^{*t*}Bu < ^{*sec*}Bu < Bn < Ph). The TOFs of the copolymerizations with [2bAlMe]₂–[2gAlMe]₂ (6.7–11.7 h⁻¹) were smaller than that with [2aAlMe]₂ (15.0 h⁻¹), meaning the slower polymerizations with [2bAlMe]₂–[2gAlMe]₂ than that with [2aAlMe]₂. This is considered to be due to the steric repulsion between the R⁵ groups and CHO in spite of no observation of relationship between the TOFs and the sizes of the R⁵s. The M_ns were in the range of 2000–4900, which were independent of the structures of the R⁵s. The observed M_ns were significantly lower than the calculated values, resulting in the contamination of water into the polymerization systems similar to those with the aluminum salen-type Schiff base complexes (1aAlMe–1fAlMe). Subsequently, a complex [2hAlMe]₂ (R⁶ = Ph) having a more bulky β -diketone skeleton than that of [2gAlMe]₂ (R⁶ = Me) was applied for the copolymerization of CO₂ and CHO to afford a more highly enantioenriched copolymer (Table 3, run 8). Contrary to expectations, the catalyst showed an enantioselective ability similar to [2gAlMe]₂ (26% (for [2gAlMe]₂) and 24% (for [2hAlMe]₂) ees). On the other hand, the TOF of [2hAlMe]₂ (13.3 h⁻¹) was high as compared with that of [2gAlMe]₂ (7.5 h⁻¹), meaning the higher catalytic activity of [2hAlMe]₂ than that of [2gAlMe]₂. In addition, the M_n of the copolymer obtained with [2hAlMe]₂ was higher than that with [2gAlMe]₂. These results indicate that the introduction of the bulky substituents to the ketoimine skeleton (R⁶–C(OH)=CH–C(=N)–R⁶) is valid to improve the catalytic activity but not the enantioselectivity.

Scheme 6. Active Propagating Species Generated in Copolymerization of CO₂ and CHO with Aluminum β -Ketoiminate Complexes and Et₄NOAc



Scheme 7. Active Propagating Species Generated in Copolymerization of CO₂ and CHO with Aluminum β -Ketoiminate Complexes and Lewis Bases (Monoamines)



Asymmetric Alternating Copolymerization of CO₂ and CHO with Aluminum β -Ketoiminate Complexes–Lewis Bases. To find out condition suitable for asymmetric alternating copolymerization of CO₂ and CHO with optically active aluminum β -ketoiminate complexes, *N*-containing Lewis bases such as pyridine, *N*-methylimidazole (*N*-MeIm), and *N,N*-dimethyl-4-aminopyridine (DMAP) instead of Et₄NOAc were employed as cocatalysts in the presence of the complexes [2gAlMe]₂ and [2hAlMe]₂ (Table 4). The utilizations of pyridine and *N*-MeIm led to the decreases of the catalytic activities (TOF: 5.0 h⁻¹ (for pyridine), 4.2 h⁻¹ (for *N*-MeIm) vs 7.5 h⁻¹ (for OAc)), the enantioselectivities (ee: 22% (for pyridine), 19% (for *N*-MeIm) vs 26% (for OAc)), and the content of carbonate linkages (C.L.: 90% (for *N*-MeIm)) (Table 4, runs 1, 2). In contrast, the alternating copolymer generated with DMAP was comprised of the repeating units with 39% ee (C.L., 97%; *M_n*, 1600; *M_w*/*M_n*, 1.26) (Table 4, run 3) being the largest value among those obtained with Lewis bases. Hence, DMAP was used to the copolymerization with the complex [2hAlMe]₂ to afford the alternating copolymer with high enantioselectivity of 49% ee (C.L., 99%; *M_n*, 4300; *M_w*/*M_n*, 1.18) (Table 4, run 4). These results suggest that the p*K_a* of Lewis base and acetate affects the enantioselective ring-opening of CHO (p*K_a*: 4.76 for OAc; 5.19 for pyridine; 7.06 for *N*-MeIm; 9.70 for DMAP).⁶²

Mechanism of Asymmetric Alternating Copolymerization of CO₂ and CHO with Aluminum β -Ketoiminate

Complexes. We previously described the following two points regarding alternating copolymerizations of CO₂ and CHO with an *achiral* aluminum Schiff base complex (4AlMe)–Et₄NOAc system. One is that the anion species assignable to the ammonium salt is coordinated to the aluminum to adopt a six-coordinated ate complex having two axial ligands (the methyl anion of the original five-coordinated aluminum complex and the counteranion derived from the ammonium salt). The other is that both two anionic species on the aluminum independently served as the initiators to allow the propagation of two polymeric chains on both axial sites.^{17a} On the basis of our knowledge, the initiation and propagation mechanism of the polymerization with the chiral bimetallic aluminum β -ketoiminate complexes–Et₄NOAc was proposed as shown in Scheme 6. The coordination of two acetate ions to two aluminums in the single complex brings about the formation of two six-coordinated ate structures having four anionic ligands. Four anionic species on the aluminums severally function as the initiators on the axial sites to permit the propagation of four polymeric chains: two chains are placed in the same direction as the R⁵ substituents and two remaining chains are placed in the opposite direction as the R⁵s. The enantioselective ring-opening of CHO most likely proceeds in the region “inside walls” as the R⁵s regulate the orientation of CHO approaching the aluminum. On the other hand, the less enantioselective ring-opening of CHO probably advances in the opposite direction as the R⁵s.

Furthermore, a mechanism of the polymerization with the chiral bimetallic aluminum β -ketoiminate complexes—monoamines was described in Scheme 7. Both two methyl anionic species connected to the aluminums independently act as the initiators to allow the propagation of two polymeric chains. The behavior of aluminum—alkoxide and —carboxylate bonds in the growing step of the polymer chains is assumed to cause the formation of three active species of the aluminum β -ketoiminate complex ($[2\mathbf{gAlMe}]_2$)—Lewis base system as follow (Scheme 7): (A) form is a active species having two alkoxide groups of the chain's terminal in the region “inside walls”, (B) form is that having one alkoxide group in “inside walls” and the other in “inside walls”, and (C) form is that having two alkoxide groups of the chain's terminal in “outside walls”.

As shown in Scheme 7, the exchange between alkoxide and carboxylate groups on the aluminums is presumed to proceed during the copolymerization of CO_2 and epoxide. This is because the exchange of alkoxide and carboxylate groups as the growing species on the aluminum porphyrin was previously observed in the polymerizations of epoxide or β -lactone.⁶³ To clarify the behavior of the aluminum—alkoxide and —carboxylate bonds in the aluminum complexes, an equimolar mixture of two aluminum complexes with different axial groups from each other, 4AlOAc and $[2\mathbf{iAlO}^t\text{Bu}]_2$, was investigated by ^1H NMR analysis (Scheme 8, Figures 5 and S4 in the Supporting

Scheme 8. Exchange of Axially-Coordinated Alkoxide (O^tBu) and Carboxylate Groups (OAc) Between Two Different Aluminum Complexes (4AlOAc and $[2\mathbf{iAlO}^t\text{Bu}]_2$)

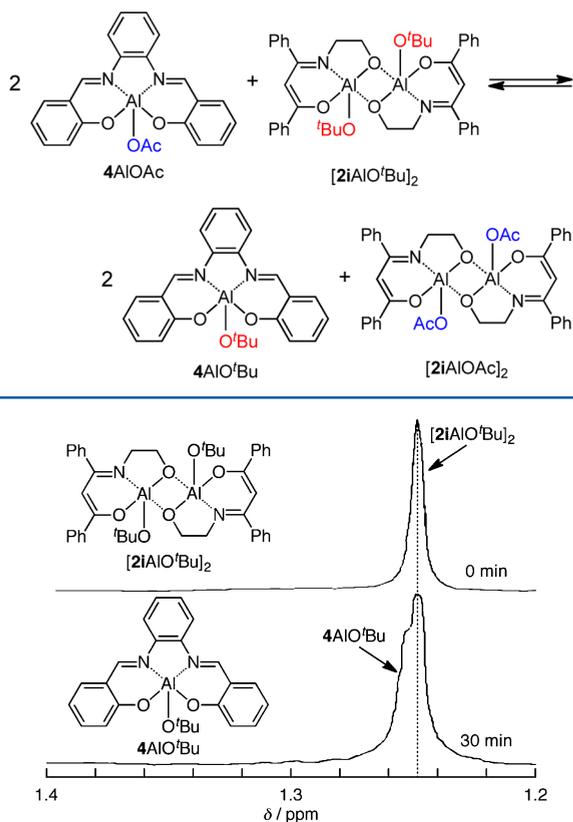


Figure 5. ^1H NMR spectra of the equimolar mixture of 4AlOAc and $[2\mathbf{iAlO}^t\text{Bu}]_2$ at 30°C in CDCl_3 at 0 (upper) and 30 (lower) min after mixing.

Information). The ^1H NMR spectrum of the complex $[2\mathbf{iAlO}^t\text{Bu}]_2$ showed a single signal at 1.25 ppm due to the *tert*-butoxide group in $[2\mathbf{iAlO}^t\text{Bu}]_2$. At 10 min after mixing of two aluminum complexes, a new signal appeared at 1.26 ppm assignable to the methyl protons of the *tert*-butoxide group in $4\text{AlO}^t\text{Bu}$. The intensity of the signal at 1.26 ppm gradually increased with time and reached a constant value (approximately 25% mole fraction based on the signal area) at 60 min (Figure 6). This result is in agreement with the exchange between the polymeric species in more enantioselective and less enantioselective sites.

