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Graphic Abstract



Condition-determined multicomponent reactions of

1,3-dicarbonyl compounds and formaldehyde

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Abstract: By means of changing the reaction parameters, different products could be generated selectively starting from the same combination of substrates involving 1,3-dicarbonyl compound and formaldehyde. This strategy enabled us to access diverse molecules without changing both starting material and reactor, maximizing thus the multi-functionality of the synthetic system. For example, starting from 1,3-dicarbonyl compound, formaldehyde and 1,1-diphenylethylene, two kinds of products could be selectively formed including (i) a densely substituted dihydropyran, and (ii) an C2-cinnamyl substituted 1,3-dicarbonyl compound. A one-pot three-component reaction of phenacylpyridinium salt, 1,3-dicarbonyl compound and formaldehyde was also investigated, which produced either 2,4-diacyl-2,3-dihydrofuran or 2,4-diacyl-2-hydroxylmethyl-2,3-dihydrofuran in good to excellent yield.

Keywords: Multicomponent reactions, diversity-oriented synthesis, condition-determined MCR, combinatorial chemistry

Introduction

Multicomponent reactions (MCRs) are convergent reactions of three or more starting materials, which have emerged as an efficient method for rapidly generating complex molecules with diverse functional substituents.¹ MCRs have often been used to establish expedient and eco-friendly chemical methods for the discovery of new chemical entities required by pharmaceutical and agrochemical industries.² The most of MCRs were established by a reaction sequence involving (i) generation of an active intermediate through a reaction of the first two or three components, and (ii) trapping of the intermediate with the same or another component. The generated intermediates generally have a very high reactivity, which enabled us to construct new molecular scaffolds sometimes. Therefore, most of the research interests are focusing on either the exploration of a suitable trapping reagent or derivatization of the intermediate with the hope of establishing a new reaction sequence.³ However, there is a perceived challenge in the face of the ever increasing demand for novel medicinally active compounds. This forced us to think how to maximize the efficiency of establishing molecule libraries for biological screening.

Control of the reaction selectivity, for example, chemo-, stereo-, and region-selectivity, is one of the most important objectives of organic chemistry.⁴ Many different reaction parameters such as temperature, pressure, solvent, as well as catalyst type, and other factors can be utilized to modulate the selectivity of organic reactions. Because three or more substrates were involved in a MCR, it is conceivable that by carefully manipulating the reaction parameters, it might be possible to establish two or more MCRs with the same combination of substrates. This strategy can increase the number of MCRs without increasing the number of substrates. Previously, a few reports have disclosed some individual examples on the synthesis of different products from the same substrates.⁵ It offered an effective means to us for enriching the diversity of the MCR product libraries, which in turn facilitates biological screening.

We are attracted by the unique advantages of this strategy and started a research

program on this topic some time ago. To utilize this strategy, we have to find a suitable intermediate, which not only has a high reactivity but also is amenable to diversified reaction modes, allowing us to trap it in different reaction pathways. Recently, Knoevenagel reaction of 1,3-dicarbonyl compound and formaldehyde has been used to create MCRs.⁶ The generated 2-methylene-1,3-dicarbonyl intermediate not only acts as a *oxo*-diene in Diels-Alder reaction but also serves as Michael acceptor in conjunction with some Michael donors, favoring thus construction of many MCRs.⁷ We are attracted by the multifunctionality of this intermediate, and started our MCR investigation with a combination of 1,3-dicarbonyl compound and formaldehyde.

Results and discussion

Initially, a three-component reaction of 1,1-diphenylethylene 1a, acetoacetone 2a and formaldehyde was investigated. As shown in Scheme 1, when formalin was used as HCHO source, a dihydropyran 3a was obtained in 75 % of yield after 5 hours of reaction at 80 °C in acetonitrile. The reaction is very clean, and the unreacted 1,1-diphenylethylene can be fully recovered. Interestingly, when paraformaldehyde was used as the HCHO source, a different compound, 4a was obtained in 80 % of yield in the presence of toluenesulfonic acid (PTSA) at 60 °C. These results imply that the source of HCHO and the reaction conditions played key role in controlling the reaction selectivity.



Scheme 1. Three-component reaction of 1a, 2a and formaldehyde.

