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# Chemistry Letters

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Advance Publication on the web November 16, 2019

doi:10.1246/cl.190773

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## A reliable method to create adjacent acid-base pair sites on silica through hydrolysis of pre-anchored amide

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1 A method to create adjacent acid-base pair sites, which  
2 are carboxyl and amino groups, respectively, on silica  
3 through hydrolysis of pre-anchored amide is proposed. This  
4 method can produce an adjacent acid-base pair sites. The  
5 catalyst showed excellent catalytic performance for aldol  
6 condensation of 4-nitrobenzaldehyde with acetone,  
7 overwhelming the catalyst having only amino group and an  
8 acid-base catalyst prepared in a conventional manner.

9 **Keywords:** Acid-base pair sites, Cooperative catalysis,  
10 Aldol condensation

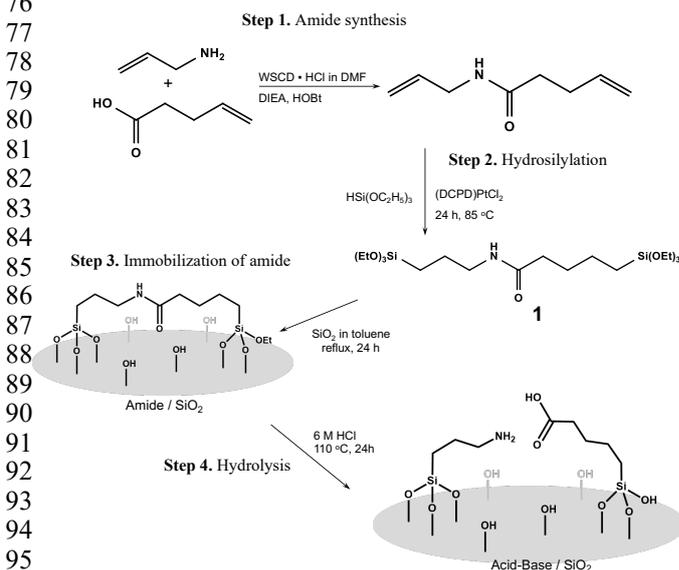
11 Because acid and base in a solution unavoidably  
12 neutralize, they lose the acid and base functions and  
13 generally do not work as an efficient acid or base catalyst in  
14 the solution. On the other hand, some molecules having both  
15 acidic and basic groups enhance the reaction rates in the  
16 solution.<sup>1-4</sup> Proline is the representative molecule and  
17 efficiently promotes various carbon-carbon bond formation  
18 reactions.<sup>5-7</sup> It is pointed out that acidic and basic groups in  
19 such molecules cooperatively work for the reactions. The  
20 distance between acidic and basic groups in the molecule is  
21 important to determine the cooperative action as a catalyst.  
22 In fact, synergetic effect arising from the acidic and basic  
23 groups does not appear if they are placed away from each  
24 other in the molecule.<sup>4</sup> In other words, the molecule with the  
25 adjacent acidic and basic groups, namely acid-base pair,  
26 often show higher catalytic performance than distantly  
27 positioned analogs or those having either of the two.

28 In heterogeneous catalysts, some metal oxides  
29 including Al<sub>2</sub>O<sub>3</sub>, ZnO, Al<sub>2</sub>O<sub>3</sub>-ZnO, and ZrO<sub>2</sub>-ZnO have both  
30 acid and base sites on the surface and they cooperatively  
31 promote the reactions.<sup>8-11</sup> However, the distance between  
32 the acid and base sites is widely distributed even for highly  
33 crystalline metal oxides, since the surface of the metal  
34 oxides is inhomogeneous at atomic level. In addition, the  
35 structure of the surface of the metal oxides is different  
36 depending on the crystal faces and correspondingly the non-  
37 uniform distance between the acid and base sites is  
38 unavoidable, while the distance is of critical importance to  
39 exert the synergetic effect.

