Alkaloids

Stereoselective Synthesis of Chiral Polycyclic Indolic Architectures through Pd⁰-Catalyzed Tandem Deprotection/Cyclization of Tetrahydro-β-carbolines on Allenes

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Abstract: Enantioenriched *N*-allyl tetrahydro- β -carbolines were prepared by chiral phosphoric acid-catalyzed Pictet– Spengler reactions. The compounds undergo Pd⁰-catalyzed cyclizations through a tandem deprotection/cyclization process. The regioselectivity of the attack is controlled by the

Introduction

Indole alkaloids display structural richness and a broad range of biological activities, rendering them attractive targets for both synthetic and biological purposes.^[1] Some typical patterns frequently encountered in such structures define privileged scaffolds that are likely to provide success in the discovery of novel bioactive compounds.^[2] Chemists' creativity has led to many strategies for indole synthesis and functionalization^[2-3] but there is still an avenue for the discovery of novel scaffolds. The indolo[2,3-a]quinolizidine moiety is found in hundreds of natural products and holds a good position in chemist's efforts towards the development of bioactive compounds.^[4] The vast majority of natural products featuring the indolo[2,3-a]quinolizidine moiety presents a cycle D substituted on positions 1, 2, and 3, generally fused with an additional cycle.^[5] In contrast, their 4-substituted congeners are encountered less frequently. (-)-Tangutorine,^[6] (-)-normalindine,^[7] (-)-cadamine,^[8] (-)-manadomanzamines,^[9] or (-)-phoebegrandine E^[10] are representative natural products of this family (Figure 1). Few total syntheses of such natural products have been reported, with the exception of normalindine,^[11] demonstrating the challenge of enantio- and diastereoselective synthesis of such scaffolds.

The synthesis of indolo[2,3-*a*]quinolizidine-containing compounds often relies on a diastereoselective Pictet–Spengler reaction.^[12] Over the past few years, Jacobsen, List, Hiemstra, and Wang have made several breakthroughs in this domain by developing Brønsted acid catalyzed enantioselective Pictet–Spen-

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function. Products resulting from 5-*exo*- or 6-*exo*-attack were obtained with diastereoisomeric ratio up to 95:5. Azepino-pyrrido[3,4-*b*]indoles were obtained by 7-*endo*-cyclizations.

chain length and by the substitution pattern of the allene



Figure 1. Natural products featuring an indolo[2,3-*a*]quinolizidine skeleton with substituent at the 4-position.

gler reactions by using either chiral thioureas^[13] or chiral phosphoric acids.^[14] Thioureas catalyze Pictet–Spengler reactions with concomitant N-acylations^[13a] and necessitate the use of highly electron-rich tryptamines if N-acylation is to be avoided.^[13b] This drawback was addressed recently by Seidel, through the use of a conjugate-base-stabilized thiourea, leading to tetrahydro- β -carbolines in excellent enantiomeric excess (ee). This method is mostly limited to aromatic aldehydes.^[15] On the other hand, chiral phosphoric acids, when applied to gem-diester tryptamines^[14a] or N-protected tryptamines^[14b-d] afford good conversion and ee values. In most cases, the tryptamine protecting group must be removed prior to further functionalization, unless it has been conveniently designed to be involved in further steps.^[2, 16] These methodologies have afforded elegant syntheses of enantioenriched polycyclic architectures.^[17]

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Scheme 1. Synthetic strategy.

We recently described the synthesis of enantioenriched 4vinyl substituted indolo[2,3*a*]quinolizidines 1 a (>93% ee) through a direct palladium-catalyzed tandem deprotection/ cyclization from *N*-allyl tetrahydro- β -carbolines **2** (Scheme 1).^[18] In this work, the N-allyl protecting group of 3 was chosen for its compatibility with the asymmetric Pictet-Spengler reaction catalyzed by chiral phosphoric acids and in the perspective of a one-pot deprotection/cyclization reaction sequence. In this paper, we wish to report on the chemistry developed by using these palladium-catalyzed cyclizations. In particular, we describe the synthesis of highly functionalized chiral heterocycles bearing quaternary stereogenic centers 1a and heterocycles 1 b, resulting from a novel, regioselective mode of cyclization. The chemistry was extended to 1,3-disubstituted allenes, resulting in the formation of vinyl substituted derivatives 1 a (R² \neq H). The results of mechanistic studies are presented that provide information on the reaction mechanism of this tandem cyclization process.

Results and Discussion

Synthesis of Allenaldehydes 4

We initiated this study with the synthesis of a range of allenaldehydes **4**. Numerous methods are available for the construction of the allene function,^[19] among which we selected those using an alkyne as starting material, because of the ready availability of 1,*n*-alkynols (see the Supporting Information). Crabbe homologation^[20] was used to convert 1,5-, 1,6-, or 1,7-alkynols into terminal allenes **6**, by using the convenient protocol developed by Ma^[21] (Table 1, entries 1–5). Allenols **6a–e** were obtained in moderate yields that are typical for this type of classical chemical transformation (50–71%).

The Heck alkynylation developed by Buchwald^[22,23] has been applied here to prepare 7-arylhepta-5,6-dienals in good yields by reaction of alkynes with benzyl chloride and [Pd(SPhos)₂] complex under basic conditions. The reaction conditions were applied to a range of alkynes (Table 1, entries 6–12). The reac-



tion of 4-pentyn-1-ol or 5-hexyn-1-ol furnished allenes 6f and 6g in 81 and 75% yields, respectively (Table 1, entries 6–7). Aryl groups bearing halides were used and we found that, surprisingly, whereas para-fluorobenzyl chloride led to the allene in 84% yield, the *m*-Br and *p*-Cl analogues were inefficient in this reaction (Table 1, entries 8-9). The electron-rich 4-methoxybenzyl chloride afforded allene 6j in 57% yield (Table 1, entry 10). Notably, the use of 2-(chloromethyl)pyridine and 2-(prop-2-yn-1-yloxy)ethanol failed to provide the corresponding allene (Table 1, entries 11–12). As reported,^[22] allenes 6 f-h are often obtained as a mixture with their alkyne precursors 7 f-h. In most cases, the allenes can be separated from the alkynes by column chromatography. Interestingly, the allene 6j did not contain the corresponding alkyne 7 j, although the yield was moderate. Both methods reported in Table 1 are compatible with a free hydroxyl group, thus saving protection/deprotection steps.