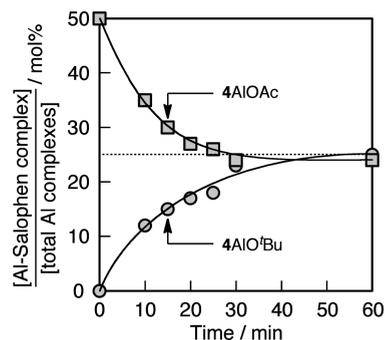
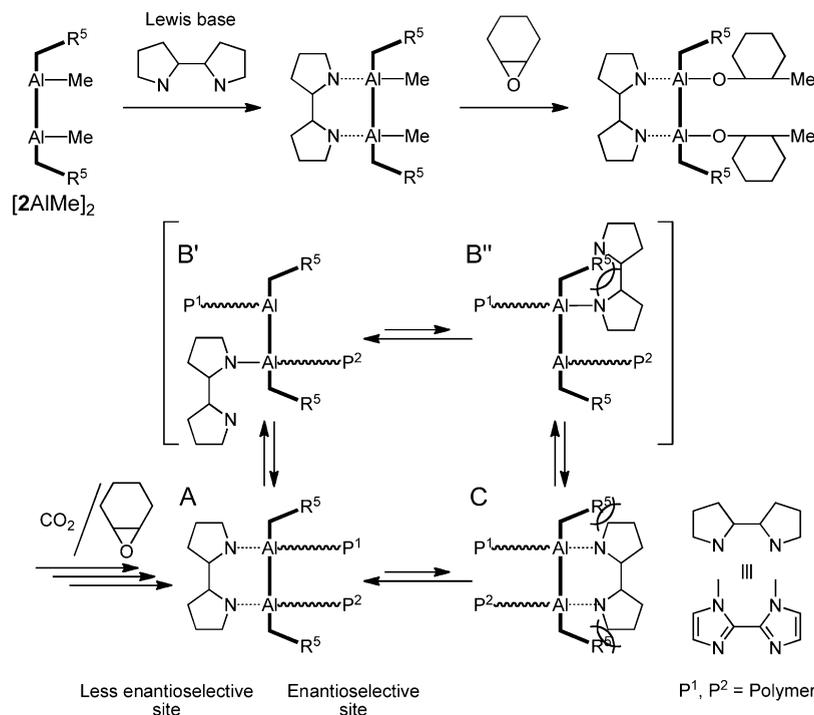


Figure 6. Relationship between the mole fractions of 4AlOAc (squares) and $4\text{AlO}^t\text{Bu}$ (circles) and the reaction time in the equimolar mixture of 4AlOAc and $[2\mathbf{iAlO}^t\text{Bu}]_2$ in CDCl_3 at 30°C .

Asymmetric Alternating Copolymerization of CO_2 and CHO with Aluminum β -Ketoiminate Complexes—Bidentate Lewis Bases.

The aluminum β -ketoiminate complexes ($[2\mathbf{aAlMe}]_2$ – $[2\mathbf{iAlMe}]_2$) are presumed to have kept the dimeric structures even during the copolymerization. If diamines having two nitrogens in parallel in one molecule are applied instead of monoamines as Lewis bases, the diamines are expected to be coordinated to two aluminums in the complexes with the molar ratio of 1:1 (Scheme 9).⁶⁴ The (B) form of the aluminum β -ketoiminate/diamine complex is the forbidden structure. In addition, the steric hindrance between the diamines and the complexes most likely induces the formation of the (A) form rather than the (C) one to promote the copolymerization at the more enantioselective site. An enantioselective reaction by using coordination of a Lewis base to restrict reaction sites was reported by Inoue et al.⁶⁵ On the basis of our strategy, a bisimidazole (two *N*-MeIm are linked at the 2-positions of each other, bis-*N*-MeIm⁶⁶) was employed for the copolymerization with the complex $[2\mathbf{gAlMe}]_2$ or $[2\mathbf{hAlMe}]_2$ (Table 5, runs 1 and 2).⁶⁷ The complex $[2\mathbf{gAlMe}]_2$ or $[2\mathbf{hAlMe}]_2$ –bis-*N*-MeIm systems produced alternating copolymers (96–97% for contents of carbonate linkages) with the molar ratios of 78.5: 21.5 (57% ee (*R,R*)) and 77: 23 (54% ee (*R,R*)), respectively. When more bulky bisbenzimidazole (two *N*-methylbenzimidazoles are coupled similarly, bis-*N*-MeBzIm⁶⁶) was used with the complex $[2\mathbf{gAlMe}]_2$ and $[2\mathbf{hAlMe}]_2$ (Scheme 10), the obtained copolymers had higher contents of carbonate linkages (98% for $[2\mathbf{gAlMe}]_2$ and 96% for $[2\mathbf{hAlMe}]_2$) and higher enantioselectivities (62% ee for $[2\mathbf{gAlMe}]_2$ and 60% ee for $[2\mathbf{hAlMe}]_2$) than those with bis-*N*-MeIm (Table 5, runs 3 and 4). In particular, the M_n of the copolymer generated with the complex $[2\mathbf{gAlMe}]_2$ –bis-*N*-MeBzIm was close to the calculated one.

Scheme 9. Active Propagating Species Generated in Copolymerization of CO₂ and CHO with [2aAlMe]₂–[2iAlMe]₂ and Bis-*N*-MeIm (Diamine)Table 5. Asymmetric Alternating Copolymerization of CO₂ and CHO with Aluminum β -Ketoiminate Complexes–Bisimidazoles.^a

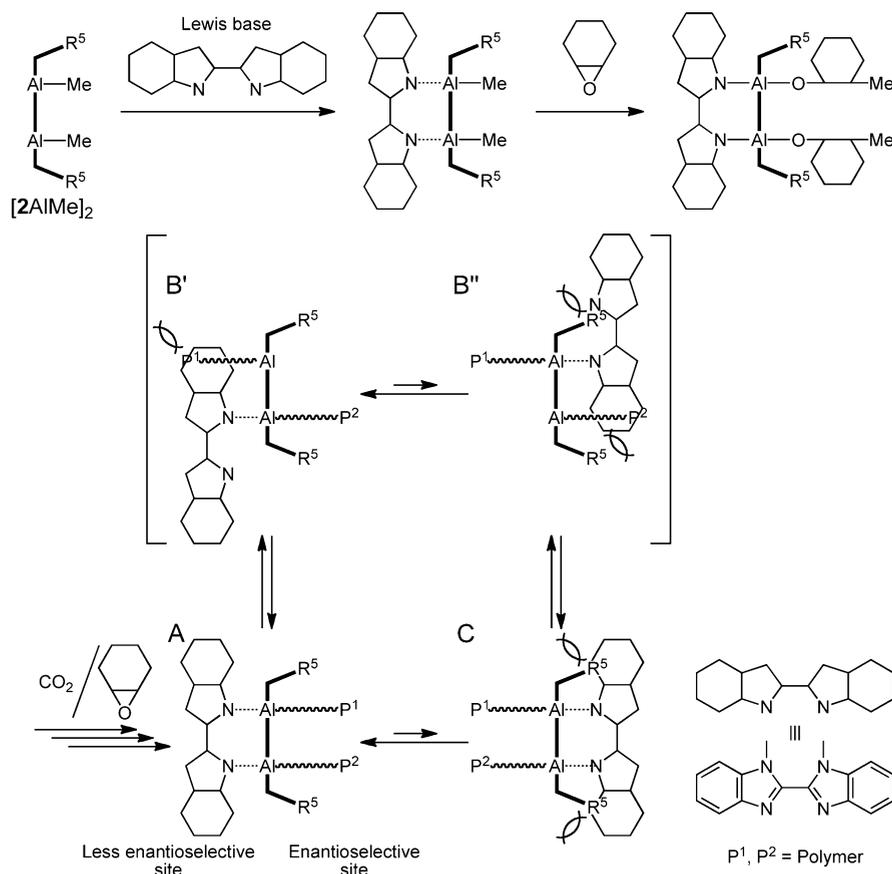
run	complex	Lewis base	copolymerization				hydrolysis		
			yield ^b /%	TOF ^c /h ⁻¹	C.L. ^d /%	M _n ^e	M _w /M _n ^e	yield of diol/%	%ee ^f (R,R)
1	[2gAlMe] ₂	bis- <i>N</i> -MeIm	10	4.2	97	3200	1.29	90	57
2	[2hAlMe] ₂	bis- <i>N</i> -MeIm	20	8.3	96	5800	1.17	97	54
3	[2gAlMe] ₂	bis- <i>N</i> -MeBzIm	12	5.0	98	3900	1.41	87	62
4	[2hAlMe] ₂	bis- <i>N</i> -MeBzIm	19	7.9	96	5700	1.24	91	60

^aIn 1 mL of toluene, 60 °C, 6 h, 50 atm of CO₂, complex [2gAlMe]₂ and [2hAlMe]₂ (0.1 mmol), Lewis base (0.1 mmol), and CHO (25 mmol); bis-*N*-MeIm = *N*-methyl-2-(*N*'-methylimidazol-2-yl)imidazole, bis-*N*-MeBzIm = *N*-methyl-2-(*N*'-methylbenzimidazol-2-yl)benzimidazole. ^bOn the basis of isolated copolymer. ^cTurnover frequency = (mol of reacted CHO)·(mol of cat.)⁻¹·h⁻¹. ^dContent of carbonate linkages (C.L.) = (carbonate unit)·((carbonate unit) + (ether unit))⁻¹, estimated by ¹H NMR (CDCl₃). ^eEstimated by GPC calibrated with polystyrene standard in THF at 40 °C. ^fEstimated from optical rotation of *trans*-1,2-cyclohexanediol in H₂O.

To investigate whether the Lewis bases are coordinated to the bimetallic aluminum β -ketoiminate complexes in solution or not, the mixture of [2gAlMe]₂ and bis-*N*-MeIm (1 equiv to [2gAlMe]₂) in C₆D₆ was measured by ²⁷Al NMR analysis (Figure S2B in the Supporting Information). Two signals assignable to the aluminums of [2gAlMe]₂ were observed at 68 and 12 ppm in the range of 40–100 ppm. One signal is due to the five-coordinated aluminum species without bis-*N*-MeIm, whereas the other is assignable to the six-coordinated aluminum species generated via the coordination of bis-*N*-MeIm. In addition, the observation of two signals means the slow ligand exchange on the NMR time scale. Moreover, the area of the former is larger than that of the latter regardless of the equimolar mixture of [2gAlMe]₂ and bis-*N*-MeIm in C₆D₆. This suggests a part of the binuclear aluminum complexes forms the active species with the Lewis base under the condition.