40 Immobilization of organosilane with a basic group on  
41 metal oxides was proposed to control the distance between  
42 acid and base sites as well as the density of them.<sup>12-15</sup>  
43 Immobilization of (3-aminopropyl)triethoxysilane on SiO<sub>2</sub>  
44 is a typical example to create the acid-base pair sites by this  
45 approach, because silanol group on SiO<sub>2</sub> acts as an acid  
46 site.<sup>12,13</sup> The acid and base sites formed by this approach  
47 make the acid-base pair sites, working cooperatively, and  
48 thus effectively promotes the carbon-carbon bond formation  
49 reactions including aldol and Knoevenagel condensation

50 reactions.<sup>12-15</sup> While silanol group on SiO<sub>2</sub> is weak Brønsted  
51 acid site, the acid-base pair sites comprising strong one is  
52 created by using silica alumina<sup>16</sup> and acidic  
53 montmorillonite<sup>17</sup> instead of SiO<sub>2</sub>. In addition to the  
54 immobilization approach, co-condensation of  
55 aminoalkylsilane with tetraethyl orthosilicate has been  
56 extensively studied to synthesize the siliceous solids having  
57 the acid-base pair sites on the surface.<sup>18</sup>

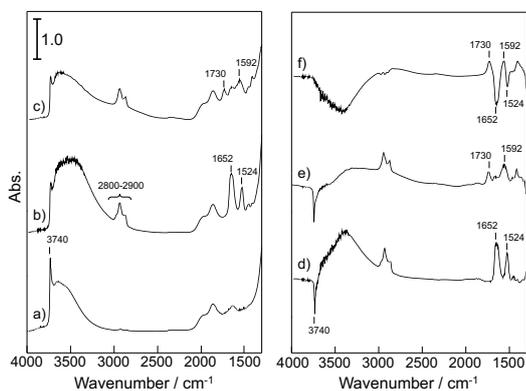
58 As alternative approaches, acid-base pair sites on the  
59 surface can be created by the immobilizations of two  
60 different organosilanes on metal oxides or the co-  
61 condensation of the two.<sup>19-26</sup> This has a great advantage for  
62 installation of a variety of acid and base sites by simply  
63 changing acid and base attached to organosilanes.<sup>21,23,24,26-28</sup>  
64 However, the acidic and basic groups in silanes should be  
65 protected or silanes should have functional groups that are  
66 transformable to acidic and basic groups but not interactive  
67 each other to avoid neutralization during the  
68 synthesis,<sup>19,21,22,27,28</sup> requiring burdensome experimental  
69 processes to obtain the acidic and basic sites for the  
70 synthesis. In addition, this approach gives the randomly  
71 located acid and base sites, since no strong interaction  
72 works between the two reagents. Therefore, in this approach,  
73 it is hard to create a single acid-base pair sites with  
74 prescribed distance that exert the synergetic effect on  
75 catalysis.



97 **Figure 1.** Synthetic procedure for adjacent acid-base pair  
98 sites on SiO<sub>2</sub> by use of hydrolysis of pre-anchored amide.  
99

In the present study, we wish to propose a reliable method to definitely create adjacent acid-base pair sites on the surface through hydrolysis of secondary amide immobilized on SiO<sub>2</sub>. In the method, the amide with trialkoxysilyl groups at both ends is immobilized on SiO<sub>2</sub> and subsequently is hydrolyzed to create the adjacent carboxyl and amino groups, namely the acid-base pair sites (Figure 1). The method has a great advantage to create only adjacent acid-base pair sites in principle. We furthermore demonstrate that the prepared catalyst exhibits excellent catalytic performance for aldol condensation.

