

Letter

Silver-Catalyzed *tert*-Butyl 3-Oxopent-4-ynoate π -Cyclizations: Controlling the Ring Size—Hydroxypyrone or Pulvinone Formation—by Counterion and Additive Optimization

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Supporting Information

ABSTRACT: *tert*-Butyl 2,5-diaryl-3-oxopent-4-ynoates, obtained from arylacetylenes and the acid chloride of *tert*-butyl 2-phenylmalonate, represent strongly enolized β -ketoesters. Their C=C bonds were activated by Ag(I) salts so that de*tert*-butylating π -cyclizations occurred. The latter followed a 6-*endo-dig* mode giving 3,6-diaryl-4-hydroxy-2-pyrones, or a 5-*exo-dig* mode giving (Z)-configured 2-aryl-4-(arylmethylidene)tetronic acids ("pulvinones"). Perfectly selective pyrone



formations were induced by $AgSbF_6$ in methanol and equally selective pulvinone formations by Ag_2CO_3 and DABCO in acetonitrile.

T wo-fold unsaturated 6-membered ring lactones ("2pyrones") abound in nature.¹ In contrast, 3,6-diaryl-2pyrones 1 (Figure 1) are rare and 3,6-diaryl-4-hydroxy-2-



Figure 1. 3,6-Diaryl-2-pyrones (1), 3,6-diaryl-4-hydroxy-2-pyrones (2), type-2 compounds 3 from a pesticide patent,⁴ (Z)-2-aryl-5-(arylmethylidene)furan-2(*5H*)-ones (4), and pulvinones (5), to which aspulvinone E (6^{7a}) belongs.

pyrones 2 (other than aryl-free 4-hydroxy-2-pyrones²) are even rarer, both in nature (e.g., ref 3) and synthesis (like the pesticide 3^4). 3-Aryl-5-(arylmethylidene)furan-2(5*H*)-ones 4 are 5membered ring isomers of 3,6-diaryl-2-pyrones 1 and originate rather from synthesis⁵ than from nature.⁶ Similarly, 3-aryl-5-(arylmethylidene)-4-hydroxyfuran-2(5*H*)-ones 5—or "pulvinones"—are 5-membered ring isomers of 3,6-diaryl-4hydroxy-2-pyrones 2. If (*Z*)-configured, they are well-known naturally⁷—e.g., aspulvinone E (6^{7a})—and synthetically.⁸ Some have evoked interest by their bioactivity.⁹

Unsaturated 6- and 5-membered ring lactones have been synthesized, inter alia, by acid-, base-, or metal-promoted π -cyclizatitions of pent-4-ynoic acids and their derivatives.¹⁰ This

includes synthesizing type-1 pyrones¹¹ and type-4 furanones. However, to the best of our knowledge, type-2 hydroxypyrones have never been prepared in this manner and pulvinones 5 only once (5a: $Ar^1 = Ar^2 = Ph^{8e}$). The present study fills this void by accessing, selectively, diarylhydroxypyrones 2 as well as pulvinones 5 by silver-induced π -cyclizations of enol-containing *tert*-butyl pent-2-en-4-ynoates 7 and/or their β -ketoester tautomers *keto*-7 (Figure 2). This required conducting such cyclizations 6-*endo-dig*-selectively¹² (\rightarrow 2) and 5-*exo-dig*selectively¹² (\rightarrow 5), respectively.

The preferred cyclization mode of pent-2-en-4-ynoic acids, their salts or their esters are affected by the nature of their C==O bond, ¹³ $\alpha_{\beta}\beta^{-14}$ or δ -substituent, ¹⁵ the attacking electrophile¹⁶



Figure 2. Considering 3,6-diaryl-4-hydroxy-2-pyrones (2) and pulvinones (5) or their respective β -ketolactone tautomers (keto-2, keto-5) as de-*tert*-butylated π -cyclization products of $C^{\gamma} \equiv C^{\delta}$ -containing β -ketoesters *keto*-7 or their enols 7.

