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# Hydrogenation of butanal over silica-supported Shvo's catalyst and its use for the gas-phase conversion of propene to butanol via tandem hydroformylation and hydrogenation



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David G. Hanna, Sankaranarayanapillai Shylesh, Pedro A. Parada, Alexis T. Bell\*

Department of Chemical and Biomolecular Engineering, University of California, Berkeley, CA 94720, USA

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# ABSTRACT

The objective of the present study was to develop a heterogeneous catalyst for the hydrogenation of butanal that could function in the presence of CO and propene and, hence, could be used in a tandem reactor to enable the gas-phase conversion of propene and synthesis gas to butanol. To this end, we investigated the activity of silica-supported Shvo's catalyst (Shvo/SiO<sub>2</sub>) for the gas-phase hydrogenation of butanal. Experiments were performed to determine the kinetics of n- and iso-butanal hydrogenation. The apparent activation energies and the apparent partial pressure dependencies of n- and iso-butanal, H<sub>2</sub>, and CO on the rates of *n*- and iso-butanol formation were determined. A mechanism for butanal hydrogenation was proposed to rationalize the observed kinetics and some of the reaction intermediates were observed by in situ infrared and <sup>31</sup>P MAS NMR spectroscopy. It was found that Shvo/SiO<sub>2</sub> was inhibited by SX (SX = sulfoxanthphos) and CO, and is inactive for alkene hydrogenation. The tandem catalytic conversion of propene and synthesis gas to butanol was then carried out using a SX-Rh supported ionic liquid phase (SILP) catalyst to promote the hydroformylation of propene to butanal and hydroformylation hydroformylati hydroformylati hydroformylation hydroformylation hyhydrogenation of butanal to butanol. The rate expressions describing the kinetics of each of the catalysts were then used to predict operating conditions required to achieve high conversion of propene to butanol. Under the most favorable conditions examined  $(H_2/CO = 10)$ , an overall yield of 13% to butanol was achieved with 15% propene conversion and 90% aldehyde conversion at a temperature of 413 K.

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# 1. Introduction

Roughly  $2 \times 10^6$  tons of butanol are produced annually [1] for use as a plasticizer, an industrial solvent, and an intermediate in the production of butyl acetate, a key ingredient in lacquers and varnishes [2]. The demand for butanol is expected to increase in the future as a consequence of recent studies showing that butanol is a viable alternative to ethanol as an additive to gasoline [3,4].

While many processes exist for the production of butanol, such as the aldol condensation of ethanal [5], oxidation of butane [6], or the enzymatic fermentation of sugars [3,7], the overwhelming majority of butanol is produced in a three-step process involving the homogeneously catalyzed hydroformylation of propene, separation of the resulting butanal, and subsequent hydrogenation of butanal. Separation of butanal is necessary because aldehyde hydrogenation catalysts such as supported Pt and Pt–Sn [8], Rh– Sn [9], and Ni [10] are poisoned by CO, as well as being active for alkene hydrogenation. Therefore, it would be highly desirable to develop a catalyst system for the tandem, gas-phase conversion of propene and synthesis gas to butanol. Achievement of this goal requires identification of a heterogeneous hydroformylation catalyst and a heterogeneous aldehyde hydrogenation catalyst that functions in the presence of CO and is inactive for alkene hydrogenation.

Recent studies have shown that the gas-phase hydroformylation of propene can be carried out on a heterogeneous catalyst prepared by impregnating a Rh–phosphine complex dissolved in ionic liquid onto a silica support [11,12]. Such catalysts, referred to as supported ionic liquid phase (SILP) catalysts, are stable and active under continuous gas-phase reaction conditions. We have recently shown that the exceptional stability of these catalysts is due to the interaction of the Rh–phosphine complex with silanol groups present on the support surface and have discussed the mechanism and kinetics propene hydroformylation over this catalysts [13,14].

Several attempts to perform the tandem conversion of  $C_n$  alkenes and synthesis gas to the  $C_{n+1}$  alcohol in a single reactor system have been reported. Drent and coworkers have shown that chloride anion promoted palladium-phosphine complexes could be used to convert propene to *n*- and iso-butanol with 85% selectivity [15]. High turnovers (1000 h<sup>-1</sup>) and selectivity (99%) were also observed in the conversion of C8–C10 internal alkenes into their



<sup>\*</sup> Corresponding author. Fax: +1 510 642 4778. E-mail address: bell@cchem.berkeley.edu (A.T. Bell).

