



Asymmetric Synthesis of Multi-substituted Prolines via a Catalytic 1,3-Dipolar Cycloaddition Using a Monocationic Zn^{II}OAc Complex of a Chiral Bisamidine Ligand, Naph-diPIM-dioxo-R

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Abstract: A monocationic zinc acetate complex of chiral bisamidinetype bidentate ligand (*R*)- or (*S*)-Naph-diPIM-dioxo-*i*Pr (L_R or L_s) catalyzes a 1,3-dipolar cycloaddition between tridentate-type imino esters and acrylates in the absence of an external base to give the corresponding multi-substituted prolines with high reactivity, diastero-/enantio-/regio-selectivity, productivity, and broad generality. An anionic acetate ligand of the Lewis acidic Zn complex acts as a Brønsted base to facilitate a smooth intramolecular deprotonation to generate an imino *N*,*O*-*cis*-Zn enolate. The oxygen atoms of two dioxolanes of the L_R/L_s ligand form a C₂ chiral scaffold that coordinates the Zn enolate via a non-bonding n- π^* interaction. This view is supported by the lack of enantioselectivity obtained with an sp²N-based Ph-BOX ligand that has no oxygen atom in the reaction site.

As shown in Figure 1, multi-substituted proline is an important basic skeleton contained in various bioactive compounds^[1] such as GW873082X (3082),^[2] salinosporamide A,^[3] disibetaine,^[4] gracilamine,^[5] kitecephalin,^[6] and stephasidine A.^[7] It is also the core structure of some chiral organic catalysts^[8] and chiral ligands.^[9] The development of an efficient method to construct such chiral multi-substituted prolines should provide a powerful tool for not only pharmaceutical investigations and industrial applications but also for the design and synthesis of chiral catalysts and ligands. Among the many methods available to construct the proline skeleton, the catalytic asymmetric 1,3dipolar cycloaddition (1,3-DC) between imino ester 1 and acrylate 2 is an ideal strategy from the viewpoints of atom efficiency and substrate availability.^[10] This process has been used in the industrial-scale synthesis of a chiral precursor of GW873082X using a Ag-dihydroquinine complex.^[11] However, there are still problems in terms of reactivity, multistereoselectivity at C(2)-C(5) and reaction conditions requiring low temperature, molecular sieves (MS), and extra base in most cases. Matched/mismatched problems that have arisen with chiral α -substituted α -imino ester **1** have also not been solved. To address these issues, we aimed to design a new asymmetric 1,3-DC catalyst that has high reactivity, multi-selectivity, and low catalyst loading without the use of an external base in both the matched and mismatched systems.



Figure 1. Multi-substituted prolines and the most efficient catalytic approach.

Figure 2 illustrates our conceptual catalyst, which is a monocationic octahedral (O_h) divalent metal complex of a bisamidine-type bidentate ligand, (*R*)- or (*S*)-Naph-diPIM-dioxo-*i*Pr (**L**_R or **L**_s).^[12] The bite angle of Naph-diPIM is close to 90° and geometrically fits well with the O_h complex. The highly planar and rigid core skeleton with two highly σ -donating amidine units fixed to the same side firmly capture the central metal M. An extended π -conjugated system showing a pyridine-level π -accepting ability also stabilizes the transition metal complexes. The 5,5,6,6,5,5 ring system of the chiral ligand, which fuses two dioxolane rings up and down at both ends in a C₂ manner, provides a wider reaction site than Ph-BOX.^[13] and bipyridine-type ligands. In order to reduce the number of

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stereoisomers of the O_h $[M^{II}(L_R \text{ or } L_S)]^+Y^-$ complex as much as possible, the imino ester 1 is designed to facilitate tridentate coordination. In the catalyst/1 complex, the α -proton of 1 is intramolecularly abstracted by the action of anionic ligand X to generate the corresponding N,O-cis-M^{II} enolate, which reacts with acrylate to generate a pyrrolidine M^{II} amide and HX. The rate of deprotonation should be significantly affected by the chirality of 1, creating a matched/mismatched issue. Protonation furnishes the proline product 3, regenerating the catalyst. The Brønsted base/Lewis acid bifunctional synergistic effect of $[M^{II}X(L_R \text{ or } L_S)]^+Y^-$ allows the 1,3-DC to proceed smoothly under nearly neutral conditions in the absence of an external base. We expected i) that an attractive non-bonding $n-\pi^*_{C=N}$ interaction^[14,15] between the N,O-cis-M^{II} enolate and the lone pair n orbital of the dioxolane oxygen atom of L_R would determine the location of the enolate and ii) that the direction of the acrylate approach would be determined by the steric circumstances created by the spatially opened quadrants in the N.O-cis-M^{II}L_R enolate complex.



