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SHORT COMMUNICATION



CeCl₃·7H₂O-catalysed hydrophosphonylation of aldehydes and ketones: An expeditious route to α-hydroxyphosphonates under solvent-free conditions

R. Mahesh, Rupali Sharma, Parteek Kour, and Anil Kumar 

Synthetic Organic Chemistry Lab, Faculty of Sciences, Shri Mata Vaishno Devi University, Katra, Jammu & Kashmir, India

ABSTRACT

A cerium(III) chloride-catalysed expeditious synthesis of α-hydroxyphosphonates via a modified Abramov synthetic protocol has been developed. The scope of the current protocol is broad, with a range of aromatic, α,β-unsaturated and heterocyclic aldehydes being efficiently converted to the anticipated products in very good to excellent yields (89–96%) in 15 min using low catalytic loading. The protocol was efficiently extended to hitherto unactivated cyclic ketones such as cyclopentanone and cyclohexanone to afford the required products in excellent yields. However, the reaction with cyclohexanone delivered a Michael product instead of the anticipated α-hydroxyphosphonates. The solvent-free conditions, low catalytic loadings, avoidance of toxic reagents and good to excellent yields are significant advantages of this protocol.

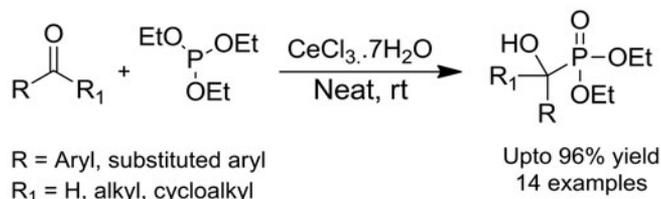
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GRAPHICAL ABSTRACT



Introduction

The α-hydroxyphosphonates represent an elite class of compounds known to exhibit wide-ranging biological activities.^[1] They have been extensively utilized as key intermediates to obtain biologically relevant natural and synthetic products, unnatural amino acids and potential drug candidates.^[2a–e] Further, as shown in Figure 1, type a and b α-hydroxyphosphonates are potent anti-cancer agents and type c and d are potent renin/ligase and autotaxin inhibitors, respectively.^[2f–g] Owing to these attractive biological, industrial and synthetic applications, a plethora of protocols have been devised and reviewed in the literature for the synthesis of α-hydroxyphosphonates. However, most of these synthetic routes were primarily inspired by the older methods of Abramov and Pudovik.

These protocols have been carried out using various kinds of catalysts, including basic catalysts such as tetramethylguanidine,^[3] lithium diisopropylamide (LDA),^[4] guanidine hydrochloride,^[5] 1,8-diazabicyclo[5.4.0]undec-7-ene (DBU),^[6] K₃PO₄,^[7] K₂CO₃,^[8] CaO,^[9] Na₂CO₃,^[10] triethylamine,^[11] KF/Al₂O₃,^[12] polystyrene tetraalkylammonium hydroxide ion exchange resin [Rexyn 201 (OH)],^[13] magnesia^[14] and 1,5,7-

triazabicyclo[4.4.0]dec-1-ene^[15] and acidic catalysts such as amberlyst-15,^[16] camphor sulfonic acid,^[17] oxalic acid,^[18] silica-supported tungstic acid,^[19] AlCl₃ or HCl,^[20] MoO₂Cl₂,^[21] Ti(O-*i*-Pr)₄,^[22] trimethylsilyl chloride^[23] and NH₄VO₃.^[24]

Recently there has been a surge in organometallic catalysts such as [(Me₃Si)₂N]₃La(μ-Cl)Li(THF)₃,^[25] lanthanide anilido complexes,^[26] *n*-BuLi^[27] and organo-bimetallic catalysts^[28] that have been elegantly applied for this transformation. Although these protocols for synthesizing α-hydroxyphosphonates have their advantages, most suffer from one or more disadvantages such as high catalytic loading,^[6,12] prolonged reaction time,^[9] expensive catalysts,^[22] acidic and harsh reaction conditions,^[20] tedious procedure.^[26,28] Therefore, the development of a new strategy that avoids most of these drawbacks would be highly desirable.