gem-Disubstituted allenes **6**m–v were then prepared in two steps. Addition of cuprate reagents to propargylic mesylates **8**a–b afforded silylethers **9**m–v,^[24] which, upon deprotection with fluoride ions,^[25] secured the formation of allenols **6**m–v. Numerous Grignard reagents could be used successfully with both protected 4-pentynol **8a** and 5-hexynol **8b**, furnishing 1,5- and 1,6-allenols (Table 2). We found that both aliphatic and aromatic groups could be introduced successfully through the corresponding Grignard reagents, including electron-rich (Table 2, entry 9) and halogenated aryl groups (Table 2, entry 10).

Allenols **6**a–v were then submitted to Swern oxidation,^[26] which furnished the corresponding allenals **4**a–v in mostly

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Table 2. Synthesis of <i>gem</i> -disubstituted allenols 6 m-v.							
TIPSO \mathcal{H}_{n} \mathcal{O} \mathcal{M}_{s} \mathcal{R}^{1} \mathcal{M}_{g} \mathcal{B}^{r} , \mathcal{T} \mathcal{H}_{F} \mathcal{R}^{2} \mathcal{H}_{n}							
8a , <i>n</i> = 3 8b , <i>n</i> = 4			TBAF 9m-v , R ² = TIPS THF, 0 °C 6m-v , R ² = H				
Entry	n	R ¹	Yield of 9 [%] ^[a]	Yield of 6 [%]			
1	4	Me	9 m , 96	6 m , 94			
2	3	Me	9 n , 88	6n , 93			
3	4	<i>i</i> Pr	9 o , 86	60 , 90			
4	3	<i>i</i> Pr	9 p , 87	6p , 96			
5	4	Ph	9 q , 83	6 q , 99			
6	3	Ph	9 r , 69	6 r , 90			
7	4	allyl	9 s , 82	6s , 72			
8	3	<i>t</i> Bu	9t , 92	6t , 100			
9	3	p-MeOC ₆ H ₄	9 u , 100	6u , 87			
10	3	p-CIC ₆ H ₄	9 v , 100	6 v , 80			

Table 3. Swern oxidation of allenois 6 to allenaldehydes 4.							
HO X R^2 $(COCI)_{2,}$ DMSO R^1 $CH_2CI_2 -78 °C$ $O X$ R^2 R^1 then Et ₃ N, rt $4a_{2W}$ R^1							
Entry	Х	R ¹	R ²	Yield of 4 [%] ^[a]			
1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16	CH_2CH_2 $CH_2CH_2CH_2$ CH_2O $CH_2N(Boc)$ CH_2 CH_2C	H H H H H H H Me <i>i</i> Pr <i>i</i> Pr <i>i</i> Pr Ph Ph allyl	H H H Ph Ph p-FC ₆ H ₄ p-MeOC ₆ H ₄ H H H H H H	4a, 90 4b, 83 4c, 89 4d, 61 4e, 88 4f, 52 4g, 94 4h, 79 4j, 48 4m, 61 4n, 80 4o, 60 4p, 84 4q, 63 4r, 67 4s, 68			
17 18	CH₂ CH₂	tBu p-OMeC ₆ H₄	H H	4t , 78 4u , 65			
19	CH ₂	p-CIC ₆ H ₄	Н	4 v , 86			
20		н	н	4 w , 19			
[a] Isolated yield.							

good yields (Table 3). In some cases, the volatility of the aldehyde reduced the isolated yield. However, if precautions were accordingly taken with the solvent evaporation process, good yield could be maintained. Surprisingly, allenol $6w^{[27]}$ furnished the corresponding aldehyde 4w in low yield (19%; Table 3, entry 20). In most cases, allenals 4 could be stored at -20 °C for a few weeks. This set of aldehydes 4 constitutes a library with substantial molecular diversity, including chain length, substitution pattern of the allene function, and heteroatom functionalization that will allow for the synthesis of novel heterocycles.

Asymmetric Pictet–Spengler Reactions

The asymmetric Pictet-Spengler reaction was used as the key step for the asymmetric construction of 1. Tryptamines previously reported in such process were protected either by sulfenyl,^[14b] benzyl,^[14c] or 2-naphthylmethyl (NAP)^[14d] groups. The key point for our strategy was accordingly the compatibility of the *N*-allyl protecting group^[28] and the 1,n-allenals **4** with phosphoric acid-catalyzed asymmetric Pictet-Spengler reactions. In our initial studies,^[18] we demonstrated the ability of the allyl group to act as a protecting group for tryptamine in Pictet-Spengler reactions and the compatibility of the allene function with the reaction conditions. In particular, we found that the spinol-derived^[14d] chiral phosphoric acid 15 was the best catalyst for the asymmetric Pictet-Spengler reaction of Nallyl tryptamines 3 with allenals 4. Asymmetric catalytic Pictet-Spengler reactions were accordingly performed by reaction of N-allyl tryptamines 3a-c with the extended set of aldehydes 4 $(3 \text{ equiv})^{[29]}$ in the presence of the Spinol-derived catalyst $\mathbf{10}$ (2 mol%; Scheme 2). The corresponding tetrahydro- β -carbolines 2a-s were obtained in essentially good yields and excellent enantioselectivities (87-97% ee).[30] Overall, we found that the length and the functionalization of the side chain have little influence on the enantiomeric excesses. In addition, neither the substituent R³ present on the tryptamine moiety nor



Scheme 2. Scope of the asymmetric Pictet-Spengler reaction.