These results gave us also impetus to investigate the reaction mechanism. It is well

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known that **3a** was formed through a tandem Knoevenagel/oxo Diels-Alder reaction pathway, in which **1a** acted as a dienophile to trap the generated 3-methylene-2,4-pantadione (intermediate I, Figure 1).⁸ In order to shed light on the mechanism for the formation of 4a, several control experiments were then carried out. Firstly, although the Prins cyclization product of **1a** and paraformaldehyde, **5a** could be formed with the aid of PTSA catalyst, it cannot be converted into 4a under the reaction conditions (Scheme 2). Because 3a could be also detected during the reaction of forming 4a (Figure 2), we therefore treated 3a with PTSA in acetonitrile. After 5 hours of reaction at 80 °C, 4a was formed in 80 % of yield. However, this result is insufficient to lead us to draw a conclusion for the formation of 4a because kinetic investigation of the reaction between 1a, 2a and paraformaldehyde revealed that no significant accumulation of 3a was observed during the reaction (Figure S2). In addition, monitoring of the reaction progress by means of ¹H NMR manifested that (i) intermediate I was generated quickly in the first 30 minutes of the reaction; then, it's concentration gradually decreased; and (ii) the formation of 4a occurred in the beginning of the reaction, and it lasted all 12 hours as the concentration of 4a increased gradually during the reaction. All these results led us to deduce that 4a might be formed through a direct Michael reaction of the intermediate I and 1a. Because the isolation of pure intermediate I is not possible, methyl vinyl ketone 6a was therefore used as a Michael acceptor, which has a relatively low reactivity than the intermediate I. As shown in Scheme 2, the expected product 7a was obtained in 80 % of yield. This result implies that 4a might be formed through a tandem Knoevenagel/Michael reaction pathway. Incidentally, as Knoevenagel/oxo Diels-Alder reaction is a non-catalytic reaction sequence, formation of 3a is therefore inevitable during the synthesis of **4a**. A Knoevenagel/oxo Diels-Alder/ring-opening reaction sequence may be also operative for the formation of 4a (Figure 1). The ring-opening reaction pathway is able to convert **3a** into **4a**, ensuring thus a good selectivity of **4a**.



Scheme 2. Control experiments for understanding the mechanism of 4a formation.



Figure 1. Proposed mechanism for the formations of 3a and 4a.



Figure 2. Progress of a PTSA-catalyzed reaction of **1a**, **2a** and paraformaldehyde monitored by ¹H NMR.

The PTSA/acetonitrile system was successfully used to establish the three-component reactions of a wide range of 1,3-dicarbonyl compounds, (HCHO)_n, and 1,1-diarylethylenes, and the results are shown in Figure 3. Many linear β-ketoesters or 1,3-diketones reacted readily with **1a** and paraformaldehyde, affording the corresponding products in generally excellent yields. Cyclopropyl and methoxy groups are tolerable in this system (4g). A secondary β -ketoamide can also be used uneventfully (4i). Some other 1,1-diphenylethylene derivatives could also be used. Particularly, a diarylethylene with thienyl group participated readily in this reaction as well (41). It is significant to note that 1a could be replaced by 1,1-diphenylethanol, which is less-expensive as compared with 1a, in this reaction. This offered a cost-effective alternative route to access 4a-type product (Scheme 3). It should be noted also that, although the same products in **Figure 3** could be synthesized by many reported methods, most of which involve the use of harsh conditions, expensive reagents and suffer from the lack of simplicity and also the yields and selectivities reported are sometimes far from satisfactory.⁹ Therefore, the present three-component reaction opened a simple and effective route to access these compounds. However, attempts to use normal 1-arylethylenes, such as

4-methylstyrene and α -methylstyrene, as substrates in PTSA/acetonitrile system were in vain. The reactions suffered from the lack of selectivity as messy mixtures were formed in these cases. By the same token, formaldehyde cannot be replaced by other aliphatic or aromatic aldehyde in this reaction.



Figure 3. PTSA-catalyzed three-component reaction of 1,1-diarylethylene, 1,3-dicarbonyl compound and $(HCHO)_n$.



Scheme 3. PTSA-catalyzed three-component reaction of 1,1-diphenylethanol, 2a and paraformaldehyde.

