

Six Trikentrin-like Cyclopentanoindoles from Trikentrion flabelliforme. Absolute Structural Assignment by NMR and ECD.

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6 **Six Trikentrin-like Cyclopentanoindoles from *Trikentrion***
7 ***flabbeliforme*. Absolute Structural Assignment by NMR and**
8 **ECD**
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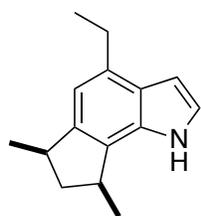
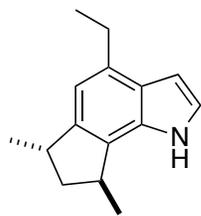
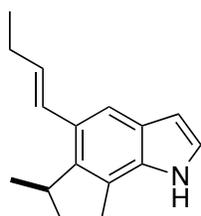
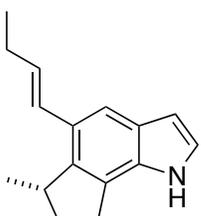
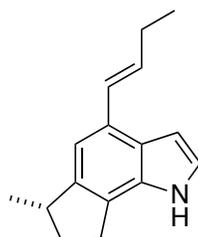
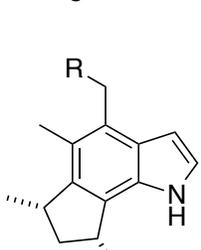
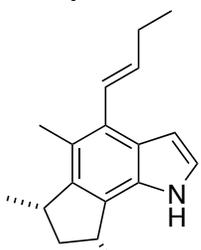
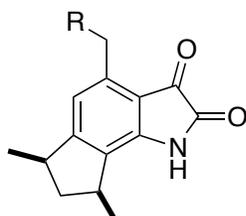
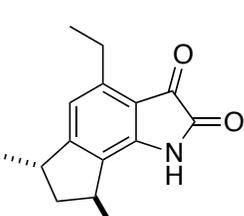
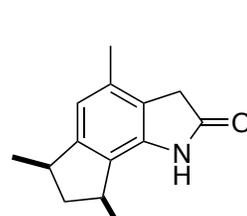
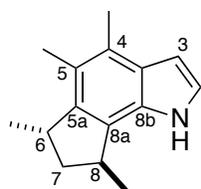
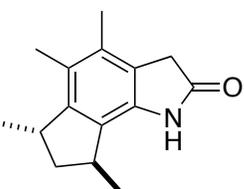
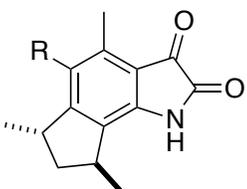
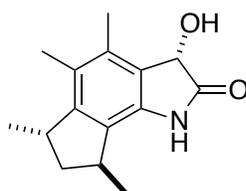
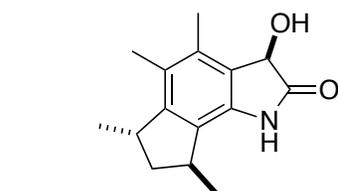
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3 **ABSTRACT:** Six new cyclopenta[g]indoles were isolated from a West Australian sponge,
4 *Trikenrion flabbeliforme* Hentschel, 1912 and their structures elucidated by integrated
5 spectroscopic analysis. The compounds are analogs of previously described trikenrins,
6 herbindoles and trikenramides from related Axinellid sponges. The assignment of absolute
7 configuration of the new compounds was carried out largely by comparative analysis of specific
8 rotation, calculated and measured ECD and exploiting van't Hoff's principle of optical
9 superposition. Five of the new compounds were chemically interconverted to establish their
10 stereochemical relationships, leading to a simple chiroptical mnemonic for assignment of the this
11 family of chiral indoles. The first biosynthetic hypothesis is advanced to explain the origin of the
12 trikenrin-herbinole family, and proposes a pyrrole-carboxylic thioester initiated polyketide
13 synthase mechanism.
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Introduction

The majority of marine indole alkaloids are derived from secondary metabolism of tryptophan. The indigo derivative, 'Tyrian purple' (6,6'-dibromoindigotin), from the hypobranchial gland of various marine mollusks, has been known since ancient times.¹ contemporary studies revealed its structure through X-ray crystallography.² Still more rare are simple indoles that lack carbon substitution at C-3; their structures imply biosyntheses that diverge substantially from tryptophan metabolism. In a study by Brennan and Erickson, one of the first investigations of red algae, 10 achiral polyhalogenated indoles were reported from *Rhodophyllis membranacea* Harvey, and more recent studies of *R. membranacea* have delivered another 18 new analogs.³

Among the more curious natural indoles are unique, optically active cyclopenta[g]indoles from two Axinellid sponges. Capon and MacLeod described antibacterial (+)-*cis*- and (+)-*trans*-trikentrins A (**1**, **2**), (-)-*trans*-trikentrin B (**4**) and an inseparable mixture of *cis*- and *iso-trans*-trikentrin B (**3** and **5**, respectively) from *Trikentrion flabbeliforme* Hentschel, 1912, collected in Darwin, Australia.⁴ Scheuer and coworkers characterized the trikentrin homologs, (-)-herbindoles A-C (**6–8**), from a specimen of *Axinella* sp. collected in Exmouth Gulf, Western Australia.⁵ The latter compounds, which exhibit modest cytotoxicity and fish antifeedant activity, are antipodal in configuration to the *cis*-trikentrins as established through independent total syntheses of *ent*-(-)-**1**, (+)-**2**,⁶ and *ent*-(+)-**6–8** by Natsume and coworkers,⁷ and of (+)-**4** by Kanematsu and coworkers.⁸ (+)-Trikentramides A-D (**9–12**), isolated and characterized by Quinn and coworkers from a specimen of *T. flabbeliforme* collected at Port Hedland (500 km from Exmouth), are isatin and oxindole analogs of (+)-*cis*- and (+)-*trans*-trikentrins A.⁹

**1****2****3****4****5****6:** R = H
7: R = Me**8****9:** R = H
11: R = Me**10****12****13****14****15:** R = H
16: R = Me**17****18**

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3 Aside from the above-referenced syntheses, the total syntheses of (+)-**1**,^{10,11} (+)-**2**,¹² (±)-**1**
4 and (±)-**2**,^{11,13,14,15,16,17,18} (+)-**3**,¹¹ (±)-**3**,^{13,19} and (±)-**6–8** have been achieved.^{13,19,20} A formal
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7 synthesis of (±)-**3** has also been reported,²¹ and all total syntheses of trikentrin-herbindole family,
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10 up to 2009, have been reviewed.²²

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12 In all likelihood, the biosynthesis of polyhalogenated indoles in red alga is linked to the
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14 enduring natural history of indole, indoxyl, indigo dye, and other indigoid compounds from
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16 plants of the genus *Indigofera* and, as shown through genetic and ecological studies, bacterial
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18 biosynthesis.²³ On the other hand, the origin of the sponge-derived 1,3-
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20 dimethylcyclopenta[g]indoles must differ considerably from the latter. To date, no hypotheses
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22 for the origin of **1–12** have been advanced.
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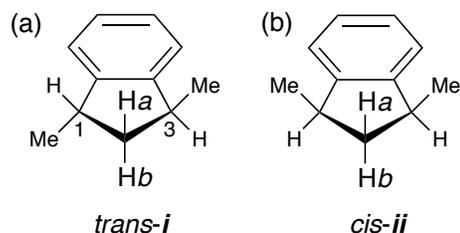
26 Here, we report the structures of new trikentrin-like natural products, (+)-*trans*-
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28 herbindole A (**13**) and trikentramides E-I (**14–18**), from *T. flabelliforme* collected in Exmouth
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30 Gulf. The absolute configurations of **13–18** were assigned from chiroptical comparisons with
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32 trikentrins and herbindoles, and exploitation of van't Hoff's principle of optical superposition,²⁴
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34 and chemical correlation to derive a new mnemonic for absolute stereostructure of this family of
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36 compounds. Surprisingly, the structures of **13–18** uniformly exhibit a *trans* configuration for the
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38 fused 1,3-dimethylcyclopentane ring, in contrast with the (–)-*cis*-herbindoles (**6–8**) obtained
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40 from a specimen of *T. flabelliforme* collected in the same vicinity,⁴ and are enantiomorphic with
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42 the latter. A unifying hypothesis is proposed that rationalizes the biogenesis of trikentrins,
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44 herbindoles, trikentramides and two related sponge-derived indoles from West African
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46 *Trikentrion loeve*.
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Results and Discussion.

