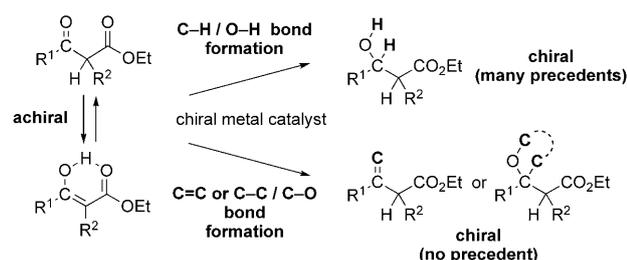


Rhodium-Catalyzed Asymmetric Formal Olefination or Cycloaddition: 1,3-Dicarbonyl Compounds Reacting with 1,6-Diynes or 1,6-Enynes**

Takeshi Suda, Keiichi Noguchi, and Ken Tanaka*

Transition-metal-catalyzed asymmetric hydrogenation of enolizable β -ketoesters leading to β -hydroxyesters is a useful method for the one-step construction of two consecutive stereocenters.^[1–3] In this reaction, the ketone carbonyl group is enantioselectively reduced with hydrogen through C–H/O–H bond formation (Scheme 1). In contrast, asym-



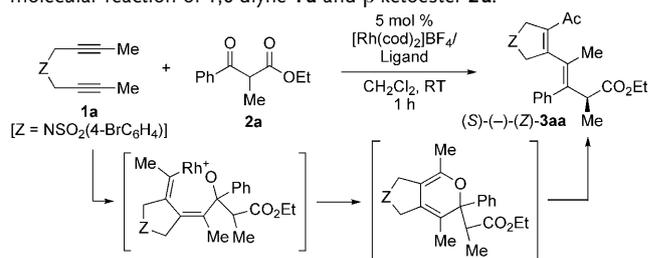
Scheme 1. Transition-metal-catalyzed asymmetric C–H/O–H versus C=C or C–C/C–O bond-forming reactions of enolizable β -ketoesters.

metric olefination or cycloaddition of the ketone carbonyl group of β -ketoesters through C=C or C–C/C–O bond formation would furnish chiral β,γ -unsaturated esters or β -alkoxyesters in a single step (Scheme 1).^[4] Despite potential synthetic utility of such reactions, no report has been found in the literature to date. In 2007 our research group reported that a cationic rhodium(I)/ H_8 -binap complex^[5,6] is a highly active and versatile catalyst for the [2+2+2] cycloaddition^[7] of 1,2-dicarbonyl compounds with 1,6-diynes.^[8–12] After this report, we succeeded using the cationic rhodium(I)/ H_8 -binap complex as a catalyst in the asymmetric [2+2+2] cycloaddition of 1,2-dicarbonyl compounds with 1,6-enynes, thereby constructing two stereocenters with high enantio-

and diastereoselectivity.^[13,14] We report herein the cationic rhodium(I)/ H_8 -binap or segphos complex as a catalyst for the asymmetric formal olefination and cycloaddition of 1,3-dicarbonyl compounds with 1,6-diynes and 1,6-enynes, respectively, which construct one or three stereocenters with high diastereo- and enantioselectivity.^[15]

We first investigated the reaction of β -ketoester **2a** (1.1 equiv) with sulfonamide-linked 1,6-diyne **1a** in the presence of a cationic rhodium(I)/(*R*)-binap complex (5 mol %). Gratifyingly, the reaction proceeded at room temperature for only 1 hour to give α -methyl- β,γ -unsaturated ester **3aa** with moderate yield and enantioselectivity presumably through [2+2+2] cycloaddition and subsequent electrocyclic ring opening^[16] (Table 1, entry 1). After screening biaryl

Table 1: Screening of ligands for rhodium-catalyzed asymmetric intermolecular reaction of 1,6-diyne **1a** and β -ketoester **2a**.^[a]



Entry	Ligand	2a [equiv]	Yield [%] ^[b] (E/Z)	<i>ee</i> [%] ^[c]
1	(<i>R</i>)-binap	1.1	69 (1:7)	61 (<i>S</i>)
2	(<i>R</i>)- H_8 -binap	1.1	66 (1:7)	70 (<i>S</i>)
3	(<i>R</i>)-segphos	1.1	61 (1:5)	92 (<i>S</i>)
4	(<i>R</i>)-segphos	2.0	83 (1:6)	94 (<i>S</i>)
5	(<i>R</i>)- H_8 -binap	2.0	97 (1:7)	96 (<i>S</i>)