The above-mentioned results demonstrated that the development of condition-determined MCRs based on a combination of 1,3-dicarbonyl compound and formaldehyde is indeed possible. Encouraged by these results, we then investigated condensation the reaction of N-phenacylpyridinium bromide 7a, 1,3-cyclohexanedione 2b and formaldehyde, which can hopefully produce a 2,4-diacyl-2,3-dihydrofuran derivative, 8a through a cascade Knoevenagel / [4+1] annulation reaction under appropriate conditions.¹⁰ The reaction was also triggered by a Knoevenagel condensation of 2b with formaldehyde, which generated a 2-methylene-1,3-cyclohexanedione intermediate (II) that can be trapped by phenacylpyridinium salt through [4+1] annulation reaction (**Figure 4**). As shown in Table 1, a product was indeed formed in the presence of an inorganic base, K_2 HPO₄'3H₂O, in DMSO, however, it was the hydroxymethylation product of the expected one, 8a'. Because compound 8a was also detected at the end of the reaction, we therefore deduced that **8a'** might be formed through a cascade Knoevenagel / [4+1]annulation / hydroxymethylation reaction (Figure 4). Indeed, treatment of 8a in DMSO in the presence of paraformaldehyde resulted in an evident formation of 8a' (Scheme 4). To our great delight, the quasi four-component reaction was found to be very efficient, and the yield of 8a' reached 83 % after 4 hours of reaction at 80 °C (entry 1). This observation encouraged us to scrutinize the effects of reaction parameters including base, solvent and reaction temperature. No or only trace amount of product was obtained with inorganic bases, such as K₃PO₄3H₂O and K₂CO₃ (entries 2 and 3). Organic bases like NEt_3 and DBU were also ineffective for this reaction (entries 4 and 5). Among different solvents tested in the reaction, DMSO clearly stood out, producing 8a' with the highest yield, with DMF and acetonitrile in a distant second place (ca. 40 % yields). PEG400, ionic liquid [BMIm]BF₄, and water resulted in significantly lower efficiency of the reaction (entries 8 to 10). Ratio of 7a/2b/HCHO can also significantly affect the yield of 8a', and the best is 7a/2b/HCHO = 1.0/2.0/2.5. Poor yields were obtained with much excess amount of **2b** or HCHO, which might result from an extensive formation of a by-product through Knoevenagel / Michael reaction of **2b** and HCHO (entries 11 and 12). Interestingly, when ratio of 7a/2b/HCHO was changed to 1.0/1.5/2.0, 8a was produced as a major product, and 8a' was formed only in tiny amount (entry 13). These results imply that substrate ratio has a subtle influence on the reaction selectivity, and amounts of **2b** and formaldehyde are both important to determine the reaction selectivity. It offered us a possible means to control the reaction selectivity by tuning the reaction parameters. It should be noted that, in all the previous reports on Knoevenagel / [4+1] annulation sequential reaction of phenacylpyridinium salt, the use of aromatic aldehyde is mandatory in order to facilitate controlling of the reaction selectivity.¹¹

The present synthesis of **8a**-like 2,3-dihydrofuran represents the first example of using non-aromatic aldehyde as substrate. Additionally, the reaction was also affected by temperature and reaction time, and the maximum yield of **8a'** was obtained at 80 °C after 4 hours of reaction (entries 14 to 16). It is worthwhile to note that, under the optimal conditions, effort to replace paraformaldehyde with either formalin (37 wt %) or trioxymethylene was in vain (entries 17 and 18).

 Table 1. Three-component reaction of N-phenacylpyridinium bromide, acetylacetone and formaldehyde under different conditions.^a



