

NOTE

Phytotoxic α -pyrones produced by *Pestalotiopsis guepinii*, the causal agent of hazelnut twig blight

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Pestalotiopsis guepinii (Desm.) is the fungal causal agent of the so-called 'twig blight', one of the most serious diseases of hazelnut (*Corylus avellana* L.) in Turkey, and one of the main causes of yield losses. *P. guepinii* has been also isolated from walnut (*Juglans* spp.) and gum mastic tree (*Pistacia lentiscus* var. *Chia*). Recently, the main lipophilic phytotoxic metabolite produced by *P. guepinii* in vitro culture was isolated and identified by spectroscopic methods as pestalopyrone (7),¹ a pentaketide already known as a minor toxin produced by *Pestalotiopsis oenotherae*.²

When the fungus was grown on a different culture medium,³ some fractions obtained by the purification of the culture filtrate, not containing pestalopyrone, proved to be phytotoxic. Their further purification yielded other two amorphous phytotoxic metabolites (1 and 6, Figure 1) that by preliminary spectroscopic experiments (including ¹H and ¹³C NMR, IR and UV) appeared to be closely related to α -pyrones.

Indeed 1 was identified as 6-(1-hydroxypentyl)-4-methoxy-pyran-2-one and showed optical rotation, IR, UV, ¹H and ¹³C NMR spectra very similar to those previously reported in literature for the metabolite named PC-2 isolated from a *Penicillium* sp.^{4,5} The same α -pyrone (1) was successively isolated also from *Galiella rufa* together with (-)-gallielactone and its biogenetic precursor.⁶ *Penicillium nordicum*, *P. olsonii* and *P. verrucosum*⁷ and recently a marine-derived fungus *Xylaria* sp. PSU-F100⁸ also showed to produce 1. The structure assigned to 1 was supported by the data observed in its COSY, HSQC and HMBC spectra (Table 1) as well as also by the data of the ESIMS. Beside both sodium clusters of the dimer and the toxin itself, and the pseudomolecular ion observed at *m/z* 447 [2XM+Na]⁺, 235 [M+Na]⁺, 213 [M+H]⁺, the ESIMS spectrum also showed the significant fragmentation peak [M+Na-CO₂]⁺ at *m/z* 191 generated from the sodium cluster by loss of CO₂, which is a fragmentation

mechanism typical of α -pyrones.⁹ The structure assigned to 1 was also supported by the data of its NOESY spectrum in which a significant coupling was observed between the two α -pyrone protons H-5 and H-3 and the methoxy group; furthermore, H-5 also coupled with the greater part (H₂-1', H₂-2' and H₂-3') of the 1-hydroxypentyl side chain protons and these latter themselves coupled.

Some key derivatives were prepared by converting 1 into the corresponding monoacetyl and oxidized derivatives (2 and 3, respectively, Figure 1), whose spectroscopic data were fully consistent with those previously reported in literature. Indeed, 2 showed optical rotation IR, UV and ¹H NMR spectra very similar to those previously reported when PC2 was acetylated by a similar procedure.⁴ The ESIMS spectrum of 2 showed, beside the sodium cluster and the pseudomolecular ion at *m/z* 277 [M+Na]⁺, 255 [M+H]⁺, the significant fragmentation peak at *m/z* 195 [M+H-AcOH]⁺, which was generated by the pseudomolecular ion through the typical loss of the acetic acid residue.⁹ The oxidized derivative of 3 showed IR, UV and ¹H NMR data very similar to those previously reported in the literature for the product obtained by the reaction of N-bromosuccinimide with the 5-hydroxy-3-methoxy-6-oxo-2-decenoic acid δ -lactone, a metabolite isolated from an unidentified fungus¹⁰ and close related to the monosubstituted 5,6-dihydro- α -pyrone pestalotin. This latter compound is a fungal metabolite with giberellin synergistic activity isolated originally from the phytopathogenic fungus *Pestalotia cryptomeriaeicola*^{11,12} and successively from a *Penicillium* sp. and designed LL-P880 α .^{4,13} Some closely related α -pyrones and 5,6- α -dihydropyrone were isolated from the same two fungi^{4,5} and also from *Penicillium citro-viride*.⁷

