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ARTICLE

Rhodium-Catalyzed Tetrahydro-Diels–Alder Reactions of Ene-diyne via a Rhodium-stabilized Cyclic Allene

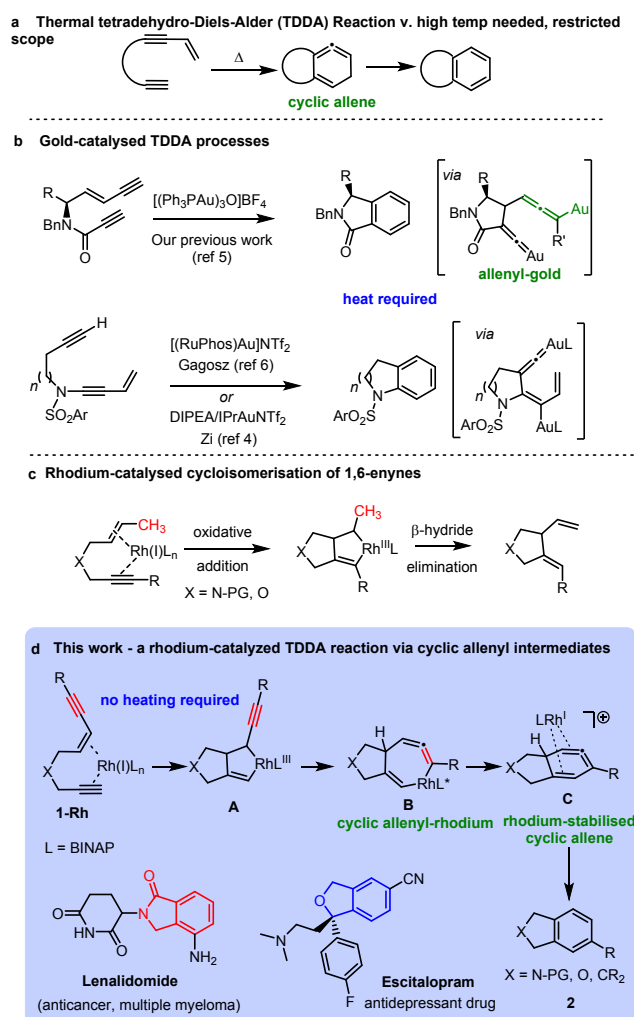
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Accepted 00th January 20xx

DOI: 10.1039/x0xx00000x

Efficient methods for the synthesis of fused-aromatic rings is a critical endeavour in the creation of new pharmaceuticals and materials. A direct method for preparing these systems is the tetrahydro-Diels–Alder reaction, however this is limited by the need for harsh reaction conditions. A potential, but underdeveloped, route to these systems is via transition metal-catalysed cycloaromatisation of ene-diyne. Herein, tethered unconjugated (Z)-enediynes have been shown to undergo a facile room-temperature Rh-catalyzed intramolecular tetrahydro-Diels–Alder reactions to produce highly substituted isobenzofurans, isoindolines and an indane. Furthermore, experimental and computational studies suggest a novel mechanism involving an unprecedented and complex Rh^I/Rh^{III}/Rh^I/Rh^{III} redox cycle involving the formation of an unusual strained 7-membered rhodacyclic allene intermediate and a Rh^{III}-stabilized 6-membered ring allene complex.

Introduction

New methods for the construction of functionalized aromatic rings is an important area of research due to their wide occurrence in bioactive molecules and materials. An advanced strategy for preparing functionalized aromatic rings is cycloaromatization of polyunsaturated acyclic building blocks, including, enediynes, enyne-allenes and dienyne.¹ The tetrahydro-Diels–Alder (TDDA) reaction of polyunsaturated enediynes is of particular note as it allows for the direct formation of a benzenoid ring in a single step, via an intriguing high energy cyclic allene intermediate, which typically aromatises via a hydride shift (Scheme 1a).^{2,3} The thermal mode of this reaction has been investigated for many years, but its synthetic applications are severely limited by the requirement of the process for very forcing reaction conditions and particular substitution patterns on the starting materials.³ The transition metal-catalysed variant of the reaction has demonstrated potential for unveiling tetrahydro-Diels–Alder reactions of enediynes that proceed under milder conditions for a greater range of substrates. For example, several recent reports have harnessed dual gold-catalysts in cycloaromatisation via TDDA reactions of enediynes.^{3–7} In these cases the enediynes are not fully conjugated but has the alkyne and enyne units linked via a heteroatom tether leading to a diverse range of fused heterocyclic benzenoid structures of biological significance (Scheme 1b).