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the R¹ group of the allene affected the enantioselectivity or the yield of these reactions significantly. Notably, previously unknown compounds bearing various R¹ groups were obtained in good yields and enantiomeric excesses. The only exception was compound 2s, for which the p-CIPh group lowered both the yield and the ee to 54 and 87%, respectively. In addition, compound 2r, bearing an electron-rich aryl group was not obtained, with the reaction leading to degradation products. Similarly, aldehyde 4w did not afford compound 2t.

Pd⁰-Catalyzed Cyclizations

After establishing the synthesis of enantioenriched tetrahydro- β -carbolines **2**, their palladium-catalyzed cyclizations were then investigated. In our initial studies,^[18] we found that a catalytic system composed of 5 mol% tetrakis(triphenylphosphine) palladium and dimethylbarbituric acid 11 as the allyl scavenger^[31] furnished, at a concentration of 0.027 M, compound 12a in 88% yield as a 84:16 separable mixture of cis and trans diastereoisomers (Scheme 3, Eq. 1). Additional extensive studies



Scheme 3. Selective formation of tetracycle 12a or amine 15a.

showed that, depending on the reaction conditions, the formation of compound 12a was accompanied by substantial amounts of olefin 13a, which resulted from intermolecular addition of monoallyl-DMBA 14 to the allene function (see proposed mechanism). In addition, we found that the intermediate deprotected amine 15a could be obtained cleanly and selectively by reducing the amount of catalyst and by performing the reaction in dimethyl sulfoxide (DMSO) within one hour (Scheme 3, Eq. 2). The method provides efficient access to this amine that will be further used for mechanistic studies.

6-exo-Cyclizations

The enantioenriched tetrahydro- β -carbolines **2** were then submitted to the conditions previously established for the palladium-catalyzed tandem allyl deprotection/cyclization process. Compounds presenting a 1,6-relationship between the amine and the allene function gave the corresponding six-membered





Scheme 4. 6-exo-Cyclizations to indologuinolizidines 12.

rings 12 a-i through 6-exo-cyclization on the allene (Scheme 4). Diastereoisomeric pairs of compounds were separated on silica gel^[32] and characterized independently by NMR techniques. Indolo[2,3-a]quinolizidines substituted with a methoxy or fluoride group, led to heterocycles 12b and 12c in good yields and diastereoselectivities, supporting the conclusion that the nature of the substituents on the indole has little influence. Substrates displaying a heteroatom in the chain led to the corresponding vinyl-morpholine 12d and piperazine 12e in 37 and 78% yields, respectively.

We then focused on the cyclization of gem-disubstituted allenes, potentially leading to piperidines with stereocontrolled quaternary centers in the 4-position (Scheme 4). The reaction of tetrahydro- β -carbolines **2***j*-**m** furnished the corresponding cyclized compound 12 f-i through a 6-exo-cyclization pathway. We noticed that the diastereoselectivity ratio increased with the steric bulk of the R¹ group. Whereas the less bulky allyl group led to a moderate diastereoisomeric ratio (d.r.=62:38), the diastereoselectivity increased to 79:21 when $R^1 = Me$ and to 93:7 when $R^1 = iPr$. The use of a substrate with a phenyl group substituent led to 12i with complete diastereocontrol in 64% yield. Notably, in all cases, the main diastereoisomer presents a cis relationship between H12b and the smaller substituent of C4.

The stereochemistry of the cis/trans diastereoisomers was assigned based on 2D NMR techniques (Figure 2). Compound 12a presents all relevant NOE correlations between H-4, H-6b, H-12b, and H-2 characteristic for 1,3-diaxial interactions and a NOE correlation between H-6a and the vinylic proton. Similarly, the major diastereoisomer of **12h** ($R^1 = iPr$) shows correlations between H-12b, H-6b, and the vinylic proton, and a correlation between H-6a and the *i*Pr group.

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Figure 2. Relevant NOESY correlations for compounds 12 a and 12 h.

centration of the reaction mixture (Table 4). 5-exo-Cyclizations furnished indolizino[8,7-b]indoles 16, whereas 7-endo-cyclizations led to azepino[1',2':1,2]pyrido[3,4-b]indoles 17, both scaffolds being encountered in natural products with biological activities.[33] Diastereoisomeric mixtures of 16 were separated on silica gel and the corresponding cis/trans derivatives were characterized by 2D NMR techniques. Allenes 2d-f underwent selec-5-exo-cyclizations tive to indolo[2,3-a]pyrrolizidines 16ac in good yields with diastereoisomeric ratios of approximately 75:25 (Table 4, entry 1). In contrast, with the indolo[2,3-a]quinolizidines 12 series, the major diastereoisomer obtained presents a trans-relationship between H-3 and H-11b. In these cases, we found that dilution of the reaction mixture to 0.01 M was beneficial to both the yield and the diastereoselectivity. The introduction of an R¹ substituent on the allene afforded five-membered rings 16d-e with quaternary centers in moderate to excellent diastereoselectivities in the case of $R^1 = Me$ and $R^1 = iPr$, respectively (Table 4, entries 2-3). The increase in the steric bulk of the R¹ group from *i*Pr to *t*Bu promoted a different cyclization pattern. With a tBu group, we obtained a 1:1 mixture of compounds 16f and 17f in 61% yield, resulting from 5-exo-and 7endo-cyclization pathways, re-

5-exo- and 7-endo-Cyclizations

The series of compounds **2d-f** and **2n-s**, possessing a 1,5-relationship between the amine and the allene, were successfully submitted to cyclizations. Interestingly, the reaction proceeded through either a 5-*exo*-or 7-*endo*-cyclization pathway, depending on the substitution pattern of the allene and on the con-

spectively (Table 4, entry 4). When the concentration was increased (0.1 M), a mixture was again obtained (Table 4, entry 5), whereas high dilution (0.01 M) afforded the indolizidine **16 f** chemoselectively in 62% yield, as a sole diastereoisomer (Table 4, entry 6). Similarly, by using phenyl-substituted allene **2 q**, the cyclization afforded a 25:75 mixture of **16 g** and **17 g**, respectively, when the reaction was performed at 0.01 M (Table 4, entry 7), whereas concentrated conditions (0.1 M) afforded the 7-endo-cyclization product **17 g** regioselectively in 76% yield (Table 4, entry 8). The latter results indicate that the cyclization pathway is not only governed by the steric bulk of



[a] The major diastereomer is shown. [b] Ratio measured by ¹H NMR spectroscopic analysis. [c] Yield is given for pure, isolated compounds **16** or **17**, or for both when mixtures were obtained.