It has been well documented that Lewis acids are a very useful class of catalysts for the hydrophosphonylation of aldehydes that work via the activation of alkyl phosphite and simultaneously avoid the shortcomings of the base-catalysed reaction, but surprisingly these have rarely been applied for the synthesis of α-hydroxyphosphonates.^[29] Further, after a careful survey of the literature, it was

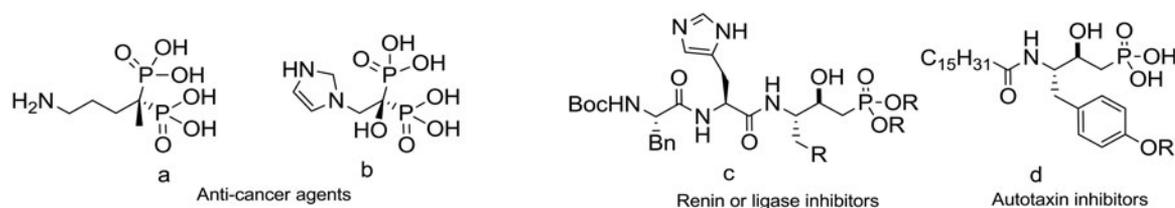
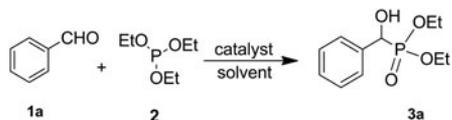


Figure 1. Biologically active α -hydroxyphosphonates.

Table 1. Experiment for optimization of reaction conditions^a.



Entry	Catalyst (mol%)	Solvent	Time	Temp. ^b	Yield ^c (%)
1	ZrO ₂ Cl ₂ (10)	Neat	25 h	80 °C	83
2	DMAP (10)	Neat	30 h	80 °C	89
3	Cinchonine (10)	Neat	15 h	80 °C	90
4	CeCl ₃ · 7H ₂ O (10)	Neat	15 min	80 °C	96
5	CeCl ₃ · 7H ₂ O (10)	Neat	15 min	rt	95
6	No catalyst	Neat	10 h	rt	NR ^d
7	CeCl ₃ · 7H ₂ O (15)	Neat	15 min	rt	97
8	CeCl ₃ · 7H ₂ O (1)	Neat	25 h	rt	94
9	CeCl ₃ · 7H ₂ O (1)	CHCl ₃	32 h	rt	87
10	CeCl ₃ · 7H ₂ O (1)	EtOH	34 h	rt	92
11	CeCl ₃ · 7H ₂ O (1)	DCM	32 h	rt	89
12	CeCl ₃ · 7H ₂ O (1)	CH ₃ CN	32 h	rt	90
13	CeCl ₃ · 7H ₂ O (1)	CH ₃ OH	33 h	rt	91
14	CeCl ₃ · 7H ₂ O (1)/KI(1)	Neat	22 h	rt	95

^aReaction was carried out by taking 1.0 mmol each of benzaldehyde and triethylphosphite.

^brt, room temperature.

^cYield of isolated and purified product **3a**.

^dNR, no reaction.

noticed that although significant progress has been achieved with aldehydes, reaction with ketones has scarcely been investigated and exploited.^[3,9,15,20b] Recently, several complexes have been reported to efficiently catalyze the hydrophosphonylation of both aldehydes and ketones at a very low catalytic loading and with a shorter reaction time, but these require an additional and somewhat tedious step of preparing the ligands to produce the corresponding organolanthanide complexes.^[25,26,28] Further, most of these complexes need to be used in Schlenk-tube techniques under dried argon to avoid traces of moisture and air, both of which could be detrimental for the efficiency of organolanthanide complexes.