Asymmetric Alternating Copolymerization with Aluminum β -Ketoiminate Complex–Lewis Bases–Lewis Acid. To enhance enantioselectivities for copolymerizations

of CO₂ and CHO with optically active aluminum complexes, lowering temperature is one of effective methods. In addition, utilization of accelerator allows improvement of yield within predetermined time because reaction generally tends to become slow at lower temperature. In our previous research,⁶⁸ a ring-opening polymerization of propylene oxide with an aluminum porphinate complex was substantially accelerated 460-folds by addition of 0.5 equiv of methylaluminum bis(2,6-di-*tert*-butyl-4-methylphenoxide) (MAD⁶⁹). This is owing to the coordinative activation of epoxide by MAD. According to this knowledge, MAD was applied for alternating copolymerization of CO₂ and CHO with [2gAlMe]₂– or [2hAlMe]₂–bis-*N*-MeBzIm systems. First, the increase of the feed ratio of MAD to the catalyst [2gAlMe]₂ (0 to 1 equiv) was related to the following changes: not only the increase of the TOF (4.2 to >10.4 h⁻¹; 1.1 to 2.7-folds to that with [2gAlMe]₂–bis-*N*-MeBzIm: 3.9 h⁻¹) but also the decreases of the ee (61 to 39% ee; 63% ee for [2gAlMe]₂–bis-*N*-MeBzIm) and the content of carbonate linkages (93 to 35%; > 98% for [2gAlMe]₂–bis-*N*-MeBzIm) and the increase of the M_w/M_n (1.51 to 1.93; 1.31 for [2gAlMe]₂–bis-*N*-

Scheme 10. Active Propagating Species Generated in Copolymerization of CO₂ and CHO with [2gAlMe]₂– or [2hAlMe]₂–bis-*N*-MeBzIm (Bulky Diamine)Table 6. Asymmetric Alternating Copolymerization of CO₂ and CHO with [2gAlMe]₂–bis-*N*-MeBzIm–MAD.^a

run	[2gAlMe] ₂ /equiv	bis- <i>N</i> -Me BzIm/equiv	MAD/equiv	copolymerization						hydrolysis	
				yield ^b /%	TOF ^c /h ⁻¹	C.L. ^d /%	M _n ^e	M _w /M _n ^e	yield of diol/%	%ee ^f (<i>R,R</i>)	
1	1	0.5	0	37	3.9	98	7900	1.31	92	63	
2	1	0.5	0.1	40	4.2	93	6900	1.51	94	61	
3	1	0.5	0.3	61	6.4	90	11 300	1.42	86	59	
4	1	0.5	0.5	76	7.9	70	13 800	1.91	89	51	
5	1	0.5	1	>99	>10.4	35	12 600	1.93	96	39	
6	1	1	0.3	52	5.4	95	9100	1.32	93	62	
7	1	1	1	90	9.4	63	17 500	2.01	91	47	

^aIn 1 mL of toluene, 60 °C, 24 h, 50 atm of CO₂, complex [2gAlMe]₂ (0.1 mmol), and CHO (25 mmol); bis-*N*-MeBzIm = *N*-methyl-2-(*N*-methylbenzimidazol-2-yl)benzimidazole, MAD = methylaluminum bis(2,6-di-*tert*-butyl-4-methylphenoxide). ^bOn the basis of isolated copolymer. ^cTurnover frequency = (mol of reacted CHO):(mol of cat.)⁻¹·h⁻¹. ^dContent of carbonate linkages (C.L.) = (carbonate unit)·((carbonate unit) + (ether unit))⁻¹, estimated by ¹H NMR (CDCl₃). ^eEstimated by GPC calibrated with polystyrene standard in THF at 40 °C. ^fEstimated from optical rotation of *trans*-1,2-cyclohexanediol in H₂O.

MeBzIm) (Table 6, runs 1–5). Furthermore, various ratios of [2gAlMe]₂–bis-*N*-MeBzIm–MAD were attempted to establish the catalytic system which produces the copolymer in a high yield with a high content of carbonate linkages and an enantioselectivity. The optimized ratio was [2gAlMe]₂/[bis-*N*-MeBzIm]/[MAD] = 1/1/0.3. The system produced the alternating copolymer in 52% yield (TOF: 5.4 h⁻¹; the slight acceleration) with the content of carbonate linkages (95%) and the enantioselectivity (62% ee) (Table 6, run 6) similar to those of the polymer obtained by an MAD-free catalytic system (98% and 62% ee, respectively) in Table 5, run 3. The effect of MAD on the copolymerization is described afterward (in section of copolymerization with 3₂AlMe).

The copolymerizations with the [2gAlMe]₂–bis-*N*-MeBzIm–MAD (1: 1: 0.3) system were performed at lower temperature (60 °C to 0–40 °C) (Table 7, runs 1–4). The decrease of the temperature expectedly caused the increase of the ee value of the *trans*-(*R,R*)-1,2-diol. In contrast, the content of carbonate linkages unexpectedly decreased with lowering of the temperature. The decrease of the content of carbonate linkages may be owing to decrease of reactivity of CO₂ at low temperature as shown in Table 2, run 9 or increase of reactivity of epoxide upon the addition of MAD as observed in Table 7. For example, at 0 °C the catalytic system produced the copolymer with a low content of carbonate linkages (42%) (M_n = 2300, M_w/M_n = 1.93) in 19% yield. The copolymer was

Table 7. Asymmetric Alternating Copolymerization of CO₂ and CHO with [2gAlMe]₂ or [2hAlMe]₂–bis-*N*-MeBzIm–MAD at Various Temperatures.^a

run	complex	temp. /°C	copolymerization				hydrolysis		
			yield ^b /%	TOF ^c /h ⁻¹	C.L. ^d /%	M _n ^e	M _w /M _n ^e	yield of diol/%	%ee ^f (R,R)
1	[2gAlMe] ₂	60	52	5.4	95	9100	1.32	93	62
2	[2gAlMe] ₂	40	41	4.3	88	6500	1.51	89	69
3	[2gAlMe] ₂	25	37	3.9	59	6000	1.54	84	74
4	[2gAlMe] ₂	0	19	2.0	42	2300	1.93	80	80
5	[2hAlMe] ₂	60	62	6.5	94	10 200	1.47	89	64
6	[2hAlMe] ₂	40	49	5.1	86	7300	1.29	90	74
7	[2hAlMe] ₂	25	40	4.2	63	6400	1.60	85	75
8	[2hAlMe] ₂	0	21	2.2	47	2500	1.55	86	80

^aIn 1 mL of toluene, 24 h, 50 atm of CO₂, complex [2gAlMe]₂ or [2hAlMe]₂ (0.1 mmol), bis-*N*-MeBzIm (0.1 mmol), MAD (0.03 mmol), and CHO (25 mmol); bis-*N*-MeBzIm = *N*-methyl-2-(*N*'-methylbenzimidazol-2-yl)benzimidazole, MAD = methylaluminum bis(2,6-di-*tert*-butyl-4-methylphenoxide). ^bOn the basis of isolated copolymer. ^cTurnover frequency = (mol of reacted CHO)·(mol of cat.)⁻¹·h⁻¹. ^dContent of carbonate linkages (C.L.) = (carbonate unit)·((carbonate unit) + (ether unit))⁻¹, estimated by ¹H NMR (CDCl₃). ^eEstimated by GPC calibrated with polystyrene standard in THF at 40 °C. ^fEstimated from optical rotation of *trans*-1,2-cyclohexanediol in H₂O.

Table 8. Asymmetric Alternating Copolymerization of CO₂ and CHO with 3₂AlMe–Lewis Bases.^a

run	Lewis base	copolymerization				hydrolysis		
		yield ^b /%	TOF ^c /h ⁻¹	C.L. ^d /%	M _n ^e	M _w /M _n ^e	yield of diol/%	%ee ^f (R,R)
1	DMAP	10	0.35	>99	28 800	1.92	82	59
2	2-picoline	8	0.28	96	25 600	2.10	97	67

^aIn 1 mL of toluene, 60 °C, 72 h, 50 atm of CO₂, complex 3₂AlMe (0.1 mmol), Lewis base (0.1 mmol), and CHO (25 mmol); DMAP = *N,N*-dimethyl-4-aminopyridine. ^bOn the basis of isolated copolymer. ^cTurnover frequency = (mol of reacted CHO)·(mol of cat.)⁻¹·h⁻¹. ^dContent of carbonate linkages (C.L.) = (carbonate unit)·((carbonate unit) + (ether unit))⁻¹, estimated by ¹H NMR (CDCl₃). ^eEstimated by GPC calibrated with polystyrene standard in THF at 40 °C. ^fEstimated from optical rotation of *trans*-1,2-cyclohexanediol in H₂O.

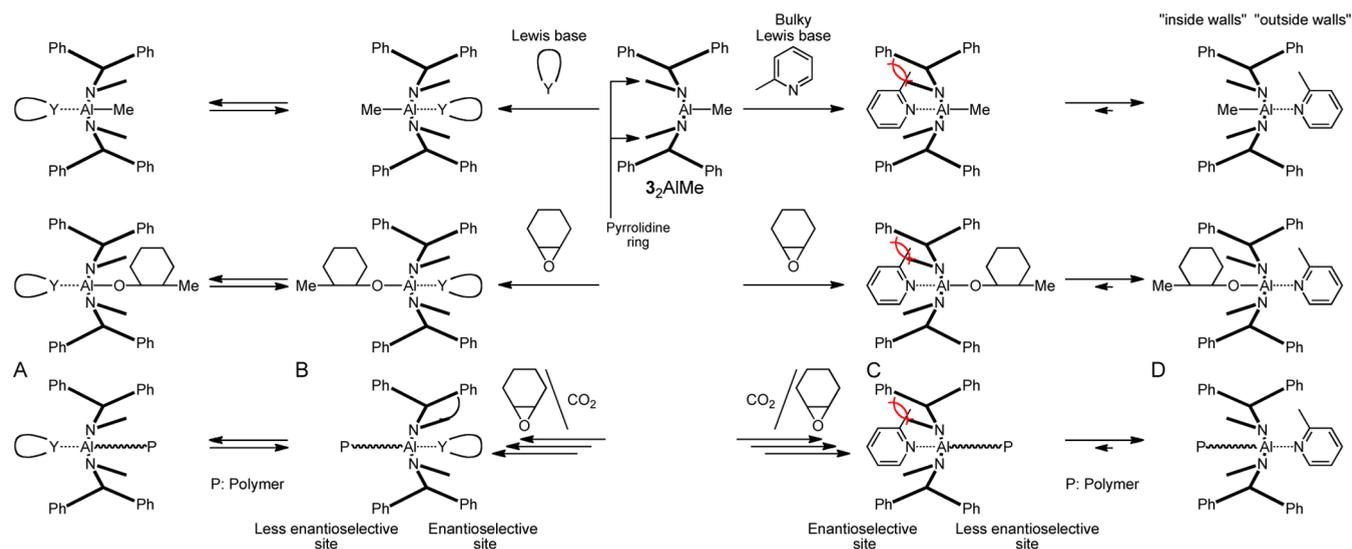
transformed to the *trans*-1,2-diol with 80% ee⁷⁰ and the oligo- or polyether chains with an optical activity ([α]_D –16.7° (*c* 0.6, benzene)) by alkaline hydrolysis.⁷¹

Furthermore, the replacement of the aluminum complex in the catalytic system ([2gAlMe]₂ to [2hAlMe]₂) slightly affects the copolymerization behavior (Table 7, runs 5–8). At 0 °C the catalytic system generated the copolymer with a low content of carbonate linkages (47%) (M_n = 2500, M_w/M_n = 1.55) in 21% yield. The copolymer comprised of the *trans*-1,2-diol with 80% ee⁷⁰ and the oligo- or polyether chains with an optical activity ([α]_D –17.6° (*c* 0.6, benzene)).⁷¹ These results indicate the polymerization is significantly dependent on temperature regardless of the kinds of the catalytic systems.