5-(triethoxysilyl)pentanoic acid (3-(triethoxysilyl)propylamide (**1**)) was synthesized from 3-amino-1-propene and 4-pentenoic acid by condensation, followed by hydrosilylation with triethoxysilane (Steps 1 and 2 in Figure 1). Structure and purity of **1** were confirmed by <sup>1</sup>H and <sup>13</sup>C solution NMR (Figure S1). Immobilization of **1** on SiO<sub>2</sub> (Nippon Aerosil Co., Ltd., AEROSIL® 300, 300 m<sup>2</sup> g<sup>-1</sup>) was performed in toluene at reflux temperature for 24 h (Step 3 in Figure 1). To prevent multi-layer deposition of **1** on SiO<sub>2</sub>, the density of **1** on SiO<sub>2</sub> was adjusted to 0.4 nm<sup>-2</sup> with consideration for the molecular size of **1**. The obtained material is denoted as Amide/SiO<sub>2</sub>. The IR spectrum of Amide/SiO<sub>2</sub> showed absorption bands assignable to amide at 1524 and 1652 cm<sup>-1</sup> and methylene groups at 2800–2900 cm<sup>-1</sup> (Figure 2(b) and 2(d)). The molar ratio of carbon to nitrogen (=C/N) determined by CHN elemental analysis for Amide/SiO<sub>2</sub> was 8.14 (Table S1), indicating that almost all ethoxy groups in **1** were eliminated by the reaction with silanol groups on SiO<sub>2</sub> to form Si-O-Si bonds. In fact, the decrease of silanol group on SiO<sub>2</sub> was confirmed as a sharp and intense negative band at 3740 cm<sup>-1</sup> in the difference IR spectrum before and after the immobilization (Figure 2(d)). <sup>13</sup>C{<sup>1</sup>H} CP/MAS NMR spectrum of Amide/SiO<sub>2</sub> was almost identical to <sup>13</sup>C solution NMR spectrum of **1** except for weakened peaks due to ethoxy group (Figure S2). These results demonstrated that **1** was successfully immobilized on SiO<sub>2</sub> as illustrated in Figure 1.



**Figure 2.** IR spectra for pristine (a) SiO<sub>2</sub>, (b) Amide/SiO<sub>2</sub>, (c) Acid-Base/SiO<sub>2</sub>, and difference spectra obtained by (d) b-a, (e) c-a, and (f) c-b.

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Finally, amide bond in Amide/SiO<sub>2</sub> was hydrolyzed in hydrochloric acid (6 mol L<sup>-1</sup>) at reflux temperature for 12 h to form carboxyl and amino groups (Step 4 in Figure 1). The obtained material is denoted as Acid-Base/SiO<sub>2</sub>. The amount of Cl remaining in Acid-Base/SiO<sub>2</sub> was below detection limit for elemental analysis. In the IR spectrum of Acid-Base/SiO<sub>2</sub>, the absorption bands due to amide group were absent and instead, two new ones assignable to N-H deformation vibration of amino group and C=O stretching vibration of carboxyl one arose at 1592 and 1730 cm<sup>-1</sup>, respectively (Figure 2(c) and 2(f)), which were identical to those observed for the samples in which each of 5-(triethoxysilyl)pentanoic acid and (3-aminopropyl)triethoxysilane was fixed on SiO<sub>2</sub> (Figure S3). The cleavage of amide bond was also confirmed by the peak shift of carbonyl group from 176.6 to 182.3 ppm in <sup>13</sup>C{<sup>1</sup>H} CP/MAS NMR spectra (Figure S2). There was no substantial shift in the other peaks in <sup>13</sup>C{<sup>1</sup>H} CP/MAS NMR spectra with the hydrolysis. In addition, the contents of carbon and nitrogen as well as the C/N ratio for Acid-Base/SiO<sub>2</sub> were almost the same as those for Amide/SiO<sub>2</sub> (Table S1). Therefore, it was concluded that Acid-Base/SiO<sub>2</sub> was successfully synthesized as Figure 1 shows.

Next, we evaluated the catalytic performance of Acid-Base/SiO<sub>2</sub> for aldol condensation of 4-nitrobenzaldehyde with acetone and compared to the catalysts with only carboxyl (Acid/SiO<sub>2</sub>) or amino group (Base/SiO<sub>2</sub>). Acid/SiO<sub>2</sub> and Base/SiO<sub>2</sub> were prepared by the modifications of SiO<sub>2</sub> with 5-(triethoxysilyl)pentanoic acid and (3-aminopropyl)triethoxysilane, respectively, in a similar manner to that for Amide/SiO<sub>2</sub> (Details are shown in Supporting Information).