A MeOH extract of lyophilized *Trikenrion flabelliforme*, collected in Exmouth Gulf, Western Australia in 1993, was redissolved in MeOH-H₂O (9:1), and the solution was partitioned progressively against hexanes, CH₂Cl₂, and *n*-BuOH. The CH₂Cl₂-soluble 'fraction B', enriched in indoles (TLC spots stained bright fuschia with vanillin-H₂SO₄), was separated by gel filtration chromatography (Sephadex LH-20) and further purified by reversed-phase HPLC (C₁₈) to obtain pure **13**–**18**.

The simplest of the new compounds, *trans*-herbindole A (**13**), C₁₅H₁₉N (HRESITOFMS *m/z* 214.1592 [M+H]⁺), when compared with *cis*-herbindole A (**6**), confirmed that **13** and **6** are constitutional isomers, but diastereomeric in the 1,3-dimethylcyclopentane ring. Compound **13** displayed a relatively featureless ¹H NMR spectrum (CDCl₃) consisting of signals for a *trans*-1,3-dimethylcyclopentane ring: two methyl doublets (δ_{H} 1.21, 3H, d, $J = 6.6$ Hz and δ_{H} 1.49, 3H, d, $J = 7.2$ Hz), two methines (δ_{H} 3.46, 1H, quintet, $J = 7.2$ Hz; δ_{H} 3.71, 1H, qdd, $J = 7.2, 7.8, 9.6$ Hz) and H₂-7, a diastereotopic methylene group (H-7 α δ_{H} 2.10, 1H, ddd, $J = 1.2, 7.2, 12.2$ Hz and H-7 β , δ_{H} 1.97, 1H, ddd, $J = 7.8, 9.6, 12.0$ Hz).²⁵ The relatively small separation in chemical shifts of the H₂-7 proton signals ($\Delta\delta = 0.14$ ppm) is characteristic of *pseudo*-enantiotopic methylene protons in *trans*-1,3-dimethylcyclopenta[g]indole rings (e.g. enantiotopic CH₂ in *trans*-1,3-dimethylindane, Figure 1a), as opposed to the larger signal separation ($\Delta\delta > 1.3$ ppm) observed in the corresponding diastereotopic methylene of the *cis*-isomers.^{5,9} A broad exchangeable singlet (δ_{H} 8.02, 1H, bs) was assigned to the indole NH. The remaining signals were pairs of aryl methyls (δ_{H} 2.35, 3H, s and δ_{H} 2.50, 3H, s), and pyrrole ring proton signals (δ_{H} 6.55, 1H, s and δ_{H} 7.14, 1H, s). Comparisons of the ¹³C NMR chemical shifts of **13** with those of **9**–**12**⁹ and *cis*-herbindole A (**6**)⁵ further supported a 4,5-dimethylindole structure.

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3 Trikentramide E, C₁₅H₁₉NO, (**14**) is an oxindole; the higher homolog of **12**. The ¹³C
4 chemical shifts of **14** at C-2 (δ_c 177.7 Cq) and C-3 (δ_c 35.7, CH₂) compare well with those of
5 indolin-2-one,²⁶ but not indolin-3-one.²⁷ Again, the 1,3-dimethylindane ring is *trans*-substituted.
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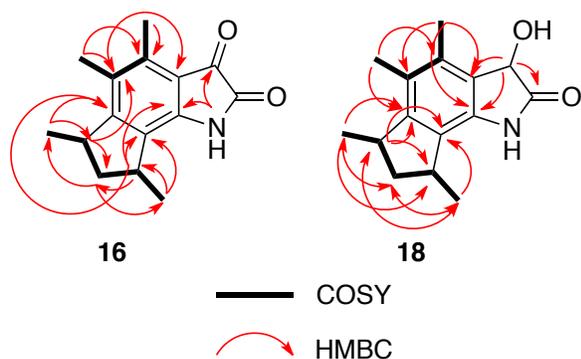


21 **Figure 1.** Stereotopicity of the CH₂ group in *trans*- and *cis*-1,3-dimethylcyclopentanobenzene
22 (1,3-dimethylindane). (a) enantiotopic (b) diastereotopic.
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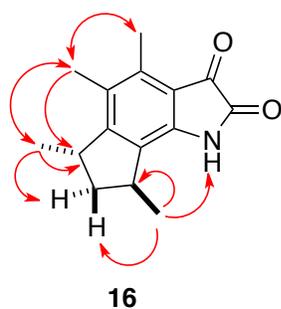
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25 The formulas of trikentramides F (**15**, C₁₄H₁₅NO₂) and G (**16**, C₁₅H₁₇NO₂), with two
26 oxygens, suggested homologous isatins, differing by substitution by Me at C-5 in **16**. The red-
27 shifted long-wavelength band in the UV-vis spectrum of each compound [λ_{max} 326 (log₁₀ 3.54)]
28 was consistent with a substituted isatin (indolin-2,3-dione). The ¹³C NMR spectra (CDCl₃)
29 was consistent with a substituted isatin (indolin-2,3-dione). The ¹³C NMR spectra (CDCl₃)
30 showed pairs of downfield signals attributed to the aryl ketone and lactam carbonyl groups,
31 respectively [e.g. **16**, δ 183.2 (C-3), 160.2 (C-2)], matched those reported for the parent
32 heterocycle, isatin (δ 184.5, 159.5, DMSO-*d*₆).²⁸ The ¹H NMR spectra of the two compounds
33 appeared similar to those of **14** and supported the same *trans*-1,3-dimethylcyclopenta[*g*]indole
34 ring. Full ¹H and ¹³C NMR assignments (Tables 1 and 2) were secured through analysis of
35 COSY, NOESY, HSQC and HMBC spectra (Figures 2 and 3).
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48 The formula of isomeric dioxindoles (+)-trikentramides H (**17**) and (+)-I (**18**),
49 C₁₅H₁₉NO₂Na⁺ (HRESITOFMS *m/z* 268.1307 and *m/z* 268.1305, respectively [M+Na]⁺)
50 confirmed the two are formal reduction products of **16**. The ¹³C chemical shifts of **18** at δ_c 178.9
51 (C-2) and 69.7 (C-3), which are consistent with those of 3-hydroxy-5-methyl-indolin-2-one,³⁰
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3 verified the dioxindole arrangement of keto and hydroxymethine groups at C-2 and C-3,
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25 **Figure 2.** COSY and HMBC correlations of (-)-trikentramide G (**16**) and (+)-trikentramide I
26 (**18**) (500 MHz, CDCl₃).
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43 **Figure 3.** NOESY correlations of (-)-trikentramide G (**16**) (600 MHz, CDCl₃).
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Table 1. ^1H NMR Data (δ , mult)^a for **13–18**.

#	13	14	15	16	17	18
NH	8.03, bs	7.97, bs	8.80, bs	8.96, bs	7.99, bs	8.21, bs
2	7.14, t, 2.4					
3	6.55, dd (2.4, 3.0)	3.40, s			4.98, s	4.99, s
4						
5			6.68, s			
6	3.46, quin ^b (7.2)	3.31, quin ^b (7.2)	3.27, m ^c	3.29, quin ^b (7.2, 0.9)	3.27, quin ^b (7.2)	3.30, m ^c
7 α	2.10, ddd, (1.2, 7.5, 12.1)	2.01, ddd, (1.2, 7.8, 12.3)	2.02, ddd, (3.0, 7.8, 12.6)	2.04, ddd, (1.5, 8.2, 12.6)	1.97, dd, (7.8, 12.6)	1.96, bdd ^d , (7.8, 12.6)
7 β	1.97, ddd, (7.2, 9.6, 12.1)	1.83, ddd, (7.2, 9.9, 12.3)	1.91, dt, (8.4, 12.6)	1.85, ddd, (7.2, 9.6, 12.6)	1.79, dt, (9.0, 12.6)	1.79, m
8	3.71, qdd, (7.2, 7.5, 9.6)	3.43, qdd, (6.6, 7.8, 9.9)	3.31, m ^c	3.44, qdd, (7.2, 8.2, 9.6)	3.37, m	3.40, m ^c
4-Me	2.50, s	2.18, s	2.55, s	2.49, s	2.28, s	2.28, s
5-Me	2.35, s	2.14, s		2.14, s	2.15, s	2.15, s
6-Me	1.21, d, (6.6)	1.13, d, (6.6)	1.26, d, (7.2)	1.14, d, (7.2)	1.12, d, (7.2)	1.07, d, (7.2)
8-Me	1.49, d, (7.2)	1.32, d, (6.6)	1.23, d, (6.6)	1.36, d, (7.2)	1.27, d, (6.0)	1.25, d, (6.6)

^a600 MHz, CDCl₃. ^bquin = quintet. ^coverlap. ^dbdd = broad doublet of doublets.