Asymmetric Alternating Copolymerization of CO₂ and CHO with Aluminum Aminoalkoxide Complex–Lewis Bases. Bulky prolinol derivatives synthesized from *L*-proline with ease have been used as organocatalysts⁷² and ligands⁷³ of metal complexes for asymmetric synthesis. A bulky prolinol 3H⁵¹ is known to be one of powerful organocatalysts and ligands. The 3H was applied as [N,O]-ligands and chelating units of catalysts for asymmetric copolymerization of CO₂ and epoxide by Nozaki^{14a–c} and Ding^{14e,15} separately. The former catalyst was an intermolecular bimetallic zinc prolinol complex and the latter was an intramolecular bimetallic zinc or magnesium multidentate bisprolinol complex. These complexes are binuclear zinc complexes composed of two zincs and two prolinol units. Although a mixture of 3H and organoaluminum instead of Et₂Zn was expected to form a binuclear aluminum complex via μ-C or -O linkage similar to the complexes^{14a–c,e,15} and [2AlMe]₂, a mononuclear aluminum bisprolinol complex (3₂AlMe) was obtained. The complex 3₂AlMe has two bidentate prolinol skeletons and forms a pyramidal geometry similar to aluminum porphyrinate and

salen-type Schiff base complexes^{16,17} showing activity for the copolymerization of CO₂ and epoxide (Table 8, run 1). Thus, the copolymerization of CO₂ and CHO with 3₂AlMe in the presence of DMAP (1 equiv to 3₂AlMe) was carried out at 60 °C for 72 h under 50 atm of CO₂ at an initial mole ratio ([CHO]₀/[3₂AlMe]₀) of 250 in toluene. The obtained copolymer had perfect carbonate linkages (over 99%) with a higher enantioselectivity of 59% ee ((*R,R*) units: (*S,S*) units = 79.5: 20.5) than those with the complexes 1aAlMe–1fAlMe and [2aAlMe]₂–[2hAlMe]₂ (Tables 2–4). However, the copolymerization was relatively slower (TOF = 0.35 h⁻¹) than those with the complexes 1aAlMe–1fAlMe and [2aAlMe]₂–[2hAlMe]₂ (Tables 2–4). Compared with the calculated M_n (3500), the observed molecular weight was 28800. It is presumed that the large M_n and broad M_w/M_n are due to action of a part of 3₂AlMe as the catalyst for the copolymerization, that is, the slow initiation and the fast propagation of the polymerization. Furthermore, utilization of 2-picoline instead of DMAP led to the enhancement of the enantioselectivity (67% ee) though the TOF, content of carbonate linkages, and M_w/M_n were slightly degraded (Table 8, run 2).

Mechanism of Asymmetric Alternating Copolymerization of CO₂ and CHO with Aluminum Aminoalkoxide Complex–Lewis Bases. When CHO approaches the aluminum in the region “inside walls” of the complex 3₂AlMe, an enantioselective ring-opening of CHO will likely advance. In contrast, a coordination of a Lewis base such as pyridine to the aluminum in “inside walls” brings about the approach of CHO to the aluminum in “outside walls”, probably causing a less enantioselective ring-opening of CHO. If Lewis base having bulky substituents around the coordination site collides with the walls (two pyrrolidine and two phenyl rings)

Scheme 11. Mechanism of Asymmetric Copolymerization with 3_2AlMe –2-picoline

of “inside walls”, the Lewis base may be coordinated to the aluminum in “outside walls”. The steric repulsion may accelerate the preferential approach of CHO to the aluminum in “inside walls”. In fact, the enantioselectivity of the copolymerization was improved by the utilization of 2-picoline as the Lewis base based on the concept (Table 8, run 2). The polymerization is considered to proceed through the process as described in Scheme 11D.

Asymmetric Alternating Copolymerization with Aluminum Aminoalkoxide Complex–Lewis Bases–Lewis Acid. To perform the fast copolymerization with high enantioselectivity, MAD was applied for alternating copolymerization of CO_2 and CHO with 3_2AlMe –Lewis bases on the basis of $[2\mathbf{gAlMe}]_2$ – or $[2\mathbf{hAlMe}]_2$ –bis-*N*-MeBzIm systems (Figure 7). First, MAD (1 equiv to aluminum) accelerated the

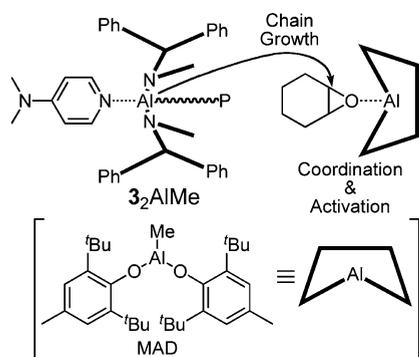


Figure 7. Coordinative activation of CHO with MAD and chain growth from active chain terminal to CHO.

copolymerization (conversion of CHO = 77%) to produce the copolymer (content of carbonate linkages = 90%, $M_n = 23000$, $M_w/M_n = 3.10$) in 72% yield for 24 h, meaning the 21-folds-acceleration of the copolymerization (Table 9, run 1). The increase of the feed ratio of MAD to the catalyst 3_2AlMe (1 to 5 equiv) was related to the following changes: not only the increase of the TOF (7.5 to 14.8 h^{-1} ; 21- to 42-folds to that with 3_2AlMe –DMAP 0.35 h^{-1}) but also the decreases of the ee (53 to 39% ee; 59% ee for 3_2AlMe –DMAP) and the content of carbonate linkages (91 to 83%; > 99% for 3_2AlMe –DMAP)

and the increase of the M_w/M_n (3.10 to 5.44; 1.92 for 3_2AlMe –DMAP) (Table 9, runs 1–5).

Even if MAD alone catalyzes the copolymerization, the large M_w/M_n is explicable because multiple active species (at least two kinds (3_2AlMe and MAD)) work as catalysts in the polymerization system. Therefore, the copolymerization with MAD alone was carried out in the absence of other aluminum complexes or additives. MAD alone generated the copolymer with a low content of carbonate linkages ([carbonate linkages]: [ether linkages] = 37: 63) and a very broad distribution ($M_w/M_n = 6.43$) (Table 9, run 9). The 1:1 mixture of MAD and DMAP was inactive for the copolymerization of CO_2 and CHO (Table 9, run 10). MAD and DMAP were originally expected to act as a monomer activator and a catalyst activator, respectively. These results, however, disclosed that MAD not only catalyzed the polymerization of CO_2 and CHO but also directly reacted with DMAP. The interactions between CHO or DMAP and MAD were examined by using ^{13}C NMR spectroscopy. The ^{13}C NMR spectrum of an equimolar mixture of CHO and MAD in CDCl_3 at 30°C showed all signals due to CHO clearly shifted from those of CHO alone (Figure 8). In particular, the signal assignable to O–CH of CHO was shifted most significantly (52.1 ppm for CHO alone; 66.1 ppm for CHO–MAD). This result indicates that CHO is coordinated to the aluminum of MAD. Furthermore, an equimolar mixture of MAD and DMAP in CDCl_3 at 30°C was investigated in the same manner (Figure 9). Two signals due to the carbons at the 2- and 4-positions on the pyridine ring of DMAP were remarkably shifted: 154.3 to 155.9 ppm (for C at the 2-position) and 150.0 to 147.6 ppm (for C at the 4-position). This result suggests that DMAP also coordinates to the aluminum of MAD.

Various ratios of 3_2AlMe –DMAP–MAD were attempted to establish the catalytic system which produces the copolymer in a high yield with a high content of carbonate linkages and an enantioselectivity (Table 9, run 1–8). The optimized ratio was $[3_2\text{AlMe}]/[\text{DMAP}]/[\text{MAD}] = 1/3/2$ (Table 9, run 6). The system quantitatively generated the copolymer (TOF = over 10.4 h^{-1} ; the degree of acceleration was approximately 30-folds) with the content of carbonate linkages (95%) and the enantioselectivity (55% ee) similar to those of the polymer yielded by an MAD-free catalytic system (>99% and 59% ee,

Table 9. Asymmetric Alternating Copolymerization of CO₂ and CHO with 3₂AlMe–DMAP–MAD System.^a

run	3 ₂ AlMe/equiv	DMAP/equiv	MAD/equiv	time/h	copolymerization					hydrolysis	
					yield ^b /%	TOF ^c /h ⁻¹	C.L. ^d /%	M _n ^e	M _w /M _n ^e	yield of diol/%	%ee ^f (R,R)
1	1	1	1	24	72	7.5	90	23 000	3.10	94	53
2	1	1	2	18	70	9.7	91	20 600	3.33	96	54
3	1	1	3	18	81	11.3	88	26 100	4.92	89	49
4	1	1	4	18	>99	13.9	83	24 700	3.72	86	40
5	1	1	5	12	71	14.8	85	15 500	5.44	94	39
6	1	3	2	24	>99	10.4	95	32 700	1.74	91	55
7	1	2	2	24	89	9.3	94	27 000	2.29	94	48
8	1	2	3	24	>99	10.4	89	29 200	2.50	90	46
9	0	0	1	24	82	8.5	37	3500	6.43	88	0
10	0	1	1	24	–	–	–	–	–	–	–

^aIn 1 mL of toluene, 60 °C, 50 atm of CO₂, complex 3₂AlMe (0.1 mmol), and CHO (25 mmol); DMAP = *N,N*-dimethyl-4-aminopyridine, MAD = methylaluminum bis(2,6-di-*tert*-butyl-4-methylphenoxide). ^bOn the basis of isolated copolymer. ^cTurnover frequency = (mol of reacted CHO)·(mol of cat.)⁻¹·h⁻¹. ^dContent of carbonate linkages (C.L.) = (carbonate unit)·((carbonate unit) + (ether unit))⁻¹, estimated by ¹H NMR (CDCl₃). ^eEstimated by GPC calibrated with polystyrene standard in THF at 40 °C. ^fEstimated from optical rotation of *trans*-1,2-cyclohexanediol in H₂O.

