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# Diastereoselective Synthesis of Cephalotaxus Esters via Asymmetric **Mukaiyama Aldol Reaction**

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Supporting Information

ABSTRACT: We report a protocol for efficient stereoselective installation of the chiral oxygen-containing tetrasubstituted tertiary carbon stereocenter of the side chain of cephalotaxus esters by means of highly diastereoselective Mukaiyama aldol reactions between  $\alpha$ -keto esters (2) and a (Z)- $\alpha$ -chloro ketene silyl acetal. This protocol permitted synthesis of cephalotaxus esters including six natural products in good to excellent yields (up to 94%) with high



diastereoselectivities (dr up to 97:3) and could be performed on a multigram scale.

ephalotaxus esters 1 (R  $\neq$  H), a group of alkaloids isolated as minor components from conifers of the Cephalotaxus genus,<sup>1</sup> have received considerable synthetic attention owing to their potent antileukemia activity and their unique pentacyclic ring structure with a side chain bearing an oxygen-containing chiral carbon center (Figure 1). Recently,



Figure 1. Structures of Cephalotaxus esters.

one member of the group, homoharringtonine (1d), was approved for the treatment of adults with chronic- or accelerated-phase chronic myeloid leukemia that is resistant or tolerant to at least two tyrosine kinase inhibitors,<sup>2</sup> and this drug may be useful as a new frontline treatment for low- and intermediate-risk patients with acute myeloid leukemia.<sup>3</sup> This biological activity highlights the importance of synthetic studies of cephalotaxus esters. However, most of the reported studies have involved the development of efficient methods for construction of the pentacyclic diterpenoid skeleton, cephalotaxine (1a), and relatively little effort has been devoted to enantioselective installation of side chains with a chiral oxygencontaining tetrasubstituted tertiary carbon center.<sup>4</sup>

The chiral side chains, which are nonracemic monoacids, are generally synthesized based upon Seebach's procedure for the alkylation of D-malic acid derivative<sup>5a</sup> or from the corresponding nonracemic chiral epoxides prepared from commercially available monomethyl itaconate,<sup>5b</sup> and the side chains are subsequently joined with cephalotaxine (1a) to yield the corresponding cephalotaxus esters (1,  $R \neq H$ ). However, owing to the formidable steric bulk of both the pentacyclic diterpenoid skeleton and the  $\alpha$ -tetrasubstituted monoacids,<sup>6</sup> only a cyclic monoacid, such as a tetrahydropyran<sup>7</sup> or a lactone,<sup>8</sup> can smoothly couple with 1a to afford the desired product in good to high yields (Scheme 1). For example, chiral cyclic monoacids synthesized from readily available chiral materials such as D-malic acid<sup>9</sup> or generated by chiral resolving reagents such as (-)-quinine<sup>10</sup> have been used for cephalotaxus ester synthesis. In addition, parent alkaloid 1a has been used as a chiral auxiliary for the enantioselective construction of the chiral oxygen-containing carbon stereocenter by means of a Reformatsky reaction or a related 1,2-addition reaction of the  $\alpha$ -keto ester derived from 1a.<sup>11</sup> However, in most cases, the diastereoselectivities of these reactions are rather low. For example, Mikolajczak reported that the addition of methoxycarbonylmethyllithium (LiCH<sub>2</sub>CO<sub>2</sub>Me) to an  $\alpha$ -keto ester derived from 1a yielded deoxyharringtonine (1b) in only 6% yield, along with epi-deoxyharringtonine (epi-1b) in 9% yield.<sup>11b</sup> Reformatsky reaction of the  $\alpha_i \varepsilon$ -diketoester of **1a** gave the addition product in excellent yield (90.5%), but reaction of the addition product with methyllithium afforded homoharringtonine (1d) in only 10% yield.<sup>7</sup> These results indicate that the enantioselective construction of the chiral

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Scheme 1. Diastereoselective Synthesis of Cephalotaxus Esters



oxygen-containing tetrasubstituted tertiary carbon stereocenter of the side chains of cephalotaxus esters remains a challenge.

