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## Synthesis of $\alpha$ -amino $\gamma$ -butyrolactone derivatives by aziridination of $\alpha$ -ylidene $\gamma$ -butyrolactones

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Abstract—The reactions of exocyclic  $\alpha$ , $\beta$ -unsaturated  $\gamma$ -lactones with NsONHCO<sub>2</sub>Et and CaO produce *N*-(ethoxycarbonyl) spiroaziridino  $\gamma$ -lactones. By reaction with acetic acid these products give ring opening reaction and acetylated *N*-protected  $\alpha$ -amino  $\gamma$ -butyrolactones are obtained. The ring opening reaction is quantitative and highly regioselective. © 2003 Elsevier Science Ltd. All rights reserved.

Aziridines are versatile synthetic intermediates for the synthesis of many biologically active molecules such as antitumor agents,<sup>1</sup> cysteine protease<sup>2</sup> or squalene synthase inhibitors.<sup>3</sup> The ability of aziridines to undergo highly regio and stereoselective ring opening reactions gives them a great value in the field of amino acids, heterocycles and alkaloids.<sup>4</sup> Spiroaziridines are investigated to obtain functionalized branched-chain amino derivatives.<sup>5</sup> In recent years our research group has focused attention on the use of the ethyl N-{[(4-nitrobenzene)sulphonyl]oxy}carbamate (NsONHCO<sub>2</sub>Et)<sup>6</sup> in the presence of an inorganic insoluble base, such as CaO in CH<sub>2</sub>Cl<sub>2</sub> to aziridinate electron poor olefins such as  $\alpha,\beta$ -unsaturated carboxylates<sup>7</sup> and phosphonates.<sup>8</sup> Now we are interested to obtain spiroaziridines from exocyclic  $\alpha,\beta$ -unsaturated  $\gamma$ -lactones 2, and to investigate the ring opening reaction to get amino lactone derivatives.

The scaffold of these compounds, homoserine lactones, is a common feature in certain biological analogs including immunosuppressant, antiallergy and antineoplastic agent.<sup>9</sup> Furthermore  $\alpha$ -amino  $\gamma$ -lactones are precursors of several non-proteinogenic amino acids and building blocks of polypirrolynone asymmetric synthesis.<sup>10</sup>

 $\alpha$ -Ylidene  $\gamma$ -lactones 2 were synthesized by the Horner– Wadsworth–Emmons procedure, starting from the  $\alpha$ diethoxyphosphonyl- $\gamma$ -butyrolactone 1<sup>11</sup> and different aldehydes or ketones,<sup>12,13</sup> using K<sub>2</sub>CO<sub>3</sub> as base. The substrates 2b, 2f and 2c, 2e were obtained as mixtures of *E* and *Z* isomers easily separated by flash chromatography on silica gel.

The aziridination reactions were carried out adding NsONHCO<sub>2</sub>Et and CaO portionwise, reaching the molar ratio reported in Table 1. Starting from substrates 2a-e,

Entry	$R_1$	$R_2$	Molar ratio 2: NsONHCO <sub>2</sub> Et:CaO	Products and yield (%) <sup>a</sup>
2a	Н	Н	1:2:2	<b>3a</b> (39%)
<b>2b</b> ( <i>E</i> )	CH <sub>3</sub>	Н	1:3:3	<b>3b</b> (45%)
<b>2c</b> ( <i>E</i> )	CH <sub>2</sub> (CH <sub>2</sub> ) <sub>3</sub> CH <sub>3</sub>	Н	1:5:5	<b>3c</b> (47%)
<b>2d</b> ( <i>E</i> )	Ph	Н	1:5:5	<b>3d</b> (60%)
2e	CH <sub>3</sub>	CH <sub>3</sub>	1:3:3	<b>3e</b> (52%)
<b>2f</b> ( $Z$ )	CH <sub>3</sub>	Н	1:3:3	<b>3f</b> (42%)+ <b>3b</b> (39%)
2g (Z)	CH <sub>2</sub> (CH <sub>2</sub> ) <sub>3</sub> CH <sub>3</sub>	Н	1:5:5	<b>3g</b> (28%)+ <b>3c</b> (24%)

Table 1. Aziridination of  $\alpha$ -ylidene  $\gamma$ -butyrolactones 2

<sup>a</sup> Yield of isolated, purified products.