Table 2. ^{13}C NMR Data (δ , mult)^a for **13–18** (CDCl_3 , 125 MHz).

#	13	14	15	16	17	18
2	122.9, CH	177.7, C	160.3, C	160.2, C	178.5, C	178.9, C
3	101.6, CH	35.7, CH ₂	^b	183.2, C	69.7, CH	69.7, CH
3a	126.3, C	123.2, C	115.2, C	115.7, C	124.1, C	124.1, C
4	128.1, C	135.2, C	140.9, C	139.9, C	134.6, C	134.4, C
5	123.1, C	125.9, C	121.0, C	127.0, C	127.1, C	127.3, C
5a	125.7, C	148.7, C	161.4, C	160.6, C	150.9, C	150.8, C
6	38.4, CH	37.9, CH	38.5, CH	38.8, CH	37.9, CH	38.0, CH
7	43.9, CH ₂	43.8, CH ₂	43.0, CH ₂	43.2, CH ₂	43.8, CH ₂	43.7, CH ₂
8	36.5, CH	35.4, CH	34.6, CH	35.2, CH	35.6, CH	35.5, CH
8a	143.0, C	125.3, C	128.9, C	128.4, C	125.7, C	126.0, C
8b	130.8, C	131.5, C	144.5, C	142.8, C	134.3, C	134.1, C
4-Me	15.7, CH ₃	16.1, CH ₃	18.5, CH ₃	14.5, CH ₃	15.4, CH ₃	15.4, CH ₃
5-Me	15.2, CH ₃	16.1, CH ₃	–	13.4, CH ₃	14.9, CH ₃	14.9, CH ₃
6-Me	20.4, CH ₃	19.7, CH ₃	19.3, CH ₃	19.5, CH ₃	19.8, CH ₃	19.6, CH ₃
8-Me	20.7, CH ₃	20.1, CH ₃	19.8, CH ₃	19.6, CH ₃	20.0, CH ₃	19.9, CH ₃

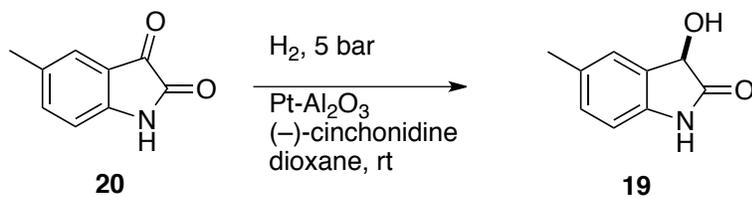
^aMultiplicities determined from edited HSQC, or comparisons with **9–12**. MHz (Ref. 9). ^bSignal not observed.

Absolute configurations of **13–18** were assigned from analysis of the CD and $[\alpha]_D$ data, and comparisons with published chiroptical data of known compounds and DFT calculations of ECD. The simplest assignment, by comparisons of $[\alpha]_{DS}$ (Table 3), is that of (+)-*trans*-herbindole A (**13**, $[\alpha]_D +29.0$) which follows from its structural similarity to (+)-*trans*-trikentrin

