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PII:	S0960-894X(16)30320-1
DOI:	http://dx.doi.org/10.1016/j.bmc1.2016.03.087
Reference:	BMCL 23730
To appear in:	Bioorganic & Medicinal Chemistry Letters
Received Date:	31 January 2016
Revised Date:	16 March 2016
Accepted Date:	25 March 2016



Please cite this article as: Praveen, C., Babu Ananth, D., Design, synthesis and cytotoxicity of pyrano[4,3b]indol-1(5*H*)-ones: A hybrid pharmacophore approach via gold catalyzed cyclization, *Bioorganic & Medicinal Chemistry Letters* (2016), doi: http://dx.doi.org/10.1016/j.bmcl.2016.03.087

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Graphical Abstract

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Design, synthesis and cytotoxicity of pyrano[4,3- <i>b</i>]indol-1(5 <i>H</i>)-ones: A hybrid	Leave this area blank for abstract info.		
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Chandrasekar Praveen" and D Babu Ananth" $\downarrow \downarrow \downarrow N R$ R^{1} $\frac{5 \text{ mol% AuCl}_{3}}{MeCN, \text{ reflux, 40 - 90 min}}$ R R^{1}			
R = Me, Et, Bu, Bn R = H, Ms, Bu, Ph, Pent	<mark>у<mark>і</mark>, Me₂C(OH), (CH₂)₃OH</mark>		

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Bioorganic & Medicinal Chemistry Letters journal homepage: www.elsevier.com

Design, synthesis and cytotoxicity of pyrano[4,3-*b*]indol-1(5*H*)-ones: A hybrid pharmacophore approach via gold catalyzed cyclization

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ARTICLE INFO

Article history: Received

Revised

Accepted Available online

Keywords:

Pyranoindolones

Gold catalysis

Cytotoxicity

Cycloisomerization

Molecular docking

ABSTRACT

Reported herein is the gold(III)-catalyzed 6-*endo-dig* cycloisomerization of 2-alkynyl-indole-3carboxylic acids to form pyrano[4,3-*b*]indol-1(5*H*)-ones, which are pharmaceutically important structural motifs. The hitherto unknown substrates required for this methodology were conveniently synthesized in five steps with good overall yields. The utility of this new cycloisomerization is demonstrated by the excellent regioselectivity obtained using a range of substrates. The mildness of the method allowed functional group compatibility towards hydroxyl tether, displaying exquisite chemoselectivity. All the synthesized compounds were screened for their tumor cell growth inhibitory activity against human cervix adenocarcinoma (HeLa). Compound **7d** emerged as the most active (IC₅₀ = 0.69 μ M) among the tested series compared to the standard *cis*-platin (IC₅₀ = 0.08 μ M).

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Isocoumarin and pyranone cores are structural motifs that are featured in a large family of natural products¹ and pharmaceutical agents.² Among several synthetic avenues, cyclization of acetylenic acids are one of the modern approaches for the synthesis of isocoumarins.^{3,4} This cyclization as allowed by Baldwin's rule often leads to two regioisomeric products; isocoumarins and γ -alkylidenebutenolides via 6-endo-dig and 5exo-dig attack respectively (Scheme 1, eq 1). A plethora of Lewis and Brønsted acid catalysts have been developed for the cyclization of acetylenic acids, the results in most cases offer no regioselectivity and lead to the formation of both γ - and δ lactones.^{3a-3t} On the other hand, there are only very few reports appeared for the selective synthesis of either δ -lactone^{3n-3x} or γ lactone.⁴ Therefore, the regioselective synthesis of one among the two heterocycles appeared attractive from a synthetic chemist perspective. As part of our⁵ ongoing interest in homogeneous gold catalysis^{6a} for the construction of novel heterocycles,^{6b} we have previously reported the AuCl₃⁷ catalyzed 6-endo-dig cycloisomerization of 3-alkynyl-indole-2-carboxylic acids leading to biologically active pyrano[3,4-b]indol-1(9H)-ones (Scheme 1, eq 2).^{5h} As a logical speculation, we envisaged the synthesis of corresponding positional isomer, pyrano[4,3-b]indol-1(5H)-ones by utilising 2-alkynyl-indole-3-carboxylic acids. The synthesis of these compounds has scarcely been investigated. Some rare examples include [(Cp*RhCl₂)₂]/Cu(OAc)₂ catalyzed oxidative coupling of indole-3-carboxylic acid with internal alkynes,^{8a} Pd(II)-catalyzed oxidative coupling of indole-3carboxylic acids with styrenes,^{8b, 9} CuI catalyzed tandem crosscoupling/cyclization of iodo-heteroarenes with terminal acetylenes^{10a} and organocatalyzed CO₂ trapping of alkynyl