Received: October 9, 2018

including its oxidation state, ligand, or counterion,¹⁷ the solvent,¹⁸ "additives",¹⁹ and the temperature.²⁰ Therefore, the outcome of such cyclizations is difficult to predict.²¹

Choosing *tert*-butyl 5-arylpent-2-en-4-ynoates 7—containing 0-24% of the tautomeric ketoester *keto*-7—as our substrates, we explored several accesses.²² We settled on acylating an excess of the lithiated arylethynes **10** with the chloride **9** of mono-*tert*-butyl phenylmalonate (**8**; Scheme 1); **9** was obtained from **8** in

Scheme 1. Synthesis of the Pent-2-en-4-ynoates 7a-7f from the Arylacetylenes 10a-10f and the Chloride 9 of Mono-*tert*-butyl Phenylmalonate^{*a*}



^{*a*}Reaction conditions: (a) $(COCl)_2$ (1.0-1.7 equiv), DMF (cat.), CH₂Cl₂, room temperature, 30–90 min; evaporation of the volatiles at 10^{-2} mbar; (b) **10a–10f** (2.1–2.8 equiv), *n*-BuLi for **10a–10e** and MeLi for **10f** (2.1–2.6 equiv), THF, -78 °C for **10a–10c,10e**, 0 °C for **10f**, and -30 °C for **10d**, 15–120 min; addition of **9** in THF, 30–65 min.

situ. Alkyne lithiation in THF using *n*-BuLi or MeLi (for **10**f, lest Br/Li exchange interfered), acylation at -78 °C, quenching with saturated aqeuous NH₄Cl, extractive workup, and purification by flash-chromatography on silica gel²³ immediately thereafter (for minimizing decomposition) afforded 43%–66% yields of the *tert*-butyl 5-arylpent-2-en-4-ynoates 7**a**-7**f**.

We treated *tert*-butyl 5-phenylpent-2-en-4-ynoate (7a) with six different Ag(I) salts in 10 different solvents and with AuCl or AuCl₃ in DMF. π -Cylizations always ensued, but mostly with little ring-size control. The hydroxypyrone 2a resulted best from an AgSbF₆-induced cylization in CH₃OH. The pulvinone 5a formed best in the presence of Ag₂CO₃ in CH₃CN. Ring-size control was due to both the counterion and the solvent. For instance, exposure of the ester 7a to AgSbF₆ in CH₃OH—as mentioned-or in acetone gave the hydroxypyrone 2a exclusively; 2a/5a mixtures resulted in (F₃C)₂CHOH or 1,3dimethyl-2-imidazolidinone (~70:30) or CH₃CN or DMF (~30:70). Similarly, the ester 7a and Ag_2CO_3 gave the pulvinone 5a as the major product in CH₃CN—as mentioned—(5a:2a = 93:7) or DMF (85:15); 5a was the minor product in 1,3-dimethyl-2-imidazolidin-2-one (49:51), acetone (42:58), CH₃OH (34:66), or $(F_3C)_2$ CHOH (20:80).

Regarding the conversion of our simplest *tert*-butyl 5arylpent-2-en-4-ynoates 7a into the hydroxypyrone 2a in CH₃OH, we decreased the amount of AgSbF₆ from our screening (20 mol%) to as little as 1 mol% (Scheme 2). The yield was unaffected when the reaction time remained 16 h. It sunk when we worked up after 8 h or less.

Scheme 2. 6-*Endo-dig* Cyclizations of the *tert*-Butyl 5-Phenylpent-2-en-4-ynoate 7a, Giving the 3,6-Diphenyl-4hydroxypyrone 2a: Effect of Catalyst Loading



Under the conditions of Scheme 2, the *tert*-butyl 5-arylpent-2en-4-ynoates 7b-7f cyclized to the corresponding hydroxypyrones 2b-2f with the same perfect selectivity as 7a (see Scheme 3). Only the hydroxypyrone 2c was isolated by

Scheme 3. Optimized 6-*endo-dig* Cyclizations of the *tert*-Butyl 5-Arylpent-2-en-4-ynoates 7a-7f Giving the 3,6-Diaryl-4-hydroxypyrones 2a-2f



extractive workup and purification by flash chromatography on silica gel.²³ The hydroxypyrones **2a**, **2b**, and **2d–2f** were poorly soluble, so they precipitated while their formation was underway. We isolated them in yields of 80%-96% by centrifugation, removing the supernatant, and triturating the remaining solid successively with H₂O, ^{*i*}PrOH, and *n*-pentane or toluene.

