



Tetrahedron: Asymmetry 14 (2003) 3639-3641

TETRAHEDRON: ASYMMETRY

A practical diastereoselective synthesis of β-amino-α-hydroxy carboxylates

Jae-Mok Lee, Hyun-Suk Lim, Kyung-Chang Seo and Sung-Kee Chung*

Department of Chemistry, Division of Molecular and Life Sciences, Pohang University of Science and Technology, Pohang 790-784, Republic of Korea

Received 4 July 2003; revised 1 October 2003; accepted 4 October 2003

Abstract—Practical synthetic routes to β -amino- α -hydroxy carboxylates (AHC) have been developed from amino acids. Reduction of β -amino- α -keto esters 6 with NaBH₄ was found to give *anti*-AHCs 7 in high de, which were efficiently converted to the corresponding *syn*-AHCs 8 via oxazolidine ring 10 formation.

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β-Amino-α-hydroxy carboxylic acids (AHC) have received much attention because they are essential structural components in a variety of biologically important compounds such as taxol,¹ a potent anticancer agent, bestatin,² an aminopeptidase inhibitor, amastatin,³ a protease inhibitor, KNI-227 and KNI-272,⁴ highly potent HIV protease inhibitors, and kanamicin A,⁵ an antibacterial agent (Fig. 1). Much effort has been devoted to the development of efficient stereoselective synthetic routes to enantiomerically pure AHC.⁶ Recently, we developed a highly practical route to diastereoselective syntheses of all four sphingosine stereoisomers via non-chelation controlled and chelation controlled reduction of *N*-trityl protected amino



enones and free amino enones using $NaBH_4$ and $Zn(BH_4)_2$, respectively.⁷ We describe herein an extension of this method to the preparation of optically active *syn/anti* AHC derivatives.

Our synthesis procedures are based on commercially available amino acids and are illustrated with three representative amino acids; L-phenylglycine, L-phenylalanine and L-leucine (Scheme 1). Esterification of the



Scheme 1. Reagents and conditions: (i) (a) AcCl, MeOH, reflux, (b) TrCl, Et_3N , CH_2Cl_2 , rt; (ii) $LiCH_2PO(OMe)_2$, THF, -78°C; (iii) PhCHO, NaH, THF, rt; (iv) conc. HCl, THF, reflux; (v) O₃, NaOH, MeOH–CH₂Cl₂, -78°C; (vi) conc. HCl, MeOH, rt.

Figure 1.

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^{*} Corresponding author. Tel.: +82-54-279-2764; fax: +82-54-279-3399; e-mail: skchung@postech.ac.kr

amino acids with methanolic hydrogen chloride, followed by protection of the amino functionality with trityl chloride gave the protected amino esters 1a-c in high yields. The esters 1a-c were quantitatively converted to the β -keto phosphonates **2a**-c by treatment with excess lithium dimethyl methylphosphonate. The Horner–Wadsworth–Emmons olefination of the β -keto phosphonates 2a-c with benzaldehyde under the NaH/ THF conditions provided the corresponding enones **3a-c** in good yields as previously reported.⁸ The enones 3a-c were treated with conc. HCl in THF at reflux to give the deprotected amino enones 4a-c. We first examined possible diastereoselective reduction of these types of enones (3 and 4) using the methodology previously developed in our laboratory,⁷ on the premise that the amino alcohol products could be converted to AHCs by an oxidative cleavage reaction in the next stage. As expected, reduction of the N-trityl protected enones 3a-c and the unprotected amino enones 4a-c with NaBH₄ and Zn(BH₄)₂ provided the syn- and antiamino alcohols as the major products, respectively.⁹ However, the observed ratios of the syn/antidiastereoselectivity (in the range of 52-85% de) were not satisfactory for our practical synthetic goal.