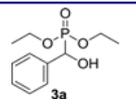
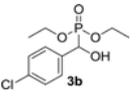
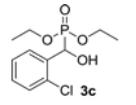
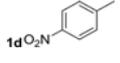
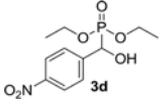
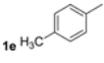
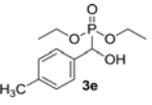
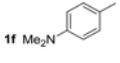
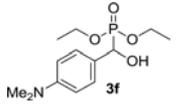
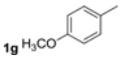
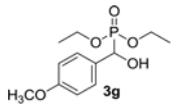
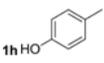
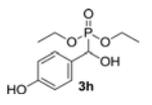
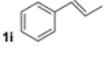
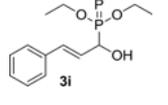
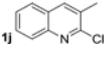
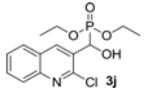
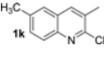
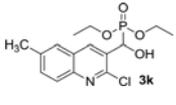
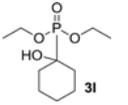
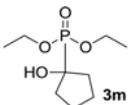
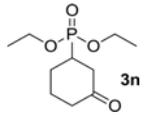
It was thus deemed worthwhile and meaningful to explore the direct use of an economic and easily accessible Lewis acid catalyst that would work effectively with a wide range of substrates to generate different α -hydroxyphosphonates. Cerium(III) chloride heptahydrate in particular has received considerable attention as a Lewis acid catalyst because of its unique attributes, which include water tolerance, non-toxicity, easy handling, inexpensive and readily available, and it has been used extensively for a wide range of organic transformations.^[30] Further, green protocols under solvent-free conditions have currently come to the forefront of contemporary research, strictly following the principles of green chemistry because this could lead to considerable reduction of waste by avoiding the use of toxic solvents.^[31] Due to our active pursuit to expand our efforts to develop novel synthetic strategies,^[32]

especially for organophosphorus compounds,^[32a–c] we report herein our findings regarding the CeCl₃·7H₂O-catalysed solvent-free synthetic route to different α -hydroxyphosphonates via a modified Abramov reaction.

Results and discussion

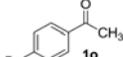
Based on the preceding discussion we thought it worthwhile to develop a new synthetic route that would be effective with a wide range of aldehydes and ketones for the synthesis of diverse α -hydroxyphosphonates. Hence, we started our investigation by selecting a model reaction of benzaldehyde (1.0 mmol) and triethylphosphite (1.0 mmol) to investigate the different catalytic systems shown in Table 1. The reaction was heated at 80 °C in the presence of 10 mol% of different catalysts such as zirconium oxychloride, CeCl₃·7H₂O, 4-dimethylaminopyridine (DMAP) and cinchonine under neat conditions (Table 1, entries 1–4). The reaction proceeded well with all the catalysts but maximum yield (96%) was obtained with CeCl₃·7H₂O to afford the desired product **3a** (Table 1, entry 4), as authenticated with the literature.^[14] Interestingly, the reaction also delivered a very good yield with zirconium oxychloride, cinchonine and DMAP (Table 1, entries 1–3). Next, it was established that 10 mol% CeCl₃·7H₂O could trigger the reaction even at room temperature (Table 1, entry 5). When the reaction was performed without catalyst, the product was not observed even after a prolonged reaction time (Table 1, entry 6). Increasing

Table 2. A cerium(III) chloride-catalysed synthesis of diverse α -hydroxyphosphonates^a.

Entry	Aldehydes/ Ketones 1(a-p)	Product 3(a-n)	Time		Yield (%) ^b	
			1 mol% of catalyst	10 mol% of catalyst	1 mol% of catalyst	10 mol% of catalyst
1			25 h	15 min	94	95
2			24 h	15 min	88	91
3			24 h	15 min	93	95
4			28 h	15 min	87	89
5			24 h	15 min	89	93
6			22 h	15 min	90	96
7			23 h	15 min	88	91
8			24 h	15 min	92	96
9			24 h	15 min	88	91
10			24 h	15 min	86	92
11			24 h	15 min	84	95
12			–	12 h	50	95
13			–	10 h	–	92
14			–	6 h	–	96 ^c

(continued)

Table 2. Continued.

Entry	Aldehydes/ Ketones 1(a-p)	Product 3(a-n)	Time		Yield (%) ^b	
			1 mol% of catalyst	10 mol% of catalyst	1 mol% of catalyst	10 mol% of catalyst
15		–	–	15 h	–	No reaction
16		–	–	15 h	–	No reaction

^aReactions were carried out by stirring aldehydes/ketones (1.0 mmol), triethylphosphite (1.0 mmol) and $\text{CeCl}_3 \cdot 7\text{H}_2\text{O}$ (1/10 mol %) at room temperature.