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corresponding alcohols [15]. A halogen-free system studied by Zakzeski et al. demonstrated that the conversion of hexene to heptanol could be achieved utilizing HRh(PPh<sub>3</sub>)<sub>2</sub>(CO) as the hydroformylation catalyst and H<sub>2</sub>Ru(PPh<sub>3</sub>)<sub>3</sub>CO as the hydrogenation catalyst [16]. It was noted, though, that the hydrogenation of the aldehyde would only occur after the CO was consumed or removed from the reactor because the Ru complex was inhibited by CO [16]. More recently, Nozaki and coworkers have demonstrated that it is possible to carry out the hydroformylation of propene to butanal and the subsequent hydrogenation of butanal to butanol in a solution containing a Rh complex, Shvo's catalyst, and bidentate phosphine ligand, sulfoxantphos (SX) [17]. Using this approach, butanol yields of up to 85% were obtained [17]. The authors suggest that the presence of excess SX influences the activity and/or the chemoselectivity of Shvo's catalyst to preferentially hydrogenate aldehydes [17]. These findings motivated us to explore the possibility of supporting Shvo's catalyst on silica in order to produce a heterogeneous catalyst that could be used in a tandem, gas-phase hydroformylation-hydrogenation process. In this work, we report the successful preparation of a silica-supported Shvo's catalyst, present the kinetics of *n*- and iso-butanal hydrogenation, and discuss the effects of CO on the hydrogenation activity. The activity of SX-modified silica-supported Shvo's catalyst was also investigated to test whether the presence of a phosphine ligand enhances the activity and/or improves the CO tolerance. We also show that silica-supported Shvo's catalyst can be used in tandem with a SX-Rh SILP catalyst to carry out the direct synthesis of butanol from propene and synthesis gas.

#### 2. Material and methods

#### 2.1. Catalyst synthesis

Approximately, 0.06 g of 1-hydroxytetraphenylcyclopentadienyl (tetraphenyl-2,4-cyclopentadien-1-one)- $\mu$ -hydrotetracarbonyldiruthenium(II), Shvo's catalyst, (Strem, 98%) was dissolved in 10 mL of anhydrous methanol (Aldrich, 99.8%). After 10 min of stirring, 1 g of silica (Silicycle, 500 m<sup>2</sup> g<sup>-1</sup>, average pore diameter 60 Å) stored under vacuum at 353 K was added to solution and stirred for an additional 1 h. Methanol was then slowly removed under vacuum in a rotary evaporator. The resulting catalyst is a yellowish-orange colored powder containing 0.4 wt.% Ru. SX-Shvo/SiO<sub>2</sub> was prepared in a similar manner except that in this case, sulfoxantphos (SX) [18] was added to the solution prior to the addition of SiO<sub>2</sub>. Approximately 434 mg of SX was used to achieve a molar ratio of SX/Ru = 5.

# 2.2. Measurements of catalyst activity

Measurements of reaction rates were performed in a 6.35 mm OD quartz tube containing an expanded section ( $\sim$ 12.7 mm OD,  $\sim$ 20 mm length). A plug of quartz wool was placed below the catalyst bed to hold the powder in place. The reactor was heated by a ceramic furnace with external temperature control and the catalyst bed temperature was measured with a K-type thermocouple sheathed in a quartz capillary placed in direct contact with the catalyst bed.

Prior to reaction, 0.3 g of catalyst was heated to the reaction temperature at a rate of 2 K min<sup>-1</sup> in pure He (Praxair, 99.999%) flowing at 20 cm<sup>3</sup> min<sup>-1</sup> at STP. The feed to the reactor consisted of *n*-butanal (Aldrich, 98%), iso-butanal (Aldrich, 99%), H<sub>2</sub> (Praxair, 99.999%), and He (Praxair, 99.999%). CO (Praxair, 99.999%) was passed through a trap packed with 3.2 mm pellets of 3 Å molecular sieve in order to remove iron pentacarbonyl formed within the cylinder [19] and was then co-fed with the other reactants. An

equimolar mixture of *n*- and iso-butanal was placed in a 5-mL syringe connected to a syringe pump (Cole-Palmer, 74900 series). The liquid mixture was injected into a heated port subjected to continuous flow of He. A molar ratio of butanal to  $H_2$  of 1:1 was used unless specified otherwise. Experiments were carried out at a total gas pressure of 1 atm. The total gas flow rate was typically 100 cm<sup>3</sup> min<sup>-1</sup> at STP. Using these conditions, the conversion of aldehyde was always less than 10%. Reaction products were analyzed using an Agilent 6890N gas chromatograph containing a bonded and crosslinked (5%-Phenyl)-methylpolysiloxane capillary column (Agilent, HP-1) connected to a flame ionization detector (FID).

The continuous gas-phase hydrogenation of butene was also examined in order to determine the activity of Shvo/SiO<sub>2</sub> for alkene hydrogenation. Prior to reaction, 0.3 g of Shvo/SiO<sub>2</sub> catalyst was heated to 363 K at a rate of 2 K min<sup>-1</sup> in pure He (Praxair, 99.999%) flowing at 20 cm<sup>3</sup> min<sup>-1</sup> at STP. The feed to the reactor was then switched to one containing 1-butene (Praxair, 99.9%), H<sub>2</sub> (Praxair, 99.999%), and He (Praxair, 99.999%). A 1:1 stoichiometric ratio of 1-butene to H<sub>2</sub> was introduced to reactor at 1 atm. The partial pressure of 1-butene and He was 0.05 atm and 0.9 atm, respectively. The total gas flow rate was 100 cm<sup>3</sup> min<sup>-1</sup> at STP. Reaction products were analyzed using a gas chromatograph-mass spectrometer (Varian, Model 320) equipped with a 14-port sampling valve and three sample loops. One sample loop was injected into an Alumina PLOT column for the FID and mass spectrometer, and the other sample loop was injected into a Hayesep and Mol Sieve packed columns.