Base/SiO<sub>2</sub> showed catalytic activity for the aldol condensation reaction and the conversion at 24 h was 34% (Entry 3 in Table 1), while pristine SiO<sub>2</sub> (Entry 1) and Acid/SiO<sub>2</sub> (Entry 2) were completely inactive, indicating that the basic sites catalyzed the reaction as is reported.<sup>29</sup> In the experiment, we used Base/SiO<sub>2</sub> with the amino group density of 0.8 nm<sup>-2</sup>, because it showed the highest catalytic activity among them with the various densities ranging from 0.1–2 nm<sup>-2</sup>. To confirm the synergetic effect by silanol group on SiO<sub>2</sub> as an acid site, we carried out the reaction over Base/SiO<sub>2</sub> treated with 1,1,1,3,3,3-hexamethyldisilazane to cap remaining silanol group with trimethylsilyl group (Base/SiO<sub>2</sub>(TMS)) and the conversion was only 9% (Entry 4), indicating that synergetic effect between the amino group and silanol on SiO<sub>2</sub> was present for Base/SiO<sub>2</sub>. Amide/SiO<sub>2</sub> did not show any activity (Entry 5). It should be noted that Acid-Base/SiO<sub>2</sub> efficiently promoted the reaction and the conversion for Acid-Base/SiO<sub>2</sub> was much higher than that for Base/SiO<sub>2</sub> despite no difference in the number of the basic sites (Entry 6). We further confirmed that Acid-Base/SiO<sub>2</sub> with the density of 0.4 nm<sup>-2</sup>, which was used here, was the most active catalyst among them with various acid-base pair site densities. Equimolar physical mixture of Acid/SiO<sub>2</sub> and Base/SiO<sub>2</sub> gave only low conversion (Entry 7). Therefore, we conclude that the adjacency of carboxyl group to amino one created

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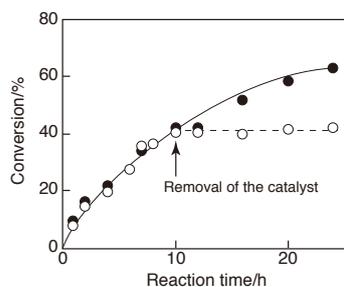
1 by hydrolysis of the amide resulted in the excellent catalytic  
2 activity of Acid-Base/SiO<sub>2</sub> for the reaction.

3  
4 **Table 1.** Comparison of catalytic activities for aldol  
5 condensation of 4-nitrobenzaldehyde with acetone.

Entry	Catalyst	Conv. <sup>a</sup> /%
1	SiO <sub>2</sub>	0
2	Acid/SiO <sub>2</sub>	0
3	Base/SiO <sub>2</sub>	34
4	Base/SiO <sub>2</sub> (TMS)	9
5	Amide/SiO <sub>2</sub>	0
6	Acid-Base/SiO <sub>2</sub>	66 (61, 63) <sup>b</sup>
7	Acid/SiO <sub>2</sub> + Base/SiO <sub>2</sub>	8
8	Acid-Base(CV)/SiO <sub>2</sub>	42
9	Propylamine <sup>c</sup>	22
10	Propylamine + Pentanoic acid <sup>d</sup>	12

6 Reaction conditions: 4-nitrobenzaldehyde, 0.5 mmol; acetone,  
7 1.5 mmol; catalyst, 0.025 mmol; toluene (solvent), 10 mL;  
8 temperature, 50°C; time, 24 h. The amount of the catalyst was  
9 defined according to the number of base sites estimated by  
10 titration. In entries 1 and 2, 0.05 g of the catalysts were used. In  
11 entry 5, 0.10 g of the catalyst was used. <sup>a</sup>Conversion of 4-  
12 nitrobenzaldehyde. <sup>b</sup>The data in parenthesis are the conversions  
13 for 1<sup>st</sup> and 2<sup>nd</sup> reuses. <sup>c</sup>Homogeneous catalyst. <sup>d</sup>Mixture.