indoles.^{10b} However, the substrate scope in these protocols was limited. To this end, a gold catalytic protocol that accomplishes the cycloisomerization of 2-alkynyl-indole-3-carboxylic acids remains to be developed. In this context, a new synthesis of pyrano[4,3-*b*]indolones, was realized through AuCl₃ catalyzed cycloisomerization of 2-alkynyl- indole-3-carboxylic acids (Scheme 1, eq. 3) and their cytotoxic results against HeLa cells are disclosed in this letter.



 $\label{eq:Scheme 1. Baldwin's cycloisomerization of acetylenic acids (eq 1) and AuCl_3 catalyzed synthesis of isomeric pyranoindolinones (eq 2 and 3)$

Our working hypothesis deals with the preparation of indole tethered alkyne substrates, which are capable of efficiently undergoing 6-endo-dig cyclization without the formation of 5-

exo-dig species that would preclude any regiochemical control in the course of the reaction.¹¹ The required precursors were prepared by established procedures (Scheme 2).^{56, 12}



Scheme 2 (a) POBr₃ (2.5 equiv.), DMF (10 equiv.), $(CH_2)_2CI_2$, 0 °C to rt, 24 h (b) Oxone® (1.05 equiv.), MeOH, rt 3 h (c) (for 4a and 4b) NaH (2.0 equiv), DMF, 30 min at 0 °C then R²X (3.0 equiv), 0 °C to rt, 6 h (for, 4c and 4d) KOH (3.0 equiv), DMSO, 30 min at 0 °C then R²X (5.0 equiv), 0 °C to rt, 6 h (d) Pd(Ph₃P)₄ (5 mol%), CuI (5 mol%), terminal alkyne, (1.1 equiv), Et₃N, 6 h, rt (e) NaOH (1.5 equiv), MeOH / EtOH / H₂O (3:1:2), 75 °C, 1 h (f) AuCl₃ (5 mol%), MeCN, reflux

Vilsmeier bromoformylation of oxindole 1a affords 2-bromoindole-3-carbaldehyde 2a in excellent yield.^{12a} Oxidative esterification of 2a with oxone in methanol gave the ester 3a.^{12b} N-alkylation of **3a** was performed with appropriate alkyl halide and base which results in the formation of N-alkyl derivatives 4ad.12c, 12d By subjecting 4a-d and terminal acetylenes under standard Sonogashira cross-coupling reaction yields the acetylenic derivatives **5a-j**,^{12e} which upon alkaline hydrolysis^{3a} of 5a-i affords the requisite 2-(ethynyl)-indole-3-carboxylic acid 6a-j. Guidelines for searching of a suitable catalyst for the cyclization of substrates 6a-j came from our previous studies,⁵⁴ where it was shown that AuCl₃ was effective in this sort of transformation. Towards this end, we subjected the acetylenic synthons 6a-j with 5 mol% of $AuCl_3$ in acetonitrile under refluxing condition. Under this condition, all substrates underwent cyclization and afforded the expected pyranoindolones 7i-7j in moderate to good yields (Table 1).

Table 1 AuCl₃ catalyzed cyclization of 6a to 7a

Entry	Substrate	Product ^a	Time (min)	Yield (%) ^b
1	6a	7a	50	80
2	6b	7b	60	78
3	6c	7c	90	75
4	6d	7d	40	81
5	6e	7e	90	74
6	6f	7f	90	73
7	6g	7g	90	67
8	6h	7h	90	65
9	6i	7i	90	59
10	6i	7i	90	62

^a All the Products were characterized by IR, ¹H NMR, ¹³C NMR and MS ^bIsolated yield after column chromatography