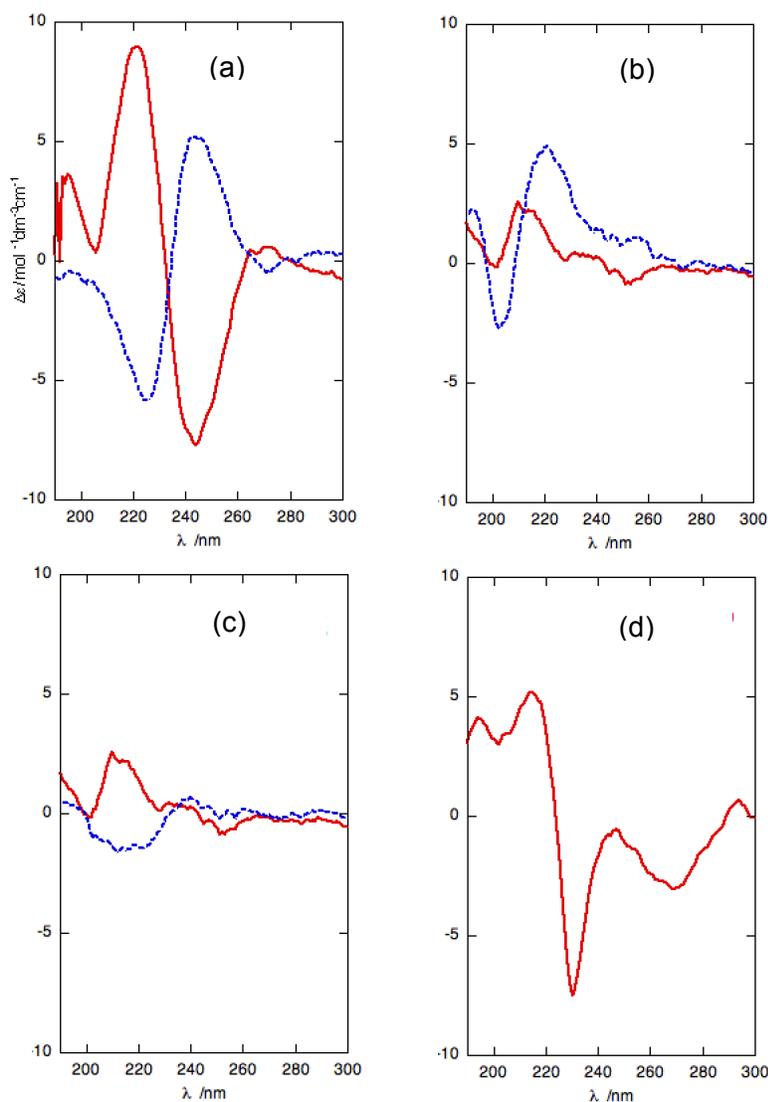
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3 A (**2**, $[\alpha]_D +23.3$) and the relative invariance of $[\alpha]_D$ in trikentrins and herbindoies A and B,
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5 whether substituted at C-4 or C-5 by H, Me or Et (Table 3); therefore, both compounds bear the
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7 same (6*S*,8*S*) configuration (see below for more discussion). This is not necessarily true of **3–5**,
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9 and **8**; the conjugated *E*-1-butenyl chain, which constitutes a substituted styrenyl chromophore,
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11 adds complexity to the $[\alpha]_D$ of these indoles and abrogates simple chiroptical comparisons.
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15 The specific rotations of oxidized indoline analogs, trikentrinamides A-I, do not follow a
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17 simple pattern; the magnitude and sign vary with substitution and electronic properties in a
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19 complex manner. For example, the two isatins **15** and **16** differ only in a single Me group at C-5,
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21 but show $[\alpha]_{DS} \sim 0$ and -43.4 , respectively. These are confoundingly different from **13** and **14**
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23 ($+29$ and $+19.0$, respectively). Moreover, the $[\alpha]_D$ of highly dextrorotatory **18** ($+232$) appears to
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25 be largely influenced by the stereocenter at C-3. Consequently, in order to make the
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27 stereoassignments of **15** and **16**, we first chose to assign **17** and **18** by ECD and chemically
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29 correlate them to other family members.
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33 Assignment of absolute configuration of trikentrinamides H (**17**) and I (**18**), which are
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35 epimeric dioxindoles, rested upon interpretation of their corresponding ECD spectra (Figure 4).
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37 The ECD spectra of oxidized indoles **14–16** exhibited relatively weak Cotton effects (CEs), not
38
39 unlike Natsume's observations of indoles **1–3**.⁷ In contrast, the ECDs of **17** [λ 243 ($\Delta\epsilon -7.4$), 221
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41 ($+8.6$)] and **18** [λ 243 ($\Delta\epsilon +5.0$), 224 (-5.6)] are virtual mirror images dominated by relatively
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43 strong biphasic CEs that reveal a predominant influence of the C-3 stereocenter. Asymmetric
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45 reductions of isatins to 3-hydroxindoles have been reported that provide comparison
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47 compounds for chiroptical assignments of **17** and **18**.^{29,30,31}
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11 **Scheme 1.** Partial asymmetric hydrogenation of 5-methylisatin. See Ref. 30 and Supporting
12 Information for ECD.
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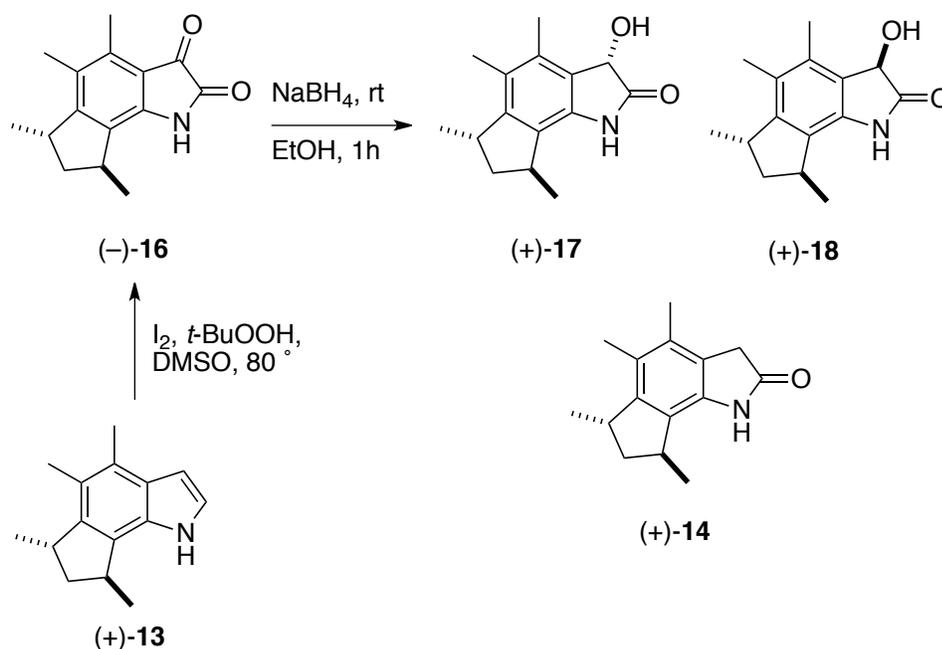
53 **Figure 4.** ECD spectra (CH_3CN , 23°C) of (a) trikentramides H [(+)-**17**] and I [(+)-**18**], dash). (b)
54 trikentramides F [**15**] and G [(-)-**16**, dash]. (c) trikentramides F [(-)-**16**] and E [(+)-**14**, dash]. (d)
55 *trans*-herbindole A [(+)-**13**].
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3 For example, isatin undergoes enzyme-catalyzed reduction in the presence of a carbonyl
4 reductase derived from *Candida parapsilosis* to give (+)-(*R*)-dioxindole in 21%ee.³¹ (*R*)-5-
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For example, isatin undergoes enzyme-catalyzed reduction in the presence of a carbonyl reductase derived from *Candida parapsilosis* to give (+)-(*R*)-dioxindole in 21%ee.³¹ (*R*)-5-Methyldioxindole (**19**, 38.5%ee), prepared by Sonderegger and coworkers using partial asymmetric hydrogenation of **20** (H₂, Pt supported on Al₂O₃-(-)-cinchonidine, Scheme 1), exhibited an ECD spectrum dominated by a negative Cotton effect (CE) (λ 237 nm, -41, arbitrary units of ellipticity) and a second CE of opposite sign at longer wavelength (λ 264, +12.5).³⁰ The authors calculated the ECD spectrum of **19** using time dependent DFT (b3pw91 and 6-31G(d, p) basis set³²) and assigned their reduction product (*R*)-**19**. We repeated the reduction of **20** under non-asymmetric conditions (EtOH, NaBH₄) and resolved the product, (\pm)-**19**, by chiral phase HPLC (Phenomenex Lux 5U, Amylose 2) into (*S*)-**19** and (*R*)-**19** which, as expected, exhibited mirror image ECD spectra (see Supporting Information). The near identity of the ECD spectra of (*R*)-**19** and (+)-**18**, and the expected weaker dichroic contributions from the 1,3-dimethylcyclopentane in other trikentransamides (Figure 4b,c), leads to the conclusion that the configuration of the natural products are (*3S,6S,8S*)-**17** and (*3R,6S,8S*)-**18**. Reduction of **16** (Scheme 2, NaBH₄, EtOH, rt) gave the C-3 epimeric dioxindoles **17** and **18**, identical with the natural products by HPLC retention times, ¹H NMR, [α]_D and ECD. In addition, a minor product, from over-reduction of intermediate **17/18** at the benzylic carbon, was recovered and shown to match (+)-trikentransamide E (**14**) by HPLC retention time, ¹H NMR and ECD. Finally, oxidation of the indole (+)-**13** under the selective conditions recently reported by Wang and coworkers (I₂, *t*-BuOOH, DMSO, 80 °C, Scheme 2),³³ gave a product identical with natural (-)-**16** by MS, ¹H NMR, ECD and HPLC retention time. Thus, (+)-*trans*-herbindole A (**13**) is chemically correlated to (+)-**14**, (-)-**16**, (+)-**17** and (+)-**18** demonstrating that the dimethylcyclopentane ring is stereochemically uniform among these five natural products.

Although we have no independent correlation of (+)-trikentramide E (**15**), it seems highly probable the configuration is the same as to its congeneric family members.³⁴

The observed ECD spectra of **17** and **18** are the molar sum contributions of *independent* asymmetric perturbations to the benzenoid chromophore by the 1,3-dimethylcyclopentane group and the benzylic secondary OH group within their respective ‘first spheres of asymmetry’. The latter is a formal corollary of van’t Hoff’s principle of optical superposition,²⁴ which has been applied both to configurational assignments through deconvolution of both molar rotation [*M*] and ECD ($\Delta\epsilon$),³⁵ in combination with DFT calculations (e.g. CADPAC calculated $[\alpha]_D$ of (-)-1,3,5,7-tetramethyl-1,3-dihydroindol-2-one^{35c}).



Scheme 2. Chemical interconversions of (+)-**13** with (+)-**14**, (-)-**16**, (+)-**17** and (+)-**18**.

Table 3. $[\alpha]_D$ for Natural and Synthetic Trikentris, Herbindoies and Trikentramides.

Config.	Cmpd.	Source ^a	$[\alpha]_D^b$	Ref.
	(+)- 1	N	+48 ^c	4
	(+)- 1	S	+49	11
<i>ent</i> -	(-)- 1	S	-68.6	6a,b
	(+)- 2	N	+23.3 ^d	4
	(+)- 2	S	+24	6c
	(+)- 2	S	+24	10b
<i>ent</i> -	(-)- 2	S	-26.8	6a,b
	(+)- 3	S	+102	6c
	(+)- 3	S	+100.2	8
	(+)- 3	S	+101	11
	(-)- 4	N	-13 ^e	4
<i>ent</i> -	(+)- 4	S	+24	6c
	5	S	~0	6c
	(-)- 6	N	-62	7
<i>ent</i> -	(+)- 6	S	+56.9	7
<i>ent</i> -	(+)- 7	S	+51.2	6a
<i>ent</i> -	(+)- 8	S	+19.9	7
	(+)- 9	N	+40.7	9
	(+)- 11	N	+42.2	9
	(+)- 12	N	+50.0	9
	(+)- 13	N	+29.0	<i>f</i>
	(+)- 14	N	+19.0	<i>f</i>
	15	N	~0	<i>f</i>
	(-)- 16	N	-43.4	<i>f</i>
	(+)- 17	N	+22.6	<i>f</i>
	(+)- 18	N	+231.5	<i>f</i>

^aN = natural; S = synthetic. ^bAll measurements were made on solutions in CHCl₃ and, aside from the noted exceptions, within the concentration range *c*. ~0.027 – 0.50 g/100 cm³. ^c*c* = 2.47. ^d*c* = 1.0. ^e*c* = 1.97. ^fThis work.