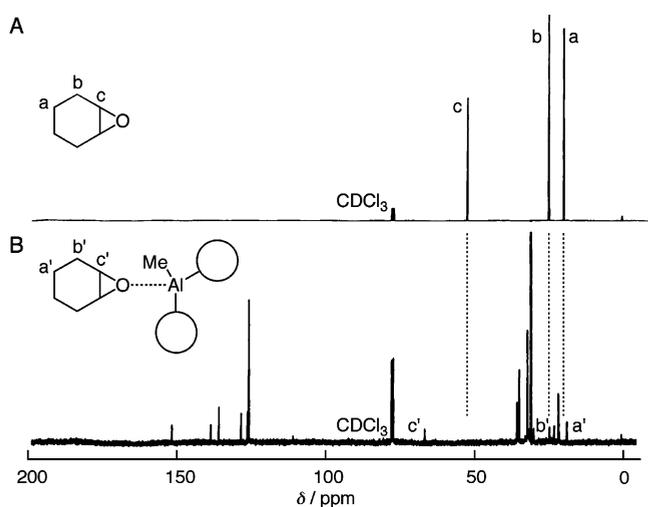


Figure 8. ¹³C NMR spectra of CHO in the absence (A) and presence (B) of MAD in CDCl₃ at 30 °C. [CHO]/[MAD] = 1.

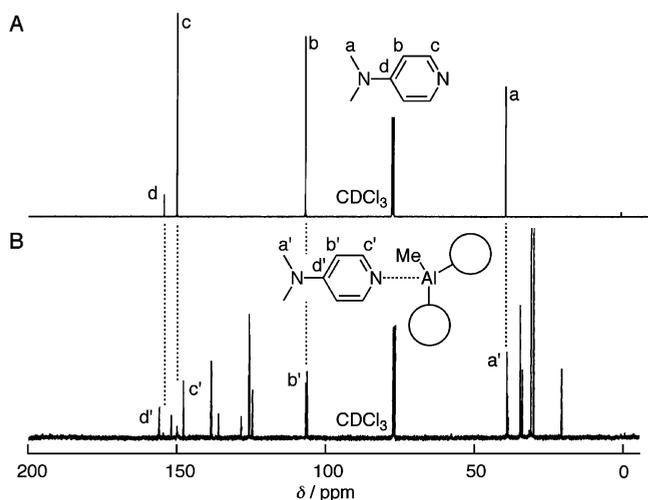


Figure 9. ¹³C NMR spectra of DMAP in the absence (A) and presence (B) of MAD in CDCl₃ at 30 °C. [DMAP]/[MAD] = 1.

respectively) in Table 8, run 1. On the other hand, the copolymers obtained with other catalytic ratios had low

contents of carbonate linkages and enantioselectivities and large M_w/M_n values (Table 9, runs 7 and 8).

To improve further enantioselectivities in the asymmetric copolymerization of CO₂ and CHO, MAD was added to the 3₂AlMe–2-picoline system producing the copolymer with 67% ee being the highest value among the ees of the *trans*-1,2-diols obtained with MAD-free catalytic systems at 60 °C. The 3₂AlMe/2-picoline/MAD (= 1/3/2, molar ratio) produced the alternating copolymer in a quantitative yield with a high enantioselectivity of 66% ee (Table 10, run 1), which was higher than those with 3₂AlMe–DMAP–MAD system (Table 9, run 6). In addition, the temperature was lowered from 60 °C to 0–40 °C (Table 8, runs 2–4). Lowering the temperature expectedly led to the improvement of the enantioselectivities. On the other hand, the contents of carbonate linkages of the polymers were significantly decreased with the decrease of temperature. For instance, at 0 °C the catalytic system generated the copolymer with a low content of carbonate linkages (37%) (M_n = 2100, M_w/M_n = 2.09) in 15% yield. The copolymer was alkali-hydrolyzed to afford the *trans*-1,2-diol with 82% ee and the oligo- or polyether chains. The enantiomeric excess of the *trans*-1,2-diol was the highest among those of the copolymers synthesized with the catalytic systems in this work.⁷⁰ Furthermore, the polyether exhibited an apparent optical activity ([α]_D –18.9° (c 0.6, benzene)).⁷¹ These results indicate that the asymmetric ring-opening of *meso*-epoxide, CHO, proceeded throughout the copolymerization together with no insertion of CO₂ to alkoxide terminal.

Asymmetric Alternating Copolymerization of CO₂ and *meso*-Epoxides by Aluminum Complex–Lewis Base–MAD Systems. The most enantioselective systems ([2gAlMe]₂– and [2hAlMe]₂–bis-*N*-MeBzIm–MAD (1: 1: 0.3) and 3₂AlMe–2-picoline–MAD (1: 3: 2)) were applied for copolymerizations of CO₂ and other *meso*-epoxides such as cyclopentene oxide (CPO)⁷⁴ and cyclooctene oxide (COO) at 60 °C for 24 h (Table 11). CPO was transformed under CO₂ with the catalytic systems into the corresponding alternating copolymer, poly(cyclopentene carbonate), with 90–97% contents of carbonate linkages (Table 11, runs 1–3). The copolymers were independently converted into *trans*-1,2-cyclopentanediol with 15% (for [2gAlMe]₂), 15% (for [2hAlMe]₂), and 21% (for 3₂AlMe) ee.⁵⁶ These data exhibit that 3₂AlMe–2-picoline–MAD (1: 3: 2) is more preferential for the copolymerization of CPO and CO₂. On the other hand,

Table 10. Asymmetric Alternating Copolymerization of CO₂ and CHO with 3₂AlMe–2-Picoline–MAD at Various Temperatures.^a

run	temp/°C	copolymerization					hydrolysis	
		yield ^b /%	TOF ^c /h ⁻¹	C.L. ^d /%	M _n ^e	M _w /M _n ^e	yield of diol/%	%ee ^f (R,R)
1	60	>99	10.4	91	26 600	2.11	97	66
2	40	69	7.2	67	14 100	2.01	89	67
3	25	28	2.9	52	6000	1.57	96	74
4 ^g	0	15	0.9	37	2100	2.09	95	82

^aIn 1 mL of toluene, 24 h, 50 atm of CO₂, complex 3₂AlMe (0.1 mmol), 2-picoline (0.3 mmol), MAD (0.2 mmol), and CHO (25 mmol); MAD = methylaluminum bis(2,6-di-*tert*-butyl-4-methylphenoxide). ^bOn the basis of isolated copolymer. ^cTurnover frequency = (mol of reacted CHO)·(mol of cat.)⁻¹·h⁻¹. ^dContent of carbonate linkages (C.L.) = (carbonate unit)·((carbonate unit) + (ether unit))⁻¹, estimated by ¹H NMR (CDCl₃). ^eEstimated by GPC calibrated with polystyrene standard in THF at 40 °C. ^fEstimated from optical rotation of *trans*-1,2-cyclohexanediol in H₂O. ^g60 atm of CO₂ and CHO (15 mmol).

Table 11. Asymmetric Alternating Copolymerization of CO₂ and *meso*-Epoxides with Complex [2gAlMe]₂, [2hAlMe]₂, and 3₂AlMe.^a

run	complex	epoxide	copolymerization					Hydrolysis	
			yield ^b /%	TOF ^c /h ⁻¹	C.L. ^d /%	M _n ^e	M _w /M _n ^e	yield of diol/%	%ee ^f (R,R)
1	[2gAlMe] ₂	CPO	27	1.1	97	1500	1.41	91	15
2	[2hAlMe] ₂	CPO	30	1.3	90	1700	1.37	93	15
3	3 ₂ AlMe	CPO	24	1.0	91	1000	1.83	85	21
4	[2gAlMe] ₂	COO	10	0.4	94	910	1.27	90	21
5	[2hAlMe] ₂	COO	11	0.5	92	880	1.53	89	23
6	3 ₂ AlMe	COO	7	0.3	88	1300	1.99	91	10

^aIn 1 mL of toluene, 60 °C, 24 h, 50 atm of CO₂, complex [2gAlMe]₂ or [2hAlMe]₂ (0.1 mmol), bis-*N*-MeBzIm (0.1 mmol), MAD (0.03 mmol), and CHO (25 mmol) or complex 3₂AlMe (0.1 mmol), 2-picoline (0.3 mmol), MAD (0.2 mmol), and epoxide (10 mmol); MAD = methylaluminum bis(2,6-di-*tert*-butyl-4-methylphenoxide), bis-*N*-MeBzIm = *N*-methyl-2-(*N'*-methylbenzimidazol-2-yl)benzimidazole. ^bOn the basis of isolated copolymer. ^cTurnover frequency = (mol of reacted CHO)·(mol of cat.)⁻¹·h⁻¹. ^dContent of carbonate linkages (C.L.) = (carbonate unit)·((carbonate unit) + (ether unit))⁻¹, estimated by ¹H NMR (CDCl₃). ^eEstimated by GPC calibrated with polystyrene standard in THF at 40 °C. ^fEstimated from optical rotation of *trans*-1,2-cyclohexanediol in EtOH or CHCl₃.

COO was converted into poly(cyclooctene carbonate) with 88–94% contents of carbonate linkages (Table 11, runs 4–6) under the same conditions as those of CPO and CHO. The copolymers had the repeating units composed of *trans*-1,2-cyclooctanediol with 21 (for [2gAlMe]₂), 23 (for [2hAlMe]₂), and 10% (for 3₂AlMe) ee. These show that [2hAlMe]₂–bis-*N*-MeBzIm–MAD (1: 1: 0.3) is more superior for the copolymerization of COO and CO₂. The contents of carbonate linkages (88–97%) of the copolymers synthesized from CPO or COO and CO₂ were on the same level as those (91–95%) from CHO, whereas the ees of the *trans*-1,2-diols converted from the copolymers are significantly smaller than those from PCHC: 15–21% (for CPO) and 10–23% (for COO) vs 62–66% (for CHO) ee.⁵⁶ This result suggests that the asymmetric copolymerization is significantly dependent on the structure (size, conformation, etc.) of epoxide. In addition, the TOF data (1.0–1.3 (for CPO) and 0.4–0.5 (for COO) vs 5.4–10.4 (for CHO)) indicate that the reactivity of *meso*-epoxide decreased in order of CHO ≫ CPO > COO. This tendency was similar to the reactivity of homopolymerization of *meso*-epoxide with Et₃Al reported by Bacskai.⁷⁵

CONCLUSION

We have explored a series of optically active aluminum complexes (salen-type Schiff base, β-ketoiminate, and amino-alkoxide complexes) for alternating copolymerization of CO₂ and CHO with asymmetric induction. The aluminum salen-type Schiff base complex–Et₄NOAc systems afforded alternating copolymers with low enantioselectivities (up to 23% ee). On the basis of the binuclear structure of the aluminum β-

ketoiminate complex disclosed by single crystal X-ray analysis, Lewis bases having two coordinating nitrogens coordinated to two aluminums were designed and employed as catalyst activator. In particular, bis-*N*-MeBzIm assisted the preferential production of the copolymer with high enantioselectivity (up to 62% ee). In addition, the aluminum bisprolinol complex–2-picoline system generated the copolymer with high enantioselectivity (up to 67% ee) despite of the relatively slow copolymerization. MAD was subsequently employed as monomer activator in order to perform faster and more enantioselective copolymerizations at low temperature. The enantiomeric excess of *trans*-1,2-diol comprising the copolymer was improved up to 82% ee though the copolymer partially included oligo- or polyether sequences showing optical activities. The design and utilization of catalyst and monomer activator for asymmetric alternating polymerization are expected to allow improvement of not only asymmetric ring-opening polymerizations but also asymmetric syntheses related to ring-opening of cyclic substrates. The related work is under investigation in our laboratory.