Scheme 1. Type III tetrahydro-Diels–Alder reactions of enediynes.

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Electronic Supplementary Information (ESI) available: [details of any supplementary information available should be included here]. See DOI: 10.1039/x0xx00000x

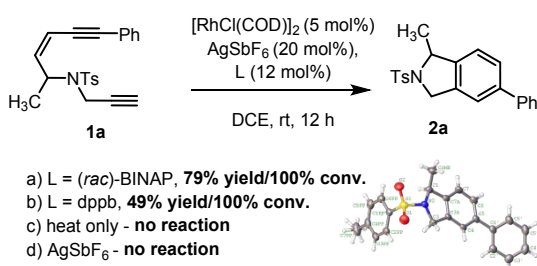


In the case of our previous work,⁵ the key reaction intermediate incorporated a vinylidene gold⁸ intermediate *and* a rare allenyl gold species (Scheme 1c).

Curiously, there have been no investigations of rhodium-catalyzed cycloaromatization reactions of tethered non-conjugated enediynes – and just a single report of a cycloaromatization reaction of a conjugated enediyne.⁹ We hypothesized that a rhodium-catalyzed cycloaromatization reaction could be realized by harnessing the well-known oxidative cyclization process observed in Rh-catalysed 1,6-enyne reactions (Scheme 1c).^{10–16} The triple bond of an enediyne opens up the possibility of forming a cyclic vinyl-rhodium species **A** via oxidative cyclization of ene-diyne **1-Rh**. Isomerization of the oxidative cyclization product **A** should form **B** – providing a direct route to the intriguing allenyl-rhodium intermediate **B** and an alternative route to a cyclic allene *cf* the thermal TDDA reaction (Scheme 1d). A reductive elimination to the same cyclic allene proposed in the thermal TDDA reaction could then be envisaged en-route to the final fused-aromatic products **2** via a potential final hydride shift. Herein, we report the successful implementation of this proposed pathway and provide experimental and computational evidence for a rhodium-catalyzed TDDA reaction that proceeds via an unprecedented cyclic allenyl-rhodium species **B** and a rhodium-stabilised 6-membered cyclic allene **C** involving a complex Rh^I/Rh^{III}/Rh^I/Rh^{III} redox cycle. The resulting isobenzofuran,¹⁷ isoindoline,^{18, 19} isoindolinone²⁰ and indane²¹ products are important heterocycles that are found in an array of important bioactive and medicinally important compounds.