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the R^2 substituent but also by its electronic nature. Replacement of the phenyl substituent by a 4-chlorophenyl group afforded the 7-*endo*-cyclized product **17 h** in 63% yield with full regioselectivity (Table 4, entry 9).

Case of 1,3-Disubstituted Allenes

The Pictet–Spengler reactions reported above and subsequent cyclizations to polycyclic compounds were accomplished at first with monosubstituted and *gem*-disubstituted allenes. We then investigated the case of 1,3-disubstituted allenes **4g**–**h**. The reaction of tryptamine **3a** with aldehyde (+/-)-**4g** in the presence of diphenyl phosphate furnished the corresponding tetrahydro- β -carboline **2t** in 70% yield, as a 1:1 mixture of two diastereoisomers **2ta** and **2tb** (Scheme 5). Purification on silica



Scheme 5. Pictet-Spengler reaction with racemic aldehyde 4g.

gel afforded both diastereoisomers separately, which were characterized independently.

We then turned our attention to the cyclization of 2t under the conditions established previously. The palladium-catalyzed deprotection/cyclization was performed on either the mixture of diastereoisomers 2ta and 2tb, or on each diastereoisomer, independently. All the cyclizations furnished the desired piperidine 18; the case is, however, complicated by the presence of three out of four possible diastereoisomers 18a-d, due to the geometry of the newly-formed double-bond. Indeed, when the reaction was performed with a 1:1 mixture of 2ta/2tb, a separable mixture of three diastereoisomers 18a-c was obtained in 68% global yield (Table 5, entry 1) after a few hours at room temperature. When the reaction was performed independently with both diastereoisomers 2ta and 2tb, the ratio changed dramatically. Whereas the diastereoisomer 2ta furnished 18b as the main product (Table 5, entry 2), the cyclization of 2tb led to 18a as the main compound, albeit with a lower global yield (Table 5, entry 3). It should, however, be noted that despite the complexity of the reaction mixtures, the global cis/trans ratio ([18a+18b]/18c ratio) remained very similar in all cases (ca. 73:27), irrespective of the geometry of the double bond. In addition, the fourth possible diastereoisomer 18d was never obtained. It is consequently clear that the initial geometry of the allene affects the stereochemical outcome of the reaction, although it was previously reported that the chiral information of the allene is lost in such a palladium-catalyzed process.^[34]

The three diastereoisomers 18a-c were fully characterized by 1D and 2D NMR methods to determine the *cis/trans* relationship between both stereogenic centers and the *E/Z* geometry of the double bond. Measured coupling constants be-



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tween H-1 and H-2 were found to be characteristic for the Z geometry ($J_{H1,H2}$ =11.9 Hz) and E geometry ($J_{H1,H2}$ =15.9 Hz) of the double bond, allowing for the determination of the double bond configurations in each product.

Asymmetric Pictet–Spengler reactions were then performed between tryptamine **3a** and aldehydes (+/-)-**4g** and (+/-)-**4h**, in the presence of the chiral catalyst **10**, affording the corresponding compounds in good yields (Scheme 6) as mixtures of diastereoisomers. The enantiomeric excess determinations were measured in a subsequent step.

The enantioenriched compounds 2ta-b and 2ua-b were then submitted to palladium-catalyzed cyclization, furnishing mixtures of diastereoisomers 18a-c and 20a-c (Scheme 7, step 1). In an effort to avoid the troublesome purification of the diastereoisomeric mixtures, it was envisaged to submit the crude cyclization mixtures to a reduction step of the double bonds to simplify the purification of the compounds. Accord-



Scheme 6. Enantioselective synthesis of tetrahydro- β -carbolines 2t-u.



Scheme 7. Cyclization/reduction strategy to compounds 19 and 21.

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ingly, the crude mixtures resulting from the palladium-catalyzed reactions were submitted to NaBH₄, in the presence of a catalytic amount of RuCl₃ (Scheme 7, step 2).^[35] We found that a short purification to remove the DMBA derivatives was necessary prior to the reduction step. The reductions were then performed only on the *cis* diastereoisomers **18 a,b** and **20 a,b**.^[36] This approach led rapidly to **19** and **21** in 42 and 46% yields, respectively (two steps). The enantiomeric excesses of **19** and **21** were then found to be 93%, demonstrating the compatibility of 1,3-disubstituted allene functions with asymmetric Pictet–Spengler conditions.



Scheme 8. Mechanistic investigations.

Mechanistic Studies

Experiments were performed to gather more information on the mechanism and to better understand the role of each component in the reaction (Scheme 8). We performed in particular a set of experiments to understand and demonstrate the pivotal role of DMBA in the reaction. The deprotected amine 15a was reacted with a catalytic amount of Pd(PPh₃)₄, leading to no reaction (Scheme 8, Eq. 1). In contrast, when the same reaction was performed in the presence of three equivalents of DMBA 11, the product 12a was obtained in 75% yield in a 83:17 d.r., which is similar to that obtained from allyl-protected compound 2a (Scheme 8, Eq. 2). These results demonstrate clearly the involvement of DMBA 11 in the cyclization step. DMBA also plays a critical role in scavenging the allyl cation prior to cyclization. We have observed that diallyl-DMBA is the only DMBA-derivative formed during the reaction, showing that 0.5 equivalent is enough to bring deallylation to completion. Indeed, the tandem deprotection/cyclization process performed with 0.55 equivalent furnished the desired compound 12a in 64% yield and in good diastereoselectivity (Scheme 8, Eq. 3). Interestingly, when deuterated DMBA was used in the presence of palladium catalyst from 2a or 15a, the cyclized products 12a-D were obtained in excellent yields, with 40% incorporation of deuterium on the vinyl proton (Scheme 8, Eq. 4). This rate of incorporation is lowered by scrambling phenomena between exchangeable protons of 2a and 15 a and the reactant.^[37] All these experimental results account for the critical role of DMBA for both the deallylation, as trapping agent, and the cyclization.