EXPERIMENTAL SECTION

Materials. The reactions involving air- and/or moisture-sensitive compounds were performed under nitrogen. Toluene, THF, and C₆D₆ were distilled over sodium benzophenone ketyl in a nitrogen atmosphere. CDCl₃ was distilled over CaH₂ under nitrogen. 1,2-Epoxy-cyclohexane (CHO) and 1,2-epoxycyclopentane (CPO) was dried over KOH pellet and distilled over CaH₂ and KOH under reduced pressure and stored under nitrogen prior to use. 1,2-Epoxy-cyclooctane (COO) was recrystallized from benzene. *N*-MeIm, pyridine, and 2-picoline were distilled over CaH₂ under reduced

pressure and stored under nitrogen. *tert*-Butanol was distilled over Mg/I₂ and stored under nitrogen. Acetic acid was distilled over P₂O₅ and stored under nitrogen. Me₃Al was distilled under reduced pressure and stored in a nitrogen atmosphere. Et₄NOAc was azeotropically dehydrated with benzene using a Dean–Stark apparatus. DMAP was recrystallized from toluene. CO₂ was used without further purification. Other reagents were used as received. Following materials were commercially obtained: 2,4-pentanedione, 1,3-diphenyl-1,3-propanedione, *tert*-butanol, pyridine, *N*-MeIm, DMAP, 2-picoline, CHO, COO (from TCI); Me₃Al, Et₄NOAc (from Aldrich). Following materials were synthesized according to the reported literatures: salen-type Schiff bases [(1*R*,2*R*)-*N,N'*-bissalicylidene-1,2-diphenylethane-1,2-diamine (1aH₂),⁴⁶ (1*R*,2*R*)-*N,N'*-bis(3-phenylsalicylidene)-1,2-diphenylethane-1,2-diamine (1bH₂),⁴⁷ (1*R*,2*R*)-*N,N'*-bis(3,5-di-*tert*-butylsalicylidene)-1,2-diphenylethane-1,2-diamine (1cH₂),⁴⁶ (1*R*,2*R*)-*N,N'*-bissalicylidene-1,2-cyclohexanediamine (1dH₂),⁴⁷ (1*R*,2*R*)-*N,N'*-bis(3-phenylsalicylidene)-1,2-cyclohexanediamine (1eH₂),⁴⁷ and (1*R*,2*R*)-*N,N'*-bis(3,5-di-*tert*-butylsalicylidene)-1,2-cyclohexanediamine (1fH₂),⁴⁸] amino alcohols [(*S*)-2-amino-1-propanol, (*S*)-2-amino-3-methyl-1-butanol, (*S*)-2-amino-4-methyl-1-pentanol, (2*S*,3*S*)-2-amino-3-methyl-1-pentanol, (*S*)-2-amino-3-phenyl-1-propanol, (*S*)-2-amino-2-phenylethanol, and (*R*)-2-amino-2-phenylethanol],⁴⁹ β-ketoimines [4-(2-hydroxyethylimino)pent-2-en-2-ol (2aH₂)⁴¹ and 4-((*S*)-1-hydroxypropan-2-ylimino)pent-2-en-2-ol (2bH₂)⁵⁰], (*S*)-diphenyl-(pyrrolidine-2-yl)methanol (3H),⁵¹ aluminum complexes [1aAlMe,³⁹ 1dAlMe,⁴⁰ 1fAlMe,³⁹ [2aAlMe]₂,⁴¹ 3aAlMe,⁴² and 4AlMe^{17a}], bis-*N*-MeIm,⁶⁶ bis-*N*-MeBzIm,⁶⁶ MAD,⁶⁹ and CPO.⁷⁴

4-((*S*)-1-Hydroxy-3-methylbutan-2-ylimino)pent-2-en-2-ol (2cH₂).⁵⁰ Na₂SO₄ (2.5 g) was added to acetylacetone (1.0 g, 10 mmol) in methanol (10 mL) in a 100-mL two-necked round-bottomed flask equipped with a dropping funnel. (*S*)-2-Amino-3-methyl-1-butanol (1.03 g, 10.0 mmol) in methanol (20 mL) was added dropwise over 1 h while the solution turned yellow, and the resulting mixture was then stirred for 12 h at room temperature. After dilution with CH₂Cl₂ (10 mL), the mixture was filtered and concentrated to dryness under reduced pressure to leave a yellow solid. The residue was purified by silica gel column chromatography with ethyl acetate/hexane (2/3, vol/vol) to afford a colorless oil (1.44 g, 78% yield). [α]_D -14° (c 3.9, CHCl₃). ¹H NMR (CDCl₃): δ 10.9–10.8 (br, 1H), 4.98 (s, 1H), 4.26–4.02 (br, 1H), 3.61–3.56 (t, 1H), 3.45–3.40 (m, 1H), 1.96–1.95 (d, 6H), 1.88–1.82 (m, 1H), 0.99–0.91 (d, 6H). ¹³C NMR (CDCl₃): δ 194.1, 164.8, 95.4, 63.8, 61.0, 29.7, 28.1, 19.6, 19.3, 17.6. FAB–MS *m/z*: 186 [for C₁₀H₂₀NO₂ [M + H]⁺]. Compounds 2dH₂–2fH₂ were also synthesized according to the similar procedure to 2cH₂.

4-((*S*)-1-Hydroxy-4-methylpentan-2-ylimino)pent-2-en-2-ol (2dH₂). This was purified by silica gel column chromatography with ethyl acetate/hexane (5/1, vol/vol) to afford a colorless viscous oil (62% yield). [α]_D -31° (c 5.5, CHCl₃). ¹H NMR (CDCl₃): δ 10.8–10.7 (br, 1H), 4.96 (s, 1H), 4.00–3.63 (d, 2H), 3.51–3.46 (t, 1H), 1.99–1.95 (d, 6H), 1.68–1.66 (m, 1H), 1.36–1.33 (t, 2H), 0.93–0.89 (d, 6H). ¹³C NMR (CDCl₃): δ 194.3, 164.0, 95.3, 66.1, 53.9, 41.1, 28.4, 24.8, 23.1, 22.2, 19.4. FAB–MS *m/z*: 200 [for C₁₁H₂₂NO₂ [M + H]⁺].

4-(2*S*,3*R*)-1-Hydroxy-3-methylpentan-2-ylimino)pent-2-en-2-ol (2eH₂). This was purified by silica gel column chromatography with ethyl acetate/hexane (5/1, vol/vol) to afford a colorless viscous oil (75% yield). [α]_D -51° (c 2.2, CH₂Cl₂). ¹H NMR (CDCl₃): δ 10.8–10.7 (br, 1H), 5.01 (s, 1H), 4.00–3.63 (d, 2H), 3.51–3.46 (t, 1H), 1.99–1.95 (d, 6H), 1.68–1.66 (m, 1H), 1.36–1.33 (t, 2H) 0.95–0.90 (d, 6H). ¹³C NMR (CDCl₃): δ 194.4, 163.9, 95.4, 63.7, 60.7, 41.1, 28.4, 24.8, 19.6, 15.2, 11.4. FAB–MS *m/z*: 200 [for C₁₁H₂₂NO₂ [M + H]⁺].

4-((*S*)-1-Hydroxy-3-phenylpropan-2-ylimino)pent-2-en-2-ol (2fH₂). This was purified by silica gel column chromatography with ethyl acetate/hexane (3/1, vol/vol) to afford a slightly yellowish viscous oil (58% yield). [α]_D -310° (c 4.7, CHCl₃). ¹H NMR (CDCl₃): δ 10.89 (br, 1H), 7.29–7.07 (m, 5H), 4.82 (s, 1H), 4.14–3.67 (m, 3H), 3.62–3.58 (m, 1H), 2.92–2.87 (d, 1H), 2.74–2.68 (t, 1H), 1.95 (s, 3H), 1.60 (s, 3H). ¹³C NMR (CDCl₃): δ 194.6, 164.0,

137.9, 129.3, 129.2, 128.5, 126.5, 95.5, 64.7, 57.8, 39.1, 28.4, 18.9, 18.2. FAB–MS *m/z*: 234 [for C₁₄H₂₀NO₂ [M + H]⁺].

4-((*S*)-2-Hydroxy-1-phenylethylimino)pent-2-en-2-ol (2gH₂). This was purified by recrystallization from ethanol to afford a white crystal (88% yield). [α]_D -878° (c 1.6, CHCl₃). ¹H NMR (CDCl₃): δ 11.43 (br, 1H), 7.37–7.28 (m, 5H), 5.03 (s, 1H), 4.73–4.68 (m, 1H), 3.87–3.78 (m, 2H), 3.25–3.21 (t, 1H), 2.07 (s, 3H), 1.87 (s, 3H). ¹³C NMR (CDCl₃): δ 195.4, 163.9, 139.3, 128.9, 128.6, 127.7, 126.5, 96.4, 67.2, 60.1, 28.5, 19.4. FAB–MS *m/z*: 220 [for C₁₃H₁₈NO₂ [M + H]⁺]. 4-((*R*)-2-Hydroxy-1-phenylethylimino)pent-2-en-2-ol was also prepared from *D*-phenylglycinol; [α]_D +860° (c 1.5, CHCl₃).