14  
15 At 10 h for the reaction over Acid-Base/SiO<sub>2</sub>, the  
16 catalyst was removed by filtration and the obtained solution  
17 was further heated again at 50°C. No significant increase in  
18 the conversion was observed (Figure 3), indicating that the  
19 reaction proceeded over Acid-Base/SiO<sub>2</sub>. In addition, Acid-  
20 Base/SiO<sub>2</sub> was reusable for the reaction. After the reaction  
21 for 24 h, the catalyst was collected by a centrifugation and  
22 washed with toluene and ethanol, followed by drying at  
23 60°C overnight. Then, the catalyst was afforded to the  
24 second reaction under the same reaction conditions. It was  
25 found that Acid-Base/SiO<sub>2</sub> was reusable without any  
26 significant loss of activity for at least 2 times reuse (Entry 6  
27 in Table 1).

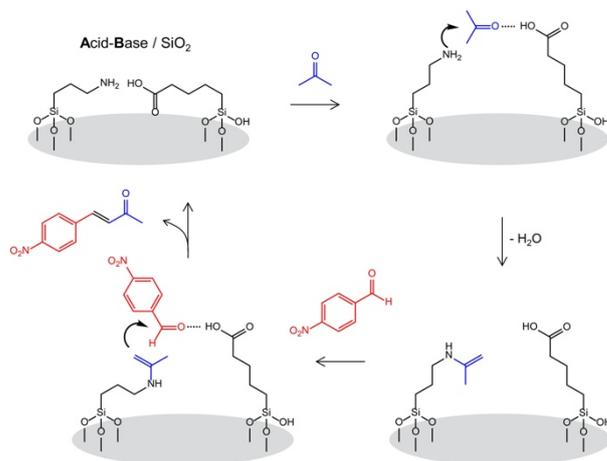


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39 **Figure 3.** Hot-filtration experiment for the aldol  
40 condensation over Acid-Base/SiO<sub>2</sub>. (●) without removal of  
41 the catalyst and (○) the catalyst was removed at 10 h.

42  
43 To highlight the superiority of our proposed method,  
44 we further compared Acid-Base/SiO<sub>2</sub> with another acid-base  
45 SiO<sub>2</sub> catalyst (Acid-Base(CV)/SiO<sub>2</sub>) that was prepared by a  
46 conventional manner<sup>25,26</sup> and a homogeneous base catalyst.  
47 Acid-Base(CV)/SiO<sub>2</sub> was prepared by immobilizing *tert*-  
48 butoxycarbonyl (Boc)-protected 3-  
49 (triethoxysilyl)propylamine and 5-(triethoxysilyl)pentanoic

50 acid on SiO<sub>2</sub>, followed by deprotection of Boc to give the  
51 catalyst that had both acid and base sites (Details are shown  
52 in Supporting Information). Acid-Base(CV)/SiO<sub>2</sub> was less  
53 active than Acid-Base/SiO<sub>2</sub> (Entry 8), while the number of  
54 the base sites for Acid-Base(CV)/SiO<sub>2</sub> determined by  
55 titration (0.16 mmol g<sup>-1</sup>) was almost the same as that for  
56 Acid-Base/SiO<sub>2</sub> (0.14 mmol g<sup>-1</sup>). We presumed that the low  
57 activity of Acid-Base(CV)/SiO<sub>2</sub> was due to the presence of  
58 the less active amino group that was not adjacent to  
59 carboxylic acid. In other word, our proposed method can  
60 create efficiently the adjacent acid-base pair sites showing  
61 the high catalytic activity. Jones and co-workers reported  
62 that the modification of Base/SiO<sub>2</sub> with methacrylic acid,  
63 which was formed by deprotection of *tert*-butylmethacrylate  
64 fixed in advance, to make acid-base pair sites lower the  
65 catalytic activity for the aldol condensation<sup>22</sup> unlike Acid-  
66 Base(CV)/SiO<sub>2</sub>. The carboxyl group in Acid-Base(CV)/SiO<sub>2</sub>  
67 is more flexible than that in their catalyst because of the  
68 longer (C<sub>4</sub>) methylene chain, which probably makes the  
69 distance of acid-base pair sites appropriate for the activation  
70 of the reactants. It is interesting that the adjacent acid-base  
71 pair sites on Acid-Base/SiO<sub>2</sub> was more active than the  
72 corresponding propylamine (Entry 9) that acted as a  
73 homogenous catalyst and a mixture of propylamine and  
74 pentanoic acid (Entry 10).