It is noteworthy to mention that precursors, **6a-j** led to reasonable conversion with only an increase of the reaction time and this can be attributed to the reduced electrophilicity of carboxylic acid in C3-indole. The low yield observed in compounds **6i** and **6j** can be rationalized by the decrease in Lewis acidity of the catalyst by coordinating with the hydroxyl function and eventually the

catalytic efficiency of the overall process. In agreement to our previous results, ^{5h} the cycloisomerization follows 6-*endo-dig* regiochemistry^{13a} leading to δ -lactone and no γ -lactone was observed as evidenced by crude NMR analysis. This observation was in sharp disagreement with other gold catalysis protocol, where γ -lactone was formed exclusively.^{4c-4f} This can be ascribed to the energetic inaccessibility or greater strain of 5-5 ring formation over 5-6 ring formation. The formation of δ -lactone ring in all compounds was ascertained by stretching band between 1695 and 1721 cm⁻¹ in their IR spectrum. In ¹H NMR spectrum a singlet between δ_H 5.86 and 6.81 ppm were observed, characteristic of the C4-hydrogen of **7a-j**. Moreover all compounds exhibited two characteristic peaks in their ¹³C NMR spectrum at $\delta_C = 97.9-100.1$ and 163.5-168.5 ppm, confirming the presence of C4-carbon and lactone carbonyl carbon of **7a-j** respectively.



Scheme 3 Synthesis and Cyclization of N-unprotected indolyl alkyne 6k

In an effort to showcase the tolerance of our chemistry towards challenging N-unprotected indoles, we next investigated the cyclization of substrate 6k devoid of any substitution at the indole nitrogen atom (Scheme 3). The synthesis of 6k was executed essentially according to the strategy used for the synthesis of 6a-j. Gratifyingly, a smooth cyclization of 6k to product 7k was observed with an excellent yield, suggesting that a free -NH group was indeed tolerated under our reaction conditions. We consider AuCl₃ only to be a precatalyst and we do not know whether the catalytically active species is Au(III) or Au(I), but it should be an electrophilic gold species. We occasionally observed the precipitation of gold in the form of gold mirror but this always occurred after the reaction was complete. The possibility of participation of Au(I) as catalytic species, (via redox chemistry) was ruled out by performing a reaction with 6k using 5 mol% of AuCl in acetonitrile under reflux, which led to the formation of only 20% of the product 7k. We reasoned out that AuCl could not be electrophilic enough to allow for cycloisomerization, thus demonstrating that the catalytic activity of AuCl₃ is superior to that of AuCl in this reaction. It is pertinent to note that, our approach is devoid of any Ag-additive; the Reader will be reminded that these air-, lightand moisture-sensitive Ag salts of type AgX ($X = BF_4$, SbF₆, PF₆, NTf₂) are usually employed to generate the cationic Au(I) species from LAuCl (L = phosphine or NHC).^{14a}

We were also intrigued by the prospect of applying this methodology to substrate possessing a labile electron withdrawing group at the nitrogen atom (Scheme 4). The precursor required for this study was prepared starting from the Sonogashira coupling of **2a** to give **2b**. Subsequent mesylation, where *t*BuOK was used as base, delivered the mesyl aldehyde **2c** in 74% yield. A clean oxidation of the aldehyde **2c** was conducted with oxone to afford the acid precursor **6l**. Subjecting **6l** under our standard condition led to a sluggish reaction and affords only 21% yield of the product **7l**. This diminution in yield could be attributed to the electron withdrawing nature of mesyl group which decreases electron density of the triple bond, thus lowering the coordination ability of the acetylenic moiety towards Au(III). Results from Scheme 1 to 3 suggested that

either free NH or NR (where, R is any electron releasing group) of indole is necessary to realize effective cyclization, whereas a deactivating group at the nitrogen reduces the efficiency of the cyclization.



Scheme 4 Synthesis and cyclization of N-protected indolyl alkyne 61

Up to this point, we merely uncovered the scope of substrates possessing internal alkynes. To gain insight into the influence of the electronic nature of alkyne, a control experiment with substrate possessing terminal alkyne was envisaged. For this study, the requisite alkyne **6m** was prepared through K_2CO_3 promoted tandem desilylation/hydrolysis of silyl ester 51 (Scheme 5). By subjecting 6m under our reaction conditions, no ring-closing event to product 7m was observed and almost 80% of the starting material 6m was recovered. Such evidence can be ascribed to the reduced electrophilicity of the terminal sp-carbon of the alkyne. Therefore, the only possibility for the carboxyl function is to attack the remote sp-carbon of the Au-complexed alkyne, but this scenario would lead to 5-5 ring fusion, which in the present case is energetically unfavorable. It is also worth noting that this substrate did not afforded the lactone dimer neither through Glaser-type homocoupling, as it was the case observed with several terminal alkynes under Au(III)-catalysis^{3d} or through oxidative coupling of the vinylgold-intermediates.