Figure 2. Our basic concept for realization of the catalytic 1,3-DC.

Complex $[M^{II}X(L_R \text{ or } L_s)]^+Y^-$ would be generated by mixing $M^{II}X_2(L_R \text{ or } L_s)$ with a stronger acid HY than HX.^[16] Considering that X must be basic enough to deprotonate the α -proton of 1 and the need for Y to have low coordination to give a monocationic complex, $M^{II}(OAc)_2(L_R \text{ or } L_S)$ and triflic acid (HOTf) were selected and various divalent metals were screened. Finally, we found that $[Zn^{II}OAc(L_R \text{ or } L_s)]^+(OTf)^-$ exhibited high reactivity and selectivity in the reaction of thiazol-1-yl (Tz) α imino ester **1a** ($R^1 = Tz$; $R^2 = i-C_4H_9$) and methyl acrylate (**2a**, R^3 = $R^4 = R^5 = H$; $R^6 = CH_3$) under the standard conditions of [1a] = [2a] = 1000 mM, [catalyst] = 1 mM (0.1 mol%), CH₃CN, 50 °C, and 6 h. Table 1 shows the screening results of Zn^{II} complexes. Zn(OAc)₂/Ls gave 52% of 3a with a 13:87 2R/2S ratio (entry 1). No diastereomeric isomers were observed. Addition of one mol amount of HOTf to Zn^{II} led to a dramatic improvement of reactivity and enantioselectivity, furnishing 3a with 2:98 er in 97% yield (entry 2). Replacement of Ls with LR resulted in even higher reactivity and selectivity (entry 3), indicating that the (S)- $1a/L_R$ is a matched case and (S)- $1a/L_S$ is a mismatched case. [Zn^{II}OAc]⁺(OTf)⁻, which was prepared by disproportionation of Zn(OAc)₂ and Zn(OTf)₂,^[17] was also usable (entry 4). This is advantageous because no HOAc is generated in the reaction system. Instead of HOTf, HBarf could be used, but relatively less acidic additives like methanesulfonic acid (HOMs) and trifluoroacetic acid (TFA) were less effective (entries 5-8). CH₃CN was the best solvent, and CH₂Cl₂ and THF could be used (entries 9, 10). Reaction in DMA, toluene, or t-BuOH was slow, and enantioselectivity was deteriorated (entries 11-13). No enantioselectivity was observed in CH₃NO₂ (entry 14). The

Table 1. Screening of the Conditions in the Reaction of 1a (R¹ = Tz; R² = *i*-C₄H₉) and 2a (R³ = R⁴ = R⁵ = H; R⁶ = CH₃) to 3a.^[a]

Entry	Catalyst	Solvent	Time, h	% Yield ^[b]	2 <i>R</i> :2S ^[c]
1	Zn(OAc) ₂ /L _s	CH₃CN	6	52	13:87
2	Zn(OAc)₂/Ls/HOTf	CH₃CN	6 (0.25)	97 (43)	2:98
3	Zn(OAc)₂/ L ⊮/HOTf	CH₃CN	6 (0.25)	98 (65)	>99:1
4	[ZnOAc]⁺(OTf)⁻/L _R	CH₃CN	6 (0.25)	98 (65)	>99:1
5 ^[d]	Zn(OAc) ₂ /Ls/HOTf	CH ₃ CN	4	70	2:98
6 ^[d]	Zn(OAc) ₂ /Ls/HBarf	CH₃CN	4	66	5:95
7 ^[d]	Zn(OAc) ₂ /Ls/HOMs	CH₃CN	4	19	12:88
8 ^[d]	Zn(OAc) ₂ /Ls/TFA	CH₃CN	4	22	16:84
ð _[q]	Zn(OAc) ₂ /Ls/HOTf	CH ₂ Cl ₂	48 (4)	98 (44)	4:96 (4:96)
10 ^[d]	Zn(OAc)₂/ L ₅/HOTf	THF	120 (4)	98 (38)	4:96 (3:97)
11 ^[d]	Zn(OAc) ₂ /Ls/HOTf	DMA	120 (4)	79 (7)	11:89 (—)
12 ^[d]	Zn(OAc) ₂ /L _s /HOTf	Toluene	120 (4)	98 (14)	12:88 (14:86)
13 ^[d]	Zn(OAc) ₂ /Ls/HOTf	t-C₄H9OH	48 (4)	98 (74)	22:78 (25:75)
14 ^[d]	Zn(OAc) ₂ /L _S /HOTf	CH ₃ NO ₂	120 (4)	31 (3)	48:52 (—)
15 ^[d]	Zn(OAc)₂/(S)-Ph- BOX/HOTf	CH₃CN	6	92	55:45

[a] Conditions: [(S)-**1a**] = [**2a**] = 1000 mM, [catalyst] = 1 mM (0.1 mol%). [b] ¹H-NMR analysis. [c] HPLC analysis. [d] [catalyst] = 0.5 mM (0.05 mol%).