The tandem deprotection/cyclization process may consequently be the result of two combined catalytic cycles A and B



Scheme 9. Postulated mechanism for the tandem deprotection/cyclization process.

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in which DMBA **11** is involved. Cycle A is a classical Pd-catalyzed allyl group removal,^[38] and cycle B is the Pd-catalyzed addition of the intermediate deprotected amine to the allene (Scheme 9).^[39] The addition of pronucleophiles to allenes,^[34,40] including amines,^[34,40c-e,g] catalyzed by Pd⁰ is a known atomeconomic^[41] strategy for allylic functionalization from allenes. It has been shown, in particular by Trost,^[40] to proceed via a hydridopalladium species, the formation of which has been shown to be facilitated by the adjunction of an acid cocatalyst.^[42]

On the basis of our results and on reported precedents, the mechanism shown in Scheme 9 can be suggested. Starting material **2** enters in the first catalytic cycle and reacts with Pd⁰ to generate a π -allyl species **i**, which, in turn, is trapped by DMBA **11**, thus liberating the intermediate tetrahydro- β -carboline **15** and the monoallyl DMBA **14**.^[43] The reaction of Pd⁰ with the proton donor DMBA **11** generates Pd^{II}-H **iii**.^[40h] which adds to the allene through hydropalladation, resulting in the formation of π -allyl complex **iv**. Subsequent intramolecular allylic substitution by the nucleophilic amine through either 6-*exo*- or 7-*endo*-attack leads to the products **12**, **16**, or **17**, depending on the chain length. Intramolecular trapping of the π -allyl complex also ensures regeneration of DMBA **11** and Pd⁰.

Competing intermolecular allylic substitution of monoallyl-DMBA **14** on intermediate **iv** explains the formation of compound **13**. It becomes clear that this intermolecular process is strongly favored under concentrated conditions, whereas dilute conditions favor the intramolecular process. In addition, when the cyclization is not favored, the π -allyl complex **iv** can only evolve toward the DMBA addition product **13**.

The success of the reaction is consequently the result of a very fine balance between inter- and intramolecular reactions and *exo*- or *endo*-cyclization pathways.

Conclusion

Numerous 1,n-allenaldehydes 4, possessing different substitution patterns, were successfully engaged in Brønsted acid-catalyzed Pictet–Spengler reactions with N-allyltryptamines, leading to functionalized tetrahydro- β -carbolines **2**, bearing pendent allene functions, in good yields and enantiomeric excess. A palladium-catalyzed strategy was used to trigger both the N-allyl deprotection and the cyclization of the intermediate amine on the allene function via a transient π -allyl-Pd intermediate. Depending on the chain length and substitution pattern of the allene function, regioselective cyclizations occurred through 5exo-, 6-exo-, or 7-endo-mechanisms, affording various tetracyclic compounds. The mechanism of the tandem reaction consists of two distinct catalytic cycles involving Pd⁰ through "selfrelay catalysis".^[44] This tandem process saves the deprotection step and allows an atom-economical formation of the π -allyl. In addition, the dimethyl barbituric acid used as the allyl scavenger is also pivotal in the generation of the Pd^{II}-H intermediate. Notably, the method has been applied to unprotected indole derivatives. Taken together, this tandem process can be considered as a step- and atom-economical process for the rapid and selective elaboration of complex, enantioenriched, polycyclic indolic compounds.

Experimental Section

Detailed descriptions of experimental procedures, spectral data and ^1H and ^{13}C NMR spectra of all new compounds are given in the Supporting Information.

General procedure for the Swern oxidation: Oxalyl chloride (1.5 equiv) was dissolved in CH_2CI_2 in a flask under an argon atmosphere. The reaction mixture was then cooled at -78 °C and DMSO (3 equiv) was added dropwise. Stirring was continued at -78 °C for 30 min, followed by dropwise addition of a solution of alcohol **6** (1 equiv) dissolved in CH_2CI_2 . The reaction mixture was further stirred for 1 h and Et_3N (6 equiv) was added. The reaction mixture was then allowed to warm to RT. Water was added, the organic layer was separated, and the aqueous phase was extracted twice with CH_2CI_2 . The combined organic layers were dried with $MgSO_4$, filtered, and concentrated under vacuum. The crude mixture was then purified by flash chromatography to give the desired product **4**.

Typical procedure for 5-phenylhepta-5,6-dienal (4q): Prepared according to the general procedure from 6q (550 mg, 2.921 mmol), oxalyl chloride (0.38 mL, 4.382 mmol), DMSO (0.62 mL, 8.763 mmol) and Et₃N (2.44 mL, 17.256 mmol) in CH₂Cl₂ (17 mL). Compound 4q was obtained after column chromatography on silica gel (MTBE/petroleum ether, 5:95). Yield: 340 mg (1.825 mmol, 63%); colorless oil; $R_f = 0.27$ (MTBE/petroleum ether, 5:95); IR (neat): $\tilde{\nu} = 2951$, 2721, 1940, 1723, 1494, 1452, 855, 764, 696 cm⁻¹; ¹H NMR (300 MHz, CDCl₃): $\delta = 9.77$ (t, J = 1.6 Hz, 1 H; H-1), 7.39-7.35 (m, 2H; 2×ArH), 7.33-7.28 (m, 2H; 2×ArH), 7.22-7.16 (m, 1H; ArH), 5.08 (t, J=3.3 Hz, 2H; 2×H-7), 2.52 (td, J=7.3, 1.7 Hz, 2H; 2×H-8), 2.49–2.43 (m, 2H; 2×H-4), 1.90 ppm (q, J= 7.3 Hz, 2H; 2×H-3); ¹³C NMR (75 MHz, CDCl₃): δ = 208.7 (C_q, C6), 202.5 (CH, C1), 136.1 (C_q, C_{Ar}), 128.7 (CH, $2 \times C_{Ar}$), 127.0 (CH, C_{Ar}), 126.1 (CH, $2 \times C_{Ar}$), 104.4 (C_q, C5), 78.9 (CH₂, C7), 43.6 (CH₂, C2), 29.0 (CH₂, C4), 20.5 ppm (CH₂, C3).