# 2.3. Gas-phase hydroformylation and hydrogenation of propene to butanol

Tandem hydroformylation and hydrogenation experiments were conducted using a procedure similar to that described in Section 2.2. A bi-layered catalyst bed consisting of Rh-SILP and Shvo/ SiO<sub>2</sub> catalysts was utilized. The SILP catalyst was prepared as described in Refs. [13,14]. Shvo/SiO<sub>2</sub> and Rh-SILP were loaded sequentially into the reactor (so that SILP catalyst was at the top) along with an intermediary layer of quartz wool to minimize the mixing of the two catalysts. Prior to reaction, the reactor was heated to 413 K at a rate of 2 K min<sup>-1</sup> in pure He (Praxair, 99.999%) flowing at 20 cm<sup>3</sup> min<sup>-1</sup> at STP. Experiments were carried out at total gas pressures varying from 1 to 3 atm and the feed to the reactor consisted of propene (Praxair, 99.9%), CO (Praxair, 99.99%), H<sub>2</sub> (Praxair, 99.999%), and He (Praxair, 99.999%). The total gas flow rate varied from 20 to 60 cm<sup>3</sup> min<sup>-1</sup> at STP in order to maintain a constant residence time. The reaction products were analyzed by a Agilent 6890N gas chromatograph equipped with a HP-1 column connected to a FID.

# 2.4. In situ infrared spectroscopy

Infrared spectra were acquired using a Thermo Scientific Nicolet 6700 FTIR spectrometer equipped with a liquid nitrogen cooled MCT detector. Each spectrum was obtained by averaging 32 scans taken with 1 cm<sup>-1</sup> resolution. 0.015 g of Shvo/SiO<sub>2</sub> or SX-Shvo/SiO<sub>2</sub> was pressed into a 20 mm-diameter pellet (<1 mm thick) and placed into a custom-built transmission cell equipped with CaF<sub>2</sub> windows, a K-type thermocouple for temperature control, and resistive cartridge heaters similar to that described in Ref. [20]. All scans were acquired at 363 K and 1 atm. All absorption spectra were taken relative to the empty transmission cell. The spectrum of the catalyst under He flow was subtracted from all the results reported.

# 2.5. Solid-state <sup>31</sup>P MAS NMR

Solid-state  $^{31}P$  MAS NMR experiments were performed on a Bruker Avance I-500 MHz spectrometer. Data were obtained by measuring the samples using a frequency of 202.5 MHz, 90° pulse in 4.2  $\mu$ s, and a delay of 60 s.

# 3. Results and discussion

# 3.1. Catalyst stability and kinetics of butanal hydrogenation

To investigate the stability of SX-Shvo/SiO<sub>2</sub> and Shvo/SiO<sub>2</sub> with time-on-stream, experiments were conducted using an equimolar gas mixture of H<sub>2</sub> and *n*-butanal. As shown in Fig. 1a, both catalysts were stable, although the activity of SX-Shvo/SiO<sub>2</sub> was nearly 50% lower than that of Shvo/SiO<sub>2</sub>. Fig. 1b shows that the addition of CO to the feed after 2 h of time on stream decreased the butanal hydrogenation activity of SX-Shvo/SiO<sub>2</sub> and Shvo/SiO<sub>2</sub> dropped from roughly 8 h<sup>-1</sup> to 3 h<sup>-1</sup> and 4 h<sup>-1</sup> to 2 h<sup>-1</sup>, respectively.

Table 1 lists the apparent activation barriers for Shvo/SiO<sub>2</sub> and SX-Shvo/SiO<sub>2</sub> catalysts measured in the temperature range 343–373 K. The apparent activation energy for aldehyde hydrogenation to *n*-butanol and iso-butanol with Shvo/SiO<sub>2</sub> are 50 kJ mol<sup>-1</sup> and 49 kJ mol<sup>-1</sup>, respectively. Similar activation energies were also measured for SX-Shvo/SiO<sub>2</sub>, 46 kJ mol<sup>-1</sup> and 51 kJ mol<sup>-1</sup> for *n*-and iso-butanol, respectively.