The largest contribution to both the $[\alpha]_D$ and CD in *trans*- and *cis*-fused trikentrin-type indoles appears to be the C-8 stereocenter. For example, both (+)-*ent*-*cis*-**6** and (+)-*trans*-**13** have the same C-8 configuration and are dextrorotatory, although the magnitude of $[\alpha]_D$ of the former is almost twice that of the latter (Table 3). The presence of a C-5 Me group has little effect on $[\alpha]_D$; *trans*-(+)-**2** and (+)-**13** have almost the same specific rotation ($[\alpha]_D = +23.3$ and $+29.0$, respectively). A brief conformational analysis of *cis* and *trans* herbindoies was carried out

(MMFF94 and DFT) to derive their lowest energy conformations and frontier molecular orbitals. The conformation of the cyclopenta[*g*]indole ring in **13** (Figure 5) is distorted from an idealized C_2 conformation by torsional strain and steric repulsion between the N-H bond and the methyl group, and between the Me groups at C-5 and C-6. For example, the C-5–C-5a–C-6–C-6(Me) dihedral angle is $\theta = 52.1^\circ$, but the C-8b–C-8a–C-8–C-8(Me) dihedral angle is considerably larger, $\psi = 72.3^\circ$, but the ‘unstrained’ *trans*-1,3-dimethylindane *i* – Figure 1 – which freely inverts between the two degenerate C_2 conformers – displays $\theta = 40.1^\circ$; $\psi = 76.1^\circ$. In contrast, the cyclopentane ring of (+)-*cis*-herbindole A (*ent*-**6**) has an almost symmetric envelope conformation ($\theta = -53.2^\circ$, $\psi = 48.3^\circ$).

The major difference in frontier molecular orbitals of the delocalized π -system in 1,3-cyclopenta[*g*]indoles is the distortion of the LUMO by non-bonded interactions, particularly by the C-8 methyl group, which influences the rotational strength, and therefore ECD and $[\alpha]_D$, by altering molecular orbital symmetry properties. The influence of the C-8 stereocenter upon chiroptical properties is extrapolated into a simple stereochemical mnemonic (Figure 6): for trikentrin-herbindole like indoles, the absolute configuration at C-8 determines the sign of $[\alpha]_D$, whether the 1,3-dimethylcyclopentane ring is *cis*- or *trans*-fused. The exceptions are 1-pentenyl substituted compounds (e.g. **3**) where contributions from the additional rotational strength of the styrenyl chromophore perturbs this simple rule. As the presence of C-4 or C-5 alkyl substituents (e.g. Me, Et) appear not to significantly change the relationship between sign of specific rotation and absolute configuration, we predict that hydrogenation of 1-pentenyl to an *n*-pentyl group, prior to measuring the $[\alpha]_D$, will restore the relationship; the products should conform to the mnemonic and allow stereoassignment.

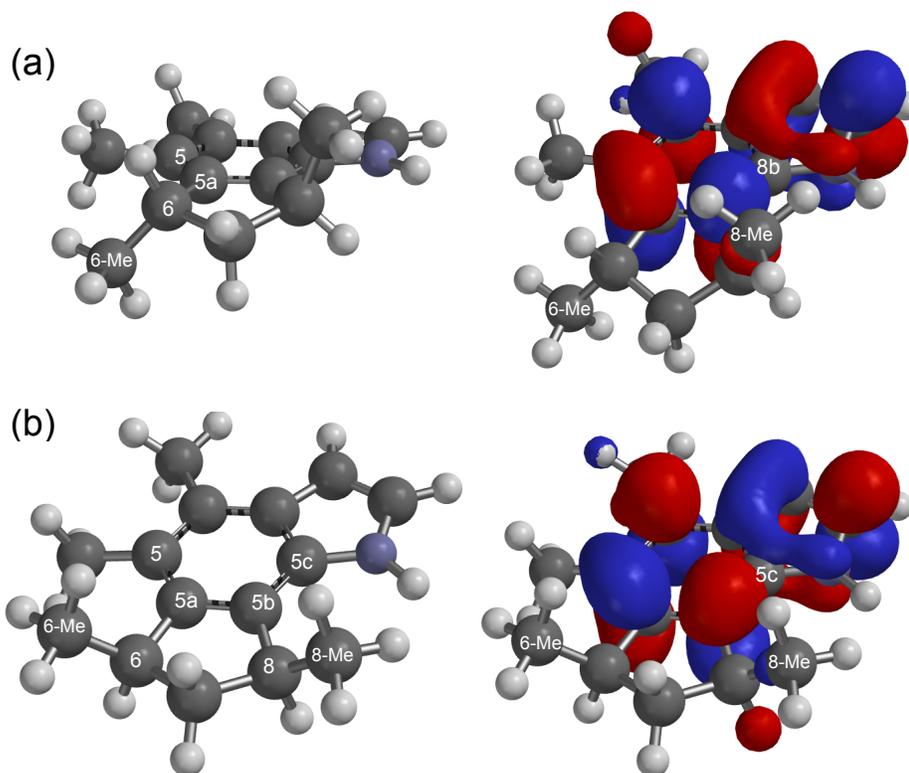


Figure 5. Energy minimized geometries (MMFF) and DFT calculated (ω B97X-D 6-31G*) LUMO of (a) (+)-*trans*-herbindole A (**13**) and (b) (+)-*cis*-herbindole A (*ent*-**6**). Conformational searching returned only one conformation for **6** or **13**.

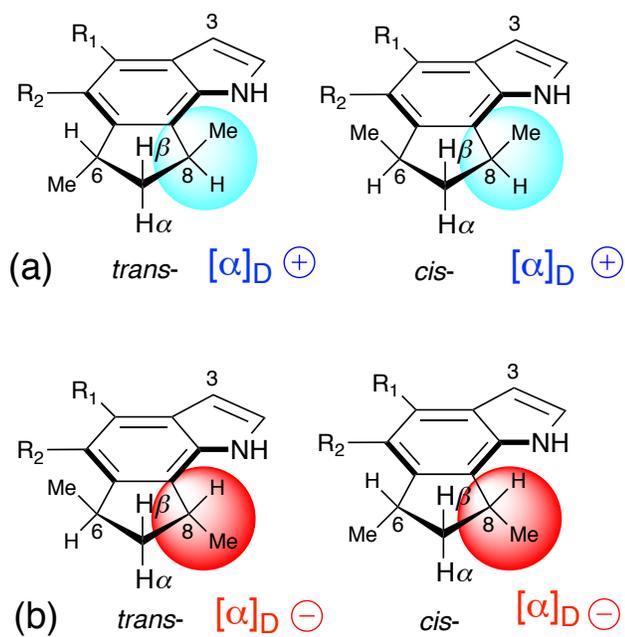


Figure 6. Chiroptical mnemonic for assignment of absolute stereostructures of trikentrins and herbindoles (a) *trans*- and *cis*-8*S* (b) *trans*- and *cis*-8*R* ($R_1, R_2 = \text{H, alkyl, but } \neq \text{1-alkenyl}$)