As previously mentioned, tert-butyl 5-phenylpent-2-en-4ynoate (7a) and Ag₂CO₃ in CH₃CN provided the highest proportion of pulvinone 5a during our initial screening. Nevertheless, some hydroxypyrone 2a formed as well. Its proportion in the product amounted to 2%-7%, as a result of somewhat scattering outcomes of seemingly identical experiments (Scheme 4, first row, "no additive"). Aggravatingly, this contaminant could not be removed from the desired pulvinone. This forced us to vary the reaction parameters until we attained a perfectly ring-size specific cyclization of our substrate. We noticed that diluting the substrate without diluting the catalyst decreased the proportion of the hydroxypyrone 2a. This led us to speculate whether the basicity of the carbonate counterion favored the desired 5-exo-dig vs the undesired 6-endo-dig cyclization. As a consequence, we added 2 equiv of a base to the original Ag₂CO₃/CH₃CN system (Scheme 4). They were composed of amines, a diamine (DABCO), an amidine (DBU),

Scheme 4. 5-*Exo-dig* Cyclizations of the *tert*-Butyl 5-Phenylpent-2-en-4-ynoate 7a Giving Pulvinone (5a): Base Effects

	OH Ph O'Bu 7a		Ag ₂ CO ₃ (0.20 equiv) additive (2 equiv) CH ₃ CN room temp,16 h		⁸ 0MSO-de [≡] 6.74 ppm H Ph 5a	
Ph						
	additive	yield	rel-% pulvinone	additive	yield	rel-% pulvinone
	none	57-72%	98:2-93:7 ^a	K ₂ CO ₃	52%]
	DBU	28%	95:5	HNEt ₂	63%	} 100:0
	TBD	45%	98:2	NEt ₃	65%	
	ⁱ Pr ₂ NEt	45-57%	99:1	DABCO	73%	

^{*a*}The amount of pulvinone **5a** was dependent on the concentration of the reactants and the amount of Ag_2CO_3 . Abbreviations: DBU, 1,8-diazabicyclo[5.4.0]undec-7-ene; TBD, 1,5,7-triazabicyclo[4.4.0]dec-5-ene; and DABCO, 1,4-diazabicyclo[2.2.2]octane.

a guanidine (TBD), and K_2CO_3 . Each base increased the pulvinone/hydroxypyrone ratio, with the order being DBU < TBD < iPr_2NEt (Scheme 4, left table) < K_2CO_3 or HNEt₂ or NEt₃ or DABCO (Scheme 4, right table). Also, each base, with the exception of DABCO, caused a silver mirror or black flakes (amorphous Ag?) to form during the 16 h of reaction time. Moreover, DABCO gave the highest yield. Accordingly, our further optimizations maintained the latter.

The more DABCO we applied to our substrate 7a, the higher the yield of pulvinone 5a (see Scheme 5). It seemed as if ≥ 2

Scheme 5. 5-*Exo-dig* Cyclizations of the *tert*-Butyl 5-Phenylpent-2-en-4-ynoate 7a Giving Pulvinone (5a): Effect of Varying Amounts of DABCO



equiv of DABCO precipitated this pulvinone from the reaction mixture as a solid. Finally, we found that the amount of Ag_2CO_3 could be reduced to 0.01 equiv without affecting the yield after 16 h of reaction time (Scheme 6). However, in the absence of Ag_2CO_3 , 2 equiv of DABCO left the substrate 7a unaltered under otherwise identical conditions throughout 6 ${}^3/_4$ h. This proves that DABCO alone cannot cyclize 7a at all.