To determine the apparent reaction orders of *n*- and iso-butanal, H<sub>2</sub>, and CO on the rate of butanol formation, the partial pressures of two components were fixed while the partial pressures of the third reactant and He were varied. The total gas flow rate was kept at 100 cm<sup>3</sup> min<sup>-1</sup> at STP in order to maintain a constant residence time. Table 2 shows the effects of varying the partial pressures of  $H_2$ , *n*- and iso-butanal, and CO on the rate of formation of *n*- and iso-butanol over Shvo/SiO<sub>2</sub> and SX-Shvo/SiO<sub>2</sub>. The partial pressure dependencies and catalytic rates are nearly equal for *n*and iso-butanol formation. The orders with respect to H<sub>2</sub>, *n*-butanal, and CO on the rate of formation of *n*-butanol are 0.26, 1.0, and -0.59, respectively. Similarly, the orders with respect to H<sub>2</sub>, iso-butanal, and CO on the rate of formation of iso-butanol are 0.29, 1.1, and -0.58, respectively. The apparent reaction orders of H<sub>2</sub> and CO increased in the presence of SX. The partial pressure dependencies of H<sub>2</sub>, *n*-butanal, and CO on the rate of formation of *n*-butanol are 0.42, 0.98, and -0.29, respectively, while the



**Fig. 1.** (a and b) Time on stream study with (•) Shvo/SiO<sub>2</sub> and ( $\bigcirc$ ) SX-Shvo/SiO<sub>2</sub> catalysts, *T* = 363 K, *P*<sub>Total</sub> = 1 atm, catalyst mass = 0.3 g, total gas flow rate at STP = 100 cm<sup>3</sup> min<sup>-1</sup>. (a) *P*<sub>H<sub>2</sub></sub> = *P*<sub>butanal</sub> = 0.05 atm, balance He. (b) *P*<sub>CO</sub> = *P*<sub>H<sub>2</sub></sub> = *P*<sub>butanal</sub> = 0.05 atm, balance He.

#### Table 1

Apparent activation energies (kJ mol<sup>-1</sup>) for the formation of *n*- and iso-butanol using Shvo/SiO<sub>2</sub> or SX-Shvo/SiO<sub>2</sub>. *T* = 343–373 K,  $P_{\text{Total}}$  = 1 atm,  $P_{\text{H}_2} = P_{butanal}$  = 0.05 atm, balance He. Total gas flow rate = 100 cm<sup>3</sup> min<sup>-1</sup>, catalyst mass = 0.3 g.

| Catalyst                 | Apparent activation energy (kJ mol <sup>-1</sup> ) |             |  |
|--------------------------|----------------------------------------------------|-------------|--|
|                          | n-Butanol                                          | iso-Butanol |  |
| Shvo/SiO <sub>2</sub>    | 49                                                 | 50          |  |
| SX-Shvo/SiO <sub>2</sub> | 46                                                 | 51          |  |

partial pressure dependencies of  $H_2$ , iso-butanal, and CO on the rate of iso-butanol formation are 0.45, 0.95, and -0.31, respectively over SX-Shvo/SiO<sub>2</sub> catalysts.

## 3.2. Proposed mechanism of butanal hydrogenation

The kinetics of butanal formation can be interpreted using the mechanism proposed by Shvo and coworkers [21,22] shown in Scheme 1. Shvo's catalyst operates through a concerted, outersphere mechanism in which the aldehyde is reduced in one elementary step despite not being bound directly to the metal [23]. The reaction sequence begins with the dissociation of the dimeric Ru complex (Shvo's catalyst, species A) into the constitutive monomers species **B** and **C** (Reaction 1). Butanal coordinates to the outer sphere of the complex by aligning the polarity of the acetyl group (partially positively charged carbon and partially negatively charged oxygen) with the hydridic (H-Ru) and acidic hydrogen (H–O) present on species C. The bound aldehyde is then reduced to alcohol, which converts species **C** into species **B** (Reaction 3). The catalytic cycle is closed by reaction of  $H_2$  with species **B** to yield species C (Reaction 2). Species B has a vacant coordination site and therefore can react with either ligand, CO or SX, via Reactions 4 or 5 respectively to fulfill the vacancy. Species **D** and **E** are both saturated species which must dissociate a ligand to be catalytically active.