The substrate scope was investigated in the mismatched (S)-1/Ls system, and the results are shown in Table 2a-c. The isobutyl group of 1a could be replaced with an isopropyl or phenyl group without significant loss of reactivity and selectivity. The tert-leucine-derived imino ester showed no reactivity. The less sterically encumbered, non-substituted imino ester of glycine decreased enantioselectivity. Serine-, lvsine-. methionine-, or glutamic acid-derived 1 were also usable (a). A pyridin-2-yl or 2-bromopyridin-6-yl group could be used instead of the Tz group, but a phenyl group significantly diminished enantioselectivity (b). These results suggest that tridentate 1 is essential for attaining high selectivity. Among them, the Tz group is advantageous in terms of three characteristics: i) high metal coordination ability of the sp²N atom; ii) high functional group convertibility as a masked formyl group;^[18] and iii) it is a well-known structural motif of pharmaceuticals for expression of bioactivity.^[19] Various polarophiles 2 could be used (c). The methyl ester of 2a could be replaced with a phenyl ester. With

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 α -methylacrylate, a quaternary stereogenic carbon center could be controlled, and four continuous stereogenic centers were installed with (*E*)-crotonate. Diethyl fumarate also gave the nearly enantiomerically pure 1,3-DC adduct. In addition to esters, *N*,*N*-dimethyl amide, methyl ketone, a cyclic enone, and nitrile were successfully employed.

Table 2. Generality of the $[Zn^{II}OAc(L_R \text{ or } L_S)]^+(OTf)^-$ -catalyzed 1,3-DC of α -Substituted α -Imino Esters 1 and Acrylate Derivatives 2.



Conditions: [1] = 1000 mM (0.1 mmol), [2] = 1000 mM (0.1 mmol), [[ZnOAcLs]⁺(OTf)⁻] = 1 mM, CH₃CN, 50 °C, 9 h. [a] Isolated yield. [b] HPLC analysis. [c] 15-g scale. [d] [ZnOAcLr]⁺(OTf)⁻, 6 h. [e] 1 mol% catalyst. [f] 24 h. [g] 80 °C, 60 h.

Figure 3 illustrates the supposed catalytic cycle explaining the high enantioselectivity attained in both the matched and mismatched cases of the present asymmetric 1,3-DC of **1a** with **2a** using $[Zn^{II}OAcL_R]^+(OTf)^-(R \text{ cat})$. First, *R* cat is generated in situ by the reaction of $Zn(OAc)_2$, L_R , and HOTf (ESI analysis (positive, Nebulizer 0.4 atm, 180 °C) 667.2552). In the (*S*)-**1a**/*R* cat matched system (left cycle), *R* cat captures (*S*)-**1a** as a