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Scheme 1.

only one *N*-(ethoxycarbonyl)spiroaziridine (**3a**–**e**) was obtained, while aziridination of **2f** and **2g** led to two *N*-protected diastereomeric spiroaziridines in the ratio 1:1 (**3f** and **3b**, **3g** and **3c**, Scheme 1). The products were isolated by chromatography on silica gel and characterized by GC–MS, IR, <sup>1</sup>H, <sup>13</sup>C NMR analysis.<sup>14</sup> Also using ethyl *N*-[(trifluoromethanesulfonyl)oxy]-carbamate<sup>15</sup> as an aminating agent, it was possible to obtain the *N*-(ethoxycarbonyl)spiroaziridine **3a** in good yield from **2a**.

The hypothesis that aziridine ring opening<sup>16</sup> gives amino lactones, led us to test the reactivity with a nucleophile such as AcOH.<sup>17</sup> Compounds **3a**–g were allowed to react with acetic acid at 80°C.<sup>18</sup> The ring opening reactions for **3a–c** and **3e–g** seemed to be quantitative giving only the acetylated *N*-protected  $\alpha$ amino  $\gamma$ -butyrolactones **4a–c** and **4e–g**. From spectral data **4b** and **4f** appear to be different stereomers as well as **4c** and **4g**.



Even if a mixture of compounds was obtained starting from 3d, the  $\alpha$ -amino  $\gamma$ -butyrolactones 4d was isolated as the main product (80%). This clearly shows that the ring opening reaction is regioselective and stereo-selective.

All products **4** have been characterized by GC–MS, IR, <sup>1</sup>H, <sup>13</sup>C NMR analysis and spectroscopic data are in agreement with the reported structure.<sup>19</sup>

Our first attempts at reductive ring opening of **3** by catalytic transfer hydrogenation in the presence of 10% Pd(0)/C and ammonium formate<sup>16h</sup> was successful for aziridines **3a**, **3d** and **3e** giving as single products the

*N*-(ethoxycarbonyl)  $\alpha$ -amino  $\gamma$ -butyrolactones **5a**, **5d** and **5e**.<sup>20</sup>



We tested aziridination on *endocyclic*  $\alpha$ , $\beta$ -unsaturated  $\gamma$ -lactones such as **6**, known to be unreactive toward other aminating agents.<sup>21</sup> Compounds **6a–b** gave only traces of aziridines though the molar ratio substrate:NsONHCO<sub>2</sub>Et:CaO reached 1:6:6. Meanwhile **6c** showed a good reactivity in the presence of 5 equiv. of reagent **1** and the base. The aziridine **7c** was isolated in a yield of 30% (Scheme 2).<sup>22</sup>

In conclusion, an efficient synthesis of *N*-(ethoxycarbonyl)spiroaziridino- $\gamma$ -lactones has been developed based on the reaction with NsONHCO<sub>2</sub>Et of  $\alpha$ -ylidene  $\gamma$ -butyrolactones. The following regioselective and stereoselective ring opening reaction leads to  $\alpha$ -amino  $\gamma$ -butyrolactone derivatives, biologically interesting molecules. Efforts to extend the scope of this process to chiral  $\alpha$ -ylidene  $\gamma$ -butyrolactones are currently in progress. Moreover we are pursuing studies on the aziridination reaction by different aminating reagent.



Scheme 2.

General procedure. For compounds 3 and 6c. To a stirred solution of the substrate 2 (10.2 mmol) in 2.0 mL of  $CH_2Cl_2$ , NsONHCO<sub>2</sub>Et (10.2 mmol, 1 equiv.) and CaO were added every hour, reaching the molar ratio substrate:NsONHCO<sub>2</sub>Et:CaO reported in Table 1. Because the reaction is exothermic, during the addition the flask was cooled in a water bath to avoid overheating. After 6 h, 10 mL of hexane was added. After filtration, the organic mixture containing the aziridine 3 was concentrated in vacuo. The product was isolated by flash chromatography on silica gel (hexane:ethyl acetate) in the yields reported in Table 1.