[a] In all entries, complete conversions of **1a** were observed. [b] Yield of isolated product. [c] The *ee* value and absolute configuration of a major olefin geometric isomer. (*R*)-binap = (*R*)-2,2'-bis(diphenylphosphino)-1,1'-binaphthyl, (*R*)- H_8 -binap = (*R*)-2,2'-bis(diphenylphosphino)-5,5',6,6',7,7',8,8'-octahydro-1,1'-binaphthyl, cod = 1,5-cyclooctadiene, (*R*)-segphos = (*R*)-5,5'-bis(diphenylphosphino)-4,4'-bi-1,3-benzodioxole.

bisphosphine ligands (entries 1–3), the use of (*R*)-segphos furnished **3aa** with the highest *ee* value, but the yield was still moderate (entry 3). The use of excess **2a** (2.0 equiv) in the reaction using (*R*)-segphos increased the product yield along with slight improvement of the product *ee* value (entry 4). Significant improvement of both the product yield and *ee* value using excess **2a** was observed in the reaction using (*R*)- H_8 -binap, which furnished **3aa** with the highest yield and *ee* value (entry 5). The absolute configuration of the major

[*] T. Suda, Prof. Dr. K. Tanaka
 Department of Applied Chemistry, Graduate School of Engineering
 Tokyo University of Agriculture and Technology
 Koganei, Tokyo 184-8588 (Japan)
 Fax: (+81) 42-388-7037
 E-mail: tanaka-k@cc.tuat.ac.jp
 Homepage: <http://www.tuat.ac.jp/~tanaka-k/>

Prof. Dr. K. Noguchi
 Instrumentation Analysis Center, Tokyo University of Agriculture
 and Technology, Koganei, Tokyo 184-8588 (Japan)

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product (–)-(Z)-**3aa** was determined to be *S* by derivatization into the known (*R*)-2-benzoyl-1-propanol.^[17]

The generality of the asymmetric intermolecular formal olefination of 1,3-dicarbonyl compounds with 1,6-diyne was then examined by using the cationic rhodium(I)/(*R*)-H₈-binap complex as a catalyst at room temperature (Table 2).^[16] With respect to 1,6-diyne, a variety of symmetrical and unsymmetrical internal 1,6-diyne (**1a–e**; entries 1–5) could be employed for this reaction, although a moderate *ee* value was observed in the case of **1c** (entry 3) and slow additions

were required in cases of **1d** and **1e** (entries 4 and 5). With respect to 1,3-dicarbonyl compounds, both aryl-substituted β-ketoesters **2a** and **2b** (entries 1 and 6) and 1,3-diketone **2c** (entry 7) reacted with **1a** to give the formal olefination products with high yields and *ee* values. However, the reactions of both methyl-substituted β-ketoester **2d** (entry 8) and 1,3-diketone **2e** (entry 9) with **1a** proceeded in lower yields because of the formation of the homo-[2+2+2]-cycloaddition products of **1a**, although the *ee* values were high. The formation of chloro- or fluoro-substituted stereocenters (entries 10–13) other than methyl-substituted ones was also possible, although the lower enantioselectivity was observed.

Next, the asymmetric [2+2+2] cycloaddition of β-ketoester **2a** with sulfonamide-linked 1,6-enyne **4** was attempted, and was expected to furnish the bicyclic chiral ester **5** possessing three stereocenters (Scheme 2). However, no reaction was observed at room temperature, and the homo-[2+2+2] cycloaddition of 1,6-enyne **4** proceeded at 80 °C.