Evidence for the existence of several of the intermediates shown in Scheme 1 was provided by in situ infrared spectroscopy. Fig. 2 shows IR spectra of the pristine Shvo's complex and Shvo/ SiO<sub>2</sub> under exposure of He at 298 K, He at 363 K, an equimolar mixture of H<sub>2</sub> and He at 363 K, and an equimolar mixture of H<sub>2</sub>, CO, and He at 363 K. The peak in spectra A and B at 1713 cm<sup>-1</sup> is ascribed to the bridging O-H-O bond present in the Ru dimer [21,24], while the broad peak at 1875  $\text{cm}^{-1}$  in spectrum B is from the SiO<sub>2</sub> support as shown by Fig. S1 in the Supporting information. Spectrum C shows that as the reaction temperature was increased to 363 K, the band at 1713  $\text{cm}^{-1}$  disappeared while bands at 1965, 2005, and 2030 cm<sup>-1</sup> became better resolved. The disappearance of the 1713 cm<sup>-1</sup> band with increased temperature indicates that the Ru dimer complex has dissociated into its constitutive monomers. The bands appearing at 1965, 2005, and 2030  $cm^{-1}$  are characteristic of carbonyl ligands bound to species **B** and species **C** [25,26] and are sharper in spectrum B than in spectrum A due to the removal of physisorbed water on the SiO<sub>2</sub> surface at elevated temperature. The appearance of a broad band at  $1630 \text{ cm}^{-1}$  is attributed to the oxo functionality present on the cyclopentadienyl ligand of species **B** which is another indication that the Ru dimer has dissociated into Ru monomer units. Once the catalyst is treated with  $H_2$  (spectrum D), a negative band appears at 1630 cm<sup>-1</sup> upon subtraction from spectrum B signifying the conversion of species B into species **C** via Reaction 2. Exposure of the catalyst to CO, as shown in spectrum E, causes two new bands to appear at 2100 and  $2050 \text{ cm}^{-1}$ , while the band at  $1965 \text{ cm}^{-1}$  becomes negative upon subtraction from spectrum B. The new bands in the carbonyl stretching region are attributed to the transformation of species **B** into a tricarbonyl Ru complex species E.

### Table 2

Apparent reaction orders of n-butanol and iso-butanol synthesis using Shvo/SiO2 and SX-Shvo/SiO2 catalysts. T = 363 K, Protal = 1 atm, catalyst mass = 0.3 g, total gas flow rate =  $100 \text{ cm}^3 \text{ min}^{-1}$ .

| Reaction product | Catalyst                 | Apparent reaction | Apparent reaction order |                 |  |
|------------------|--------------------------|-------------------|-------------------------|-----------------|--|
|                  |                          | $H_2^a$           | Butanal <sup>b</sup>    | CO <sup>c</sup> |  |
| n-Butanol        | Shvo/SiO <sub>2</sub>    | 0.26              | 1.0                     | -0.59           |  |
|                  | SX-Shvo/SiO <sub>2</sub> | 0.42              | 0.98                    | -0.29           |  |
| iso-Butanol      | Shvo/SiO <sub>2</sub>    | 0.29              | 1.1                     | -0.58           |  |
|                  | SX-Shvo/SiO <sub>2</sub> | 0.45              | 0.95                    | -0.31           |  |

 $P_{H_2} = [0.05 - 0.15 \text{ atm}], P_{n-\text{butanal}} = P_{\text{iso-butanal}} = 0.025 \text{ atm}, \text{ balance He.}$  $P_{n-\text{butanal}} = P_{\text{iso-butanal}} = [0.025 - 0.075 \text{ atm}], P_{H_2} = 0.05 \text{ atm}, \text{ balance He.}$ 

<sup>c</sup>  $P_{CO} = [0.05-0.15 \text{ atm}], P_{H_2} 0.05 \text{ atm}, P_{n-butanal} = P_{iso-butanal} = 0.025 \text{ atm}, \text{ balance He.}$ 



Scheme 1. Proposed mechanism for aldehyde hydrogenation using Shvo/SiO<sub>2</sub> catalyst.



Fig. 2. In situ infrared spectra of (a) Shvo's complex in He at 298 K and Shvo/SiO<sub>2</sub> catalyst under, (b) He at 298 K, (c) He at 363 K, (d) H<sub>2</sub> at 363 K, and (e) H<sub>2</sub>/CO = 1 at 363 K.

The spectra for SX-Shvo/SiO<sub>2</sub> exhibit similar bands to those observed for Shvo/SiO<sub>2</sub>. The most notable difference between the catalysts is that the carbonyl stretching region is red-shifted by 5-10 cm<sup>-1</sup> in the presence of SX because phosphines are better sigma donors than carbonyl ligands [27]. The higher electron density on Ru enables greater pi-backbonding to the carbonyl groups, thereby reducing the frequency of the carbonyl stretching frequency. A comparison of the spectra for Shvo/SiO<sub>2</sub> and SX-Shvo/SiO<sub>2</sub> is provided in the Supporting information.

Further evidence for the coordination of SX to Shvo's catalysts under reaction conditions was obtained from <sup>31</sup>P NMR spectroscopy. Fig. 3 shows the results of solid-state <sup>31</sup>P MAS NMR measurements of spent SX-Shvo/SiO<sub>2</sub> which exhibits the same spectral features of the fresh SX-Shvo/SiO2. The broad peak observed at -13 ppm can be ascribed to the free SX adsorbed on the silica surface [13], while the broad peak centered at 34 ppm is due to the phosphine bonded to Ru as shown in species E [17]. Although many of species shown in Scheme 1 could undergo ligand exchange with SX, Reaction 5 is the most facile because of the vacant coordination site on species **B**. Previous reports that have shown by solution <sup>31</sup>P NMR that singly coordinated phosphorus to Ru



Fig. 3. Solid-state <sup>31</sup>P MAS NMR spectra of spent SX-Shvo/SiO<sub>2</sub> catalyst.