For compounds 4: The aziridines 3 were stirred at  $80^{\circ}$ C in the presence of acetic acid as a solvent. Different substrates needed different heating times to reach the complete conversion.<sup>18</sup> The reaction mixture was evaporated under reduced pressure, and then CHCl<sub>3</sub> was added. The organic layer was washed with a saturated solution of NaHCO<sub>3</sub> then dried over Na<sub>2</sub>SO<sub>4</sub>. After the work-up, the solvent was evaporated in vacuo giving the products 4.<sup>19</sup>

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- 14. Spectral data: **3a**: <sup>1</sup>H NMR (200 MHz, CDCl<sub>3</sub>):  $\delta$  1.26 (t, 3H,  $CH_2CH_3$ ); 2.51 (d, 1H, CHN, J=1.2 Hz); 2.38–2.67 (m, 2H, OCH<sub>2</sub>CH<sub>2</sub>); 2.81 (d, 1H, CHN, J = 1.2 Hz); 4.18 (q, 2H,  $CH_2CH_3$ ); 4.43–4.65 (m, 2H,  $OCH_2CH_2$ ); <sup>13</sup>C NMR (50 MHz, CDCl<sub>3</sub>): 14.2, 26.8, 36.3, 42.0, 63.1, 65.6, 159.9, 172.8; IR: (CCl<sub>4</sub>): 1794, 1748 cm<sup>-1</sup>; GC–MS: m/z185 [M<sup>+</sup>] (<1%), 69 (100%). **3b**: <sup>1</sup>H NMR:  $\delta$  1.21 (t, 3H,  $CH_2CH_3$ ; 1.29 (d, 3H, CHCH<sub>3</sub>, J=5.6 Hz); 2.31–2.43 (m, 2H, OCH<sub>2</sub>CH<sub>2</sub>); 2.95 (q, 1H, CHN, J=5.6 Hz); 4.12 (q, 2H, CH<sub>2</sub>CH<sub>3</sub>); 4.36–4.64 (m, 2H, OCH<sub>2</sub>CH<sub>2</sub>); <sup>13</sup>C NMR: 14.1, 14.2, 24.1, 42.0, 45.8, 62.7, 65.7, 159.9, 173.0; IR: 1785, 1749 cm<sup>-1</sup>; GC–MS: m/z 199 [M<sup>+</sup>] (<1%), 54 (100%). **3c**: <sup>1</sup>H NMR:  $\delta$  1.25 (t, 3H, CH<sub>2</sub>CH<sub>3</sub>); 1.20–1.64 (m, 11H, CH<sub>2</sub>(CH<sub>2</sub>)<sub>3</sub>CH<sub>3</sub>); 2.26–2.34 (m, 2H, OCH<sub>2</sub>CH<sub>2</sub>); 2.91 (t, 1H, CHN, J = 5.6 Hz); 4.17 (q, 2H, OCH<sub>2</sub>CH<sub>3</sub>); 4.37–4.67 (m, 2H, OCH<sub>2</sub>CH<sub>2</sub>); <sup>13</sup>C NMR: 13.8, 14.1, 22.1, 24.4, 26.4, 29.0, 31.1, 45.8, 46.7, 62.7, 65.0, 65.7, 159.9, 173.0; IR: 1790, 1735 cm<sup>-1</sup> GC-MS: *m*/*z* 255 [M<sup>+</sup>] (7.2%), 55 (100%). **3d**: <sup>1</sup>H NMR:  $\delta$  1.29 (t, 3H, CH<sub>2</sub>CH<sub>3</sub>); 1.97 (ddd, 1H, OCH<sub>2</sub>CHH, J=5.0, 8.6, 14.0 Hz); 2.44 (ddd, 1H, OCH<sub>2</sub>CH*H*, *J*=7.0, 9.2, 14.0 Hz); 4.05 (s, 1H, CHN); 4.25 (q, 2H,  $CH_2CH_3$ ); 4.21–4.40 (m, 1H, OCHHCH<sub>2</sub>); 4.57 (ddd, 1H, OCHHCH<sub>2</sub>, *J*=5.0, 9.2, 9.2 Hz); 7.27–7.44 (m, 5H, CH arom.); <sup>13</sup>C NMR: 14.2, 23.8, 48.6, 48.7, 63.2, 65.6, 127.1, 128.6, 128.7, 132.9, 159.5, 172.2; IR: 1785, 1735 cm<sup>-1</sup> GC–MS: m/z 261 [M<sup>+</sup>] (<1%), 173 (100%). 