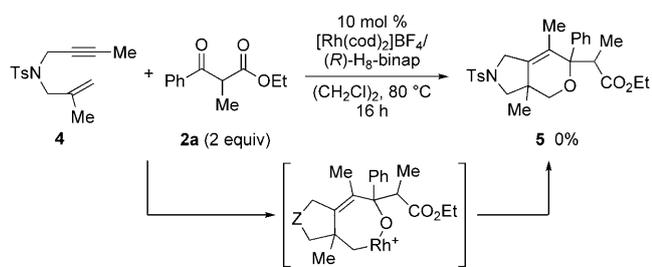
Thus, an asymmetric intramolecular [2+2+2] cycloaddition of a β-ketoester with a 1,6-enyne was investigated as shown in Table 3.^[18] Fortunately, the reaction of substrate **6a**, in which the 1,6-enyne and α-methyl-β-ketoester moieties are connected with a benzene ring, in the presence of the cationic rhodium(I)/(*S*)-binap complex (10 mol%) proceeded at 80 °C to give the desired cycloaddition product **7a** in good yield with excellent diastereoselectivity, although the enantioselectivity was moderate (entry 1). After screening biaryl bisphosphine ligands (entries 1–3), the use of (*S*)-segphos furnished **7a** with the highest yield and *ee* value (entry 3). As increasing the steric bulk on the phosphorus [(*S*)-xyl-segphos] decreased both the yield and *ee* value (entry 4), (*S*)-segphos was selected as the best ligand.

The generality of this asymmetric intramolecular [2+2+2] cycloaddition of 1,3-dicarbonyl compounds with 1,6-enynes was then examined by using the cationic rhodium(I)/(*S*)-segphos complex as a catalyst at 80 °C (Table 4). With respect to the 1,3-dicarbonyl moieties, both acetyl (**6a**; entry 1) and benzoyl esters (**6b**; entry 2) could

Table 2: Rhodium-catalyzed asymmetric intermolecular formal olefination of 1,3-dicarbonyl compounds with 1,6-diyne.^[a]

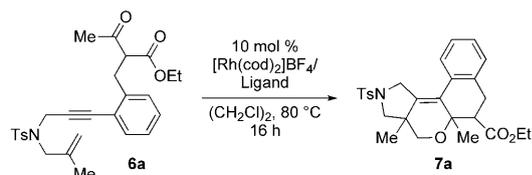
Entry	1	2	<i>t</i> [h]	Yield [%] ^[b]	<i>ee</i> [%] ^[c]
1	1a (Z = NSO ₂ Ar, ^[d] R ¹ = R ² = Me)	2a	1	(<i>S</i>)- 3aa : 97 (E/Z = 1:7)	96
2	1b (Z = NTs, R ¹ = R ² = Me)	2a	1	3ba : 95 (E/Z = 1:8)	95
3 ^[e]	1c (Z = C(CO ₂ Bn) ₂ , R ¹ = R ² = Me)	2a	16	3ca : 72 (E/Z = 1:7)	59
4 ^[f]	1d (Z = NTs, R ¹ = R ² = Et)	2a	3	3da : 24 (E/Z = 1: > 20)	99
5 ^[f]	1e (Z = NTs, R ¹ = Ph, R ² = Me)	2a	16	3ea : 62 (E/Z = 1: > 20) ^[g]	99
6	1a	2b	1	3ab : 89 (E/Z = 1:1)	95 (E), 95 (Z)
7	1a	2c	1	3ac : > 99 (E/Z = 1:4)	99
8	1a	2d (R = OEt)	1	3ad : 38 (E/Z = 1:6)	94
9	1a	2e (R = Me)	16	3ae : 54 (E/Z = 1:2)	94 (E), 93 (Z)
10	1a	2f (R = Ph)	1	3af : 96 (E/Z = 1:3)	79
11	1a	2g (R = Me)	1	3ag : 50 (E/Z = 1: > 20)	84
12 ^[e]	1c	2g (R = Me)	16	3cg : 71 (E/Z = 1: > 20)	74
13 ^[e]	1c	2h	16	3ch : 65 (E/Z = 1:10)	67

[a] Reactions conditions: [Rh(cod)₂]BF₄ (5 mol%), (*R*)-H₈-binap (5 mol%), **1a–e** (1 equiv), **2a–h** (2 equiv) in CH₂Cl₂ at room temperature. Structure of the major olefin geometry isomer was described. [b] Yield of isolated product. [c] The *ee* value of the major olefin geometric isomer. [d] Ar = 4-BrC₆H₄. [e] Ligand: (*S*)-segphos (entry 3). Ligand: (*R*)-segphos (entries 12 and 13). [f] **1** was added to **2a** and the Rh catalyst over 2 h. [g] The regioisomer was generated in approximately 10%, although this compound could not be isolated in a pure form. Bn = benzyl, Ts = 4-toluenesulfonyl.