Under the conditions of Scheme 6, the *tert*-butyl 5-arylpent-2en-4-ynoates 7b-7f cyclized giving the corresponding pulvinones **5b**-**5f** (Scheme 7) with the same perfect selectivities with

Scheme 6. 5-*Exo-dig* Cyclizations of the *tert*-Butyl 5-Phenylpent-2-en-4-ynoate 7a Giving Pulvinone (5a): Effect of Catalyst Loading



Scheme 7. Optimized 5-*exo-dig* Cyclizations of the *tert*-Butyl 5-Arylpent-2-en-4-ynoates 7a-7f Giving the Pulvinones 5a-5f



^aObtained from ester 7d by employing 4 equiv of DABCO.

which 7a gave 5a. After 16 h, the crude pulvinones 5a-5f were first extracted from ether into saturated aqueous NaHCO₃ as an anion. The aqueous phases were acidified (HCl) so that the respective pulvinone 5a-5f re-formed as a yellow precipitate. The latter was dissolved upon back-extraction with ether. Removal of the volatiles provided pure pulvinones (58%–86% yields).

The hydroxypyrone 2f (Scheme 3) and the pulvinone 5f(Scheme 7) contain a C-Br bond. It originated from (4bromophenyl)acetylene and was introduced via tert-butyl 5-(4bromophenyl)pent-2-en-4-ynoate (7f; see Scheme 1). The C-Br bonds were intended to allow late stage modifications of the two lactones by Pd-catalyzed C,C or C,Het coupling reactions. Their feasibility is illustrated in Scheme 8 by Sonogashira-Hagihara couplings with hept-1-yne. They were effected after protecting the OH group of the hydroxypyrone 2f as methyl ether 11 and the OH group of the pulvinone 5f as methyl ether 12. These compounds and hept-1-yne coupled, affording the heptynylated pyrone ether 13 and the heptynylated pulvinone ether 14 in excellent yields. It is unclear whether the C≡C bond introduced in these couplings would have interfered with the π -cyclizations—which established the respective hydroxypyrone and pulvinone scaffolds-if they had already been present then. The modus procedendi of Scheme 8 avoids such incertitudes.

The pulvinone syntheses described here circumvent the nonadoptability of our π -cyclization route to aryl-(arylmethylidene)butenolides ("desoxypulvinones") 16^{Sf} to yield the corresponding pulvinones, e.g., compound 5a (see Scheme 9). This would have required the oxidation of the respective hydroxybutanolide intermediates 15, e.g., compound 15a, which we accessed by π -cyclizing the type-17 substrate not with Ag₂CO₃ in dimethylformamide (DMF),^{Sf} but with AgNO₃ in 1,2-dimethoxyethane (DME).²⁴

Sadamitsu et al. reached one pulvinone (5a) by an AgOTfmediated π -cyclization of the ketopentynoate 19, which was generated in situ from the ynone 18 and CO₂ (see Scheme 10).^{8e} Their access looks generalizable, but is more demanding than Scheme 8. Post-Cyclization Modifications of the Hydroxypyrone 2f and the Pulvinone 5f by a Sonogashira– Hagihara Couplings with Their C–Br Moieties^a



^aReagents and conditions: (a) Me_2SO_4 (1.93 equiv), K_2CO_3 (1.60 equiv), acetone, reflux, 3.5 h; (b) hept-1-yne (2.14 equiv), $PdCl_2(PPh_3)_2$ (0.02 equiv), CuI (0.05 equiv), Pr_2NH/THF (1:2), reflux, 4.5 h; (c) Me_2SO_4 (2.03 equiv), K_2CO_3 (1.69 equiv), acetone, reflux, 1.5 h; (d) hept-1-yne (2.14 equiv), $PdCl_2(PPh_3)_2$ (0.02 equiv), CuI (0.04 equiv), Pr_2NH/THF (1:2), reflux, 3 h.

Scheme 9. A π -Cyclization Route to Aryl(arylmethylidene)butenolides 16^{5f} and Its Nontransferability to Reaching the Pulvinone 5a



ours $(CO_2 \text{ was pressurized at } 20 \text{ bar})$ and more expensive (they used 20 times as much Ag(I) as we did).