The absolute stereochemistry of the secondary hydroxylated carbon C-1' of 1-hydroxypentyl side chain at C-6 of 1 was determined by applying the modified Mosher's method.¹⁴ By reaction with the

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R-(-)- α -methoxy- α -trifluoromethyl- α -phenylacetate (MTPA) and *S*-(+)-MTPA chlorides, **1** A was converted in the corresponding diastereomeric *S*-MTPA and *R*-MTPA esters (**4** and **5**, respectively), whose spectroscopic data were consistent with the structure assigned to **1**. The comparison between the ^1H NMR data (Table 1) of the *S*-MTPA ester (**4**) and those of the *R*-MTPA ester (**5**) of **1** allowed to assign to C-1' a *R*-configuration. This unambiguously and directly assigned absolute configuration, which allowed to formulate **1** as 6-(1*R*-hydroxypentyl)-4-methoxy-pyran-2-one, was the same of that of metabolite PC-2, which was previously indirectly determined.⁶

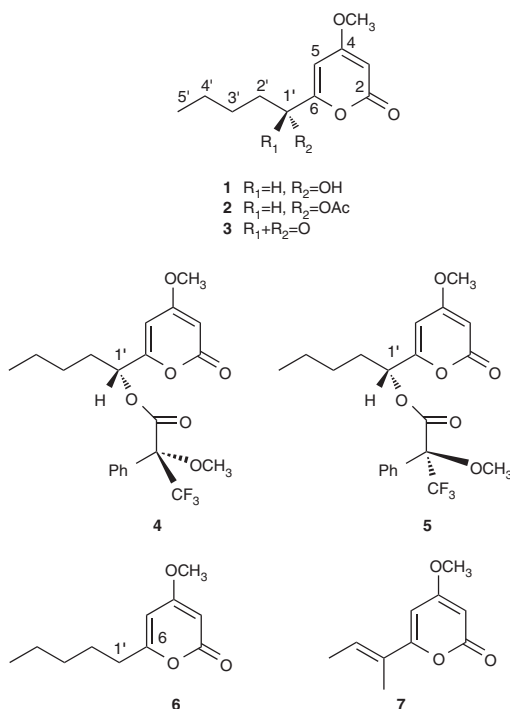


Figure 1 Structures of 6-(1-hydroxypentyl)-4-methoxy-pyran-2-one and 6-pentyl-4-methoxy-pyran-2-one (**1** and **6**), four derivatives (**2-5**) of **1**, and pestalopyrone (**7**).

The α -pyrone **6** was identified as 6-pentyl-4-methoxy-pyran-2-one on the basis of its spectroscopic data below described in detail. Although **6** was originally reported as a synthetic compound¹⁵ in a work aimed at preparing natural polyketides, and as a metabolite of *Galiella rufa*, no spectroscopic data had been reported for this compound. Its ^1H and ^{13}C NMR data (Table 2) were very similar to those of **1**, as they differed only for the absence of any secondary hydroxylated carbons in the side chain at C-6. The assignment of the chemical shift to all carbons and corresponding protons was also based on the coupling observed in the COSY, HSQC and HMBC spectra. In the NOESY spectrum a significant coupling was observed between the methoxy group with both two α -pyrone protons H-5 and H-3 with, as well as H-5 also coupled with the greater part (H_2 -1', H_2 -2' and H_2 -3') of the *n*-pentyl side chain protons and these latter themselves coupled. This structure of **6** was also supported by ESIMS data, which showed the sodium cluster and the pseudomolecular ion at m/z 219 $[\text{M}+\text{Na}]^+$ and 197 $[\text{M}+\text{H}]^+$, respectively.

No biological properties were reported for α -pyrones **1** and **6** except some bioassays carried out on the metabolite **6** for a possible nematocidal activity,^{16,17} but with negative evidences. For this reason,

Table 2 ^1H and ^{13}C NMR data of 6-pentyl-4-methoxy-pyran-2-one (**6**)^{a,b}

C	δ_C m°	δ_H	HMBC
2	164.3 s		H-3
3	87.3 d	5.43 d ($J=2.2$ Hz)	
4	170.9 s		H-5, H-3, OMe
5	100.0 d	5.79 d ($J=2.2$ Hz)	H_2 -1'
6	165.1 s		H-5, H_2 -1', H_2 -2'
1'	32.7 t	2.46 t ($J=7.5$ Hz)	H_2 -2', H_2 -3', Me-4'
2'	25.9 t	1.67 (2H) m	H_2 -1'
3'	22.0 t	1.34 (2H) m	H_2 -2', Me-4'
4'	30.0 t	1.34 (2H) m	
5'	14.2 q	0.92 (3H) t ($J=6.8$ Hz)	
OMe	57.1 q	3.82 s	

^aThe chemical shifts are in δ values (p.p.m.) from TMS.