Scheme 2. Rhodium-catalyzed intermolecular reaction of 1,6-enyne **4** and β -ketoester **2a**.

Table 3: Screening of ligands for rhodium-catalyzed asymmetric intramolecular reaction of **6a**.



Entry	Ligand	Conv. [%] ^[a]	Yield [%] ^[b]	ee [%]
1	(<i>S</i>)-binap	90	72	61 (+)
2	(<i>S</i>)-H ₈ -binap	100	87	45 (+)
3	(<i>S</i>)-segphos	100	94	86 (+)
4	(<i>S</i>)-xyl-segphos	59	43	60 (+)

[a] Determined by ¹H NMR analysis. [b] Yield of isolated product. xyl-segphos = 5,5'-bis[di(3,5-dimethylphenyl)phosphino]-4,4'-bi-1,3-benzodioxole.

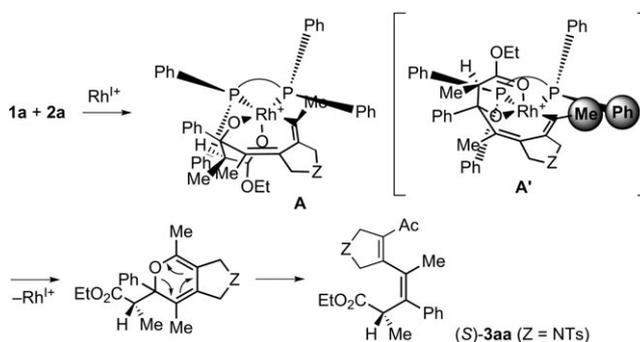
equally be employed to give tetracyclic esters **7a** and **7b**, respectively in high yields with good *ee* values. This observation is in sharp contrast to the reactions of entries 1 and 8 in Table 2 that exhibited significantly different reactivity. Not only β -ketoesters but also 1,3-diketone **6e** could participate in this reaction, although the product yield decreased (entry 5). With respect to the 1,6-enyne moieties, not only sulfonamide-linked 1,6-enynes but also malonate-linked 1,6-enynes **6c** and **6d** could be employed (entries 3 and 4). With respect to the tethers between the 1,6-enyne and 2-methylene-1,3-dicarbonyl moieties, not only the phenyl group but also the methoxyphenyl (**6f**; entry 6) and chlorophenyl (**6g**; entry 7) groups could be employed to give tetracyclic esters **7f** and **7g**, respectively, in high yields with good *ee* values. Furthermore, tricyclic ester **7h** could also be obtained with high *ee* value, although a high catalyst loading was required (entry 8). Importantly, the present asymmetric intramolecular [2+2+2] cycloaddition of 1,3-dicarbonyl compounds with 1,6-enynes is highly diastereoselective. Other diastereomers were detected in at least less than 5% yields by ¹H NMR analysis of the crude reaction mixture.

Possible mechanisms for the selective formation of (*S*)-**3aa** and (3*aR*,5*aR*,6*R*)-**7b** using (*R*)-H₈-binap and (*S*)-segphos ligands are shown in Schemes 3 and 5, respectively, although the precise mechanisms cannot be concluded at the present stage. The reaction of **1a** and **2a** with the cationic rhodium(I)/(*R*)-H₈-binap complex furnishes intermediate **A** through coordination of the ester carbonyl group to rhodium.

Table 4: Rhodium-catalyzed asymmetric intramolecular cycloaddition of 1,3-dicarbonyl compounds with 1,6-enynes.^[a]

Entry	6	<i>t</i> [h]	Yield [%] ^[b]	ee [%]
1	6a (Z = NTs, R = Me)	16	(+)- 7a : 94,	86
2	6b (Z = NTs, R = Ph)	16	(3 <i>aR</i> ,5 <i>aR</i> ,6 <i>R</i>)-(-)- 7b ^[c] : 95	87
3	6c (Z = C(CO ₂ Me) ₂ , R = Me)	16	(-)- 7c : 98	88
4	6d (Z = C(CO ₂ Me) ₂ , R = Ph)	24	(-)- 7d : 94	74
5 ^[d]	6e	16	(+)- 7e : 68	85
6	6f (R = OMe)	16	(+)- 7f : 80	80
7	6g (R = Cl)	16	(+)- 7g ^[c] : 90	84
8 ^[e]	6h	24	(+)- 7h : 59	92