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6 The biosynthesis of most natural product indoles follows familiar routes. Free indole
7 is oxidatively liberated from Trp by the action of a tryptophanase (L-Trp indole lyase).
8 Certain bacteria are known to harbor the enzyme and, in combination with oxidases, could
9 give rise to polyhalogenated indoles in *Rhodophyceae*. In considering possible biosynthetic
10 pathways that generate **1–18**, degradation of Trp seems unlikely; not only does C-3 of the
11 indole ring lack a carbon substituent, but the introduction of the fused carbocyclic ring at
12 C-5a,b and additional carbon substituents at C-4 and C-5 necessitates unwieldy complexity
13 in this putative biogenesis. Consequently, alternative pathways must be considered.
14 Alignment of the structures of two C-2 substituted indenyl-pyrrole metabolites,
15 trikentramine (**21**)³⁶ and trikendiol (**22**)³⁷ (Figure 7, both isolated from West African
16 *Trikentrion loeve*) with *cis*-trikentrin A (**1**) suggests a hypothesis: the trikentrin-herbindole
17 family are of polyketide origin. Proline is oxidatively modified to the hypothetical pyrrole-
18 2-carboxylic as a starter unit for ketide extensions by malonate (acetate) or methylmalonate
19 (propionate).³⁸ In this scheme, the origin of the benzenoid ring differs slightly between two
20 pathways, *a* and *b*. Because the C-C bond formation aligns carbons formally derived from
21 the C=O group of the ketide extender units, it is unlikely that cyclization proceeds by
22 aldol/Claisen condensations familiar from the biosynthesis of aromatic Type II polyketides.
23 The indane ring of trikentramine (pathway *a*) is mostly likely assembled after partial
24 reductions and polyene electrocyclization reactions – possibly involving oxidative single
25 electron transfer (SET)³⁹ – followed by aromatization to the benzene ring. For trikentrin-
26 like secondary metabolites, (pathway *b*), similar reactions proceed, but for the fused indole
27 ring we invoke a Friedel-Craft type intramolecular alkylation at C-3 (pyrrole numbering) of
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3 the electron-rich pyrrole ring for construction of the C-3b–C-4 bond. Indeed, Natsume
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5 exploited a similar reaction for the indole ring-closing steps in the total syntheses of **2**, **4**,
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8 and **5**.⁶
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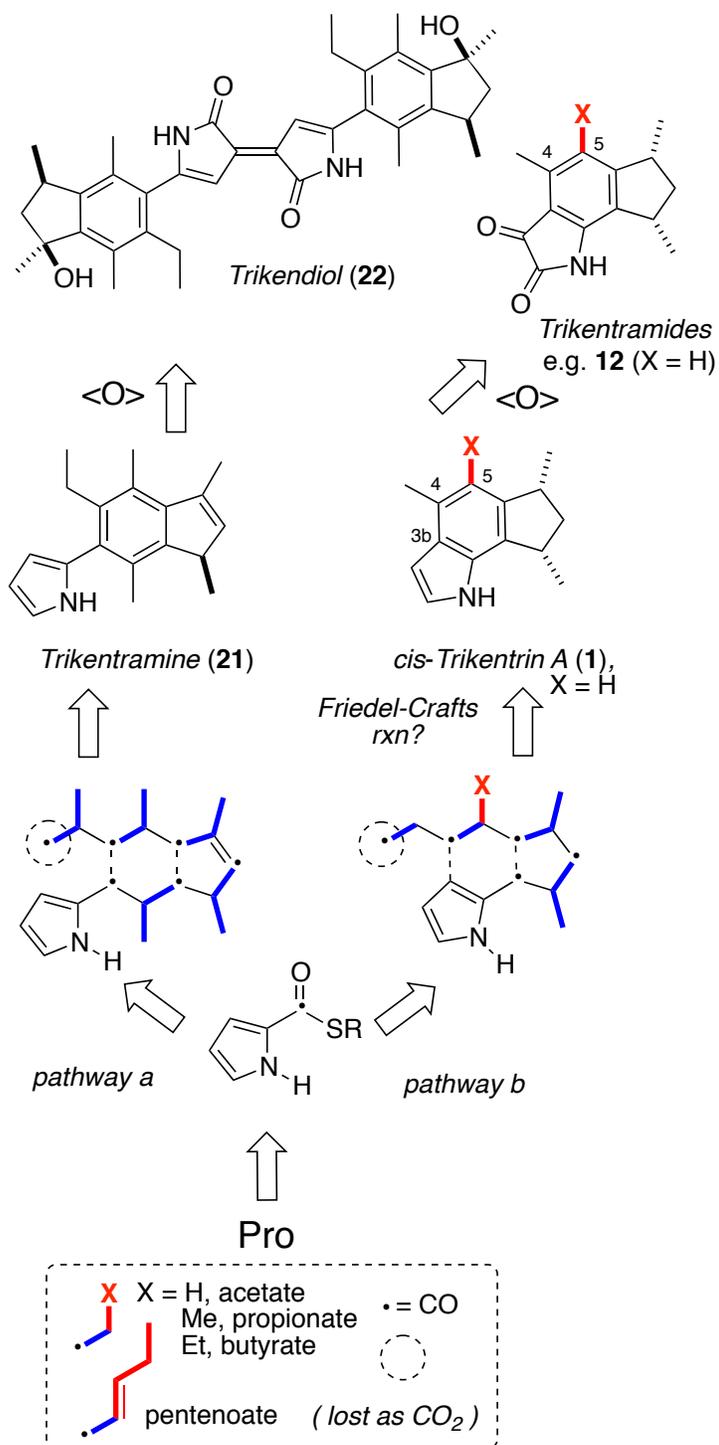


Figure 7. Hypothesis for the biogenesis of triketrin-like natural products, including *cis*-triketrin A (**1**), triketramine (**21**) and triketriol (**22**).

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3 The hypothesis succinctly accounts for the location of substituents at C-4 and C-5,
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5 namely H, Me, Et or 1-butenyl, through chain extensions or termination of the polyketide
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7 chain by homologated malonate units. Subsequently, a manifold of oxidative reactions of
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9 the product indoles would generate **20** or, conversely, the oxindole, isatin, and dioxindole
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11 scaffolds found in trikentramides A–D (**9–12**) and E–I (**14–18**).
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17 **Conclusion.**

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19 Six new cyclopenta[*g*]indole natural products – *trans*-herbindole A (**13**) and
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21 trikentramides E–I (**14–18**) – were isolated from the sponge *Trikentrion flabbeliforme* and
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23 their absolute stereostructures solved by integrated analysis of MS, NMR and ECD studies,
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25 in combination with calculated DFT, and chemical correlation. A simple mnemonic
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27 emerged from analysis of the chiroptical data that allows easy identification of absolute
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29 configuration of indoles and dioxindoles within the series.
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37 **EXPERIMENTAL SECTION**