^bYield of isolated and purified product.

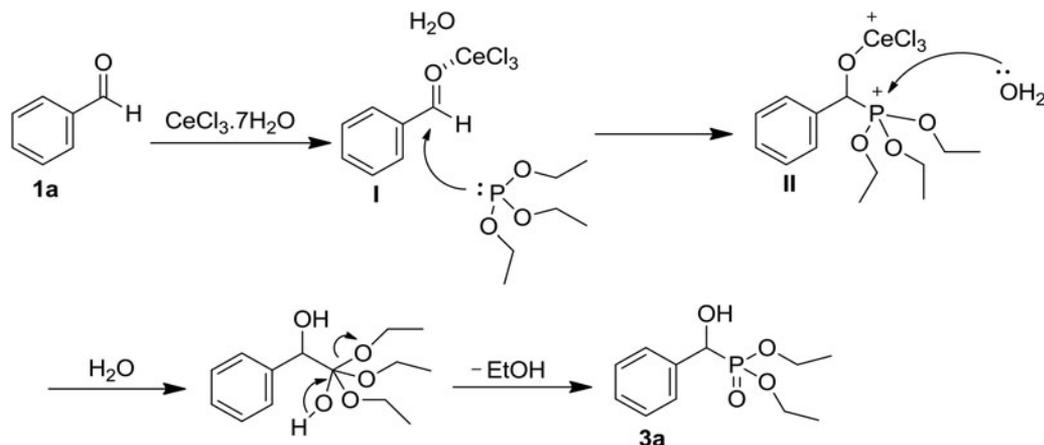
^cA Michael product was formed instead of the anticipated product.

Table 3. Comparison of $\text{CeCl}_3 \cdot 7\text{H}_2\text{O}$ for the preparation of 3i^a .

Entry	Catalyst (g/mol%)	Phosphite	Time	Yield (%)
1	$\text{CeCl}_3 \cdot 7\text{H}_2\text{O}$ (10 mol%)	Triethyl	15 min	95 ^b
2	<i>n</i> -Butyllithium (0.1 mol%)	Diethyl	5 min [27]	95
3	Tetramethylguanidine	Diethyl	12 h [3]	66
4	1,5,7-Triazabicyclo[4.4.0]dec-1-ene systems (10 mol%)	Diethyl	3 h [15]	78
5	$\text{KF} \cdot \text{Al}_2\text{O}_3$ (2.5 g each, mixed)	Diethyl	15 min [12]	95
6	La-anilido (0.1 mol%)	Diethyl	20 min [26]	96
7	NH_4VO_3 (10 mol%)	Diethyl	24 h [24]	0

^aReactions were carried out by taking 1.0 mmol each of cyclohexanone and triethylphosphite with 0.1 mmol of $\text{CeCl}_3 \cdot 7\text{H}_2\text{O}$.

^bIsolated and purified yield.

Scheme 1. Proposed mechanism for the synthesis of 3a .

the catalytic concentration to 15 mol% did not influence the yield by any considerable extent (Table 1, entry 7). However, on decreasing the concentration of catalyst to 1 mol% an incremental decrease of yield was observed (Table 1, entry 8) and the reaction time increased drastically compared to reaction with 10 mol% of catalyst (25 h vs 15 min). Next, we examined the effect of solvents such as chloroform, ethanol, dichloromethane, acetonitrile and methanol, and the results (Table 1, entries 9–13) indicated that the best conditions for the envisaged reaction were the use of CeCl_3 (1 mol%) at ambient temperature under solvent-free conditions, although the time required is much longer compared to reaction with 10 mol% of catalyst. Before shifting our attention towards substrate scope we tried to observe the effect of potassium iodide on the efficiency of CeCl_3 , especially reaction time, but did not find any substantially positive outcome (Table 1, entry 14).