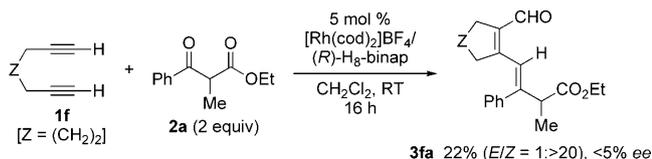
[a] Reaction conditions: [Rh(cod)₂]BF₄ (10 mol%), (*S*)-segphos (10 mol%), **6a–h** in (CH₂Cl)₂, 80 °C. [b] Yield of isolated product. [c] The relative and absolute configuration of (-)-**7b** was determined to be 3*aR*,5*aR*,6*R* by the X-ray crystallographic analysis of the corresponding 4-bromobenzoyl ester (-)-**8**.^[17,19] The relative configuration of (±)-**7g** was also confirmed by the X-ray crystallographic analysis of the corresponding 4-bromobenzoyl ester (±)-**9**.^[17,19] [d] Ligand: (*S*)-binap. [e] Catalyst: 20 mol%.



Scheme 3. Possible mechanism for cationic rhodium(I)/(*R*)-H₈-binap-catalyzed selective formation of (*S*)-**3aa**.

Reductive elimination of rhodium and subsequent electrocyclic ring-opening furnishes (*S*)-**3aa**. The formation of intermediate **A'**, which would furnish (*R*)-**3aa**, is unfavorable because of the steric interaction between the equatorial phenyl group on the phosphorus atom of (*R*)-H₈-binap and the methyl group derived from **1a**.

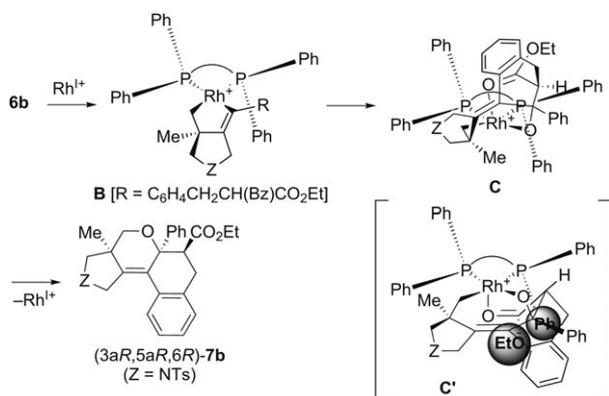
Indeed, the reactions of sterically more demanding internal diynes **1d,e** and **2a** furnished products **3da** and **3ea**, respectively, with higher *ee* values than **3ba** (Table 2, entries 4 and 5 versus entry 2). In contrast, the reaction of sterically less demanding terminal diyne **1e**^[20] and **2a** furnished almost racemic product **3fa** (Scheme 4).



Scheme 4. Rhodium-catalyzed asymmetric intramolecular cycloaddition of 1,3-dicarbonyl compound with 1,6-diyne.

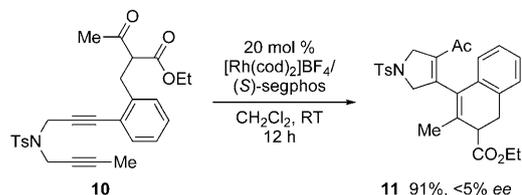
As shown in Scheme 5, the reaction of **6b** with the cationic rhodium(I)/(*S*)-segphos complex furnishes intermediate **B**, in which one chiral center is constructed enantioselectively. Indeed, this observed enantioface selection is consistent with our previously reported rhodium-catalyzed asymmetric intermolecular [2+2+2] cycloaddition of 1,2-dicarbonyl compounds with 1,6-enynes.^[13] Subsequent ketone carbonyl group insertion and coordination of the ester carbonyl group to rhodium are able to furnish two intermediates, **C** and **C'**, in which two additional chiral centers are constructed diastereoselectively. However, the formation of the intermediate **C'**, which furnishes (3*aR*,5*aS*,6*S*)-**7b**, would be unfavorable because of the steric interaction between the axial phenyl group on the phosphorus atom of (*S*)-segphos and the ethoxy group derived from **6b**. Thus, reductive elimination of rhodium from the intermediate **C** furnishes (3*aR*,5*aR*,6*R*)-**7b**.