3-((*S*)-2-Hydroxy-1-phenylethylimino)-1,3-diphenylprop-1-en-1-ol (2hH₂).⁷⁶ *L*-Phenylglycinol (2.19 g, 10.0 mmol) and dibenzoylmethane (2.24 g, 10.0 mmol) were dissolved in toluene (50 mL) in a 100-mL round-bottomed flask equipped with a reflux condenser. After one drop of formic acid was added to this solution, the mixture was heated under reflux for 48 h. The mixture was concentrated under reduced pressure to leave a yellow oil. The crude oil was purified by silica gel column chromatography with ethyl acetate to afford a yellow viscous oil (1.65 g, 48% yield). [α]_D -366° (c 1.8, CHCl₃). ¹H NMR (CDCl₃): δ 12.16–11.98 (br, 1H), 7.91–7.85 (d, 2H), 7.42–7.11 (m, 13H), 5.75 (s, 1H), 4.67–4.58 (m, 1H), 4.04–3.90 (br, 1H), 3.87–3.81 (m, 2H). ¹³C NMR (CDCl₃): δ 188.9, 167.4, 140.1, 139.6, 135.4, 130.9, 129.5, 128.8, 128.4, 128.3, 127.8, 127.6, 127.2, 126.5, 94.6, 67.4. FAB–MS *m/z*: 344 [for C₂₃H₂₂NO₂ [M + H]⁺]. Compound 2iH₂ was also synthesized according to a procedure similar to that used for 2hH₂.

3-(2-Hydroxyethylimino)-1,3-diphenylprop-1-en-1-ol (2iH₂). This was purified by recrystallization from ethanol to afford a white crystals (87% yield). ¹H NMR (CDCl₃): δ 12.11–11.93 (br, 1H), 7.91–7.85 (d, 2H), 7.42–7.11 (m, 8H), 5.78 (s, 1H), 4.01–3.95 (t, 2H), 3.54–3.41 (t, 2H). ¹³C NMR (CDCl₃): δ 194.4, 189.5, 177.6, 164.8, 160.7, 140.7, 139.2, 136.7, 133.2, 131.1, 129.0, 128.8, 127.9, 127.0, 126.8, 126.0, 125.5, 69.3, 68.7, 65.1, 58.8, 58.2. FAB–MS *m/z*: 268 [for C₁₇H₁₈NO₂ [M + H]⁺].

Complex 1aAlMe.^{39,40} After 1aH₂ (0.21 g, 0.50 mmol) was dissolved in dry toluene (10 mL) in a 50-mL two-necked round-bottomed flask equipped with a reflux condenser under dry nitrogen, the mixture was cooled at 0 °C. Me₃Al (0.06 mL, 0.6 mmol) was carefully added to the solution by a hypodermic syringe in a nitrogen stream. After gas evolution ceased, the resulting mixture was stirred at 0 °C for 30 min and then heated under reflux for 2 h. The mixture was cooled at room temperature, and the volatile components were then removed under reduced pressure to leave a yellow powder in an almost quantitative yield. The crude product was purified by recrystallization from CH₂Cl₂/hexane to afford a pale yellow powder (0.20 g, 87% yield). ¹H NMR (CDCl₃): δ 8.32 (s, 2H), 7.40–7.10 (m, 14H), 6.90 (d, 2H), 6.77 (d, 2H), 4.73 (s, 2H), -1.01 (s, 3H). Compounds 1bAlMe and 1eAlMe were also synthesized according to the similar procedure to 1aAlMe.

Complex 1bAlMe. 93% yield as a pale yellow powder. ¹H NMR (CDCl₃): δ 8.54 (s, 2H), 7.65–7.40 (m, 8H), 7.37–7.30 (m, 4H), 7.23–7.11 (m, 12H), 6.87 (m, 2H), 4.78 (s, 2H), -0.97 (s, 3H).

Complex 1eAlMe. 92% yield as a pale yellow powder. ¹H NMR (CDCl₃): δ 8.47 (s, 2H), 7.70–7.10 (m, 16H), 6.94 (m, 2H), 6.85–6.60 (m, 2H), 3.49–3.34 (m, 2H), 2.11–1.29 (m, 8H), -1.02 (s, 3H).

Complex [2bAlMe]₂.⁴¹ After 2bH₂ (0.16 g, 1.0 mmol) was dissolved in dry THF (10 mL) in a 50-mL two-necked round-bottomed flask equipped with a three-way stopcock under dry nitrogen, the mixture was cooled at -30 °C. Me₃Al (0.12 mL, 1.2 mmol) was carefully added to the solution by a hypodermic syringe in a nitrogen stream. After gas evolution ceased, the resulting mixture was allowed to warm to room temperature and then stirred for 2.5 h. The volatile components were then removed under reduced pressure to leave a white solid. The crude product was purified by recrystallization from THF/hexane to afford a colorless crystal (0.18 g, 90% yield). ¹H NMR (CDCl₃): δ 5.00 (s, 2H), 3.78–3.69 (m, 2H), 3.63–3.43 (m, 4H), 2.02 (s, 6H), 1.93 (s, 6H), 1.25–1.19 (d, 6H), -0.88 (s, 3H), -0.95 (s, 3H). Complexes [2cAlMe]₂–[2fAlMe]₂ were also synthesized according to the similar procedure to [2bAlMe]₂.

Complex [2cAlMe]₂. 86% yield as a colorless solid. ¹H NMR (CDCl₃): δ 4.78 (s, 2H), 3.77–3.61 (t, 2H), 3.55–3.47 (m, 2H), 1.97–1.94 (d, 12H), 1.85–1.83 (m, 2H), 1.02–0.90 (d, 12H), –0.78 (s, 3H), –0.82 (s, 3H).

Complex [2dAlMe]₂. 79% yield as a colorless crystal. ¹H NMR (CDCl₃): δ 5.01 (s, 2H), 4.09–3.88 (d, 4H), 3.31–3.20 (t, 2H), 1.99–1.93 (d, 12H), 1.47–1.39 (m, 2H), 1.26–1.19 (t, 4H), 1.02–0.89 (d, 12H), –0.84 (s, 3H), –0.96 (s, 3H).

Complex [2eAlMe]₂. 77% yield as a colorless solid. ¹H NMR (CDCl₃): δ 5.12 (s, 2H), 3.98–3.63 (d, 4H), 3.21–3.10 (t, 2H), 2.01–1.92 (d, 12H), 1.71–1.66 (m, 2H), 1.29–1.23 (t, 4H), 0.94–0.80 (d, 12H), –0.91 (s, 3H), –1.01 (s, 3H).

Complex [2fAlMe]₂. 69% yield as a colorless powder. ¹H NMR (CDCl₃): δ 7.40–7.02 (m, 10H), 5.01 (s, 2H), 4.21–3.67 (m, 6H), 3.60–3.50 (m, 2H), 3.00–2.87 (d, 2H), 2.84–2.69 (t, 2H), 2.00 (s, 6H), 1.40 (s, 6H), –0.65 (s, 3H), –0.88 (s, 3H).

Complex [2gAlMe]₂. 90% yield as a colorless crystal. ¹H NMR (CDCl₃): δ 7.50–7.20 (m, 10H), 5.21 (s, 2H), 4.70–4.39 (m, 2H), 3.90–3.61 (m, 4H), 3.10–2.99 (t, 2H), 2.00 (s, 6H), 1.80 (s, 3H), –0.99 (s, 3H), –1.10 (s, 3H).

Complex [2hAlMe]₂. 80% yield as a colorless powder. ¹H NMR (CDCl₃): δ 8.00–7.97 (d, 4H), 7.60–7.01 (m, 26H), 5.86 (s, 2H), 4.67–4.59 (m, 4H), 3.90–3.81 (m, 4H), –0.88 (s, 3H), –0.97 (s, 3H).

Complex [2iAlMe]₂. 77% yield as a colorless crystal. ¹H NMR (CDCl₃): δ 7.90–7.82 (d, 4H), 7.32–7.00 (m, 16H), 5.87 (s, 2H), 4.20–4.15 (t, 4H), 3.50–3.40 (t, 4H), –0.97 (s, 6H).

Complex [2iAlO'Bu]₂.^{17a} After [3iAlMe]₂ (0.614 g, 1.00 mmol) was dissolved in dry toluene (10 mL) in a 50-mL two-necked round-bottomed flask equipped with a three-way stopcock under dry nitrogen, *tert*-butanol (5 mL) was added to the mixture at room temperature. After the mixture was heated under reflux for 12 h, the volatile compounds were removed under reduced pressure to obtain a slightly yellow powder. The powder was purified by recrystallization from THF/hexane to afford a slight yellow crystal (0.46 g, 62% yield). ¹H NMR (CDCl₃): δ 7.93–7.86 (d, 4H), 7.45–7.30 (m, 16H), 5.86 (s, 2H), 4.01–3.95 (t, 4H), 3.64–3.63 (m, 4H), 1.25 (s, 18H).

Complex 4AlOAc.^{17a} After 4AlMe (0.349 g, 1.00 mmol) was dissolved in dry toluene (10 mL) in a 50-mL two-necked round-bottomed flask equipped with a three-way stopcock under dry nitrogen, acetic acid (60 μL, 1.0 mmol) was added to the mixture at room temperature. After stirred for 12 h, the mixture changed to a yellow suspension. The yellow solid was collected by filtration of the suspension, washed with cooled toluene, and dried in vacuo to afford a colorless crystal (0.22 g, 54% yield). ¹H NMR (CDCl₃): δ 8.58 (s, 2H), 7.65–7.00 (m, 12H), 2.08 (s, 3H).

X-ray Crystallography. The aluminum complexes [2gAlMe]₂ (R-form was used) and 3₂AlMe were obtained as X-ray-quality crystals. Crystallographic data for [2gAlMe]₂ and 3₂AlMe were summarized in the Supporting Information.

Representative Copolymerization of CO₂ and CHO. The aluminum complex [2gAlMe]₂ (51.8 mg, 0.10 mmol), bis-*N*-MeBzIm (26.2 mg, 0.10 mmol, 1 equiv to Al), toluene (1.0 mL), and CHO (2.5 mL, 25 mmol) were placed in a well-dried 150-mL stainless autoclave in a nitrogen stream. The autoclave was pressurized to 50 atm of CO₂, and left stirred at 60 °C for 24 h. The reactor was cooled to ambient temperature and the CO₂ pressure was slowly released. A small aliquot of the resulting mixture was subjected to analysis. To the remaining mixture was added methanol. The mixture was dissolved in CHCl₃ (30 mL) and washed with HCl(aq) (1 M, 10 mL), water (10 mL). The organic layer was concentrated to about 3 mL. This solution was added to methanol (300 mL) with stirring to precipitate the white solid. This solid was collected and dried in vacuo at 120 °C for 6 h (1.3 g, 37% yield).