(species **E** of Scheme 1) results from the reaction of species **B** with SX [17]. However, the possibility that both phosphorus atoms of SX are coordinated to Ru cannot be ruled out on the basis of the present data. While there have reports indicating that Shvo's catalyst modified with PPh<sub>3</sub> can complete the catalytic cycle, this occurs at much slower rates than with the unmodified catalysts [28]; thus, all of the catalytic activity is attributed to unmodified species **C**. The data and the literature, therefore, indicate that SX-Shvo/SiO<sub>2</sub> is less active than Shvo/SiO<sub>2</sub> because coordination of SX reduces the concentration of species **C** available for the catalytic cycle.

## 3.3. Kinetics of butanal hydrogenation

If it is assumed that at reaction temperature, species **A** dissociates completely to species **B** and **C**, that Reactions 2, 4, and 5 are quasi-equilibrated, and that Reaction 3 is the irreversible, rate-limiting step, then the rate of butanol formation is given by the following relation:

$$r_{\text{butanol}} = \frac{K_2 k_3 P_{\text{butanal}} P_{\text{H}_2}[\text{Ru}]}{1 + K_2 P_{\text{H}_2} + K_4 P_{\text{CO}} + K_5[\text{SX}]}$$
(1)

where  $k_3$  is the rate coefficient for Reaction 3,  $K_i$  is the equilibrium constant for Reaction *i*,  $P_j$  is the partial pressure of species *j*, and [Ru] is the total surface concentration of Ru. The derivation of Eq. (1) is provided in the Supporting information.

Eq. (1) is consistent with the experimentally observed partial pressure dependencies and those found in literature. Casey and coworkers have reported that the order with respect to benzalde-hyde and H<sub>2</sub> in a homogenous solution of Shvo's Catalyst to be 1 and 0, respectively [28]. The rate of butanal synthesis is first order in butanal due to the  $P_{\text{butanal}}$  term in the numerator of Eq. (1). The 0–1 order in the partial pressure of H<sub>2</sub> depends on the magnitude of the  $K_2P_{\text{H}_2}$  in the denominator of Eq. (1). As the value of  $K_2P_{\text{H}_2}$  increases, the order with respect to H<sub>2</sub> tends toward zero. Thus, the value for  $K_2P_{\text{H}_2}$  in solution must be high in order to explain the zero-order dependence observed in Ref. [28]. The inhibitory effect of CO and SX is represented by the  $K_4P_{\text{CO}}$  and the  $K_5$ [SX] terms in the denominator of SX due to the term  $K_5$ [SX] also present in the denominator of Eq. (1).

The parameters appearing in Eq. (1) for Shvo/SiO<sub>2</sub> and SX-Shvo/ SiO<sub>2</sub> were estimated using the data fitting routine (i.e. "nlinfit" function) in MATLAB with the initial guesses of the parameters set to zero. Values for the parameters are listed in Table 3 for *n*and iso-butanol formation. As seen in Fig. 4a–d, the derived rate expression given in Eq. (1) describes the experimental data effectively. The coefficient of determination,  $R^2$ , is above 90% for all cases considered. Values of  $R^2$ , the root-mean-square error (RMSE), and a parity plot are presented in the Supporting information.

# Table 3

Values of the rate parameters appearing in Eq. (1) obtained by a best fit to experimental data.

| $r_{\text{butanol}} = \frac{K_2 k_3 P_{\text{butanal}} P_{H_2} [\text{Ru}]}{1 + K_2 P_{H_2} + K_4 P_{\text{CO}} + K_5 [\text{SX}]}$ |                  |                                  |  |  |
|-------------------------------------------------------------------------------------------------------------------------------------|------------------|----------------------------------|--|--|
| Parameter                                                                                                                           | Value            | Unit                             |  |  |
| <i>K</i> <sub>2</sub>                                                                                                               | 30               | atm <sup>-1</sup>                |  |  |
| $K_4$                                                                                                                               | 55               | atm <sup>-1</sup>                |  |  |
| K <sub>5</sub>                                                                                                                      | $7.3 	imes 10^6$ | m <sup>2</sup> atm <sup>-1</sup> |  |  |
| k3                                                                                                                                  | 255ª             |                                  |  |  |
|                                                                                                                                     | 325 <sup>b</sup> | $atm^{-1}h^{-1}$                 |  |  |

<sup>a</sup> *n*-Butanol.

<sup>b</sup> iso-Butanol.

### 3.4. Butene hydrogenation over Shvo/SiO<sub>2</sub>

The hydrogenation of 1-butene was investigated in order to determine activity of  $\text{Shvo/SiO}_2$  for hydrogenation of alkenes. It was observed that the 1-butene hydrogenation activity was  $0.4 \text{ h}^{-1}$ , which is more than an order of magnitude lower than the activity for butanal hydrogenation under comparable conditions. Consistent with our results, Shvo and coworkers demonstrated that the alkenes are unreactive for hydrogenation in the presence of Shvo's Catalyst and formic acid [29]. The difference in hydrogenation activity between 1-butene and butanal is attributable to the non-polarity of 1-butene. Accordingly, 1-butene is unable to coordinate to the hydridic and acidic hydrogen atoms on the Ru cluster as effectively as the polar carbonyl of butanal.