A reaction mechanism for the formation of **7** was proposed (Scheme 6).^{14c} According to which, π -electrophilic AuCl₃ activates the C-C triple bond of **6** leading to the π -complex **6I**. As per Baldwin's rule, the later could undergo either 6-*endo-dig* (via path a) or 5-*exo-dig* (via path b) cyclization to form complexes **6I** and **6III** respectively. On the grounds of energetic inaccessibility of 5-5 ring formation, the regiochemistry would be directed towards 6-*endo-dig* (against 5-*exo-dig*) to form the cyclized intermediate **6II**. Protodeauration, where the labile C-Au bond of intermediate **6II**. ^{14d, 14e} was replaced by C-H bond results in the formation of product **7** along with the regeneration of AuCl₃.



Scheme 6 Plausible mechanism for the AuCl₃ catalyzed formation of 7

In pursuance of our on-going project on bio-active heterocycles,^{30, 5h, 15} an attempt has been made to evaluate the cytotoxicity of compounds (**7a-7k**) against human adenocarcinoma cell lines (HeLa) by MTT assay.¹⁶ The cytotoxic results were compared with reference drug *cis*-platin, which

showed an IC₅₀ value of 0.08 μ M.¹⁷ The tested compounds exhibited maximum cytotoxicity against HeLa cells at a concentration of 0.69 to 2.25 μ M (Table 2).¹⁸ From the analysis of the observed data, it was found that compound 7d possessing C3-phenyl and N-benzyl substituent showed highest activity $(IC_{50} = 0.69 \ \mu M)$. Compound 7c which contains butyl chain at C3 and indolic nitrogen emerged as the second most active in the series (IC₅₀ = 1.11 μ M). However, replacement of N-butyl by a small group like methyl (7a) marginally reduced the inhibitory potency to 1.24 µM. By increasing the chain length to ethyl at the nitrogen atom (7b), a slight decrease in activity was observed $(IC_{50} = 1.41 \mu M)$. Switching from phenyl to aliphatic chains such as butyl and pentyl as a C3-substituent (7e-h) exhibited only moderate potency with the IC₅₀ values ranging between 1.63 and 1.92 µM. Compounds possessing tertiary and primary hydroxyl (7i and 7j) emerged as the least active among the tested series $(IC_{50} = 2.02 \text{ and } 2.25 \mu M \text{ respectively})$ suggesting the intolerance of polar substituent at the C3-site. Likewise, compound 7k, possessing no substitution at indolic nitrogen exhibited poor cytotoxicity among the screened series. These qualitative assessments revealed that the presence of C3-phenyl substituent (as in 7a-d) is essential for exhibiting good inhibitory potency.

Table 2 Cytotoxicity of compounds 7a-7j against HeLa cells

Entry	Compound	IC ₅₀ (µM)
1	7a	1.24
2	7b	1.41
3	7c	1.11
4	7d	0.69
5	7e	1.92
6	7f	1.89
7	7g	1.75
8	7h	1.63
9	7i	2.02
10	7j	2.25
11	7k	2.55
12	cis-platin	0.08

In pharmaceutical drug design, the discovery of small molecules that predominantly undergo non-covalent interactions such as hydrogen bonding, van der Waals, π - π and π -cationic interactions in protein-ligand binding is an important concept.¹⁹ This in silico approach involves the docking of synthesized compounds into the active site of the 3D structure of the target, followed by the calculation of free energy of binding (FEB) of the protein-ligand complex. The binding mode of the potent inhibitors was investigated using AutoDock Tools (ADT) version 1.5.6 and AutoDock version 4.2.5.1 docking program to rationalize the pharmacological results.²⁰ To gain insights of the observed activity, we docked all the compounds to Vaccinia H1-Related (VHR) Phosphatase receptor (PDB ID: 3F81) crystal structures available in the Protein Data Bank. A link between VHR and cervical cancer was well established. Compared to normal keratinocytes, VHR protein levels are found to be up regulated in several cervix cancer cell lines including human papillomavirus (CaSki, HeLa, SiHa, HT3 and C33). Biopsies of the primary cervix cancer, squamous intraepithelial lesions and squamous cell carcinomas of the uterine cervix revealed the higher expression levels of VHR protein level. This clearly suggests that in the treatment of cervical cancer, VHR might be a promising drug target and VHR inhibiting small-molecules could be a potential drug to cure the cervical cancer.²¹ To start with, the reproducibility of docking calculations was verified by extracting the co-crystallized ligand from the complexes and submitted for one-ligand run calculation. This reproduced top scoring