^b2D ^1H , ^1H (COSY) ^{13}C , ^1H (HSQC) NMR experiments delineated the correlations of all the protons and the corresponding carbons.

^cMultiplicities were assigned by DEPT spectra.

Table 1 ^1H NMR data of 6-(1-hydroxypentyl)-4-methoxy-pyran-2-one (**1**)^{a,b} its (*S*)- and (*R*)-MTPA esters (**4** and **5**)^a

	1		4	5
Position	δ_H	HMBC	δ_H	δ_H
2		H-3		
3	5.43 d ($J=2.1$ Hz)	H-5, OMe	5.450 d ($J=2.0$ Hz)	5.480 d ($J=2.0$ Hz)
4		H-5, H-3, OMe		
5	6.07 d ($J=2.1$ Hz)	H-3, H-1'	5.805 d ($J=2.0$ Hz)	5.981 t ($J=6.5$ Hz)
6		H-5, H-1'		
1'	4.38 dd ($J=7.8$ and 4.7 Hz)	H-5, H_2 -2', H_2 -3'	5.610 t ($J=6.3$ Hz)	5.630 t ($J=6.5$ Hz)
2'	1.86 m	H-1', H_2 -3', Me-5'	1.960 (2H) m	1.929 (2H) m
	1.71 m			
3'	1.35 (2H) m	H-1', H_2 -2', Me-5'	1.333 (2H) m	1.210 (2H) m
4'	1.35 (2H) m	H-1', H_2 -2', H_2 -3', Me-5'	1.333 (2H) m	1.210 (2H) m
5'	0.90 (3H) d ($J=7.0$ Hz)	H_2 -3'	0.901 (3H) t ($J=6.9$ Hz)	0.853 (3H) t ($J=7.0$ Hz)
MeO	3.82 s		3.811 s	3.828 s
MeO			3.560 s	3.570 s
Ph			7.530–7.420 m	7.550–7.250 m

^aThe chemical shifts are in δ values (p.p.m.) from TMS.

^b2D ^1H , ^1H (COSY) ^{13}C , ^1H (HSQC) NMR experiments delineated the correlations of all the protons and the corresponding carbons.

a preliminary assessment of their phytotoxic and antimicrobial activities was performed and compared with those of the two derivatives (2 and 3) of 1. When tested by puncture on leaves of a number of plant species (that is: *Convolvulus arvensis*, *Mercurialis annua*, *Chenopodium album* and *Ailanthus altissima*) at 20 μ g per droplet, 1 proved to be highly phytotoxic, causing the fast appearance of large necrosis on the leaves of all the species tested. 6 proved to be almost as toxic as 1, although it was unable to cause necrosis on leaves of *C. arvensis*, probably owing to a lower sensitivity of this plant. The acetyl derivative 2 was less active compared with 1, whereas the oxidized one 3 proved to be completely ineffective to all the tested leaves. Probably some plants, as *C. arvensis* and *M. annua*, have the capability to hydrolyse 3 into 1. Assayed by comparison at the same concentration, the main metabolite pestalopyrone proved to be on average less toxic than the two α -pyrones 1 and 6. These results make possible to suppose that the *n*-pentyl side chain is important for the activity. If a substituent is present in this side chain it could be a nucleophilic group as are the hydroxy or the double bond present, respectively, in 1 and 7. Conversely, the presence of an electrophilic group, as is the carbonyl present in 3, determines the loss of activity. However, 7 showed a reduced phytotoxicity as a 2-butenyl group instead of a *n*-pentyl side chain was bonded at C-6. In the bioassay on *Lemna minor* L., carried out at the concentration of 100 μ g per well the most toxic compounds proved to be 6 and the acetyl derivative of 1, which caused the complete desiccation of the plantlets already 24 h after their immersion in the test solution. This effect was similar to that observed in the case of fumonisin B1, a powerful phytotoxin¹⁸ used for comparison at the same concentration. α -Pyrone 1 and its oxidized derivative 3 proved to be less toxic and slower acting, as they caused a clear chlorosis of the plantlets 48 h after immersion. In this bioassay pestalopyrone proved to be ineffective. None of these compounds, when tested up to 100 μ g per diskette, showed any antibiotic activities when assayed on *Bacillus subtilis* (gram +) and *Escherichia coli* (gram-), and neither a fungitoxic activity when assayed against *Geotrichum candidum*.