entry	base	solvent	ratio of		yield (%)		
			7a/2b/HCHO	temp. (°C)	8 a	8a'	
1	K ₂ HPO ₄ ⁻ 3H ₂ O	DMSO	1.0/2.0/2.5	80	<5	83	
2	K ₃ PO ₄ ³ H ₂ O	DMSO	1.0/2.0/2.5	80	<5	<5	
3	K ₂ CO ₃	DMSO	1.0/2.0/2.5	80	0	0	
4	Et ₃ N	DMSO	1.0/2.0/2.5	80	8	5	
5	DBU	DMSO	1.0/2.0/2.5	80	0	0	
6	K ₂ HPO ₄ 3H ₂ O	DMF	1.0/2.0/2.5	80	<5	36	
7	K ₂ HPO ₄ ⁻ 3H ₂ O	CH ₃ CN	1.0/2.0/2.5	80	<5	39	
8	K ₂ HPO ₄ ⁻ 3H ₂ O	PEG400	1.0/2.0/2.5	80	<5	<5	
9	K ₂ HPO ₄ ⁻ 3H ₂ O	[BMIm]BF ₄	1.0/2.0/2.5	80	9	<5	
10	K ₂ HPO ₄ ⁻ 3H ₂ O	H_2O	1.0/2.0/2.5	80	8	<5	
11	K ₂ HPO ₄ ⁻ 3H ₂ O	DMSO	1.0/1.0/2.0	80	<5	67	
12	K ₂ HPO ₄ ⁻ 3H ₂ O	DMSO	1.0/2.5/3.0	80	9	22	
13	K ₂ HPO ₄ ⁻ 3H ₂ O	DMSO	1.0/1.5/2.0	80	80	<5	
14	K ₂ HPO ₄ ·3H ₂ O	DMSO	1.0/2.0/2.5	50	0	5	
15	K ₂ HPO ₄ ⁻ 3H ₂ O	DMSO	1.0/2.0/2.5	100	5	10	
16 ^b	K ₂ HPO ₄ ⁻ 3H ₂ O	DMSO	1.0/2.0/2.5	80	24	51	
17 ^c	K ₂ HPO ₄ ⁻ 3H ₂ O	DMSO	1.0/2.0/2.5	80	< 5	11	
18 ^d	K ₂ HPO ₄ 3H ₂ O	DMSO	1.0/2.0/2.5	80	0	0	

^a: **1a**: 1.0 mmol, paraformaldehdye was used as HCHO source, solvent: 1.0 ml, reaction time: 4 h.

^b: reaction time: 2 h.

^c: aqueous solution of formaldehyde was used as HCHO source.

^d: trioxymethylene was used as HCHO source.





Figure 4. Proposed mechanism for the formations of 8a and 8a'.



Scheme 4. Hydroxymethylation of 8a to 8a'.

We also probed the scope of the reaction with respect to both the pyridinium bromide and the 1,3-dicarbonyl compounds. As evidenced by the results in **Table 2**, N-phenacylpyridinium bromides with both electron-donating and moderately electron-withdrawing groups smoothly reacted with 2b, producing 2-hydroxymethylated 2,3-dihydrofurans derivatives in generally good yields (entries 1 to 5). By means of decreasing the ratio of 7/2/HCHO, we are able to suppress the hydroxymethylation. Particularly, when N-(4-methoxyphenacyl)pyridinium bromide was used, yield of the tandem Knoevenagel/[4+1] annulation product, 8e, reached 95 % with the ratio of 7/2/HCHO = 1.0/1.5/2.0. However, increasing the ratio to 1.0/2.0/2.5 was in vain to obtain its hydroxymethylated counterpart, 8e'. In this case, much excess amount of paraformaldehyde has to be used in order to get a good yield of 8e' (entry 4). Acetoacetone 2a reacted readily with 7a and formaldehyde, however, extra effort has to be paid to control the reaction selectivity because change of the substrate ratio cannot alter significantly the product distribution. Addition of solvent amount of xylene, which constructed a biphasic system along with DMSO, was proved to be an effective way to suppress the hydroxymethylation reaction of 8g (entry 6). In order to get 8g', the reaction has to be performed at 30 °C. Fortunately, when the other N-phenacylpyridinium bromide derivatives were used to react with 2a,

