HETEROCYCLES, Vol. 86, No. 1, 2012, pp. 623 - 636. © 2012 The Japan Institute of Heterocyclic Chemistry Received, 26th June, 2012, Accepted, 3rd August, 2012, Published online, 13th August, 2012 DOI: 10.3987/COM-12-S(N)60

NOVEL	EFFICIENT	SYNTHESIS	AND	PROPERTIES	OF	
5,6-DIHY	DROCYCLOHE	PTA[b]INDOL-6	-ONE,	AND	ITS	
TRANSFORMATION TO 6-AZOLYL-5-AZABENZ[b]AZULENES						

Mitsunori Oda,* Kunihiro Ito, Hiroshi Takagi, and Yurie Fujiwara

Department of Chemistry, Faculty of Science, Shinshu University, Asahi 3-1-1, Matsumoto, Nagano, 390-8621 Japan, e-mail: mituoda@shinshu-u.ac.jp

Abstract – The title compound, 5,6-dihydrocyclohepta[b]indol-6-one (1), was synthesized from 2-chlorotropone (7) by a two-step sequence involving Pd-catalyzed amination with 2-bromoaniline (15) and subsequent Pd-catalyzed intramolecular Heck reaction. Besides its synthetic detail, some physical properties of 1, such as acidity, basicity and spectroscopic behavior, were also reported. Compound 1 was transformed into 6-(1*H*-pyrazol-1-yl)- and 6-(1*H*-1,2,3-triazol-1-yl)-5-azabenz[b]azulenes (13 and 14) as a potential ligand.

This paper is dedicated to Professor Dr. Ei-ichi Negishi on the occasion of his 77th birthday

INTRODUCTION

The title compound, 5,6-dihydrocyclohepta[b]indol-6-one (1),¹ has been known since 1972. Boyer *et al.* reported the synthesis of **1** by basic hydrolysis of 6-amino-5-azabenz[b]azulene (**2**), which was obtained in the photochemical intramolecular insertion reaction of 2,2'-diisocyanobiphenyl (**3**) (Scheme 1).² Almost at the same time, Kaneko *et al.* reported that **1** formed in the photochemical rearrangement of acridine 10-oxide (**4**), accompanied with **5** and **6**.³ Later, Nozoe and Yamane *et al.* applied the Fischer indole synthesis to substrates containing a seven-membered ring and found two synthetic ways to **1** on a preparative scale.^{4,5} In one way, **9** was synthesized by the reaction of cyclohexanone and 2-hydrazinotropone (**8**), which can be obtained from 2-chlorotropone (**7**), and, then, dehydrogenation of **9** provided **1**. In another way, **11** was synthesized by the Fischer indole synthesis with the phenylhydrazone of cycloheptane-1,2-dione (**10**), and **1** was obtained by dehydrogenation of **11** via a brominated intermediate. Interestingly, the **a** brom **f** amework of **1** can be found in a marine bis(indole) alkaloid,



Scheme 1. Previously reported synthetic methods for 1

caulersin (12), whose synthetic benzo analogs show potent antitumor activity.⁷ Meanwhile, 1-azaazulenyl compounds have recently been paid attention to as a ligand,⁸ and, hence, we have been interested in 1 as a bidentate ligand structurally related to 1-azaazulenens and application of its metal complex for functionalized materials, particularly as an electroluminescent compound.⁹ Therefore, we have been curious to know basic properties of 1 for investigation of its metal complexation and also required a more

convenient synthesis of **1**. In this paper, we describe an alternative efficient synthesis of **1**, and its properties such as acidity, basicity and



Chart 1. Structure of caulersin (12).

Chart 2. Structures of 13 and 14.

interaction with metal ions. Also, transformation of 1 into azole-substituted 5-azabenz[b]azulenes, 13 and 14, as a potential ligand is described.

RESULTS AND DISCUSSION

Development of a new synthetic method for 1

In this study, a short-step strategy for synthesizing **1** from 2-substituted tropones and 2-bromoaniline (**15**) by Pd-catalyzed amination and subsequent intramolecular Heck reaction was investigated. Although it has been known that 2-anilinotropones could be obtained by nucleophilic substitution reaction of various tropone derivatives having a leaving group at the 2-position with some unhindered anilines,¹⁰ Brookhart *et al.* reported that reaction of sterically hindered aniline with 2-tosyloxytropone (**16**) gave the ring-contraction compound as a major product.¹¹ They deviced Pd-catalyzed amination using 2-triflatotropone (**17**) as an alternative method for synthesizing 2-anilinotropones. Since **15** does not react with various 2-substituted tropones under conventional conditions, the Pd-catalyzed amination under reaction conditions reported by Brookhart *et al.* was applied to preparation of 2-(2-bromoanilino)tropone (**20**) in our study. The results are listed in Table 1. 2-Iodotropone (**18**) and 2-bromotropone (**19**) were used in addition to **7**, **16**, and **17**.