3e: <sup>1</sup>H NMR: 1.23 (t, 3H, CH<sub>2</sub>CH<sub>3</sub>); 1.35 (s, 3H, CCH<sub>3</sub>); 1.55 (s, 3H, CCH<sub>3</sub>); 2.33 (ddd, 1H, OCH<sub>2</sub>CHH, J=4.7, 8.1, 13.8 Hz); 2.50-2.67 (m, 1H, OCH<sub>2</sub>CHH); 4.14 (q, 2H, CH<sub>2</sub>CH<sub>3</sub>); 4.18–4.40 (m, 1H, OCHHCH<sub>2</sub>); 4.50 (ddd, 1H, OCHHCH<sub>2</sub>, J=4.7, 9.0, 9.0 Hz); <sup>13</sup>C NMR: 14.3, 16.4, 22.4, 25.1, 47.5, 48.3, 62.2, 64.6, 157.8, 171.7; IR: 1781, 1730 cm<sup>-1</sup> GC–MS: m/z 213  $[M^+]$  (<1%), 140 (100%). **3f**: <sup>1</sup>H NMR: 1.28 (t, 3H,  $CH_2CH_3$ ); 1.49 (d, 3H, CHC $H_3$ , J=5.7 Hz); 2.17 (ddd, 1H, OCH<sub>2</sub>CH*H*, *J*=3.4, 7.3, 13.5, Hz); 2.73–2.90 (m, 1H, OCH<sub>2</sub>CHH); 2.87 (q, 1H, CHCH<sub>3</sub>, J = 5.7 Hz); 4.19 (q, 2H,  $CH_2CH_3$ ); 4.42 (ddd, 1H, OCHHCH<sub>2</sub>, J=7.3, 9.2, 9.2 Hz); 4.56 (ddd, 1H, OCHHCH<sub>2</sub>, J = 3.4, 9.2, 9.2 Hz); <sup>13</sup>C NMR: 11.6, 14.3, 26.4, 44.6, 45.1, 62.8, 65.0, 159.1, 171.3; IR: 1788, 1723 cm<sup>-1</sup>; GC-MS: *m*/*z* 199 [M<sup>+</sup>] (0.3%), 54 (100%). **3g**: <sup>1</sup>H NMR: 1.28 (t, 3H, OCH<sub>2</sub>CH<sub>3</sub>); 1.22-1.68 (m, 11H, CH<sub>2</sub>(CH<sub>2</sub>)<sub>3</sub>CH<sub>3</sub>); 2.11 (ddd, 1H, OCH<sub>2</sub>CHH, J=3.0, 7.1, 13.3, Hz); 2.69–2.96 (m, 1H, OCH<sub>2</sub>CHH); 4.19 (q, 2H, CH<sub>2</sub>CH<sub>3</sub>); 4.44 (ddd, 1H, OCHHCH<sub>2</sub>, J=7.0, 9.2, 9.2 Hz); 4.56 (ddd, 1H, OCHHCH<sub>2</sub>, J=3.0, 9.2, 9.2 Hz); <sup>13</sup>C NMR; 13.8, 14.1, 22.1, 24.4, 26.4, 29.0, 31.1, 45.8, 46.7, 62.7, 65.0, 65.7, 159.9, 173.0; IR: 1788, 1731 cm<sup>-1</sup> GC–MS: *m*/*z* 255 [M+] (7.2%), 55 (100%).
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- 18. 3a: 15 h; 3b: 45 h; 3c: 30 h; 3d: 7 h; 3e: 15 h; 3f: 95 h; 3g: 25 h.
- 19. For example: 4b: <sup>1</sup>H NMR: 1.24 (t, 3H, CH<sub>2</sub>CH<sub>3</sub>); 1.35 (d, 3H, CHCH<sub>3</sub>, J=6.4 Hz); 2.08 (s, 3H, CH<sub>3</sub>CO); 2.50 (ddd, 1H, OCH<sub>2</sub>CHH, J=3.5, 7.9, 13.6 Hz); 2.61–2.85 (m, 1H, OCH<sub>2</sub>CHH); 4.12 (q, 2H, CH<sub>2</sub>CH<sub>3</sub>); 4.20–4.40 (m, 1H, OCHHCH<sub>2</sub>); 4.50 (ddd, 1H, OCHHCH<sub>2</sub>, J=3.4, 9.2 Hz); 5.15 (q, 1H, CHCH<sub>3</sub>, J=6.4 Hz); 5.27 (br s, 1H, NH); <sup>13</sup>C NMR; 14.4, 14.7, 20.9, 29.8, 61.6, 62.0, 65.5, 70.8, 155.2, 169.5, 174.5; IR: 3421, 1794, 1755, 1723 cm<sup>-1</sup>;

