## **Catalytic Asymmetric Total Synthesis of (–)-Galanthamine and (–)-Lycoramine**\*\*

Lei Li, Qiao Yang, Yuan Wang, and Yanxing Jia\*

Dedicated to Professor Jieping Zhu on the occasion of his 50th birthday

**Abstract:** The catalytic asymmetric total syntheses of (-)-galanthamine (1) and (-)-lycoramine (2) have been achieved by using a conceptually new strategy featuring two metalcatalyzed reactions as the key steps. A new method for the construction of 3,4-fused benzofurans has been developed through a palladium-catalyzed intramolecular Larock annulation reaction, which was successfully applied to the construction of the ABD tricyclic skeleton of 1 and 2. To achieve the asymmetric synthesis of 1 and 2, a Sc<sup>III</sup>/N,N'-dioxide complex was used to catalyze the enantioselective conjugate addition of 3-alkyl-substituted benzofuranone to methyl vinyl ketone for the construction of a chiral quaternary carbon center.

The 3,4-fused (dihydro)benzofuran skeleton, in which the 3position of the (dihydro)benzofuran is bridged to the 4position, represents the key structural motif of a diverse group of natural products which exhibit a wide range of biological activities (Figure 1). These natural products include the medicinally important galanthamine-type *Amaryllidaceae* 



*Figure 1.* Representative 3,4-fused (dihydro)benzofuran natural products.

- [\*] L. Li,<sup>[+]</sup> Q. Yang,<sup>[+]</sup> Dr. Y. Wang, Prof. Y. Jia State Key Laboratory of Natural and Biomimetic Drugs School of Pharmaceutical Sciences, Peking University Xue Yuan Rd. 38, Beijing 100191 (China) E-mail: yxjia@bjmu.edu.cn
- [<sup>+</sup>] These authors contributed equally to this work.
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alkaloids and the *Opium* alkaloids, which could serve as ideal model compounds for the development of new synthetic methods and strategies.<sup>[1]</sup>

As a continuation of our ongoing projects focused on the total synthesis of 3,4-fused indole alkaloids,<sup>[2]</sup> we have recently developed a general and convenient strategy for the construction of 3,4-fused indoles by an intramolecular Larock indolization reaction (Scheme 1 a).<sup>[3]</sup> Considering the

a) Our previous work for the synthesis of 3,4-fused indoles:



b) This work for the synthesis of 3,4-fused benzofurans:



**Scheme 1.** Synthesis of 3,4-fused indoles and 3,4-fused benzofurans by an intramolecular Larock annulation.

structural similarity of 3,4-fused benzofurans with 3,4-fused indoles, we were curious whether the palladium-catalyzed intramolecular Larock annulation could be applied to the preparation of 3,4-fused benzofurans (Scheme 1b). If this idea could be realized, then we would have opportunity to explore a new strategy for the synthesis of (-)-galanthamine (1) and (-)-lycoramine (2).

(-)-Galanthamine (1), a representative member of the Amaryllidaceae alkaloids, has been clinically used for the treatment of Alzheimer's disease and other memory impairments.<sup>[4]</sup> (-)-Lycoramine (2) has a similar, albeit less potent activity as an acetylcholinesterase inhibitor and an allosteric potentiating ligand.<sup>[5]</sup> Because of the high cost of its isolation and the limited natural sources, a number of total syntheses of 1 have been reported.<sup>[6-16]</sup> According to the strategy for the formation of the ring system, these syntheses can be divided into two categories: 1) synthesis proceeding from AC ring $\rightarrow$ ADC ring  $\rightarrow$  ABCD ring (Scheme 2, path a),<sup>[6–8]</sup> and it mainly involves intramolecular phenolic oxidative coupling followed by intramolecular oxa-Michael addition to form the benzofuran B ring, and 2) synthesis proceeding from the AC(AB) ring $\rightarrow$ ABC ring $\rightarrow$ ABCD ring (Scheme 2, path b),<sup>[9-16]</sup> in which the formation of the bridged seven-membered D ring is placed at the late stage of the synthesis. To our knowledge, no synthesis from the ABD ring $\rightarrow$ ABCD ring (Scheme 2, path c) has been reported.

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Scheme 2. Strategies for the synthesis of galanthamine (1).

Structurally, galanthamine-type alkaloids contain a chiral all-carbon quaternary center, and the enantioselective construction of this sterically congested quaternary center<sup>[17]</sup> is the critical step in the total synthesis of these alkaloids. Although numerous asymmetric total syntheses of these alkaloids have been reported,<sup>[7–16]</sup> only the groups of Trost,<sup>[9,15]</sup> and Fan,<sup>[13]</sup> as well as that of Zhou and Xie,<sup>[14]</sup> have recently achieved catalytic asymmetric synthesis of galanthamine (**1**) and related alkaloids.