It would be nice if there was a rationale for the ring-size selectivities that we observed. However, we cannot provide one. Ag(I) can act both as a π -electrophile toward C=C motifs and as a σ -electrophile toward C=O motifs.^{21c} Both properties were invoked by Belmont et al., independently, to explain why the (alkynylaryl)aldehyde **21** *6-endo-dig*-cyclizes with Ag₂CO₃ (\rightarrow

Scheme 10. Only π -Cyclization That Afforded a Pulvinone, Namely the Parent Compound 5a, before Our Study^{8e}



20) but 5-*exo-dig*-cyclizes with AgSbF₆ (\rightarrow **22**; see Scheme 11, top):^{21b} Ag₂CO₃ would act as a σ -Lewis acid and thereby induce

Scheme 11. Juxtaposition of the Salt-Dependent π -Cyclizations of the Present Study (Center) and of Selected Substrates from ref 21b (at the Top and Bottom)



the formation of the hemiacetal "anion" 23. which cyclizes 6endo-dig selectively. AgSbF₆ would act as a π -Lewis acid and thus allow the resulting complex 24 to cyclize 5-exo-dig selectively. Unfortunately, these cyclization modes of their substrate (21) contrast with those of ours (7a; see Scheme 11, center). If the preceding reasoning^{21b} was correct, AgSbF₆ should let the 7abased π -complex 25 cyclize, Ag₂CO₃/DABCO the 7a-based σ complex 26. But why would the resulting rings be oppositely sized—6-membered (2a) vs 5-membered (5a)—than the rings formed via Belmont's π -complex 24 and σ -complex 23, which are 5-membered (22) vs 6-membered (20)? Interestingly, the Ag(I)-catalyzed π -cyclizations of the (alkynylaryl)aldehyde 28 display the *identical* ring-size/salt correlations ($\rightarrow 27/29$; see Scheme 11, bottom)^{21b} as our π -cyclizations (see Scheme 11, center). Belmont et al. noticed the contrast to their 21cyclizations (see Scheme 11, top) but had no explanation.

In summary, we developed an access to 3,6-diaryl-4hydroxypyrones **2** and pulvinones **5** from *tert*-butyl 2,5-diaryl-3-hydroxy-2-en-4-ynoates **7** as common precursors. The latter stemmed from acylating arylacetylenes **10** with the chloride **9** of *tert*-butyl phenylmalonate (**8**). These *tert*-butyl 2,5-diaryl-3hydroxy-2-en-4-ynoates **7** underwent perfectly regioselective 6*endo-dig* cyclizations when treated with $AgSbF_6$ in CH_3OH and equally regioselective *5-exo-dig* cyclizations when treated with Ag_2CO_3 and DABCO in CH_3CN . Both cyclization modes were brought about by just 1 mol % of Ag salt.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.orglett.8b03214.

Experimental procedures, characterization data, ¹H and ¹³C NMR spectra for pentynoic esters 7a-7f, 3,6-diaryl-4-hydroxypyrones 2a-2f, and pulvinones 5a-5f, along with additional references to citations given as refs 10 and 15-17 (PDF)

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The manuscript was written through contributions of all authors.

Notes

The authors declare no competing financial interest.

ACKNOWLEDGMENTS

We gratefully acknowledge the Deutsche Forschungsgemeinschaft for supporting this work (Project No. BR 881-8). We thank Pavlos Tzortzoglou (Universität Freiburg, Institut für Organische Chemie) for skilled technical assistance.

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(22) We could not oxidize the β -hydroxypentynoic acid 17 (Ar¹ = Ar² = Ph; formula: Scheme 9) nor its *tert*-butyl ester to the corresponding β -ketoacid or β -ketoester 7**a**, respectively. Attempted syntheses of 7**a** by acylating the Li-enolate of *tert*-butyl phenylacetate with the chloride, esters or activated esters of phenylpropiolic acid suffered from competing Michael additions to the C \equiv C-C \equiv O motif.

(23) Still, W. C.; Kahn, M.; Mitra, A. J. Org. Chem. 1978, 43, 2923–2925.

(24) Failing oxidants that did not affect the dehydration $15a \rightarrow 16a$ were MnO₂, DDQ, the Dess–Martin periodinane, PDC, PCC, and SeO₂.