GC–MS: m/z 259 [M<sup>+</sup>] (<1%), 173 (100%). 4f: <sup>1</sup>H NMR: 1.25 (t, 3H, CH<sub>2</sub>CH<sub>3</sub>); 1.31 (d, 3H, CHCH<sub>3</sub>, J=6.5 Hz); 2.11 (s, 3H, CH<sub>3</sub>CO); 2.50–2.72 (m, 2H, OCH<sub>2</sub>CH<sub>2</sub>); 4.11 (q, 2H, CH<sub>2</sub>CH<sub>3</sub>); 4.19–4.35 (m, 1H, OCHHCH<sub>2</sub>); 4.40– 4.59 (m, 1H, OCHHCH<sub>2</sub>); 5.30 (q, 1H, CHCH<sub>3</sub>, J=6.5 Hz); 5.60 (br s, 1H, NH); <sup>13</sup>C NMR; 14.4, 15.0, 21.8, 61.5, 62.0, 66.1, 72.0, 155.2, 170.9, 175.1; IR: 3424, 1780, 1752, 1725 cm<sup>-1</sup>; GC–MS: m/z 259 [M<sup>+</sup>] (0.2%), 173 (100%).

- 20. For example: 5d: <sup>1</sup>H NMR: 1.25 (t, 3H, CH<sub>2</sub>CH<sub>3</sub>); 2.54–2.80 (m, 2H, OCH<sub>2</sub>CH<sub>2</sub>), 3.05 (d, 1H, CHHPh, J=13.2 Hz), 3.17 (d, 1H, CHHPh, J=13.2 Hz), 4.12 (q, 2H, CH<sub>2</sub>CH<sub>3</sub>); 4.16–4.32 (m, 2H, OCH<sub>2</sub>CH<sub>2</sub>); 5.26 (br s, 1H, NH); 7.16–7.40 (m, 5H, CH arom.). GC–MS: *m*/*z* 263 [M<sup>+</sup>] (0.2%), 100 (100%).
- 21. Atkinson, R. S.; Tughan, G. J. Chem. Soc., Perkin Trans. 1 1987, 2787–2802.
- 22. **7c**: <sup>1</sup>H NMR: 1.27 (t, 3H, CH<sub>2</sub>CH<sub>3</sub>); 1.59 (s, 3H, CCH<sub>3</sub>); 3.50 (d, 1H, OCH<sub>2</sub>CH, J=2.6 Hz); 4.05 (q, 2H, CH<sub>2</sub>CH<sub>3</sub>); 4.12–4.38 (m, 2H, OCH<sub>2</sub>CH); GC–MS: m/z 185 [M<sup>+</sup>] (0.2%), 68 (100%).