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it is quite easy to control the reaction selectivity. In the presence of a large excess amount of paraformaldehyde, the hydroxymethylated product will be preferentially formed as usual. Whereas, the major products are the non-hydroxymethylated 2,3-dihydrofurans when the ratio of 7/2b/HCHO is 1.0/2.0/2.5 (entries 7 to 14). This strategy is particularly effective for tuning the selectivity of condensation between N-(4-phenylphenacyl)pyridinium bromide. 2a and formaldehyde. Both hydroxymethylated and non-hydroxymethylated products could be obtained in more than 90 % of yields in this case (entry 10). 1-(2-Naphthoylmethyl)pyridinium bromide was also proved to be an eligible substrate that reacted smoothly with either **2b** or **2a**, providing both hydroxymethylated and non-hydroxymethylated products in good yields (entries 5 and 11). It should be noted that OH group in the phenacylpyridinium salt can be delivered uneventfully (entry 12). This facilitates further conversions of the obtained 2,3-dihydrofurans. Heterocyclic group, such as thienyl, is also tolerable in the present reaction (entry 13). Reactions with β -ketoesters proceeded also very well, and the products succeeded the ester moieties without any damage (entries 14 to 17). Ether fragment in 2-methoxyethyl acetoacetate is also tolerable. Due to an insusceptibility of the reaction toward the change of the substrate ratio, DMSO/xylene biphasic system was employed when methyl isobutyrylacetate and 2-methoxyethyl acetoacetate were used to react with 7a (entries 16 and 17). It should be noted that when an aqueous solution of acetaldehyde was used instead of paraformaldehyde, no expected substituted dihydrofuran derivative was formed.

Table 2. Substrate scope of three-component reaction of N-phenacylpyridinium bromides,1,3-dicarbonyl compounds and paraformaldehyde.^a





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1									
2							-		
3			F				> o		
4	2	1.0/1.5/2.0		8c	62 (9)	1.0/2.0/2.5		8c'	68 (13)
5							o Co		
0			C6H5				C ₆ H ₅		
/ 0			o		=0 (0)				=0 (10)
0	3	1.0/1.5/2.0		8d	70 (8)	1.0/2.0/2.5		8d'	70 (10)
9			o				ο̈́ζό~~ OH		
10			MeQ				MeO		
11	4	1 0/1 5/2 0	P	80	05 (< 1)	1 0/2 0/7 0		8.01	71 (10)
12	4	1.0/1.5/2.0		0C	<i>y</i> ₂ (<1)	1.0/2.0/7.0		86	/1(1))
13			o o				ОН		
14									
10	5	1.0/1.5/2.0		8f	86 (7)	1.0/2.0/7.0	V ~Ľ	8f'	76(14)
10			\rightarrow				o		
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10			> 0				ି ବ		
19	6	1.0/2.0/2.5		8g	$82^{c}(6)$	1.0/2.0/2.5		8g'	85 ^d (7)
20			0 0				ο ζό OH		
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22			· · · · · ·				è là		
23	7	1.0/2.0/2.5		8h	69 (16)	1.0/2.0/7.0		8h'	70 (11)
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27	8	1.0/2.0/2.5		81	/3 (8)	1.0/2.0/7.0		81	51 (9)
28			o o				ОСОС		
29			C ₆ H ₅				C6H5		
30	9	1.0/2.0/2.5		8i	94 (< 5)	1.0/2.0/7.0		8i'	93 (< 5)
31			\rightarrow	•]	, ()			۰J	, ()
32			0 0- \				ОН		
১ ১ ০4									
34 25	10	1.0/2.0/2.5		8k	72 (12)	1.0/2.0/7.0		8k'	57 (18)
30 26									
30 27			MaQ				ОН MeQ		
37 20							è là		
30 20	11	1.0/2.0/2.5		81	65 (17)	1.0/2.0/7.0		81'	62 (15)
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40			но				но		
41	12	1 0/2 0/2 5		9 m	75 (11)	1 0/2 0/7 0		8m!	50(12)
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45			0				n n		
46	13	1.0/2.0/2.5	s J	8n	81 (10)	1.0/2.0/7.0	s-(8n'	61 (16)
40							of (of the office of the offi		
48									
40									
	14	1.0/2.0/2.5	Sector S	80	49 (8)	1.0/2.0/7.0	OMe	80'	54 (< 5)
51			o″`ó́́				0 (0 ⁻ \ OH		
52			_						
53									~
54	15	1.0/2.0/2.5	OEt	8p	60 (14)	1.0/2.0/7.0		8p'	71 (7)
55			0 0				он		
56									
57									
58									

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^a: N-phenacylpyridinium bromide: 0.5 mmol, DMSO: 1.0 ml; K₂HPO₄·3H₂O: 1.0 mmol; 80 °C, 4 h.