To explore a new strategy for the effective construction of polycyclic ring systems and a new method for the catalytic asymmetric establishment of the crucial chiral all-carbon quaternary stereocenter, a retrosynthetic analysis of **1** and **2** is outlined in Scheme 3. We envisioned that both **1** and **2** could



**Scheme 3.** Retrosynthetic analysis for 1 and 2. Boc = tert-butoxycarbonyl, TES = triethylsilyl.

be derived from the same advanced intermediate **3**. The tetracyclic compound **3** could be generated from the tricyclic compound **4** by intramolecular ketone–lactone condensation. In turn, **4** could be accessed from **5** by key catalytic asymmetric Michael addition with methyl vinyl ketone (MVK). The benzofuranone **5** should be readily prepared by oxidation of 3,4-fused benzofunan **6**, which could be derived from **7** by a novel palladium-catalyzed annulation reaction.

We firstly examined the feasibility of our strategy for the synthesis of 3,4-fused benzofuran by an intramolecular Larock annulation reaction (Scheme 4).<sup>[18,19]</sup> A variety of reaction conditions were screened and we found that under the reaction conditions of  $[Pd_2(dba)_3]$ ·CHCl<sub>3</sub> (5 mol%) and



**Scheme 4.** Realization of the intramolecular Larock annulation reaction. dba = dibenzylideneacetone, Ts = 4-toluenesulfonyl, DMF = N, N-dimethylformamide.

 $P(tBu)_3$ ·HBF<sub>4</sub> (20 mol%) at 100 °C, the desired product **9a** was obtained in 95% yield (see the Supporting Information).<sup>[20]</sup> The substrate scope of this reaction was subsequently examined. The transformation was found to be quite general, and a variety of 3,4-fused benzofurans containing either carbon, oxygen, or nitrogen tethers were obtained in reasonable yields (Table 1).

Table 1: Synthesis of 3,4-fused benzofurans.[a]



[a] Yields are those of the isolated products. TMS = trimethylsilyl.

We then set out to apply this method to the assembly of the ABD ring system of **1** and **2** (Scheme 5). Reductive coupling of the known aldehyde  $10^{[9c]}$  and amine  $11,^{[3a]}$  and subsequent protection with Boc<sub>2</sub>O provided **7** in 81% yield. Treatment of **7** under our aforementioned reaction conditions

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**Scheme 5.** Construction of ring B/D in the tricyclic synthon. *m*-CPBA = *meta*-chloroperoxybenzoic acid, TBAF = tetra-*n*-butylammonium fluo-ride.

successfully afforded the 3,4-fused benzofuran 12 in 89% yield. Removal of the TES group in 12 with TBAF (95%), and subsequent oxidation with *m*-CPBA, afforded 5 in 56% yield.

After successful construction of the ABD ring system, we turned our attention to the construction of the chiral allcarbon quaternary stereocenter in **1** and **2**. Although a few catalytic enantioselective conjugate additions of 3-substituted benzofuranones were reported,<sup>[21]</sup> to the best of our knowledge, the catalytic enantioselective conjugate addition of 3alkyl-substituted benzofuranone to MVK has not been reported.

Initially, various amine-thiourea or urea bifunctional organocatalysts were screened for the conjugate addition of 5 to MVK. However, the highest enantioselectivity obtained was 55% ee (see the Supporting Information). These results prompted us to investigate alternative catalytic reaction systems. Inspired by the recent work of Feng and co-workers,<sup>[22]</sup> we turned our attention to examining an asymmetric Michael addition using the chiral metal/N,N'-dioxide complexes. As described in Table 2, we initially investigated the Michael addition, in CH<sub>2</sub>Cl<sub>2</sub> at room temperature, catalyzed by Yb(OTf)<sub>3</sub>/13 complexes (entries 1–3). But only Yb(OTf)<sub>3</sub>/ 13c gave the desired product 4 in 10% yield with 42% ee (entry 3). When the solvent was changed to ethanol, 4 was obtained in higher yield (33%) with similar ee value (entry 4). However, when Sc(OTf)<sub>3</sub> was used instead of Yb(OTf)<sub>3</sub> at room temperature, the reaction proceeded smoothly to afford 4 in 85% yield with 93% ee (entry 5). Additionally, when the reaction was run at 10°C, 4 was obtained in 85% yield with 94% ee (entry 6). Under the aforementioned reaction conditions, conjugate additions of several 3-alkyl-substituted benzofuranones to MVK were investigated. The results showed that both the yield and the ee values were excellent (Table 3).