Results and Discussion

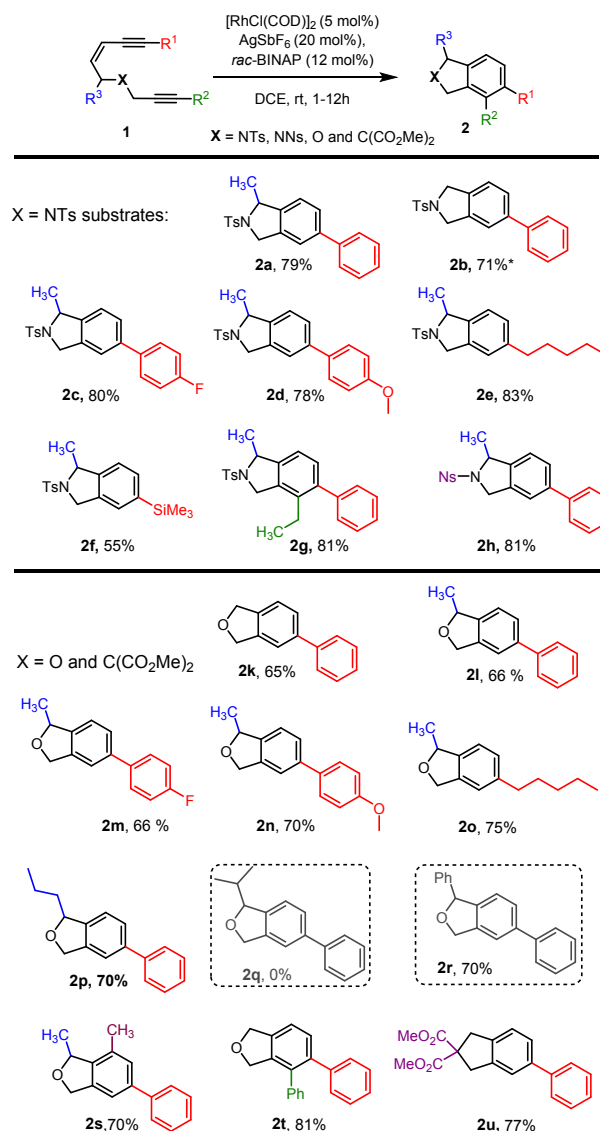
To examine our hypothesis the NTs-tethered (*Z*)-enediyne **1a** was synthesized (see SI) as a model substrate and treated with [RhCl(COD)]₂/AgSbF₆ and (*rac*)-BINAP at rt in DCE – a general system that has previously proved successful in catalyzing the cycloisomerization of 1,6-enynes.¹⁶ Gratifyingly, this proved a highly efficient catalyst for the cycloaromatization of **1a** to give isoindoline **2a** in high yield (Scheme 2a – structure determined unambiguously by X-ray crystallography).²² Replacing the ligand BINAP with dppb, which has a similar bite angle, had a deleterious effect on the yield (Scheme 2b). Starting material was recovered when **1a** was heated at reflux in DCE in the absence of catalyst, indicating that there is no competing non-catalyzed TDDA reaction occurring (Scheme 2c).



Scheme 2: Initial cycloaromatization reaction discovery.

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DOI: 10.1039/D0SC04390G

Chart 1: Substrate scope for Rh-catalyzed tetrahydro-Diels–Alder reactions of enediynes **1**.^[a]



[a] The starting material for **2d** and **2f** were a mixture of *E/Z* isomers. *2.5 mol% of the Rh-catalyst gave the product in 10% yield (17% conversion) or 19% yield (38% conversion) at 80 °C

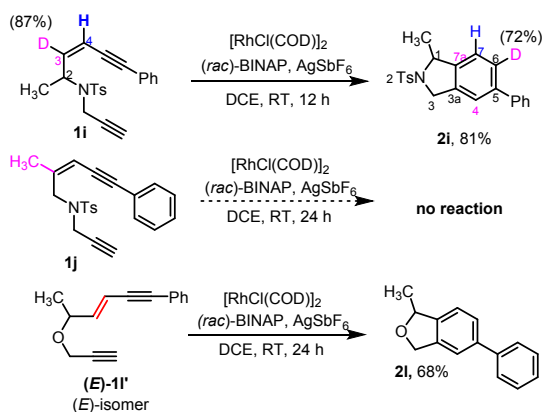
The presence of AgSbF₆ was essential as the reaction with [RhCl(COD)]₂ alone resulting in no conversion. Replacing AgSbF₆ with AgBF₄ also proved detrimental giving only a 60% yield of isoindoline **2a** (80% conversion). Furthermore, lowering the Rh-catalyst loading to 2.5 mol% resulted in a significantly reduced conversion (75%) and yield (44%) over 12 h. This is even more striking for substrate **1b** to give **2b** (see Chart 1), where 2.5 mol% of the Rh-catalyst gave the product in 10% yield (17% conversion) or 19% yield (38% conversion) at 80 °C. Finally, changing the solvent to DCM reduced the yield to 62%.