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41 **General Experimental Procedures.** Optical rotations were measured on a JASCO
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43 P-2000 at the D-double emission line of Na. UV-vis spectra were measured on a JASCO
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45 V-630, spectrometer. ECD spectra were measured on a JASCO J-810 spectropolarimeter in
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47 quartz cells (1 or 5 mm pathlength) at 23 °C. FTIR spectra were collected on thin film
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49 samples using a JASCO FTIR-4100 fitted with an ATR accessory (ZnSe plate). Inverse-
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51 detected 2D NMR spectra were measured on a JEOL ECA (500 MHz) spectrometer,
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53 equipped with a 5 mm $^1\text{H}\{^{13}\text{C}\}$ room temperature probe, or a Bruker Avance II (600 MHz)
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3 NMR spectrometer with a 1.7 mm $^1\text{H}\{^{13}\text{C}/^{15}\text{N}\}$ microcryoprobe. ^{13}C NMR spectra were
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5 measured on a Varian NMR spectrometer (125 MHz) equipped with a 5 mm Xsens
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7 $^{13}\text{C}\{^1\text{H}\}$ cryoprobe. NMR spectra were referenced to residual solvent signals, (CDCl_3 , δ_{H}
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9 7.26, δ_{C} 77.00 ppm). High-resolution ESITOF analyses were carried out on an Agilent
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11 1200 HPLC coupled to an Agilent 6350 TOFMS at the Small Molecule MS Facility
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13 (UCSD). Low-resolution MS measurements were made using a Thermoelectron Surveyor
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15 UHPLC coupled to an MSD single-quadrupole detector. Preparative and analytical HPLC
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17 was performed on an Agilent 1100 HPLC, or a Jasco system comprising dual-pumps (PU-
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19 2086) and mixer, UV-vis detector (UV-2075) in tandem with an ELSD detector (Softa-A
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21 model 300).
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28 **Animal Material.** The sponge *Trikenrion flabbeliforme* (93-07-076) was collected
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30 in Exmouth Gulf (800 m south of Bundegi Beach), Western Australia, in 1993 at a depth of
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32 -9 m using scuba. The sponge was an orange, pliable, ear-shaped specimen with a smooth
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34 surface that, with pressure, emitted a red exudate. Microscopic spicule analysis revealed
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36 the predominance of styles consistent with the species *Trikenrion flabbeliforme*. A
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38 voucher sample of the sponge is archived at UC San Diego.
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45 **Extraction of Sponge and Isolation of (+)-*trans*-Herbindole A (14) and**
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47 **Trikenramides E-I (14–18).** Lyophilized *Trikenrion flabbeliforme* (63.88 g dry wt) was
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49 extracted with CH_2Cl_2 -MeOH (2 x 400 mL, 12 h), and the combined organic extracts were
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51 concentrated and dissolved in H_2O -MeOH (1:9, 400 mL) prior to repeated extraction with
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53 hexane (400 mL x 2). Concentration of the hexane-soluble layer gave Fraction A (1.4604
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g). The aqueous-MeOH layer was adjusted to 2:3 H₂O-MeOH followed by extraction with CH₂Cl₂ (500 mL x 2) to yield Fraction B (0.6845 g). The aqueous layer was concentrated and extracted with *n*-BuOH (400 mL x 2) to yield the C layer (0.8460 g). The remaining aqueous layer was dried to yield Fraction D (1.7995 g). Fraction B, the TLC of which stained bright fuschia⁴⁰ with vanillin-H₂SO₄, was separated further by size exclusion chromatography (Sephadex LH-20, elution with MeOH) to give 10 fractions, which were pooled according to TLC (10% MeOH-CH₂Cl₂), UV-activity and staining with vanillin-H₂SO₄ in EtOH. Fractions 4 (0.0698 g) and 6 (0.0357 g) were further purified by reversed-phase HPLC (Phenomenex, Kinetex C₁₈ column, 150 x 21.2 mm, linear gradient, initial conditions 75:25 H₂O-0.1% TFA-CH₃CN for three minutes, 30:70 for 17 minutes to 100% CH₃CN for the last 6 minutes, flow rate = 12 mL·min⁻¹) to give *trans*-herbindole A (**13**, 4.7 mg, 0.0074 % dry mass, *t*_R = 25.26 min), trikentrarnides F (**15**, 2.4 mg, 0.0038 % dry mass, *t*_R = 18.21 min), G (**16**, 5.5 mg, 0.0086 % dry mass, *t*_R = 19.62 min), H (**17**, 1.6 mg, 0.0025 % dry mass, *t*_R = 15.12 min) and I (**18**, 2.8 mg, 0.004 % dry mass, *t*_R = 15.42 min). Pure trikentrarnide E (**14**, 1.8 mg, 0.0028 % dry mass, *t*_R = 21.32 min) was obtained after slightly different reversed-phase HPLC conditions (Phenomenex, Kinetex C₁₈ column, 150 x 21.2 mm, linear gradient, initial conditions 75:25 H₂O-0.1% TFA-CH₃CN for three minutes, 30:70 for 21 minutes to 100% CH₃CN for the last 2 minutes, flow rate = 12 mL·min⁻¹).

(+)-*trans*-Herbindole A (**13**): Gray oil; UV (CH₃CN) λ_{max} 221 nm (log ε 4.53), 271 (3.86); [α]_D²³ +29 (*c* 0.24, CHCl₃); ECD (CH₃CN) λ 214 (Δε +5.3), 230 -7.3), 269 (-3.0), 294 (+0.66); FTIR (ATR, ZnSe plate) ν 3430, 2953, 1393, 1375, and 727 cm⁻¹; ¹H NMR

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3 and ^{13}C NMR (CDCl_3), Tables 1 and 2; HRESITOFMS m/z 214.1592 $[\text{M}+\text{H}]^+$ (calcd for
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5 $\text{C}_{15}\text{H}_{20}\text{N}^+$ 214.1590).

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10 (+)-*Trikentramide E* (**14**)⁴¹: pale orange solid; UV (CH_3CN) λ_{max} 204 nm ($\log \epsilon$
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12 4.10), 213 (4.08), 253 (3.63); $[\alpha]_{\text{D}}^{23}$ +19 (c 0.18, CHCl_3); ECD (CH_3CN) λ 215 ($\Delta \epsilon$ -1.45);
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14 FTIR (ATR, ZnSe plate) ν 3206, 2948, 2871, 1670 (s), 1626 (s), and 711 cm^{-1} ; ^1H NMR
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16 and ^{13}C NMR (CDCl_3), Tables 1 and 2; HRESITOFMS m/z 230.1534 $[\text{M}+\text{H}]^+$ (calcd for
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18 $\text{C}_{15}\text{H}_{20}\text{NO}^+$ 230.1539).

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24 *Trikentramide F* (**15**): Dark yellow solid; UV (CH_3CN) λ_{max} 199 nm ($\log \epsilon$ 4.23),
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26 218 (4.03), 245 (3.98), 326 (3.54); $[\alpha]_{\text{D}}^{23}$ \sim 0 (c 0.12, CHCl_3); ECD (CH_3CN) λ 210 ($\Delta \epsilon$
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28 +2.4), 214 (2.2), 252 (-0.7); FTIR (ATR, ZnSe plate) ν 3177, 2960, 2932, 1737 (s), 1726,
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30 1638, 1390 and 1377 cm^{-1} ; ^1H NMR and ^{13}C NMR (CDCl_3), Tables 1 and 2;
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32 HRESITOFMS m/z 230.1173 $[\text{M}+\text{H}]^+$ (calcd for $\text{C}_{14}\text{H}_{16}\text{NO}_2^+$ 230.1176).

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38 (-)-*Trikentramide G* (**16**): Orange solid; UV (CH_3CN) λ_{max} 199 nm ($\log \epsilon$ 4.36), 218
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40 (4.14), 249 (4.14), 328 (3.70); $[\alpha]_{\text{D}}^{23}$ -43 (c 0.55, CHCl_3); ECD (CH_3CN) λ 192 ($\Delta \epsilon$ -2.2),
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42 203 (-2.6), 221 (+4.9), 255 (+1.1); FTIR (ATR, ZnSe plate) ν 3311 (br), 2946, 2872, 1738
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44 (s), 1725 (s), 1626, 1592, 1382 and 1344 cm^{-1} ; ^1H NMR and ^{13}C NMR (CDCl_3), Tables 1
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46 and 2; HRESITOFMS m/z 244.1331 $[\text{M}+\text{H}]^+$ (calcd for $\text{C}_{15}\text{H}_{18}\text{NO}_2^+$ 244.1332).

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52 (+)-*Trikentramide H* (**17**): Pale yellow solid; UV (CH_3CN) λ_{max} 269 nm ($\log \epsilon$ 3.97),
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54 221 (4.17); $[\alpha]_{\text{D}}^{23}$ +22.6 (c 0.053, CHCl_3); ECD (CH_3CN) λ 221 ($\Delta \epsilon$ +8.6), 243 (-7.4), 272
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(+0.6); FTIR (ATR, ZnSe plate) ν 3311 (br), 2957, 2928, 1715 (s), 1704 (s), 1633 and ,
1203 and 727 cm^{-1} ; ^1H NMR and ^{13}C NMR (CDCl_3), Tables 1 and 2; HRESITOFMS m/z
268.1309 $[\text{M}+\text{Na}]^+$ (calcd for $\text{C}_{15}\text{H}_{19}\text{NO}_2\text{Na}^+$ 268.1308).