With **4** in hand, we proceeded with the total synthesis of **1** (Scheme 6). Treatment of **4** with LDA yielded the cyclization product **3** in 95% yield. Subsequent direct reduction of the **3** with Et<sub>3</sub>SiH provided the  $\beta$ -alcohol **16** in 73% yield and  $\alpha$ -alcohol **17** in 12% yield, with the simultaneous reduction of ketone and removal of the Boc group.<sup>[23]</sup> Selective protection of the amine in **16** and **17** with methyl chloroformate and subsequent oxidiation with Dess–Martin periodinane pro-

**Table 2:** Michael addition catalyzed by Lewis acid/N,N'-dioxide complexes.<sup>[a]</sup>



[a] Reaction conditions: **5** (0.1 mmol), MVK (0.15 mmol), solvent (0.3 mL). [b] Yield of isolated product. [c] Determined by HPLC using a chiral stationary phase. [d] The reaction was run at 10 °C. n.r. = no reaction, Tf = trifluoromethanesulfonyl.

Table 3: Substrate scope of the asymmetric Michael reaction.<sup>[a]</sup>



[a] Reaction conditions: **14** (0.1 mmol), MVK (0.15 mmol), EtOH (0.3 mL). Yields are those of the isolated products. Enantiomeric excess was determined by HPLC using a chiral stationary phase.

vided the known ketone **18**, the key intermediate in Fan's total synthesis of **1**, in 75% yield over three steps. The physical properties (<sup>1</sup>H and <sup>13</sup>C NMR spectra, MS data, and  $[\alpha]_D$ ) of **18** are consistent with those described in the literature.<sup>[13]</sup> Treatment of **18** with TMSOTf and Et<sub>3</sub>N provided the corresponding silyl enol ether, which was oxidized under Saegusa conditions to give the enone **19** in 67% yield. Finally, **19** was readily converted into **1** in a two-step sequence.<sup>[13]</sup>

After completion of the synthesis of **1**, we turned our attention to the synthesis of **2** (Scheme 7). Considering the lower yield in the conversion of **3** into **17** (Scheme 6), we tried to develop a more efficient approach. Thus, stereoselective reduction of **3** with L-selectride gave the sole  $\alpha$ -alcohol **20** in 91 % yield. Reduction of **20** with Et<sub>3</sub>SiH provided **17** in 88 % yield. Reaction of **17** and formaldehyde under standard reaction conditions [NaBH<sub>4</sub>, NaBH<sub>3</sub>CN or NaBH(OAc)<sub>3</sub>] gave **2** in only 30–40% yield. Methylation of **17** under

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**Scheme 6.** Synthesis of 1. DMP = Dess-Martin periodinane, LDA = lithium diisopropylamide, THF = tetrahydrofuran.



Scheme 7. Synthesis of 2.

Eschweiler–Clarke conditions (HCO<sub>2</sub>H, HCHO, reflux)<sup>[24]</sup> and subsequent treatment of the resulting product with  $K_2CO_3/MeOH/H_2O$  afforded **2** in 84% yield over two steps.

In summary, an asymmetric total synthesis of both (-)-galanthamine (1) and (-)-lycoramine (2) have been achieved based on a conceptually new strategy by employing two metal-catalyzed reactions. A new method for the construction of 3,4-fused tricyclic benzofurans, the core structure of a variety of bioactive important natural products, has been developed using a palladium-catalyzed intramolecular Larock annulation reaction. In addition, a Sc<sup>III</sup>/N,N'-dioxide complex catalyzed the enantioselective conjugate addition reaction of 3-alkyl-substituted benzofuranone to MVK for the construction of a quaternary carbon center was developed for the first time.

Keywords: alkaloids  $\cdot$  asymmetric catalysis  $\cdot$  natural products  $\cdot$  palladium  $\cdot$  total synthesis

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How gallant: The catalytic asymmetric total synthesis of both (-)-galanthamine and (-)-lycoramine were achieved by using a conceptually new strategy. Two metal-catalyzed reactions were used as the key steps, and include a palladiumcatalyzed intramolecular Larock annulation reaction and enantioselective conjugate addition reaction catalyzed by a Sc<sup>III</sup>/ N,N'-dioxide complex. Boc = tert-butoxycarbonyl, TES = triethylsilyl.

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