Importantly, the opposite absolute configurations of the tertiary stereocenter, α to the carbonyl group, were observed



Scheme 5. Possible mechanism for cationic rhodium(I)/(*S*)-segphos-catalyzed selective formation of (3*aR*,5*aR*,6*R*)-**7b**.

between the intermolecular reaction of 1,3-dicarbonyl compounds with 1,6-diyne and the intramolecular reaction of 1,3-dicarbonyl compounds with 1,6-enynes. Thus for comparison, the intramolecular reaction of a 1,3-dicarbonyl compound with a 1,6-diyne, not a 1,6-enyne, was examined. Interestingly, the reaction of substrate **10** proceeded to give almost racemic product **11**, although the product yield was high (Scheme 6).



Scheme 6. Rhodium-catalyzed intramolecular cycloaddition of 1,3-dicarbonyl compound with 1,6-diyne.

In conclusion, we have developed the cationic rhodium(I)/(*R*)-H₈-binap complex as a catalyst for the asymmetric intermolecular formal olefination of enolizable 1,3-dicarbonyl compounds with 1,6-diyne by [2+2+2] cycloaddition and subsequent electrocyclic ring opening. The asymmetric intramolecular [2+2+2] cycloaddition of 1,3-dicarbonyl compounds with 1,6-enynes was also accomplished by using a cationic rhodium(I)/(*S*)-segphos complex as a catalyst. Future work will focus on the synthetic application of this methodology.^[21]

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- [16] The product olefin geometry is determined primarily through thermodynamic control of the electrocyclic ring-opening reaction. However, the other relies on the influence of the cationic rhodium(I) complex or silica gel during the reaction or the product isolation, respectively. For thermodynamic control of stereoselectivity in the electrocyclic ring opening of (2*H*)-pyran, see: a) J. M. Um, H. Xu, K. N. Houk, W. Tang, *J. Am. Chem. Soc.* **2009**, *131*, 6664. For the cationic rhodium(I) complex-catalyzed *E/Z* isomerization of α,β -unsaturated carbonyl compounds, see: b) K. Tanaka, T. Shoji, M. Hirano, *Eur. J. Org. Chem.* **2007**, 2687.
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- [18] For the rhodium-catalyzed enantio- and diastereoselective intramolecular [2+2+2] cycloaddition of ene/yne/ene compounds, see: H. Sagae, K. Noguchi, M. Hirano, K. Tanaka, *Chem. Commun.* **2008**, 3804.
- [19] CCDC 803849 [(3*aR*,5*aR*,6*R*)-(–)-**8**] and 811124 [(±)-**9**] contain the supplementary crystallographic data for this paper. These data can be obtained free of charge from The Cambridge Crystallographic Data Centre via www.ccdc.cam.ac.uk/data_request/cif.
- [20] Although the reaction of a tosylamide-linked terminal 1,6-diyne and **2a** was also examined, the corresponding formal olefination product was not obtained at all because of the rapid homo-[2+2+2] cycloaddition of the diyne.
- [21] Fused 5-6-6 oxaheterocycles, which are closely related to compounds **7**, are found in several biologically active natural products. See: a) T. Yuan, R.-X. Zhu, H. Zhang, O. A. Odeku, S.-P. Yang, S.-G. Liao, J.-M. Yue, *Org. Lett.* **2010**, *12*, 252; b) S.-J. Min, S. J. Danishefsky, *Angew. Chem.* **2007**, *119*, 2249; *Angew. Chem. Int. Ed.* **2007**, *46*, 2199; c) H. Kikuchi, Y. Miyagawa, Y. Sahashi, S. Inatomi, A. Haganuma, N. Nakahata, Y. Oshima, *Tetrahedron Lett.* **2004**, *45*, 6225; d) G. P. Kononenko, A. R. Bekker, A. N. Leonov, N. A. Soboleva, *Tetrahedron Lett.* **1991**, *32*, 1893.