tridentate ligand to generate A23,syn. Here, suffix "23" and "syn" indicate that (S)-1a coordinates to a monocationinc Zn metal in the 2nd-3rd quadrant side with the α proton of **1a** (C1(2)H) and ZnOAc at the front side in a syn manner. The (S)-1a/R cat complex $A_{23,syn}$ is stabilized by a non-bonding attractive interaction between the n orbital of the dioxolane oxygen atom and the π^* orbital of the TzC=N group. The C₁(2)H is intramolecularly abstracted by the Brønsted basic C=O oxygen atom of ZnOAc to generate N,O-cis-Zn enolate B₂₃ by liberation of HOAc. B₂₃ would adopt an O_h geometry with coordination of S_{sol}, which is most probably HOAc or CH₃CN. Methyl acrylate (2a) approaches enolate B23 on the spatially opened 1st-4th quadrant side to generate C_{23} after $C_1(2)-C_2(3)$ and $C_2(2)-C_1(4)$ bond formations in an endo manner. With this dipolar/dipolarophile combination, $C_1(2)$ and $C_1(4)$ act as the nucleophilic and electrophilic carbons, respectively, controlling the regioselectivity. The Zn amide C_{23} is then protonated by HOAc intermolecularly or intramolecularly via a coordination of HOAc to Zn^+ (S_{sol} = AcOH), regenerating the chain carrier $[Zn^{II}OAcL_R]^+(OTf)^-$ by liberation of (2R)-endo-3a. In the (S)-1a/R cat matched system, A23,syn is a productive species that can smoothly move to the Zn enolate B_{23} , which is also stabilized by an n- π^* interaction in the 2nd guadrant and can be in equilibrium with B_{14} . 1.3-Dipolar B_{14} is destabilized by a steric repulsion between the R substituent at C1(2) and the di-iPr-substituteddioxolane ring of L_R in the 4th quadrant and has no n- π^* attractive interaction. Therefore, contribution of B23 to the reaction with **2a** is far higher than that of **B**₁₄ ($k^3 >> k_{inv}$), leading to a predominant production of (2R)-endo-3a via a TS23,endo transition state. The endo approach is thought to be preferred over the exo approach giving (2R)-exo-3a probably due to an electrostatic interaction of the C=O oxygen atom of 2a with the monocationic Zn atom or due to a so-called endo rule via a secondary orbital interaction in a concerted mechanism. A lower enantioselectivity with a smaller substituent R² such as H can be explained with the view of Figure 3; with a smaller R, the contribution of B14 would be increased because the degree of steric repulsion in the 4th guadrant decreases.

The enantiomeric substrate, (R)-1a, also reacts with $[Zn^{II}OAcL_R]^+(OTf)^-$ in a similar way to the case of (S)-1a to give **A**_{23,anti}, which can be also stabilized by an n- π^* interaction in the 2nd quadrant. However, A23,anti is non-productive for B23 generation because ZnOAc cannot abstract the C1(2)H in an intramolecular manner. Complex A14,syn with a C1(2)H/ZnOAc syn location (right cycle) is productive but destabilized by a steric repulsion in the 4th quadrant; therefore, a quick isomerization to the stable B_{23} occurs by flipping the face of the enolate from the 1st-4th quadrant side to the 2nd-3rd quadrant side, entering the left cycle and furnishing (2R)-endo-3a. This view can explain the high enantioselectivity obtained even in the mismatched combination but with generation of a small amount of (2S)-endo-3a. Although the equilibrium between B₁₄ and B₂₃ lies far to the B_{23} side ($K_{inv} >> 1$), B_{14} must be generated in the mismatched system. In the balance of the inversion rate k_{inv} and the reaction rate k^3 of **B**₁₄ with **2a**, the enantioselectivity would be determined.

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Figure 3. Supposed reaction pathways in the (S)-1a/R cat matched and (R)-1a/R cat mismatched systems. In the reaction of the mismatched system, (S)-1a and S cat were used for substrate availability.

In summary, we have established a new efficient catalytic system for the asymmetric 1,3-DC reaction, which is accelerated by a monocationic zinc acetate complex of L_R or L_S . Various chiral multi-substituted prolines could be prepared in high yields even with 0.1 mol% catalyst under nearly neutral conditions. This method will help pharmaceutical investigations based on a structure-activity relationship study and industrial production. No use of an external base is necessary to enhance the utility in terms of isolation efficiency of the basic pyrrolidine product. [Zn^{II}OAc(L_R or L_S)]⁺(OTf)⁻ acts as a Brønsted base/Lewis acid synergistic catalyst to facilitate generation of an *N*,*O-cis*-Zn enolate. Another important characteristic is an attractive nonbonding $n-\pi^*_{C=N}$ interaction, which is the origin of the high enantioselectivity in the lower reactive mismatched system. Further investigation on the mechanisms is ongoing.

Procedures for the substrate syntheses and the asymmetric allylation and physical data of all new compounds (PDF)

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Keywords: 1,3-Dipolar cycloaddition • prolines • HCV inhibitor • GW873082X • Brønsted base/Lewis acid catalyst

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A highly efficient catalytic asymmetric 1,3-dipolar cycloaddition was realized between tridentate α -imino esters and acrylates to furnish various multi-substituted prolines. A Brønsted base/Lewis acid synergistic effect of $[Zn^{II}OAc(L_R \text{ or } L_S)]^+(OTf)^-$ facilitates an intramolecular deprotonation to generate an *N*,*O*-*cis*-Zn enolate, which is attracted in the catalyst to the dioxo-*i*Pr side via an n- $\pi^*_{C=N}$ interaction to realize high selectivity.