conformation falling within root-mean-square deviation (RMSD) value of 1.04 Å with bound X-ray conformation for 3F81, suggesting this method is valid enough to be used for docking studies of other compounds (Figure 1). Docking simulation of all the synthesized compounds was performed in the same active site using the same protocol used for the validation study (Figure 2). For each of the test molecules, dockings were performed by taken into 2.5 million energy evaluations. The conformations of docked ligand with VHR receptor were analyzed in terms of energy, hydrogen bonding, hydrophobic and π - π interaction. The final coordinates of the ligand and receptor were saved after the clear analysis of ligand-receptor interactions. To investigate the interactions of ligand and receptor simulated conformations output was exported to PyMOL software. The free energy of binding (FEB) of all compounds were calculated from the docking scores (Table 3).

Table 3 FEB values of compounds on VHR phosphatase receptor

Entry	Compound	FEB (kcal/mol) ^a
1	7a	-5.89
2	7b	-5.86
3	7c	-6.10
4	7d	-7.27
5	7e	-5.17
6	7f	-5.00
7	7g	-6.31
8	7h	-6.47
9	7i	-6.77
10	7j	-6.51
11	7k	-5.89

^aFree energy of binding (PDB ID: 3F81)



The results revealed that all the docked compounds bind with the receptor and exhibits free energy of binding value between -5.00 and -7.27 kcal/mol. All the compounds binds in the active site and the simulated conformation exhibits various interactions with 16 amino acids namely LEU-25, PRO-26, PHE-68, MET-69, ASP-92, CYS-124, ARG-125, GLU-126, GLY-127, TYR-128, SER-129, ARG-130, ARG-158, GLY-161, PRO-162 and ASN-163 with non-covalent interactions such as hydrophobic, hydrophilic, π - π interaction and hydrogen bonding. Among all compounds docked, 7d exhibits high binding with 3F81 receptor and forms hydrogen bonding with GLY-161 amino acid which resulted in binding energy of -7.27 kcal/mol (Figure 3). The benzyl moiety exhibits hydrophobic interaction with GLY-126, in addition to the CH- π interaction with the phenyl ring of TYR-128. It is to be noted that compound 7d with highest binding energy (-7.27 kcal/mol) did exhibited significant IC₅₀ value (0.69 μ M), thus establishing a correlation with the biological results.

In summary, syntheses of pyrano[4,3-*b*]indol-1(5*H*)-ones through gold(III)-catalyzed 6-*endo-dig* cycloisomerization of 2-alkynylindole-3-carboxylic acids have been developed. The current method has promising advantage toward practical uses because of the high regioselectivity, short reaction time, high atomeconomy, modest chemical yield and functional group compatibility. In view of a large number of bioactivities involving fused-indole scaffolds, we screened the synthesized compounds for cytotoxicity against HeLa cells. The results of which indicated that compound **7d** emerged as the most active with an IC₅₀ of 0.69 μ M (FEB = -7.27 kcal.mol). We expect that these potential special structures will have wide applicability in medicinal chemistry. Further studies to extend the scope of this procedure to other heterocycles and DFT computational studies are underway in our laboratory and will be reported shortly.



Figure 1 Validation using crystallized and docked ligand with 3P9J receptor



Figure 2 Docking mode of all the compounds in the active site of 3P9J receptor



Figure 3 Docking mode of the most active compounds 7d in the active site of 3P9J

Acknowledgments

The work has been financially supported by a fast track grant for young scientists (Grant no: SERB/F/5666/2015-16) from Science and Engineering Research Board (SERB), India. C. P. is grateful to Dr. Vijayamohanan K. Pillai, Director, CSIR-CECRI for allowing to publish this work and Dr. D. Jeyakumar, Head, Functional Materials Division, CSIR-CECRI for providing laboratory and analytical facilities.

Supplementary data

Experimental procedure for the synthesis of starting materials, cytotoxicity evaluation, molecular docking, ¹H NMR, ¹³C NMR and mass spectra were provided in the supplementary material.

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