With an effective catalyst system identified for the Rh-catalyzed TDDA reaction, the substrate scope was established with a range of *N*- and *O*-tethered enediynes **1**, as shown in Chart 1. For both the *N*- and *O*-tethered enediynes, aryl (products **2a–d**, **2g–h**, **2k–n**, **2p** and **2s–2u**), alkyl (products **2e** and



2o) and silyl (product **2f**) groups at R¹ could be accommodated. Both R¹ and R⁵ could be simultaneously substituted to provide more densely functionalised isoindolines and isobenzofurans **2g** and **2t**, respectively. The R³ position could be unsubstituted (products **2b** and **2k**) or accommodate an *n*-propyl group in place of the methyl group (product **2p**). However, branched substituents or a phenyl ring (to give predicted products **2q** and **2r**) were not tolerated, presumably for steric reasons – in these cases only decomposition of starting material was observed. The dimethyl substituted *O*-tethered enediyne **1s** was also well tolerated under the cycloaromatisation reaction conditions to give trisubstituted isobenzofuran **2s** in good yield. Isoindoline **2h** formed smoothly from nosyl-protected enediyne **1h**. Other protecting groups on N were not investigated due to the established instability of isoindolines without an electron-withdrawing N group.^{23–27} Finally, a carbon-tethered enediyne **1u** was prepared and found to undergo smooth cycloaromatization to trisubstituted indane **2u**.

Our attention then turned to experimentally and computationally investigating the reaction mechanism (Scheme 3 and Figure 1). The C3-position in the ene-diyne starting material **1** (X = O or NTs) is key to understanding the reaction mechanism as it becomes one of the carbons (C7a) fusing the two rings of the product **2** via migration of the attached hydrogen. For the thermal TDDA reaction this typically occurs via one or more hydride shifts. To understand this process for the current system, a C3-deuterated analogue **1i** and a C3-methylated analogue **1j** were prepared (Scheme 3). To determine the fate of the C3-hydrogen, deuterated substrate **1i** was subjected to the reaction conditions, leading to predominant deuterium incorporation at the C6-position of the isoindoline benzenoid ring of **2i**. The partial loss of deuterium at the C6-position of the product pointed away from a hydride shift in intermediate **B** or **C** to yield the aromatic product and hinted at the potential formation of a rhodium-hydride species. A substrate (**1j**) with a methyl group on the enyne double bond stopped the reaction completely – again suggesting a key role for this position in the reaction. The sensitivity of the cycloaromatization reaction towards the double bond geometry was also probed by utilizing (*E*)-enediyne **1l'**, which led to the formation of the isobenzofuran **2l** in comparable yield to its corresponding (*Z*)-enediyne starting material **1l**.



Scheme 3: Experiments carried out to probe the reaction mechanism

With this set of key experimental results in place, we turned attention to DFT calculations for further mechanistic insight, considering our proposed cyclic allenyl-Rh intermediate **B** (Figure 1d).²⁸ In contrast to simple 1,6-enynes, the initial rhodium-catalyzed oxidative cyclization has two coordination possibilities – coordination between the enyne double bond and the triple bond of the propargyl sulfonamide (**1aRh**), or between the two triple bonds of the enediyne (**1a'Rh**). While oxidative cyclization via **1a'Rh** would directly afford the proposed allenyl-Rh^{III} intermediate **B**, the barrier for this process was significantly higher than that for the oxidative cyclization via **1aRh** to provide Rh^{III} metallacyclopentene **A**. Following this exergonic process, an endergonic ring-expansion transformation into the key Rh^{III} intermediate **B** occurs. This unusual intermediate can be represented by two resonance contributors: an allenyl-Rh^{III} intermediate **B'** and a propargyl Rh^{III} **B''** and its hybrid structure (top corner of Figure 1) is indicative of a bent allenyl rhodium species. The ensuing allenyl-Rh intermediate is a branching point for two different reaction channels: (a) C–H elimination via transition structure **TS_{B-F}** affording **F** and (b) C–C reductive elimination via transition structure **TS_{B-C}** yielding strained Rh^I-stabilised cyclic allene **C**.