General procedure for the enantioselective Pictet–Spengler reaction: A mixture of N_{β} - α -allyl tryptamine **3** (1 equiv), catalyst **10** (0.02 equiv), and 4 Å molecular sieves (0.23 g for 0.35 mmol of **3**, powdered) in toluene (1.5 mL for 0.1 mmol of **3**) was stirred for 5 min at RT under an argon atmosphere. Aldehyde **4** (3 equiv) was added and the mixture was stirred at 30 °C for 16 h. Upon completion of the reaction (TLC monitoring), the reaction mixture was filtered over silica. The filtrate was concentrated under vacuum and the residue was purified by flash chromatography to give the desired product **2**.

Typical procedure for (S)-2-allyl-1-(4-phenylhexa-4,5-dien-1-yl)-2,3,4,9-tetrahydro-1*H***-pyrido[3,4-b**]indole (**2**I): Prepared according to the general procedure from **3a** (70 mg, 0.350 mmol), **4q** (196 mg, 1.050 mmol), **10** (5.2 mg, 0.007 mmol), and 4 Å molecular sieves (230 mg) in toluene (5.2 mL). Purification on silica gel (EtOAc/petroleum ether, 5:95 to 10:90) afforded **2I**. Yield: 96 mg (0.261 mmol, 74%); yellow oil; $R_{\rm f}$ =0.15 (EtOAc/petroleum ether, 5:95). [a]_D²⁶ = +0.5 (*c* 1.00, CHCl₃); IR (neat): $\vec{\nu}$ =3413, 3056, 2931, 1940, 1493, 1451, 1300, 1155, 1171, 996, 919, 851, 763, 740, 695 cm⁻¹; *ee*=95% {Chiralpak AD-H column; heptanes/IPA, 95:5 + 0.1% Et₃N; 1 mLmin⁻¹; λ =277 nm; $t_{\rm R}$ =6.49 (major), 8.24 min (minor)}; ¹H NMR (300 MHz, CDCl₃): δ =7.51 (s, 1H; H-9), 7.46 (dd, *J*=7.1, 1.5 Hz, 1H; ArH), 7.39 (dd, *J*=7.3, 1.6 Hz, 2H; 2×ArH), 7.33– 7.26 (m, 3H; 3×ArH), 7.22–7.16 (m, 1H; ArH), 7.15–7.04 (m, 2H; 2× ArH), 5.91 (dtt, *J*=16.9, 10.3, 6.5 Hz, 1H; H-17), 5.15–5.04 (m, 4H;

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2×H-18 and 2×H-15), 3.68 (t, J=5.8 Hz, 1H; H-1), 3.26–3.17 (m, 3H; 2×H-16 and H-3a), 2.93 (ddd, J=13.4, 5.2, 3.4 Hz, 1H; H-3b), 2.86–2.75 (m, 1H; H-4a), 2.59–2.44 (m, 3H; H-4b and 2×H-12), 1.88–1.70 ppm (m, 4H; 2×H-10 and 2×H-11); ¹³C NMR (75 MHz, CDCl₃): δ =208.9 (C_q, C14), 137.0 (CH, C17), 136.6 (C_q, C_{Ar}), 136.0 (C_q, C_{Ar}), 135.5 (C_q, C_{Ar}), 128.7 (CH, 2×C_{Ar}), 127.5 (C_q, C_{Ar}), 126.9 (CH, C_{Ar}), 121.6 (CH, C_{Ar}), 119.5 (CH, C_{Ar}), 118.2 (CH, C_{Ar}), 117.4 (CH₂, C18), 110.8 (CH, C_{Ar}), 108.2 (C_q, C_{Ar}), 105.0 (C_q, C13), 78.3 (CH₂, C15), 56.5 (CH₂, C16), 56.2 (CH, C1), 45.3 (CH₂, C3), 34.0 (CH₂, C10), 29.8 (CH₂, C12), 24.8 (CH₂, C11), 18.2 ppm (CH₂, C4); HRMS (ESI): *m/z* calcd for C₂₆H₂₉N₂ 369.2335 [*M*+H]⁺; found 369.2331.

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General procedure for the tandem Pd⁰-catalyzed deprotection/ cyclization: Tetrahydro- β -carboline **2** (1 equiv), Pd(PPh₃)₄ (0.05 equiv), and 1,3-dimethylbarbituric acid **11** (3 equiv) were introduced in a reaction flask that was purged with argon. CH₂Cl₂ was then added and the mixture was stirred at 40 °C or RT for 6 h. Upon completion of the reaction, the mixture was cooled to RT and a saturated aqueous solution of NaHCO₃ was added. The organic layer was separated and the aqueous phase was extracted twice with CH₂Cl₂. The combined organic layers were dried with MgSO₄, filtered, and concentrated under vacuum. The crude mixture was then purified by flash chromatography to give the desired products **12**, **16**, **17**, or **18**.