75 In the aldol condensation catalyzed by primary amine,  
76 it is proposed based on the spectroscopic analyses with IR,  
77 Raman and <sup>13</sup>C CP/MAS NMR that the reaction proceeds  
78 through the formation of enamine intermediate between  
79 ketone and amine,<sup>30,31</sup> while imine formation inhibits the  
80 reaction.<sup>31</sup> In this process, it is assumed that enough  
81 nucleophilicity of amine is required for the addition to  
82 carbonyl group. Thus, higher the nucleophilicity of amine  
83 on the catalyst is, more the reaction is enhanced. To get  
84 information on the nucleophilicity, we measured N 1s XPS  
85 spectra for Base/SiO<sub>2</sub> and Acid-Base/SiO<sub>2</sub> (Figure S4). The  
86 both catalysts gave the peaks with almost the same binding  
87 energy, indicating similar nucleophilicity between Acid-  
88 Base/SiO<sub>2</sub> and Base/SiO<sub>2</sub> having no carboxyl group for  
89 neutralization of amino group.



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**Figure 4.** Plausible mechanism for the acceleration of aldol  
condensation over the adjacent acid-base pair sites on SiO<sub>2</sub>.

1  
2 The other possibility is that the acid and base sites on  
3 Acid-Base/SiO<sub>2</sub> cooperatively activate the reactants (Figure  
4 4).<sup>30,31</sup> In acid-base cooperative mechanism for the aldol  
5 condensation, an enamine derived from acetone and amino  
6 group in Acid-Base/SiO<sub>2</sub> is first generated by the assistance  
7 of carboxyl group. Subsequently, nucleophilic addition of  
8 the enamine to the aldehyde smoothly occurs through  
9 activation of the aldehyde by the carboxyl group to form the  
10 carbon-carbon bond. Under these processes, the higher  
11 acidity of the carboxyl group than the silanol and the  
12 appropriate adjacency between the carboxyl and amino  
13 groups are thought to be important for the potent catalytic  
14 activity of Acid-Base/SiO<sub>2</sub>. We are now investigating  
15 kinetic and spectroscopic studies to reveal how the acid-  
16 base pair sites on Acid-Base/SiO<sub>2</sub> catalyze the reaction and  
17 thus will report them in the near future.

18 In conclusion, we have shown the excellent catalytic  
19 performance of the present catalyst having adjacent acid-  
20 base pair sites for the aldol condensation of 4-  
21 nitrobenzaldehyde with acetone. The acid-base pair was  
22 closely arranged by hydrolysis of amide immobilized on  
23 SiO<sub>2</sub>, exhibiting much higher catalytic activity than other  
24 catalysts examined such as only acid or base sites on SiO<sub>2</sub>.  
25 This method enabled close proximity between acid and base  
26 and further applicable to create acid-base pair sites with  
27 precisely controlled distance by hydrolysis of amide  
28 including linker moiety. (Figure S5)

29  
30 This work is supported by the JSPS KAKENHI Grant-  
31 in-Aid for Challenging Exploratory Research (Grant  
32 Number JP19K22074).

33  
34 Supporting Information is available on  
35 <https://doi.org/??????.>

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