Surprisingly, the C–C reductive elimination process is computed to be significantly more favourable than the C–H elimination. This is because the allenyl Rh resonance contributor **B'** makes a significant contribution to the overall structure **B** and this means that there is significant σ -bond character between the Rh and C1, thus promoting reductive elimination. The intermediate resulting from the reductive elimination can be considered as a stabilized version of the cyclic allene invoked in the thermal TDDA reactions of enediynes – thereby playing a key role in making the rhodium catalyzed variant so facile (Scheme 1a). The catalytic cycle continues with the allene-bound Rh^I intermediate **C** undergoing oxidative addition to the C–H bond to form Rh^{III}-hydride **D**, with the rhodium being bound to one face of the aromatic ring, having the formal anion at C6. This result explains the failure of substrate **1j** in the reaction due to the low propensity of C–C bonds to undergo oxidative addition compared with C–H bonds.²⁹ This is also an interesting mechanistic point of difference to the thermal TDDA reaction, wherein formation of the final aromatic ring typically occurs via hydride-migration. In the current case, coordination of Rh to the π -system of intermediate **C** directs reactivity away from such a hydride migration and towards a C–H insertion.



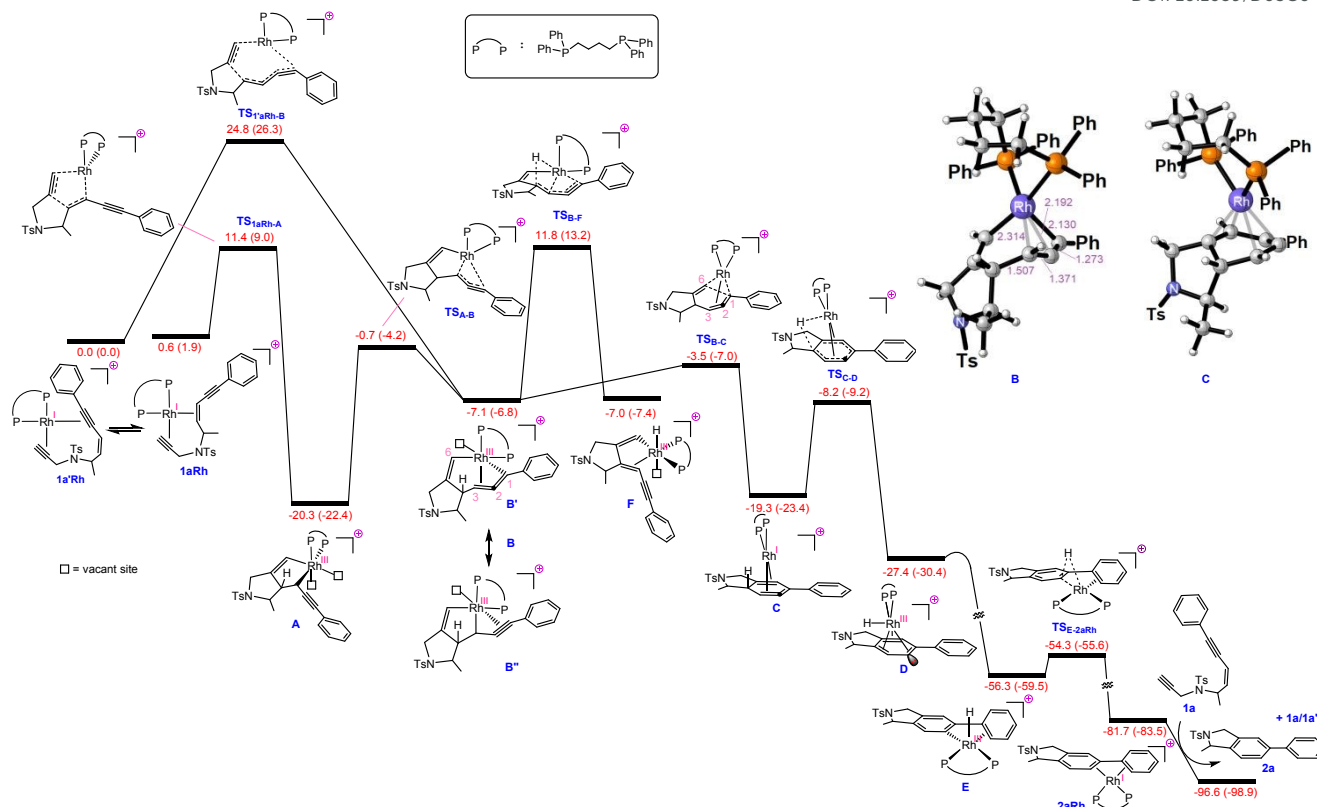


Figure 1: Free energy profile for Rh-catalyzed tetrahydro-Diels–Alder reaction of an (*E*)-enediynes. Free energies (potential energies) are given in kcal/mol