Copolymerization in the Presence of MAD. The aluminum complex [2gAlMe]₂ (51.8 mg, 0.10 mmol), bis-*N*-MeBzIm (26.2 mg, 0.10 mmol, 1 equiv to Al), toluene (0.5 mL), and CHO (2.5 mL, 25 mmol) were placed in a well-dried 150-mL stainless autoclave in a nitrogen stream and the mixture was stirred for 30 min. A solution of MAD (14.4 mg, 0.030 mmol) in toluene (0.5 mL) was added to the

mixture. The autoclave was pressurized to 50 atm of CO₂, and left stirred at 60 °C for 24 h. Following operations were identical with the copolymerization in the absence of MAD (1.8 g, 52% yield).

Alkali-Catalyzed Hydrolysis of Poly(cyclohexene carbonate).^{14a,b} PCHC (0.14 g, corresponding to 1 mmol of diol) obtained with [2gAlMe]₂-bis-*N*-MeBzIm-MAD (Table 7, run 1) was dissolved in THF (30 mL) and methanol (10 mL) in a 100-mL round-bottomed flask equipped with reflux condenser. After NaOH(aq) (2 M, 5 mL) was added to the solution, the resulting solution was heated to reflux for 6 h. When the reaction mixture was neutralized with 1 M HCl(aq), a white precipitate was generated. The precipitate was filtered off and the filtrate was then concentrated to approximately 20 mL under reduced pressure. The solution diluted with water (10 mL) was washed with ethyl acetate (10 mL) three times. After the combined organic layer was dried over MgSO₄, the solvent was evaporated to dryness to afford a white solid. The solid was purified by silica gel column chromatography with ethyl acetate as an eluent (0.093 g, 93% yield). The obtained diol was subjected to an optical rotation measurement. [α]_D –24° (c 1.0, H₂O). (lit.⁵⁶ [α]_D²⁰ –39° (c 1.6, H₂O)). ¹H NMR (CDCl₃): δ 4.30 (s, 2H), 3.35 (s, 2H), 1.95 (m, 2H), 1.69 (m, 2H), 1.26 (m, 4H). ¹³C NMR (CDCl₃): δ 75.6, 33.0, 24.5. IR (KBr, cm^{–1}): 3371, 3278, 2934, 1632, 1470, 1404, 1351, 1233, 1165, 1080, 1058, 930, 866, 668. Poly(cyclopentene carbonate) and poly(cyclooctene carbonate) were hydrolyzed with the same procedure, respectively.

Hydrolysis of Poly(cyclopentene carbonate). 91% yield as a white solid (Table 11, run 1). [α]_D –3.2° (c 0.11, CHCl₃). (lit.⁵⁶ [α]_D²⁰ –21° (c 1.0, CHCl₃)). Mp: 54–55 °C (lit.⁵⁶ 54–56 °C (racemic), 47–51 °C (enantiopure)). ¹H NMR (CDCl₃): δ 4.35 (s, 2H), 3.92 (t, 2H), 2.05–1.90 (m, 2H), 1.81–1.62 (m, 2H), 1.59–1.40 (m, 2H). ¹³C NMR (CDCl₃): δ 79.0, 31.2, 19.6. IR (KBr, cm^{–1}): 3382, 3261, 3201, 2924, 1674, 1455, 1374, 1230, 1155, 1100, 1028, 918, 886.

Hydrolysis of Poly(cyclooctene carbonate). Purification by distillation to yield a colorless oil, which was spontaneously solidified to give a white solid (90%) (Table 11, run 4). [α]_D –3.2° (c 0.10, EtOH). (lit.⁵⁶ [α]_D²² +16.9° (c 1.33, EtOH) for (1*S*,2*S*)-1,2-cyclooctanediol). Mp: 31–32 °C (lit.⁵⁶ 32 °C). ¹H NMR (CDCl₃): δ 3.75 (s, 2H), 3.70 (s, 2H), 1.92–1.20 (m, 12H). ¹³C NMR (CDCl₃): δ 76.2, 31.9, 26.2, 23.8. IR (neat, cm^{–1}): 3350, 3220, 2919, 1620, 1429, 1410, 1323, 1251, 1175, 1083, 930, 876, 845, 660.

Alkali-Catalyzed Hydrolysis of Ether-Containing Copolymer. The copolymer (0.28 g (52% content of carbonate linkages), corresponding to 1 mmol of diol) obtained from the complex [2gAlMe]₂-bis-*N*-MeBzIm-MAD (Table 10, run 3) was dissolved in THF (30 mL) and methanol (10 mL) in a 100-mL round-bottomed flask. After NaOH(aq) (2 M, 5 mL) was added to the solution, the resulting solution was heated to reflux for 12 h. After the reaction mixture was neutralized by 1 M HCl(aq), the aqueous solution diluted with water (20 mL) was washed with CHCl₃ (20 mL) three times. After the combined organic layer was washed with water (10 mL) twice, the solvent was evaporated to approximately 2 mL under reduced pressure. The residue was poured into methanol (100 mL) to yield a white suspension. The white solid was collected by filtration and dried in vacuo at 120 °C for 6 h (0.13 g). [α]_D –14.3° (c 0.8, benzene). ¹H NMR (C₆D₆): δ 4.10–3.76 (br, 2H), 2.34–2.12 (br, 4H), 1.80–1.59 (br, 4H). ¹H NMR (C₆D₆): δ 78.9–73.0, 32.0–30.0, 23.3–21.0. IR (KBr, cm^{–1}): 3371, 3278, 1470, 1461, 1350, 1165, 1080, 1063, 912, 836, 768.

The filtrate was evaporated to dryness and the residue was dissolved in water (10 mL). The insoluble part was removed by filtration and the filtrate was extracted with ethyl acetate (10 mL) three times. The combined organic layer was dried over MgSO₄ and the solvent was evaporated to yield a white solid, which was purified by silica gel column chromatography with ethyl acetate as an eluent (0.11 g, 96% yield). [α]_D –28.8° (c 0.11, H₂O). (lit.⁵⁶ [α]_D²⁰ –39° (c 1.6, H₂O)). The ¹H NMR (CDCl₃), ¹³C NMR (CDCl₃), IR (KBr), and mp data were identical with those described above.

¹H NMR Observation of Exchange of Axial Ligands Between Two Types of Aluminum Complexes.⁶³ A CDCl₃ (10 mL) solution of 4AlOAc (100 mg, 0.25 mmol) was mixed to a CDCl₃ (5.0

mL) solution of $[2\text{iAlO}^t\text{Bu}]_2$ (77 mg, 0.125 mmol) with stirring in a 50-mL flask equipped with a three-way stopcock at room temperature. An aliquot (0.5 mL) of the above reaction mixture was transferred into a glass tube (o.d. = 5 mm) by a syringe under N_2 . After, the glass tube was then sealed under N_2 , ^1H NMR spectrum of the sample was measured at predetermined times.

Measurements. ^1H and ^{13}C NMR measurements were performed with CDCl_3 as the solvent at 30°C on a Bruker DPX-300 (300 MHz) or DPX-400 spectrometer (400 MHz). Chemical shifts were determined with respect to tetramethylsilane ($\delta = 0.00$ ppm) and CHCl_3 ($\delta = 7.26$ ppm) for ^1H NMR, and CDCl_3 ($\delta = 77.0$ ppm) for ^{13}C NMR as the internal standard. ^{27}Al NMR measurements were performed with external referencing method using the saturated C_6D_6 solution of the sample ($[\text{2gAlMe}]_2$ or $[\text{2gAlMe}]_2$ -bis-*N*-Melm (1:1)) in the outer tube and the D_2O solution (0.5 M) of $\text{Al}(\text{NO}_3)_3$ ($\delta = 0.0$ ppm) as the external reference in the inset tube at 30°C on a Bruker DPX-400 spectrometer (400 MHz). IR spectra were recorded with a Horiba FT-720 spectrometer. Optical rotations were measured on a Horiba SEPA-300 polarimeter using 1-dm cell at room temperature (25 – 27°C). Mass spectra were recorded on a JEOL JMS-SX102A spectrometer. Single crystal X-ray diffraction was performed on a Bruker AXS SMART APEX system at 100 or 173 K. The intensity data were collected on a Bruker SMART CCD diffractometer. The structure was solved by direct method and refined with SHELXTL program package (SAINT-Plus, version 6.02, SHELXS-97: Program for Crystal Structure Solution, SHELXL-97: Program for Crystal Structure Refinement, SHELXTL, version 5.0).⁷⁷ All non-hydrogen atoms were refined with anisotropic displacement parameters. Hydrogen atoms were placed in ideal positions with isotropic thermal parameters. Gel Permeation chromatography (GPC) was performed at 40°C on a Tosoh model HLC-8220 high-speed liquid chromatograph equipped with two series-connected TSK-GEL GMH_{HR}-H columns, a differential refractometer detector with THF as an eluent at a flow rate of 1.0 mL min^{-1} . The molecular weight calibration curve was obtained with standard polystyrenes (TSK standard polystyrene from Tosoh Co.); M_w s by light scattering (M_w/M_n by GPC) were as follows: 1.89×10^5 (1.04), 9.89×10^4 (1.01), 3.72×10^4 (1.01), 1.71×10^4 (1.01), 9.83×10^3 (1.02), 5.87×10^3 (1.02), 2.5×10^3 (1.05).

■ ASSOCIATED CONTENT

● Supporting Information

Experimental procedures, spectral data of the copolymer, ^1H NMR study of alkoxide and carboxylate exchange, a ^{27}Al NMR study, optimized structures, and crystallographic data and tables giving full details of the complexes $[\text{2gAlMe}]_2$ and $\text{3}_2\text{AlMe}$ including crystallographic information files (cif). This material is available free of charge via Internet at <http://pubs.acs.org>.

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Notes

The authors declare no competing financial interest.

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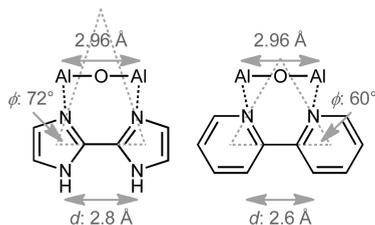
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(71) Oligo- or poly(cyclohexene oxide) comprised of enantiometrically pure monomeric units ((*1R,2R*)- or (*1S,2S*)-oxycyclohexane-1,2-diyl units) has never been reported though asymmetric synthesis polymerization of *meso*-epoxide (CHO) with chiral initiator systems was performed. This is owing to no way for estimating the enantiomeric excess of the monomeric units. We were also not able to investigate the ees of the repeating units composing of oligo- or

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