In an attempt to improve diastereoselectivity, we wished to subject the β -amino- α -keto esters **5** and **6** to the reduction conditions. Ozonolysis of the enones **3a-c** in MeOH and CH₂Cl₂ afforded *N*-trityl- α -keto esters **5a-c** in acceptable yields.¹⁰ The *N*-trityl- α -keto esters **5a-c** in THF were treated with conc. HCl to give deprotected amino- α -keto esters **6a-c** as the HCl salt form. We have then examined diastereoselective reduction of these α -keto esters and the results are shown in Table 1.

Initially, for direct comparison we examined the syn/anti selectivity in the reduction of **5a** and **6a**. It was

hoped that reduction of N-trityl protected α -keto ester 5a would give as the major product the syn-product via an open Felkin-Anh transition state, whereas the free amino α -keto ester **6a** would yield the *anti*-product via a chelation controlled transition state. To our dismay, however, we obtained the *anti*-product as the major product in both cases. With trityl protected compounds 5a-c the observed diastereoselectivities were rather low with either NaBH₄ or $Zn(BH_4)_2$ (entries 1–7). The reduction of compound 5 is supposed to proceed via the Felkin–Anh transition state model since the R_1 group is bulkier than the N-trityl protected amino group, unlike our previous cases in which the N-trityl protected amino group is the largest group. The low selectivities observed with compound 5 are perhaps due to small differences of bulkiness between the R_1 group and the *N*-trityl protected amino group.

On the other hand, reduction of the free amino α -keto esters **6a** gave the *anti*-product in excellent diastereoselectivity in accord with the expected cyclic Felkin–Anh transition state model (entries 8 and 9). Under the same conditions **6b** and **c** could also be converted to the corresponding *anti*-products in good yields and with equally excellent diastereoselectivities (entries 10–13).¹¹ The stereochemical assignment of **7a** were made by comparison with the literature values,^{6d} and also after its conversion to the benzoyl derivative **9a**.^{12,13}

In order to secure a ready access to the desired *syn*-AHC, we had to resort to the inversion of the alcohol configuration of the *anti*-products **7a–c** (Scheme 2). Thus, the amino groups of **7** were benzoylated to give **9**, and compounds **9** were converted to oxazolidine derivatives **10** in excellent yields by reaction with SOCl₂ in CH₂Cl₂. Successive treatment of **10a–c** with HCl in methanol, and then aqueous NaHCO₃ provided in better than 70% overall yields the *syn*-AHCs **8a–c**.¹⁴ The

Table 1. Reduction of α -keto esters



Entry	Substrate	R ₁	R ₂	Conditions	Yield (%) ^a	Ratio anti:syn ^b	De (%)
1	5a	Phenyl	Trityl	NaBH ₄ /MeOH/-20°C	77	5.9:1	71
2	5a	Phenyl	Trityl	NaBH ₄ /CeCl ₃ ·7H ₂ O/MeOH/0°C	63	2.4:1	41
3	5a	Phenyl	Trityl	Zn(BH ₄) ₂ /THF/-78°C	79	8.5:1	79
4	5b	Benzyl	Trityl	NaBH ₄ /MeOH/-20°C	55	1.9:1	31
5	5b	Benzyl	Trityl	$Zn(BH_4)_2/THF/-78^{\circ}C$	78	2.6:1	44
6	5c	i-Butyl	Trityl	NaBH ₄ /MeOH/-20°C	63	2.7:1	46
7	5c	<i>i</i> -Butyl	Trityl	$Zn(BH_4)_2/THF/-78^{\circ}C$	72	5.7:1	70
8	6a	Phenyl	H·HC1	NaBH ₄ /MeOH/-20°C	73	99:1	98
9	6a	Phenyl	H·HC1	Zn(BH ₄) ₂ /THF/-78°C	75	49:1	96
10	6b	Benzyl	H·HC1	NaBH ₄ /MeOH/-20°C	77	99:1	98
11	6b	Benzyl	H·HC1	Zn(BH ₄) ₂ /THF/-78°C	74	15.3:1	88
12	6c	<i>i</i> -Butyl	H·HC1	NaBH ₄ /MeOH/-20°C	86	15.7:1	88
13	6c	i-Butyl	H·HCl	$Zn(BH_4)_2/THF/-78^{\circ}C$	75	18.6:1	90

^a Yields of isolated anti products.