The hydride complex **D** undergoes rearrangement to **E**, which is primed for a highly favourable H–C6 reductive elimination. Once this has taken place, the organic product **2a** is formed and the Rh^I catalyst re-enters the catalytic cycle. This mechanism explains the incorporation of deuterium at C6, with some scrambling likely occurring between rhodium hydrides **D/E** and solvent/adventitious water. These calculations and the experimental studies enable the catalytic cycle in Figure 2 to be proposed. Attempts to trap cyclic allene (derived from ene-diyne **1a** or **1j**) intermediate C via Diels–Alder reactions with furan were not successful, which is due to the favorable oxidative addition towards intermediate **D** – which is a fast intramolecular process – as well as the coordination of Rh to the resulting cyclic allene.

Synthetic transformations were performed on the isoindoline and isobenzofuran products to demonstrate their utility (Scheme 4). Initially, **2a** and **2i** were converted to biologically important isoindolinone scaffolds **3** and isobenzofuranone 4:4' respectively, using sodium chlorite as a mild and green oxidizing reagent.³⁰ Gratifyingly, the *N*-Ts group could be readily removed from **2a** upon sonication in the presence of Mg/MeOH to produce 1-methyl-5-phenylisoindoline **5** in excellent yield – it should be noted, however, that this product is unstable and cannot be purified by chromatography.³¹

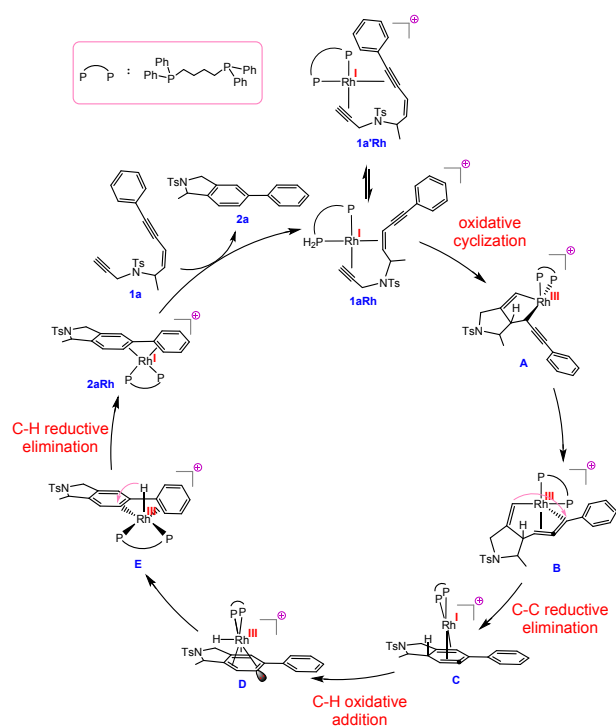
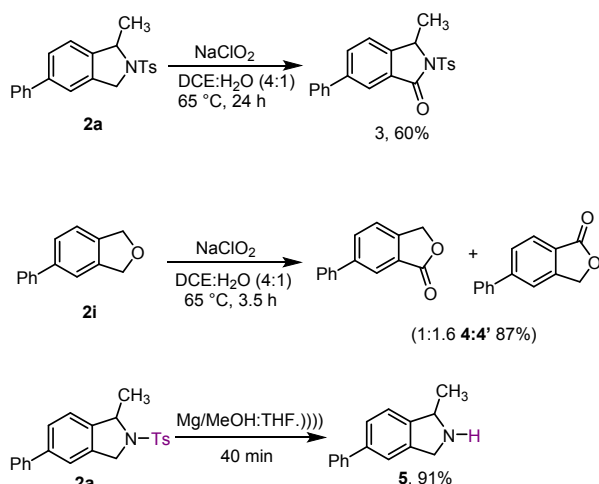