In conclusion, the two α -pyrone, 6-(1-hydroxypentyl)-4-methoxy-pyran-2-one; 6-pentyl-4-methoxy-pyran-2-one (1 and 6) were isolated for the first time as metabolites of *P. guepinii* together pestalopyrone, another α -pyrone recently isolated from the same fungus.¹ Pestalopyrone, named demehtyl nectriapyrone A, was previously isolated with nectriapyrone B from an unidentified fungus isolated from the indo-pacific sponge *Stylotella* sp.^{19,20} Furthermore, a suitable substituted *n*-pentyl side chain at C-6 of pyrone ring appeared to be a structural feature important for the phytotoxicity.

EXPERIMENTAL PROCEDURE

Optical rotations were measured in CHCl_3 solution on a Jasco P-1010 (Tokyo, Japan) digital polarimeter; IR spectra were recorded as glassy film on a Perkin-Elmer Spectrum (Norwak, CT, USA). One FT-IR Spectrometer and UV spectra were taken in MeCN solution on a Perkin-Elmer Lambda 25 UV/Vis spectrophotometer. ^1H and ^{13}C NMR spectra were recorded at 600 and 400, and 100 and 150 MHz, respectively, in CDCl_3 on Bruker spectrometers (Kalsruhe, Germany), unless otherwise noted. The same solvent was used as internal standard. Carbon multiplicities were determined by DEPT spectra. DEPT, COSY-45, HSQC, HMBC and NOESY experiments were performed using Bruker microprogams. ESI MS spectra were recorded on Waters Micromass Q-TOF Micro (Milford, MS, USA) instruments. Analytical and preparative TLC were performed on Si gel (Kieselgel 60 F₂₅₄, 0.25 and 0.50 mm, respectively, Merck, Darmstadt, Germany) plates; the spots were visualized by exposure to UV light and/or by spraying first with 10% H_2SO_4 in MeOH and then with 5% phosphomolybdic acid in EtOH, followed by heating at 110 °C for 10 min. CC: Si gel (Kieselgel 60, 0.063-0.200 mm, Merck).

Solvent systems: (A) EtOAc-*n*-hexane (3 : 2); (B) EtOAc-*n*-hexane (1 : 1); (C) EtOAc-*n*-hexane (2 : 3).

The strain of *P. guepinii* used in this study was isolated from naturally diseased hazelnut leaves as previously reported³ and deposited in the collection of the Istituto di Scienze delle Produzioni Alimentari, CNR, Bari, Italy, with the number ITEM 13203. The fungus was grown on a mineral defined liquid media named M1-D.⁵ The culture filtrate having high phytotoxic activity on leaves (3.250 l, pH 4.80) was lyophilized, resuspended in distilled water (1/10 of its original volume) and then extracted by EtOAc (four times with 330 ml each). The organic extracts were combined, dried with Na_2SO_4 and the solvent evaporated under reduced pressure, yielding a brown oil (141.9 mg). This oil was purified by column chromatography (solvent system A), yielding seven groups of homogeneous fractions. The first three fractions were combined (11.0 mg) and further purified by TLC (solvent system B). Three metabolites were obtained as amorphous solids; that is: the already described pestalopyrone (7) (4.3 mg, R_f 0.28); 6-(1-hydroxypentyl)-4-methoxy-pyran-2-one (1, 1.9 mg, 0.6 mg l^{-1} , R_f 0.57); and 6-pentyl-4-methoxy-pyran-2-one (6, 1.7 mg, 0.5 mg l^{-1} , R_f 0.49). The fourth fraction (8.0 mg) was also purified by TLC (solvent system A) giving a further amount of 1 A (6.4 mg, total 10.7 mg, 3.3 mg l^{-1}).