To address this challenge, we investigated the use of chiral auxiliary-induced asymmetric Mukaiyama aldol reaction of  $\alpha$ -ketoesters, a reaction that has been studied by various research groups.<sup>12</sup> For example, using optically active bulky 8-phenylmenthol or cyclitol as a chiral auxiliary, Chen and Fang<sup>12a</sup> and Akiyama et al.<sup>12b</sup> synthesized a series of chiral  $\alpha$ -hydroxyl esters with a chiral tetrasubstituted tertiary carbon stereocenter with good to excellent diastereoselectivities by reactions of the corresponding  $\alpha$ -ketoesters with ketene silyl acetals. These reactions represent the only two reported examples of asymmetric Mukaiyama aldol reaction of  $\alpha$ -ketoesters with chiral auxiliaries on the ester groups, but these examples suggested to us that this method was promising for the installation of the chiral oxygen-containing tetrasubstituted tertiary carbon stereocenter of the cephalotaxus esters.

In this study, we found that although cephalotaxine (1a) was not an efficient chiral auxiliary for reactions of simple ketene silyl acetals, introduction of a halide atom at the  $\alpha$ -position of the ketene silyl acetal markedly improved the diastereoselectivity of the reaction.<sup>13</sup> Specifically, we report herein that asymmetric Mukaiyama aldol reactions of  $\alpha$ -ketoesters 2 derived from 1a with (*Z*)- $\alpha$ -chloro-substituted ketene silyl acetal 3d<sup>13a</sup> followed by dechlorination with zinc/HOAc afforded the corresponding cephalotaxus esters 1 (R  $\neq$  H) or their analogues with high yields (up to 94%) and diastereoselectivities (dr up to 97:3) (Scheme 1). To the best of our knowledge, this is the first report of highly stereoselective installation of the chiral oxygen-containing tetrasubstituted tertiary carbon stereocenter of the side chains of cephalotaxus esters.

Initially, we selected  $\alpha$ -ketoester 2a, which has an isopentyl moiety and is a precursor to deoxyharringtonine (1b), as a model substrate.  $\alpha$ -Ketoester 2a was allowed to react with various ketene silyl acetals in the presence of BF<sub>3</sub>·Et<sub>2</sub>O (2.2 equiv) as a Lewis acid catalyst in CH<sub>2</sub>Cl<sub>2</sub> at -20 °C. After

reaction with 3a or 3b for 12 h, we obtained  $1b^{14}$  in good yields and low to moderate diastereoselectivities (Table 1, entries 1

Table 1. Optimization of Conditions for Mukaiyama A	ldol
Reactions of 2a with Ketene Silyl Acetals 3 <sup>a</sup>	

	2a	p X 0 + 3a 3b 3c Cl_OTMS 3d_OMe BF <sub>3</sub> ·OEt <sub>2</sub> , CH <sub>2</sub> Cl <sub>2</sub>	A = A + A = TMS $A = A + A = TMS$ $A = A + A + A = TMS$ $A = A + A + A = TMS$	CH <sub>2</sub> Cl <sub>2</sub> CP Cp	Ho Ho	Cp CO <sub>2</sub> Me			
<b>Cp</b> -H = cephalotaxine (1a) $4a + drs$									
entry	3	Lewis acid	temp (°C)	time (h)	yield <sup><math>b</math></sup> (%)	dr <sup>c,d</sup>			
1	3a	$BF_3 \cdot OEt_2$	-20	12	60	60:40			
2	3b	$BF_3 \cdot OEt_2$	-20	12	80	75:25			
3	3b	$BF_3 \cdot OEt_2$	-40	12	90	77:23			
4	3b	$BF_3 \cdot OEt_2$	-60	12	95	80:20			
5	3b	$TiCl_4$	-20	60	60 (50) <sup>e</sup>	16:84			
6	3b	$SnCl_4$	-25	60	62 (50) <sup>e</sup>	20:80			
7	3b	ZnBr <sub>2</sub>	-40	20	74	42:58			
8	3c	$BF_3 \cdot OEt_2$	0	22	35	>99:1 <sup>f</sup>			
9	3c	$BF_3 \cdot OEt_2$	-25	22	40	>99:1 <sup>f</sup>			
10	3d	$BF_3 \cdot OEt_2$	-40	12	90	96:4 <sup>g</sup>			
11	3d	$BF_3 \cdot OEt_2$	-60	12	92	97:3 <sup>g</sup>			
12	3d	$BF_3 \cdot OEt_2$	-78	24	92	97:3 <sup>g</sup>			

<sup>*a*</sup>Reactions were performed on a 0.5 mmol scale at a 2a/3 ratio of 1:1.5 with 2.2 equiv of Lewis acid in 3 mL of CH<sub>2</sub>Cl<sub>2</sub>. <sup>*b*</sup>Yields are for combined isolated diastereomers. <sup>*c*</sup>Absolute stereochemistry was determined by independent synthesis (see the Supporting Information). <sup>*d*</sup>Crude product ratios were determined by HPLC (Chiralcel AD-H column). <sup>*e*</sup>The value in parentheses is the conversion rate. <sup>*f*</sup>The dr was determined after desilylation. <sup>*g*</sup>The dr was determined after dechlorination.