Figure 2: Proposed mechanism for the transformation **1a** → **2a** catalysed by a Rh complex involving Rh^I/Rh^{III}/Rh^I/Rh^{III} cycle and allenic-intermediate **C**.



Scheme 4: Synthetic modification of isoindoline and isobenzofuran

Conclusions

In conclusion, a mild and versatile rhodium-catalyzed tetrahydro-Diels–Alder reaction of (*E*)-enediynes has been developed allowing the synthesis of substituted, biologically important isoindolines, isobenzofurans and indanes. DFT calculations show that the reaction proceeds through an unprecedented Rh^I/Rh^{III}/Rh^I/Rh^{III} catalytic cascade (Figure 2) that includes two unusual organometallic allene-intermediates. As such, the reaction represents a conceptually new way to harness *in-situ* generated metal-allenyl species through catalytic cycloisomerization reactions at room temperature. It also allows for formation of a low energy rhodium-stabilized cyclic allene intermediate and contributes to this catalytic process being more favourable and wider in scope than the thermal TDDA reaction. This intermediate is of particular interest given that cyclic allenes are emerging as powerful building blocks in modern synthesis and the new conceptual approach described here should lead to further developments in this field.^{32–34} Further work on generating and harnessing allenic-rhodium and rhodium-stabilized allene species in catalytic processes is currently underway.

Acknowledgements

The authors thank the Australian Research Council (grant number DP180101332) for the generous funding for this research. ST thanks the University of Wollongong for a PhD scholarship.

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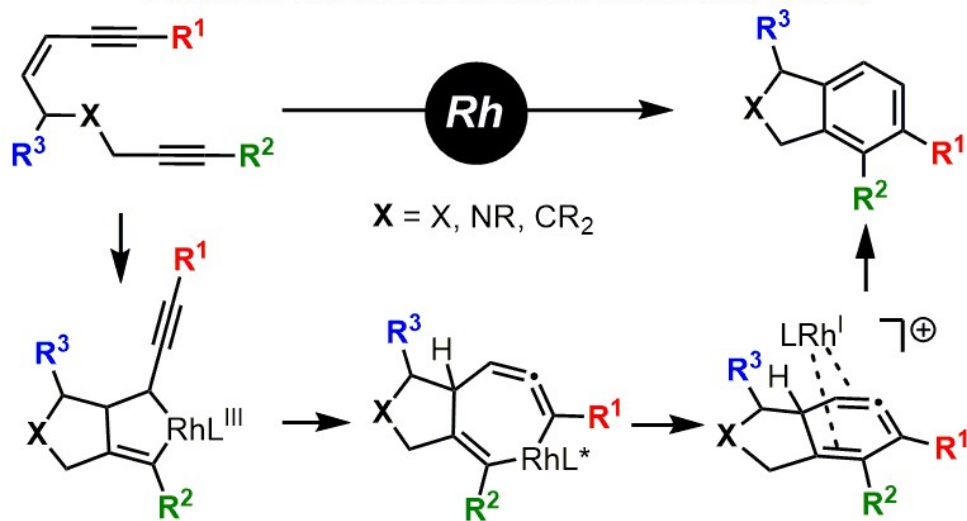
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Room temperature Rh-catalysed tetrahydro-Diels–Alder reaction via an unusual Rh-stabilised allene



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