# 3.5. Tandem hydroformylation and hydrogenation using Rh-SILP and Shvo/SiO<sub>2</sub> catalysts

The direct conversion of propene to butanol was investigated using a bi-layered catalyst bed of SX-Rh SILP and Shvo/SiO<sub>2</sub>. The SX-Rh SILP catalyst was selected because of its high activity and stability for propene hydroformylation [13]. Our previous study of Rh-SILP catalysts showed that catalysts containing the SX ligand were almost six times more active than catalysts prepared with triphenylphosphine or sulfonated triphenyl phosphine ligands [13]. We have also demonstrated that at 363 K, the apparent partial pressure dependencies of propene, H<sub>2</sub>, and CO are 0.93, 0.1, and -0.43 [14]. However, the apparent partial pressure dependencies of propene, H<sub>2</sub>, and CO become 0.76, 0.84, and zero, respectively at 413 K. Since the kinetics of butanal hydrogenation exhibit a nearly first-order dependence on H<sub>2</sub> and an inverse dependence on the partial pressure of CO (see Table 2), a high ratio of H<sub>2</sub> to CO partial pressures is desired. The reaction temperature was set to 413 K to exploit first-order and zero-order dependence of H<sub>2</sub> and CO on the rates of propene hydroformylation and to lower the molar ratio of *n*-butanal to iso-butanal (n/i) formation. A low n/i ratio is desirable for fuel production because the anti-knock index of iso-butanol is much greater than *n*-butanol [4]. The CO and propene partial pressure were fixed at 0.25 atm, while the H<sub>2</sub> partial pressure was varied from 0.25 to 2.5 atm. As shown in Fig. 5, the propene conversion to butanal and the aldehyde conversion to butanol rises as the H<sub>2</sub>/CO molar ratio is increased. Also shown in Fig. 5 is the predicted propene and aldehyde conversion as calculated from the rate laws presented in this work and in Ref. [14]. For convenience, the values of the parameters in the hydroformylation rate expression measured at 413 K are provided in Table 4. As expected from our previous work on hydroformylation and this work, the molar ratios of *n*-butanal to iso-butanal and *n*butanol to iso-butanol were both approximately 7. Under the most favorable conditions examined (H<sub>2</sub>/CO = 10), 15% propene conversion and 90% aldehyde conversion were observed; thus, a 13% yield to butanol was achieved. The results presented in Fig. 5 illustrate, to the best of our knowledge, the first example of the direct conversion of propene to butanol by means of the tandem hydroformylation and hydrogenation reactions carried out in the gas phase using heterogeneous catalysts.

The rate expressions of hydroformylation and hydrogenation were also used to predict the conditions necessary to achieve a high yield of butanol from propene over SX-Rh SILP and Shvo/ SiO<sub>2</sub> catalysts. Fig. 6 shows the effects of varying the total pressure on the minimum amount of hydroformylation catalyst and hydrogenation catalyst necessary to achieve 99% conversion in each reaction. In all of the cases examined, the initial molar flow rate of the feed is set to 54 mmol h<sup>-1</sup> and the inlet feed consists of a 1:1:2 M ratio of  $C_3H_6$ :CO:H<sub>2</sub>. Under these conditions, Shvo/SiO<sub>2</sub> operates in the absence of CO because 99% of the propene and



**Fig. 4.** (a–d) Non-linear regression of partial pressure data using (a,b) Shvo/SiO<sub>2</sub> and (c,d) SX-Shvo/SiO<sub>2</sub>. Rate of (a,c) *n*- and (b,d) iso-butanol synthesis vs. partial pressure of ( $\bullet$ .O) butanal, ( $\blacktriangle$ , $\triangle$ ) H<sub>2</sub>, and ( $\blacksquare$ , $\square$ ) CO. Experimental points are indicated by data markers. Spline curves indicate predicted values. *T* = 363 K, *P*<sub>Total</sub> = 1 atm, catalyst mass = 0.3 g, total gas flow rate = 100 cm<sup>3</sup> min<sup>-1</sup>.



**Fig. 5.** Results from tandem hydroformylation–hydrogenation experiment. *T* = 413 K.  $(\bigcirc, \triangle, \Box)$  Propene conversion to butanal.  $(\bullet, \blacktriangle, \blacksquare)$  Butanal conversion to butanal.  $(\bullet, \blacktriangle, \blacksquare)$  Butanal conversion to butanal.  $P_{C_3H_6} = P_{C0} = 0.25$  atm.  $(\bigcirc, \bullet) P_{H_2} = P_{H^6} = 0.25$  atm.  $(\triangle, \bigstar) P_{H_2} = 1.25$  atm.  $(\Box, \blacksquare) P_{H_2} = 2.5$  atm. SX-Rh SILP catalyst mass = 0.4 g (0.2 wt% Rh), Shvo/ SiO\_2 = 0.8 g (1 wt% Ru). The solid lines represent conversions determined from the rate expressions for propene hydroformylation and butanal hydrogenation (Eq. (1)).