^b The anti/syn ratio was determined by NMR analysis of the crude mixture.



Scheme 2. Reagents and conditions: (i) BzCl, NaHCO₃, MeOH, 0°C; (ii) SOCl₂, CH₂Cl₂, reflux; (iii) 1N HCl, MeOH, reflux, followed by satd NaHCO₃, 50°C.

spectroscopic and physical properties of **8a** were satisfactorily compared with the literature data.¹⁵

In summary, we have developed practical synthetic routes to syn/anti β -amino- α -hydroxy carboxylates from amino acids using highly efficient diastereoselective reduction of β -amino- α -keto ester derivatives **6** via a chelation control. This method is expected to be useful in preparing a variety of biologically important compounds containing the *syn/anti* AHC moiety.

Acknowledgements

Financial support from the Ministry of Education/ BSRI Fund is gratefully acknowledged.

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- Compounds 3 and 4 were reduced by a variety of conditions employing NaBH₄, LiBH₄, Zn(BH₄)₂, L-Selectride, LiAlH₄, NaBH₃CN, and DIBAL to give *syn/anti* products in good chemical yields (68–99%) but moderate diastereoselectivities (52–85% de).
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- 11. It is also conceivable that the reduction of α -keto esters **5** and **6** takes place in the H-bonded cyclic structure between the amino group and the ester group.
- No racemization during the reduction was confirmed by converting benzoylated *anti*-AHC compounds 9a-c to the corresponding *O*-(-)-MTPA esters 9a'-9c'.¹⁷ ¹H and ¹⁹F NMR data indicated their homogeneity. Compound 9a': ¹H NMR (CDCl₃) δ 3.62 (s, 3H), 3.69 (s, 3H), 5.80 (m, 2H), 6.42 (d, *J*=7.8 Hz, 1H), 7.26-7.60 (m, 15H), ¹⁹F NMR (CDCl₃) δ 5.00. Compound 9b': ¹H NMR (CDCl₃) δ 2.87 (m, 2H), 3.66 (s, 3H), 3.68 (d, *J*=1.1 Hz, 3H), 5.09 (m, 1H), 5.55 (d, *J*=3.6 Hz, 1H), 5.85 (d, *J*=8.5 Hz, 1H), 7.12-7.48 (m, 15H), ¹⁹F NMR (CDCl₃) δ 5.05. Compound 9c': ¹H NMR (CDCl₃) δ 0.89 (d, *J*=6.4 Hz, 6H), 0.97 (m, 1H), 1.26 (m, 1H), 1.56 (m, 1H), 3.66 (d, *J*=1.1 Hz, 3H), 3.86 (s, 1H), 4.86 (m, 1H), 5.50 (d, *J*=1.9 Hz, 1H), 5.76 (d, *J*=3.4 Hz, 1H), 7.26-7.62 (m, 10H), ¹⁹F NMR (CDCl₃) δ 4.92.



- 13. Compound 9a: [α]_D²⁰ -25.6 (*c* 0.68, CHCl₃), mp 155–157°C {lit.^{6d} [α]_D²¹ -23.7 (*c* 1.1, CHCl₃), mp 158–159°C}. To the best of our knowledge, compounds 9b and 9c were not previously synthesized. Compound 9b: [α]_D²⁰ -14.6 (*c* 0.56, CHCl₃), mp 183–184°C. Compound 9c: [α]_D²⁰ -9.8 (*c* 0.46, CHCl₃), mp 141–142°C.
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- 15. Compound 8a: [α]_D²⁵ -48.6 (*c* 0.42, MeOH), mp 182-183°C {lit.¹⁶ [α]_D²⁰ -47.5 (*c* 0.99, MeOH), mp 180-181°C}. To the best of our knowledge, compounds 8b and 8c were not previously synthesized. 8b: [α]_D²⁵ -89.5 (*c* 0.35, CHCl₃), mp 129-130°C. 8c: [α]_D²⁵ -53.1 (*c* 0.40, CHCl₃), mp 122-123.5°C.
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