Typical procedure (4S,12bS)-4-phenyl-4-vinylfor 1,2,3,4,6,7,12,12b-octahydroindolo[2,3-a]quinolizine (12i): Prepared according to the general procedure using 21 (75 mg, 0.203 mmol), $Pd(PPh_3)_4$ (11.7 mg, 0.010 mmol), and DMBA 11 (95 mg, 0.609 mmol) in CH₂Cl₂ (7.5 mL). Purification on silica gel (EtOAc/petroleum ether, 2.5:97.5) afforded 12i. Yield: 44 mg (0.134 mmol, 66%); yellow oil; $R_f = 0.27$ (EtOAc/petroleum ether, 2.5:97.5); $[\alpha]_{D}^{25} = -48.2$ (*c* 1.00, CHCl₃); IR (neat): $\tilde{\nu} = 3421$, 2057, 2928, 2845, 1597, 1446, 1379, 1301, 1215, 1109, 1032, 1005, 923, 739, 703 cm⁻¹; ¹H NMR (500 MHz, CDCl₃): $\delta =$ 7.69 (s, 1 H; ArH), 7.65 (s, 1H; H-12), 7.45 (d, J=7.6 Hz, 1H; ArH), 7.33–7.28 (m, 2H; 2× ArH), 7.24–7.20 (m, 1H; ArH), 7.11 (t, J=7.6 Hz, 1H; ArH), 7.06 (t, J=7.7 Hz, 1H; ArH), 6.14 (dd, J=18.0, 11.4 Hz, 1H; H-13), 5.68 (dd, J=11.2, 1.3 Hz, 1 H; H-14a), 5.36 (dd, J=18.0, 1.2 Hz, 1 H; H-14b), 3.95 (d, J=9.8 Hz, 1H; H-12b), 2.97-2.92 (m, 1H; H-6a), 2.82-2.75 (m, 1H; H-7a), 2.57-2.50 (m, 2H; H-6b and H-7b), 2.13-2.06 (m, 2H; H-3a and H-1a), 1.91–1.84 (m, 1H; H-2a), 1.77–1.65 ppm (m, 3H; H-1b, H-2b and H-3b); ^{13}C NMR (125 MHz, CDCl_3): $\delta\!=\!$ 148.3 (C_{_{\rm Q'}} C_A_r), 136.9 (C_q, C_{Ar}), 136.3 (C_q, C_{Ar}), 135.7 (CH, C13), 128.4 (CH, C_{Ar}), 127.7 (C_a, C_{Ar}), 126.9 (CH, 2×C_{Ar}), 126.8 (CH, 2×C_{Ar}), 121.4 (CH, C_{Ar}), 119.6 (CH, C_{Ar}), 119.2 (CH₂, C14), 118.3 (CH, C_{Ar}), 110.8 (CH, C_{Ar}), 109.2 (C_a, C_{Ar}), 66.8 (C_{qr} C4), 54.7 (CH, C12b), 45.9 (CH₂, C6), 38.5 (CH₂, C1), 31.9 (CH₂, C3), 22.5 (CH₂, C7), 21.4 ppm (CH₂, C2); HRMS (ESI): m/z calcd for $C_{23}H_{25}N_2$ 329.2018 $[M+H]^+$; found 329.2012.

(S)-3-Phenyl-2,5,7,8,13,13b-hexahydro-1*H*-azepino[1',2':1,2]pyri-

do[3,4-*b*]**indole** (17 g): Prepared according to the general procedure using 2q (37 mg, 0.104 mmol), Pd(PPh₃)₄ (6 mg, 0.055 mmol), and DMBA 11 (49 mg, 0.312 mmol) in CH₂Cl₂ (1.0 mL). Purification on silica gel (EtOAc/heptane, 50:50) afforded **17 g**. Yield: 25 mg (0.079 mmol, 76%); yellow powder; $R_{\rm f}$ =0.26 (EtOAc/heptane, 50:50); $[\alpha]_{\rm D}^{25}$ = -92.7 (*c* 1.00, CHCl₃); IR (neat): $\hat{\nu}$ = 2922, 2849, 1483, 1448, 1340, 1237, 1129, 1076, 1038, 909, 815, 742 cm⁻¹; ¹H NMR (300 MHz, CDCl₃): δ = 7.73 (s, 1H; H-13), 7.48 (d, *J* = 7.5 Hz, 1H; ArH), 7.35-7.21 (m, 6H; 6×ArH), 7.16-7.06 (m, 2H; 2×ArH), 6.04 (td, *J* = 5.7, 1.1 Hz, 1H; H-4), 4.22 (dd, *J* = 10.4, 4.0 Hz, 1H; H-13b), 3.65 (dd, *J* = 15.6, 5.1 Hz, 1H; H-5a), 3.52 (dd, *J* = 16.0, 6.0 Hz, 1H; H-5b), 3.27-3.19 (m, 1H; H-7a), 3.04–2.88 (m, 3H; H-7b, H-2a and H-8a), 2.85–2.68 (m, 2H; H-2b and H-8b), 2.27–2.19 (m, 1H; H-1a), 2.06–1.94 ppm (m, 1H; H-1b); ¹³C NMR (75 MHz, CDCl₃): δ = 144.0 (C_{qr} C3), 136.3 (C_{qr} C_{Ar}), 135.9 (C_{qr} C_{Ar}), 133.8 (C_{qr} C_{Ar}), 128.6 (CH, 2×