6-(1-hydroxypentyl)-4-methoxy-pyran-2-one (1): Amorphous solid; $[\alpha]_D^{25} +59.8$ (c 0.29); IR ν_{max} 3396, 1689, 1645, 1562, 1455, 1409 cm^{-1} ; UV λ_{max} 281 nm ($\log \epsilon$ 4.25), 224 nm (sh); (lit. 4: $[\alpha]_D^{25} +78.5$ (c 1.0 MeOH). IR $\nu_{\text{max}}^{\text{Film}}$ cm^{-1} : 3460, 1720, 1700, 1650, 1570, 1410, 1255. UV $\epsilon_{\text{OH}}^{\text{max}}$ nm (ϵ) 227 (sh. 3650) 289 (9850), 224 nm; ^1H NMR see Table 1; ^{13}C NMR was very similar to that previously reported in literature;²⁰ ESIMS: m/z 447 $[\text{2XM}+\text{Na}]^+$, 235 $[\text{M}+\text{Na}]^+$, 213 $[\text{M}+\text{H}]^+$, 191 $[\text{M}+\text{Na}-\text{CO}_2]^+$.

6-pentyl-4-methoxy-pyran-2-one (6): Amorphous solid; IR ν_{max} 1725, 1649, 1569, 1456, 1411 cm^{-1} ; UV λ_{max} 282 nm ($\log \epsilon$ 3.37), 224 nm (sh); ^1H and ^{13}C NMR spectra: see Table 2; ESIMS (+) m/z 219 $[\text{M}+\text{Na}]^+$, 197 $[\text{M}+\text{H}]^+$.

1'-O-Acetyl derivative of 1 (2): 6-(1-hydroxypentyl)-4-methoxy-pyran-2-one (1, 3.7 mg) was converted into the corresponding 1-O'-acetyl derivative by usual reaction with pyridine and acetic anhydride. Derivative 2 had: $[\alpha]_D^{25} +61.3$ (c 0.24); IR ν_{max} 1731, 1655, 1572, 1455, 1412, 1225 cm^{-1} ; UV λ_{max} 282 nm ($\log \epsilon$ 3.49), 224 nm (sh) (lit. 4: $[\alpha]_D^{25} +85.3$ (c 0.19, MeOH); IR $\nu_{\text{max}}^{\text{Film}}$ cm^{-1} : 1730, 1650, 1565, 1405, 1245, 1225, 1035, 1020); ^1H NMR, δ 5.97 (1H, d, $J=2.1$ Hz, H-5), 5.47 (1H, d, $J=2.1$ Hz, H-3), 5.44 (1H, dd, $J=7.3$ and 6.0 Hz, H-1'), 3.82 (3H, s, OMe), 2.14 (3H, s, MeCO), 1.89 (m, H₂-2'), 1.34 (4H, m, H₂-3' and H₂-4'), 0.93 (3H, t, $J=6.9$ Me-5'). EIMS (rel. int) m/z : 277 $[\text{M}+\text{Na}]^+$, 255 $[\text{M}+\text{H}]^+$, 195 $[\text{M}+\text{H}-\text{AcOH}]^+$.

1-Oxo-derivative of 1 (3): 6-(1-hydroxypentyl)-4-methoxy-pyran-2-one (1, 2.0 mg) was oxidized at room temperature in anhydrous CH_2Cl_2 with MnO_2 (24.6 mg). Derivative 3, obtained as homogeneous solid (1.1 mg) had: IR ν_{max} 1721, 1699, 1637, 1567, 1465, 1412 cm^{-1} ; UV λ_{max} 310 nm ($\log \epsilon$ 3.29), 220 nm ($\log \epsilon$ 3.86) (lit. 10: i.r. (KBr) 1721, 1702, 1639, 1569, 1273 and 1257 cm^{-1} ; (CCl_4) 1746, 1711, 1638 and 1247 cm^{-1} ; uv λ_{max} (EtOH) 223 (ϵ 18100) and 309 nm (ϵ 5350)). ^1H NMR, δ 6.78 (1H, d, $J=2.3$ Hz, H-5), 5.73 (1H, d, $J=2.3$ Hz, H-3), 3.88 (3H, s, OMe) 2.92 (2H, t, $J=7.4$ Hz, H₂-2'), 1.64-1.30. (4H, m, H₂-3 and H₂-4'), 0.96 (3H, t, $J=7.4$ Me-5'). ESIMS (+) m/z : 233 $[\text{M}+\text{Na}]^+$, 211 $[\text{M}+\text{H}]^+$.