^b: value in parentheses is the yield of the minor product.

^c: xylene was added.

^d: 30 °C.

Because the hydroxymethylated products contain some reactive groups, we therefore suspected that these molecules might be susceptible under acidic conditions. As we expected, treatment of **8g'** in ethanol in the presence of $Sc(OTf)_3$ resulted in selective formation of diphenyl derivative **9a** (Scheme 5). The existence of hydroxyl group in **8g'** was proved to be crucial for rendering this reaction possible because no reaction was observed when **8g** was used as substrate under the identical conditions. Initial step of the reaction might be an intramolecular ring-opening and ring-closing reaction of **8g'** with the aid of acid catalyst, which generated an epoxide intermediate (**IV**). The following ring-opening of (**IV**) with ethanol produced an intermediate (**V**) that underwent an intramolecular aldol reaction¹² and subsequent retro-Claisen condensation¹³ to form the final product **9a**. This reaction not only displayed an interesting reaction sequence but also offered us the first example that can get aromatic ether from five-member ring heterocycles without oxidation.¹⁴



Scheme 5. Conversion of 8g' to 9a.

Conclusion

Some condition-determined MCRs of 1,3-dicarbonyl compound and formaldehyde were reported. Reaction of 1,3-dicarbonyl compound, formaldehyde and 1,1-diphenylethylene produced either a densely substituted 3,4-dihydropyran or a C2-cinnamyl substituted 1,3-dicarbonyl compound. A pseudo four-component reaction of N-phenacylpyridinium bromide, 1,3-dicarbonyl compound and formaldehyde was also developed, which involved a hitherto unreported Knoevenagel/[4+1] annulation/hydroxymethylation reaction sequence. All these examples demonstrated that the concept of condition-determined MCR is indeed useful for divergence-oriented organic synthesis.

Experimental Section

General

Melting points were determined by microscopic melting pointmeter and were uncorrected. IR spectra were recorded on a FT-IR Bruker (EQUINOX 55) using KBr pellets or neat liquid technology. ¹H and ¹³C NMR spectra were recorded on a Bruker AV-400. Chemical shifts are expressed in ppm relative to Me₄Si in solvent. All chemicals used were of reagent grade and were used as received without further purification. All reactions were conducted in a 10 mL of V-type flask equipped with triangle magnetic stirring.

Reaction of 1,1-diarylethylene, 1,3-dicarbonyl compound and (HCHO)_n

In a typical reaction, 1,3-dicarbonyl compound (0.2 mmol) was mixed with paraformaldehyde (0.2 mmol), 1,1-diarylethylene (0.25 mmol) and PTSA (0.02 mmol, 3.8 mg, 10 % mol) in acetonitrile (1.0 mL), The mixture was then stirred at 60 °C for 12 hours. After reaction, the mixture was cooled to room temperature, and the product was obtained by isolation with preparative TLC (eluting solution: petroleum ether / ethyl acetate = 5 / 1 (v/v)). Tests for substrate scope were all performed with an analogous procedure.

Three-component reaction of N-phenacylpyridinium bromides, 1,3-dicarbonyl

compounds and (HCHO)_n

N-Phenacylpyridinium bromide (0.25 mmol) was mixed with 1,3-dicarbonyl compound (0.375 mmol), and paraformaldehyde (0.5 mmol), The mixture was then stirred at 80 °C for 4 hours. After reaction, the mixture was cooled to room temperature, and the product 2,4-diacyl-2,3-dihydrofuran derivative was obtained by isolation with preparative TLC (eluting solution: petroleum ether / ethyl acetate = 10 / 1 (v/v)). Tests for substrate scope were all performed with an analogous procedure. The hydroxymethylation product was obtained only change the ratio of N-phenacylpyridinium bromide, 1,3-dicarbonyl compound and paraformaldehyde to 1.0/2.0/2.5.

Synthesis of 9a from 8g'

8g' (52 mg, 0.2 mmol) and Sc(OTf)₃ (10 mg, 10 % mol) was added to ethanol (1 mL), and the mixture was then stirred at 80 °C for 4 hours. After reaction, the product **9a** was obtained by isolation with preparative TLC (eluting solution: petroleum ether / ethyl acetate = 20 / 1 (v/v)) with yield 61 %.

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