(+)-*Trikentramide I* (**18**): Tan solid; UV (CH_3CN) λ_{max} 221 nm ($\log \epsilon$ 3.96), 252
(3.39); $[\alpha]_{\text{D}}^{23}$ +232 (c 0.093, CHCl_3); ECD (CH_3CN) λ 224 ($\Delta \epsilon$ -5.6), 243 (+5.0); FTIR
(ATR, ZnSe plate) ν 3397 (br), , 2958, 2925, 1681 (s), 1633 (s), 1447, 1204 1189, and
1138 cm^{-1} ; ^1H NMR and ^{13}C NMR (CDCl_3), Tables 1 and 2; HRESITOFMS m/z 268.1305
 $[\text{M}+\text{Na}]^+$ (calcd for $\text{C}_{15}\text{H}_{19}\text{NO}_2\text{Na}^+$ 268.1308).

Reduction of 5-Methylisatin – Dioxindoles (*S*)-19** and (*R*)-**19**.** Reduction of 5-
methylisatin (**20**, 200 mg, 1.2 mmol) with NaBH_4 (was carried out according to the protocol
described by Hara and coworkers.⁴² The crude product was purified by silica flash
chromatography (1:1 EtOAc/ CHCl_3) to provide (\pm)-5-methylisatin [(\pm)-**19**] as a colorless
solid, 101 mg (50%). UV-vis (CH_3CN) λ_{max} 209 nm ($\log \epsilon$ 4.11), 248 (3.65), 296 (2.88).
LRMS m/z 164.20 $[\text{M}+\text{H}]^+$. The ^1H and ^{13}C NMR spectra of the product matched the
literature values for (*R*)-**19**.³⁰

A sample of (\pm)-**19** (~100 μg) was resolved by chiral reverse-phased HPLC (Lux
5U Amylose-2 column, 250 x 4.60 mm, isocratic conditions 2.5% *i*-PrOH- CH_3OH , flow
rate = 1 $\text{mL}\cdot\text{min}^{-1}$) to give the enantiomeric dioxindoles (*R*)-**19** (t_{R} = 4.68 min) and (*S*)-**19**
(t_{R} = 5.52 min). (*S*)-**19**: ECD (2.5% *i*-PrOH- CH_3OH) λ 210 ($\Delta \epsilon$ +54), 240 (-24.5), 267
(+7.4). (*R*)-**19**: λ 210 ($\Delta \epsilon$ -54), 240 (+24.9), 267 (-7.0), [lit.³⁰ 237 (-41), 264 (+12.5),
arbitrary units of ellipticity]. See Supporting Information for ECD spectra.

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3 **Reduction of (-)-Triketramide G (16).** NaBH₄ (1.40 mg, 35.8 μmol) was added
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5 in portions to a solution of **16** (3.0 mg, 8.2 μmol) in anhydrous EtOH (1.0 mL) at room
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7 temperature. After 1 hr., the solution was diluted with H₂O (2.0 mL), acidified to pH ~ 6
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9 with HCl (1N), and extracted with CH₂Cl₂ (2 x 2 mL). The organic layer was dried and the
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11 residue (5.2 mg) was purified by reversed-phase HPLC (Phenomenex, Kinetex C₁₈ column,
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13 150 x 21.2 mm, linear gradient, initial conditions 75:25 H₂O-0.1% TFA-CH₃CN for three
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15 minutes, 30:70 for 17 minutes to 100% CH₃CN for the last 6 minutes, flow rate = 12
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17 mL·min⁻¹) to give **14** (0.5 mg, 18%, *t*_R = 19.30 min), **17** (1.0 mg, 33%, *t*_R = 15.13 min) and
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19 **18**, 1.2 mg, 40%, *t*_R = 15.43 min) with ECD and HPLC retention times identical with the
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21 natural products.
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26 **Oxidation of (+)-*trans*-Herbindole A (13) to (-)-Triketramide G (16).**

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28 (+)-*trans*-Herbindole A (**13**) was oxidized according to the procedure of Wang and
29
30 coworkers.³³ A solution of (+)-**13** (1.0 mg, 4.7 μmol) in DMSO (0.05 mL) was treated with
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32 a solution of iodine (1.4 mg, 5.6 μmol) and *t*-butyl hydroperoxide (70% w/v solution in
33
34 H₂O, 3.0 μL, 23.4 μmol) in DMSO (0.50 mL) and heated to 80 °C with stirring for 18 h.
35
36 The solution was cooled, quenched with aqueous Na₂S₂O₃ (5% w/v, 2.0 mL), extracted
37
38 with EtOAc (3 x 1.0 mL) and purified by reversed-phase HPLC (Luna C₁₈ column, 250 x
39
40 4.60 mm, linear gradient, initial conditions 65:35 H₂O-0.1% TFA-CH₃CN for three
41
42 minutes, 30:70 for 20 minutes to 100% CH₃CN for the last 6 minutes, flow rate = 1.0
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44 mL·min⁻¹) to give a product, as a yellow glass (0.5 mg, 44%) that was identical to natural
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46 (-)-triketramide G (**16**) by MS, ¹H NMR, ECD and HPLC retention time.
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ASSOCIATED CONTENT

Supporting Information. CD spectra of (*S*)- and (*R*)-**19**, FTIR, ¹H, ¹³C NMR and 2D NMR spectra of **13–18**, DFT calculations of *ent*-**6** and **13** (summaries and connection tables), ¹H NMR simulation of the H-8 multiplet of **13** and molar rotations, [ϕ]_D, for **1-9**, and **11-18**. The Supporting Information is available free of charge on the ACS Publications website at DOI: xxx

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4 (25) Attempted deconvolution of the scalar couplings within the deceptively simple H-6 and H-
5 8 methine multiplet signals in the ^1H NMR of **13** has led to inconsistencies in literature
6 assignments (Refs. 4, 5 and 9). Here, we rigorously assigned both H-6 and H-8 signals in
7 **13** through J analysis and ^1H NMR spectral simulation (Castillo, A. M.; Patiny, L.; Wist, J.
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9 NMR spectra of **13**, **14**, **16–18**, H-6 shows only zero or weak coupling to H-7 α ($J \sim 0\text{--}1.2$
10 Hz), consistent with the $\sim 90^\circ$ dihedral angle for H-6–C-6–C-7–H-7 α ($\theta = 87.6^\circ$, for
11 example, see Figure 5a). The exception is **15** ($J = 3.0$ Hz), the only compound in this
12 family that lacks a C-5 substituent, allowing relaxation of steric strain and slight alteration
13 of the cyclopentane conformation.
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(34) Another interpretation of the near-zero $[\alpha]_D$ of **15** is that the compound is racemic rather than coincidentally non-rotatory at the D emission line of Na°, and that the other members are partially racemic. Given that the matching magnitudes of the ECD spectra of semi-synthetic and natural **17** and **18**, this possibility appears highly unlikely. It may be more than coincidental, that 5-buten-1'-yl-substituted iso-*trans*-trikentrin B (**5**), which like **15**, has a *trans*-dimethylcyclopentane ring and lacks substitution at C-5, also exhibits an $[\alpha]_D \sim 0$. See Ref. 6c.

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8 that molar rotations, $[\phi]_D$, are preferred for critical, quantitative comparisons (e.g. DFT
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10 calculated $[\phi]_D$), however, here we compare and discuss $[\alpha]_D$ for two practical reasons: (1)
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12 Ours is a semi-quantitative comparison, only, and (2) the molecular masses of the
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14 molecules being compared (Table 3) – are similar, deviating from the average by less than
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16 $\pm 14\%$ – less, we believe, than the typical error for measurement of $[\alpha]_D$. Therefore, the
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18 ranges of magnitudes of $[\phi]_D$ and $[\alpha]_D$ are about the same (see Table S3), but the
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47 (40) This color was associated solely with the presence (+)-*trans*-herbindole A (**13**) and may be
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49 a general property of trikentins-herbindoles.
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51 (41) Given the age (24 years) of our specimen of *Trikentrion* flabbeliforme, we are cogniscent
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53 of the possibility that all trikentrinamides may be products of autoxidation of trikentrins or
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herbindoles. Quinn and coworkers (Ref. 9) isolated trikentramides A-D from a specimen of an age only slightly younger than ours.

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Graphic Abstract