Table 4

Rate parameters determined for propene hydroformylation over SX-Rh SILP Ref. [14].

| $r_{\text{butanal}} = \frac{aP_{\text{C}_{3}\text{H}_{6}}P_{\text{H}_{2}}[\text{Rh}]}{1 + b(1 + K_{5})P_{\text{C}_{3}\text{H}_{6}}}$ |           |             |                                    |
|--------------------------------------------------------------------------------------------------------------------------------------|-----------|-------------|------------------------------------|
| Parameter                                                                                                                            | Value     |             | Unit                               |
|                                                                                                                                      | n-Butanal | iso-Butanal |                                    |
| $a = K_1 K_2 K_3 K_4 K_5 k_6$                                                                                                        | 589       | 85.6        | $\mathrm{atm^{-2}}\mathrm{h^{-1}}$ |
| $b = K_1 K_2 K_3 K_4$                                                                                                                | 0.89      | 0.22        | atm <sup>-1</sup>                  |
| K <sub>5</sub>                                                                                                                       | 0.20      | 1.8         | -                                  |
| $k_6 = a(bK_5)^{-1}$                                                                                                                 | 3287      | 210         | $\mathrm{atm}^{-1}\mathrm{h}^{-1}$ |

CO are consumed in the hydroformylation reaction. Fig. 6 shows the amount of catalyst necessary to achieve a 98% yield of butanol decreases substantially as the total pressure is increased. While the span of experimental conditions predicted are beyond the limitations of the apparatus available for the present study, it is evident



**Fig. 6.** Modeled results of the tandem hydroformylation–hydrogenation experiment. (•) Mass of SX-Rh SILP catalyst and ( $\bigcirc$ ) mass of Shvo/SiO<sub>2</sub> catalyst necessary to achieve 98% yield to butanol as a function of total pressure. T = 413 K,  $\dot{N}_T = 54$  mmol h<sup>-1</sup>,  $2P_{C_3H_6} = 2P_{CO} = P_{H_2}$ .

that a high yield of butanol could be achieved starting with a stoichiometric feed of propene and synthesis gas.

## 4. Conclusion

The kinetics of butanal hydrogenation over Shvo/SiO<sub>2</sub> and SX-Shvo/SiO<sub>2</sub> have been investigated. The activity of SX-Shvo/SiO<sub>2</sub> was lower than the activity of Shvo/SiO<sub>2</sub>, but the apparent activation energies for hydrogenation of *n*- and iso-butanol were nearly identical (~50 kJ mol<sup>-1</sup>) for both catalysts. This result suggests that the catalytically active species is the same for both catalysts. The partial pressure dependence on butanal is roughly first order for both catalysts, while the partial pressure dependence on H<sub>2</sub> is ~0.3 and 0.4 for Shvo/SiO<sub>2</sub> and SX-Shvo/SiO<sub>2</sub>, respectively. Similarly, the partial pressure dependence on CO increases from -0.6 in the absence of SX to -0.3 in the presence of SX. The differences in the orders in the partial pressures of H<sub>2</sub> and CO are ascribed to the coordination of SX to Ru, which was confirmed by in situ

infrared spectroscopy and <sup>31</sup>P MAS NMR. Infrared spectroscopy also provided evidence for several of the intermediates appearing in the mechanism shown in Scheme 1. Based upon the proposed mechanism, the ability of Shvo's catalyst to function in the presence of CO is attributed to the competition between a vacant coordination site and the addition of a H<sub>2</sub> to the Ru complex. A rate law was derived assuming that the reduction of aldehyde is the ratedetermining step (Reaction 3 in Scheme 1) and that the other elementary steps are quasi-equilibrated. The rate coefficients appearing in Eq. (1) were obtained by non-linear regression (see Table 3) and found to describe the experimental data very well.

The direct gas-phase conversion of propene to butanol was demonstrated for the first time using a bi-layered, packed-bed reactor containing SX-Rh SILP, and Shvo/SiO<sub>2</sub> catalysts. Under the conditions investigated, the overall yield a 13% to *n*- and iso-buta-nol (n/i = 7) was achieved using a feed molar ratio of H<sub>2</sub>/CO = 10. This proof-of-concept experiment demonstrates the feasibility of converting propene to butanol in a single reactor. The rate expressions describing the kinetics of propene hydroformylation and butanal hydrogenation were also used to predict the amount of each catalyst required to achieve a 98% yield of butanol as a function of total pressure for an assumed stoichiometric feed and initial molar flow rate.

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# Appendix A. Supplementary material

Supplementary data associated with this article can be found, in the online version, at http://dx.doi.org/10.1016/j.jcat.2013.11.012.

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