To further expand the synthetic utility of this protocol, optimal conditions were applied to convert the diverse range of aldehydes into the corresponding α -hydroxyphosphonates. Reaction with aromatic aldehydes containing electron-withdrawing groups such as *o/p*-chloro and *p*-nitro, electron-donating groups such as *p*-methyl, *p*-*N,N*-dimethyl, *p*-methoxy, *p*-OH and α,β -unsaturated aldehydes proceeded smoothly within 15 min to deliver good yields of products 3b-i (Table 2, entries 2–9). Furthermore, heterocyclic aldehydes such as 2-chloroquinoline-3-carbaldehyde and 2-chloro-6-methylquinoline-3-carbaldehyde also gave high yields of anticipated products (Table 2, entries 10–11). Importantly, the position as well as the nature of substituents on the aromatic/heteroaromatic aldehydes do not have any considerable impact on the yield of reaction, which is quite evident from Table 2. Furthermore, as depicted in Table 2, with 10 mol% loading the reaction was completed

in just 15 min compared to 22–28 h with 1 mol%. Hence for comparative purposes, we studied the substrate scope with respect to both 10 mol% and 1 mol% of catalyst, and the results are outlined in Table 2.

Next, the versatility of this strategy was further explored with various ketones, considering the fact that reaction with ketones has scarcely been investigated in the literature. In this context, we conducted a test reaction by taking 1.0 mmol each of cyclohexanone and triethylphosphite under the standardized conditions, and product formation was observed, albeit in low yield. Fascinatingly, we were able to raise the level of yield to 95% with 10 mol% of catalyst (Table 2, entry 12), hence 10 mol% of catalyst was the optimum concentration that was subsequently applied with different ketones. With cyclopentanone 92% yield of product was obtained (Table 2, entry 13). Interestingly, cyclohexanone undergoes a phospho-Michael reaction instead of hydrophosphonylation to give the corresponding product in 96% yield (Table 2, entry 14). Conversely, reaction with *p*-bromoacetophenone and acetophenone did not afford the desired product; instead we recovered the unreacted starting material, despite heating for a long time (Table 2, entries 15, 16).

Comparative data for the reaction of **3i** are summarized in Table 3 (entries 1–7). It is indicated that the current protocol delivered comparable or better yield than the reported catalysts, with an additional advantage of easy and direct availability of CeCl₃·7H₂O, thus avoiding the use of a moisture-sensitive catalyst that requires special handling techniques and a tedious multistep route for synthesis, especially for cyclic ketones such as cyclopentanone and cyclohexanone.

Mechanism

The proposed mechanism for the synthesis of **3a** is shown in Scheme 1. The carbonyl carbon of aldehyde, **1a** is activated by coordinating with cerium(III) chloride to give the intermediate **I** which underwent nucleophilic attack of phosphorus atom of triethylphosphite to form the intermediate **II** which finally delivers the formation of desired product, **3a**.

Experimental

All starting materials and reagents were commercially available and applied without further purification. Analab melting point apparatus was used to determine melting points. NMR spectra was recorded on a Bruker spectrometer respectively in CDCl₃ solvent at following frequencies: ¹H NMR at 400 MHz, ¹³C NMR at 100 MHz and ³¹P NMR at 162 MHz. All compounds, **3a–n** are known and their spectral data was compared with the reported literature.^[14,20c,27,33,34] The Supplemental Materials contains sample ¹H, ¹³C and ³¹P NMR spectra for the products **3** (Figures S1 – S42).

General procedure for preparation of α-hydroxyphosphonates

A mixture of aldehyde/ketone (1.0 mmol), triethylphosphite (1.0 mmol) and CeCl₃·7H₂O (10 mol%) was stirred at room temperature under neat conditions for appropriate time (Table 2). After completion of the reaction, the resulting reaction mixture was isolated and products were purified by crystallization with ethanol. In some cases (Table 2, entries 10, 11, 12, 13 and 14) column chromatography (using ethyl acetate and *n*-hexane) was performed for the purification of the crude product.

Conclusion

In conclusion, we have reported a new route to α-hydroxyphosphonates that tolerates a wide range of aldehydes under solvent-free conditions. The use of a cheap, commercially available, moisture-tolerant catalyst, an easy work-up procedure and the avoidance of solvents are all advantages of the current methodology. Furthermore, the current methodology has been successfully extended by the addition of triethyl phosphite to unactivated cyclic ketones such as cyclohexanone and cyclopentanone.

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ORCID

Anil Kumar  <http://orcid.org/0000-0002-2415-1255>

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