(S)- α -Methoxy- α -trifluoromethyl- α -phenylacetate (MTPA) ester of α -pyrone 1 (4). 6-(1-hydroxypentyl)-4-methoxy-pyran-2-one (1, 2.6 mg) was converted into the corresponding α -Methoxy- α -trifluoromethyl- α -phenylacetate (MTPA) ester of α -pyrone 1 (4) by reaction with (R)-(-)-MPTA-Cl dry pyridine. The usual work-up of the reaction yielded 4 as a homogeneous solid (2.9 mg). It had: $[\alpha]_D^{25} +32.0$ (c 0.26); IR ν_{max} 1732, 1658, 1573, 1455, 1413 cm^{-1} ; UV λ_{max} 282 nm ($\log \epsilon$ 3.79) 227 nm (sh); for ^1H NMR, see Table 1; ESIMS (+) m/z 879 $[\text{2XM}+\text{Na}]^+$, 451 $[\text{M}+\text{Na}]^+$, 429 $[\text{M}+\text{H}]^+$.

(R)- α -Methoxy- α -trifluoromethyl- α -phenylacetate (MTPA) ester of α -pyrone 1 (5). 6-(1-hydroxypentyl)-4-methoxy-pyran-2-one (1, 2.6 mg) was converted into the (R)- α -methoxy- α -trifluoromethyl- α -phenylacetate (MTPA) ester of α -pyrone 1 (5) by reaction (S)-(+)-MPTA-Cl. The reaction was carried out under the same conditions used for preparing 4 from 1. 5, obtained as a homogeneous solid (2.6 mg), had: $[\alpha]_D^{25} +60.8$ (c 0.23); IR 1732, 1658, 1573, 1455, 1413, UV λ_{max} 281 nm ($\log \epsilon$ 3.77) 227 nm (sh) and ESIMS very similar to those of 4. For ^1H NMR, see Table 1; ESIMS (+) m/z 879 $[\text{2XM}+\text{Na}]^+$, 451 $[\text{M}+\text{Na}]^+$, 429 $[\text{M}+\text{H}]^+$.

The bioassay of culture filtrates, organic extracts, chromatographic fractions and pure compounds were assayed by using a leaf puncture assay on different non-host plants; that is, *Convolvulus arvensis*, *Mercurialis annua*, *Chenopodium album* and *Ailanthus altissima*. The test solutions were dissolved in a small volume of MeOH (final concentration of MeOH = 2%) and then diluted with distilled water. The pure compounds were tested at a final concentration of 2 mg ml⁻¹, by applying 10 μ l of solution to detached leaves previously punctured with a needle. Leaves were kept in a moistened chamber under continuous fluorescent lights. Symptoms were estimated visually 3 days after droplet application, using a score from 0 (no symptoms) to 4 (very wide necrosis - 1 cm diameter).

Pure compounds were tested at a concentration of 2 μ g μ l⁻¹ on *Lemna minor* by adapting a protocol already described.¹⁸ Briefly, the wells of sterile, plastic 96-well microtitre plates were filled with 50 μ l aliquot of solutions containing the metabolites to be tested, at the concentration of above reported. One frond of actively growing axenic *L. minor* was placed into each well. Control wells were included in each plate. At least three replications were prepared for each compound. The plates were incubated in a growth chamber with 12/24 h fluorescent lights and observed daily up to 4 days. One day after the application of the test solution, 100 μ l of distilled water was added to each well. Appearance of necrotic or chlorotic symptoms was assessed visually by comparison of the treated plants with the control appearance.

The pure compounds were also tested for the antifungal activity on *Geotrichum candidum*, for the antibiosis against *Bacillus subtilis* (gram +) and *Escherichia coli* (gram-) according to the protocols already described, up to 100 μ g per diskette.²¹

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