and 2). When the amount of  $BF_3$ ·Et<sub>2</sub>O was less than 1 equiv, no identifiable product was obtained (see the Supporting Information), whereas conversion of 2a was complete when the amount of BF<sub>3</sub>·Et<sub>2</sub>O exceeded 2 equiv. Ketene silvl acetal 3b, which has a bulky TBS group, gave a higher yield (80%) and higher diastereoselectivity (75:25) than 3a. Lowering the reaction temperature from -20 to -60 °C (entries 3 and 4) dramatically increased the yield (95%) but did not significantly improve the diastereoselectivity (80:20). Other Lewis acids, including TiCl<sub>4</sub>, SnCl<sub>4</sub>, and ZnBr<sub>2</sub>, were evaluated (entries 5-7) and found to exhibit low catalytic activities. Furthermore, when the results were compared to those achieved with BF<sub>3</sub>.  $Et_2O_1$ , inverse selectivities were observed (entries 5 and 6). Because the bulky TBS group of 3b resulted in high diastereoselectivity, we also evaluated 3c, which has an  $\alpha$ -TMS group. Owing to the low reactivity of 3c, the reactions were performed at higher temperatures (0 and -20 °C). Compound 1b was obtained with excellent diastereoselectivity (>99:1) but in low yields (entries 8 and 9). Next, we evaluated  $\alpha$ -chloride ketene silvl acetal **3d** instead of **3c**, knowing that the chlorine atom in the resulting product 4a could be easily removed by reduction with powdered Zn in HOAc. The reaction of 2a with 3d at -40 °C for 12 h yielded 4a and three diastereomers (drs) in a 68.6:27.4:2.8:1.2 ratio (see the Supporting Information). Treatment of crude 4a and corresponding drs with Zn/HOAc furnished 1b in 90% yield with 96:4 diastereoselectivity (entry 10). Lowering the reaction temperature to -60 or -78 °C slightly increased both the yield and diastereoselectivity (entries 11 and 12). In summary, the incorporation of a readily removable chlorine substituent to the  $\alpha$ -position of the ketene silyl acetal substantially increased the yield and diastereoselectivity of the reaction, providing an efficient method for stereoselectively installing the chiral oxygen-containing tetrasubstituted tertiary carbon stereocenter of the cephalotaxus ester side chains.

Several  $\alpha$ -ketoesters 2 derived from 1a were allowed to react with 3d under the optimized reaction conditions, and the results are summarized in Table 2. Variation of the alkyl group

Table 2. Mukaiyama Aldol Reactions of  $\alpha$ -Ketoesters 2 with  $3d^{\alpha}$ 

R↓ O	p ≷O +		BF <sub>3</sub> ·OEt <sub>2</sub> ,CH <sub>2</sub> -60 °C, 12 then, Zn/HOAc,	$\frac{2Cl_2}{h} \xrightarrow{R} HO$	Cp CO2Me
2		3d		1	00200
entry	sub	R	product	yield (%)	dr <sup>b</sup>
1	2a		1b	92	97:3
2	2b	Jar Star	1c	92	90:10
3	2c	C Z	1f	93	95:5
4	2d	TBSO	1h	90	96:4
5	2e	25	1i	94	93:7
6	2f		1j	93	94:6
7	2g	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	1k	92	92:8
8	2h	C st	11	94	95:5
9	2i	Me	1m	93	94:6
10	2j	MeO	1n	93	95:5
11	2k	MeO	10	88(66)°	95:5
12	21	MeO	1p	90(50) <sup>c</sup>	97:3

<sup>*a*</sup>Reaction conditions were the same as those described in Table 1, entry 11. <sup>*b*</sup>The dr values of crude products were determined by HPLC. <sup>*c*</sup>The value in parentheses is the conversion.