 $\begin{array}{l} \mathsf{C}_{Ar} \mathsf{h}, \ 127.2 \ (\mathsf{CH}, \ \mathsf{C4}), \ 127.2 \ (\mathsf{C}_q, \ \mathsf{C}_{Ar}), \ 126.2 \ (\mathsf{CH}, \ 2\times\mathsf{C}_{Ar}), \ 121.9 \ (\mathsf{CH}, \\ \mathsf{C}_{Ar} \mathsf{h}, \ 119.8 \ (\mathsf{CH}, \ \mathsf{C}_{Ar} \mathsf{h}, \ 111.1 \ (\mathsf{CH}, \ \mathsf{C}_{Ar} \mathsf{h}, \ 108.8 \ (\mathsf{C}_q, \ \mathsf{C}_{Ar} \mathsf{h}), \\ \mathsf{61.7} \ (\mathsf{CH}, \ \mathsf{C13b}), \ 52.9 \ (\mathsf{CH}_2, \ \mathsf{C5}), \ 51.0 \ (\mathsf{CH}_2, \ \mathsf{C7}), \ 31.2 \ (\mathsf{CH}_2, \ \mathsf{C1}), \ 30.5 \ (\mathsf{CH}_2, \ \mathsf{C2}), \ 21.0 \ \mathsf{ppm} \ (\mathsf{CH}_2, \ \mathsf{C8}); \ \mathsf{HRMS} \ (\mathsf{ESI}): \ m/z \ \mathsf{calcd} \ \mathsf{for} \ \mathsf{C}_{22}\mathsf{H}_{23}\mathsf{N}_2 \\ \mathsf{315.1861} \ [\textit{M}+\textrm{H}]^+; \ \mathsf{found} \ 315.1875. \end{array}$

Synthesis of (4R,12bS)-4-phenethyl-1,2,3,4,6,7,12,12b-octahydroindolo[2,3-*a*]quinolizine (19): Tetrahydro-β-carboline 2t (120 mg, 0.326 mmol, two diastereoisomers), Pd(PPh₃)₄ (18.7 mg, 0.016 mmol), and 1,3-dimethylbarbituric acid 11 (152 mg, 0.978 mmol) were introduced in a reaction flask that was purged with argon and dissolved in CH₂Cl₂ (12 mL). The reaction mixture was stirred at RT for 16 h. A saturated aqueous solution of NaHCO₃ was added, the organic layer was separated, and the aqueous phase was extracted twice with CH₂Cl₂. Combined organic layers were dried with MgSO₄, filtered, and concentrated under vacuum. The crude mixture was then purified by flash chromatography (EtOAc/heptane, 20:80 to 100% EtOAc) to give a mixture of 18a and 18b (65 mg, 0.199 mmol, 61%) and 18c (11.7 mg, 0.036 mmol, 11%). The mixture of 18a and 18b (35 mg, 0.107 mmol) was introduced in a reaction flask that was purged with argon and dissolved in THF/H₂O (8 mL, 3:1). RuCl₃·3H₂O (3.6 mg, 0.006 mmol) and NaBH₄ (11.5 mg, 0.305 mmol) were then added and the mixture was stirred at 50 °C for 16 h. Upon completion of the reaction, the mixture was cooled to RT and a saturated aqueous solution of NaHCO₃ was added. The organic layer was separated, the aqueous phase was extracted twice with MTBE, and the combined organic layers were dried with MgSO4, filtered, and concentrated under vacuum. The crude mixture was then purified by flash chromatography (EtOAc/heptane, 20:80 to 40:60) to afford cis-19. Yield: 24 mg (0.073 mmol, 69%); orange oil; $R_{\rm f} = 0.25$ (heptane/EtOAc, 65:35); $[\alpha]_{D}^{25} = -25.9$ (c 1.00, CHCl₃); IR (neat): $\tilde{\nu} = 3409$, 2928, 2854, 1574, 1509, 1465, 1301, 1259, 1216, 1158, 1059, 1036, 870, 820, 737, 663 cm⁻¹; ¹H NMR (500 MHz, CD₃CN): $\delta = 8.90$ (s, 1H; H-12), 7.41 (d, J=7.6 Hz, 1H; ArH), 7.33-7.25 (m, 5H; 5×ArH), 7.20-7.16 (m, 1H; ArH), 7.06 (t, J=7.3 Hz, 1H; ArH), 7.00 (t, J=7.6 Hz, 1H; ArH), 3.47 (dt, J=11.6, 3.7 Hz, 1 H), 3.42 (d, J=9.5 Hz, 1 H), 2.82-2.64 (m, 4H), 2.49-2.44 (m, 1H), 2.38-2.33 (m, 1H), 2.10-2.06 (m, 1H), 1.92-1.88 (m, 2H), 1.72-1.69 (m, 1H), 1.56-1.48 ppm (m, 3H); ^{13}C NMR (75 MHz, CDCl_3): $\delta\!=\!142.9$ (C_q, C_Ar), 141.4 (C_q, C_Ar), 136.3 (C_a, C_{Ar}), 128.6 (CH, 2×C_{Ar}), 128.5 (CH, 2×C_{Ar}), 127.6 (C_a, C_{Ar}), 126.0 (CH, C_{Ar}), 121.5 (CH, C_{Ar}), 119.5 (CH, C_{Ar}), 118.3 (CH, C_{Ar}), 110.9 (CH, C_{Ar}), 108.4 (C_{qr} , C_{Ar}), 61.4 (CH, C4), 60.3 (CH, C12b), 45.6 (CH₂, C6), 36.1 (CH₂, C13), 31.6 (CH₂, C14), 30.4 (CH₂, C1), 29.6 (CH₂, C3), 24.5 (CH₂, C2), 22.3 ppm (CH₂, C7); HRMS (ESI): *m/z* calcd for C₂₃H₂₇N₂ 331.2174 [*M*+H]⁺; found 331.2199.

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Keywords: alkaloids • allenes • enantioselectivity • nitrogen heterocycles • palladium

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FULL PAPER

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The right fold: Enantioenriched N-allyl tetrahydro- β -carbolines were prepared by chiral phosphoric acid-catalyzed Pictet-Spengler reactions. The compounds undergo Pd⁰-catalyzed cyclizations through a tandem deprotection/ cyclization process (see scheme). The regioselectivity of the attack is controlled

by the chain length and by the substitution pattern of the allene function. Products resulting from 5-exo- or 6-exoattack were obtained with diastereoisomeric ratios up to 95:5. Azepinopyrrido[3,4-b]indoles were obtained by 7endo-cyclizations.

Alkaloids

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Stereoselective Synthesis of Chiral **Polycyclic Indolic Architectures** through Pdº-Catalyzed Tandem Deprotection/Cyclization of Tetrahydro-β-carbolines on Allenes