of 2 had little effect on the yield. Changing the R group from isopentyl (2a) to isobutyl (2b) slightly decreased the diastereoselectivity: nordeoxyharringtonine (1c) was obtained with 90:10 diastereoselectivity (entry 2). Substrates with a phenyl ring at the terminus of the R group, 2c and 2h, provided homoneoharringtonines 1f and 1l, respectively, with 95:5 diastereoselectivity (entries 3 and 8). A substrate with an npentyl group (2g) afforded 1k with a yield (92%) and diastereoselectivity (dr = 92.8) comparable to those obtained with **2a** (compare entries 7 and 1).  $\alpha$ -Ketoester **2d**, which has a tert-butyldimethylsilyl-protected hydroxyl (TBSO) group and is a precursor to harringtonine (1e), also reacted smoothly with 3d to yield 1h with good to high diastereoselectivities (entries 4). Olefin-containing  $\alpha$ -ketoesters 2e and 2f, which are precursors to deoxyharringtonine (1b) and homodeoxyharringtonine or homoharringtonine (1d), respectively, provided 1i

and **1j** with high diastereoselectivities (entries 5 and 6).  $\beta$ -Arylsubstituted  $\alpha$ -ketoesters **2i** and **2j**, which have *p*-methyl and *p*methoxy groups, respectively, on their phenyl rings, were also good substrates for this transformation. These substrates showed high reactivity, providing corresponding products **1m** and **1n** with dr values of 94:6 and 95:5, respectively (entries 9 and 10). Substrates with a *m*-methoxy group, that is, **2k** and **2l**, afforded products **1o** and **1p**, respectively, with dr values of 95:5 and 97:3; however, these reactions were sluggish and provided slightly lower yields (88% and 90%) and low conversions (66% and 50%) even after reaction for 24 h (entries 11 and 12).

To demonstrate the practical application of this efficient new protocol, we selected homoharringtonine (1d) as a target molecule and performed a multigram-scale synthesis (Scheme 2, a). The reaction of crude 2f (synthesized from 5 g of





cephalotaxine) with 3d under the optimized reaction conditions and subsequent dechlorination with Zn/HOAc in a one-pot fashion yielded crude product 1j in 68% yield. Hydroxylation of 1j with 40% aqueous HBr at -15 °C for 12 h followed by treatment with NaHCO<sub>3</sub> for 5 h at room temperature afforded 1d in 90% yield with 93:7 diastereoselectivity. Recrystallization from ethyl ether afforded 4.2 g (80%) of 1d with improved diastereoselectivity (>99:1). Thus, homoharringtonine (1d) was enantioselectively synthesized on a multigram scale from cephalotaxine (1a) in 60% overall yield via five steps.

We also converted product **1h** to other cephalotaxus esters (Scheme 2, b). Protodesilylation of **1h** with  $Et_3N\cdot 3HF$  in  $CH_3CN$  at 80 °C for 3 d yielded harringtonine (**1e**) in 92% yield. In contrast, treatment of 1 h with  $BF_3\cdot Et_2O$  in  $CH_2Cl_2$  at room temperature for 4 h resulted in desilylation and subsequent cyclization to afford anhydroharringtonine (**1g**) in 95% yield.

To elucidate the rational for the high diastereoselectivities of the reactions of  $\alpha$ -ketoesters 2 with ketene silyl acetal 3d, we obtained X-ray crystal structures of 2a and the major aldol product 4a (Figure 2). Based on these crystal structures and references, <sup>13b,15</sup> we propose the following explanation for the stereochemistry of the reactions. The Lewis acid BF<sub>3</sub>·Et<sub>2</sub>O activates the keto group of 2, and ketene silyl acetal 3d approaches 2a from the *Re* face to yield 4a preferentially due to



Figure 2. Proposed explanation for the observed stereoselectivity.

the steric bulk of the chlorine atom at the  $\alpha$ -position of the ketene. Treatment of **4a** with Zn/HOAc then yields **1b** with the *R*-configuration at the chiral oxygen-containing tetrasubstituted tertiary carbon center.

In conclusion, we have developed an asymmetric Mukaiyama aldol reaction involving alkaloid 1a as a chiral auxiliary as an efficient method for the enantioselective construction of the chiral oxygen-containing tetrasubstituted tertiary carbon stereocenter of the side chains of cephalotaxus esters. Using this method, we synthesized several cephalotaxus esters and analogues in high yields (up to 94%) with high diastereoselectivities (up to 97:3). This protocol, which could be carried out on a multigram scale, provides highly efficient access to cephalotaxus esters and their analogues.

# ASSOCIATED CONTENT

#### **Supporting Information**

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.or-glett.7b00743.

Experimental and characterization data, including <sup>1</sup>H and <sup>13</sup>C NMR spectra for all new compounds, chiral HPLC spectra for the products, and crystallographic data (PDF)

X-ray data for compound 2a (CIF)

X-ray data for compound 1d (CIF)

X-ray data for compound 1e (CIF)

X-ray data for compound s-2-A (CIF)

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# Notes

The authors declare no competing financial interest.

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