l (18), Br (19), Cl (7)

entry	Y	Pd / BINAP ^a	solvent / temp / time	yield of 20 (%) ^b
1	OTs	3 mol% Pd ₂ (dba) ₃ / 6 mol% BINAP	toluene / 80°C / 6 h	15°
2	OTs	3 mol% Pd ₂ (dba) ₃ / 6 mol% BINAP	toluene / reflux / 12 h	25
3	OTf	3 mol% $Pd_2(dba)_3 / 6$ mol% BINAP	toluene / 80°C / 6 h	52
4	Ι	3 mol% $Pd_2(dba)_3 / 6$ mol% BINAP	toluene / reflux / 20 h	14
5	Br	3 mol% $Pd_2(dba)_3 / 6$ mol% BINAP	toluene / reflux / 15 h	60
6	Cl	3 mol% $Pd_2(dba)_3 / 6$ mol% BINAP	toluene / reflux / 12 h	80
7	Cl	1 mol% Pd ₂ (dba) ₃ / 2 mol% BINAP	toluene / reflux / 16 h	52
8	Cl	5 mol% $Pd_2(dba)_3 / 10 mol\% BINAP$	toluene / reflux / 4 h	71
9	Cl	3 mol% $Pd_2(dba)_3 / 6 mol\% BINAP$	xylene / reflux / 5 h	60

^a 1.2 eq. of **15** and 1.4 eq. of Cs_2CO_3 were used in all reactions, ^b Isolated yield after chromatography ^c Recovery (36%) of **16** was observed.

Among the 2-substituted tropones used, **7** was surprisingly found to be the most reactive under the conditions and **20** was obtained in a satisfactory yield (Table 1, entry 6). The order of reactivity of arylhalides in Pd-catalyzed aminations is usually iodide > bromide > chloride. Therefore, polarizability of C–X bond has been thought to be important in an oxidative insertion of Pd(0). However, the order of reactivity of 2-halorotropones in our amination is roughly chloride > bromide > iodide (Table 1, entries 4, 5, and 6), as seen in ionic nucleophilic substitution reactions of arylhalides.¹² This reactivity suggests that charge density at the C-2 atom seems to play an important role in this amination reaction.

Table 2. Palladium-catalyzed intramolecular Heck reaction of 20



entry	Pd / ligand / additive	solvent / temp / time	yield of 1 (%) ^a
1	3 mol% $Pd_2(dba)_3 / 6 mol% P(t-Bu)_3 / 1.0 eq DABCO$	CH ₃ CN / reflux / 18 h	trace
2	5 mol% Pd(OAc) ₂ / 20 mol% P(<i>o</i> -tol) ₃ / 1.3 eq Et ₃ N	dioxane / reflux / 27 h	0
3	$10 \text{ mol}\% \text{ Pd}(\text{OAc})_2 / 40 \text{ mol}\% \text{ P}(o\text{-tol})_3 / 1.3 \text{ eq Et}_3\text{N}$	DMF / reflux / 18 h	6
4	2 mol% Pd(PPh ₃) ₄ / 2.0 eq NaHCO ₃	HMPA / 80°C/ 23 h	29 ^b
5	$5 \text{ mol}\% \text{ Pd}(\text{OAc})_2 / 1.0 \text{ eq} (\text{Bu})_4 \text{N}^+\text{Br}^-$	DMF / reflux / 9 h	22
6	10 mol% Pd(OAc) ₂ / 10 mol% P(<i>o</i> -tol) ₃ / 1.3 eq K_2CO_3	DMF / reflux / 23 h	57
7	10 mol% Pd(OAc) ₂ / 40 mol% P(o -tol) ₃ / 1.3 eq K ₂ CO ₃	DMF / reflux / 23 h	54
8	10 mol% $Pd(OAc)_2 / 20$ mol% $P(o-tol)_3 / 1.0$ eq AcOH / 2.0 eq AcONa	DMF / reflux / 8 h	79
9	10 mol% $Pd(OAc)_2 / 20$ mol% $P(o-tol)_3 / 3.0$ eq AcOH / 0.5 eq AcONa	DMF / reflux / 8 h	40 ^c
10	10 mol% Pd(OAc) ₂ / 20 mol% XPhos / 1.0 eq AcOH / 2.0 eq AcONa	DMF / reflux / 27 h	90
11	10 mol% Pd(OAc) ₂ / 20 mol% XPhos / 2.0 eq AcOH / 2.0 eq AcONa	DMF / reflux / 27 h	73 °

^a Isolated yield after chromatography, ^b accompanied with 5% yield of cyclohepta[*b*][1,4]benzoxazine, ^c accompanied with a reduction product, 2-anilinotropone.

Next, with a substantial amount of **20** in a hand, its intramolecular Heck reaction was examined to complete synthesis of the title compound **1**. We applied Heck reaction conditions with various palladium catalysts and phosphine ligands. The results are summarized in Table 2. Under the conditions of entries 1-5, the yields of **1** were poor or none. Although **1** was obtained under the conditions with $Pd(OAc)_2/P(o-tol)_3/K_2CO_3/DMF$ (Table 2, entries 6 and 7), the yields of **1** were still moderate. Addition of weak base and its conjugated acid was found effective to improve the yield (Table 2, entry 8). However, under acidic conditions with a larger amount of acetic acid the yield of **1** was reduced, accompanied with

formation of a reduction product (Table 2, entries 9 and 11). The satisfactory yield of 90% was achieved under the conditions with sterically hindered ligand, XPhos¹³ (Table 2, entry 10). The title compound **1** is now available in two steps from **7** and **15** in good yields (Scheme 2). Although palladium reagents are used in these two procedurers, transformation to **1** from **7** in one pot was not achieved yet in spite of extensive attempts.



Scheme 2. Summary of the synthesis of 1

Some physical properties of 1

Compound **1** was isolated as yellow prisms. Although some spectral data were previously reported, those were renewed by data measured with high-resolution machines. All ¹H and ¹³C NMR signals are assigned with the aid of HMQC and HMBC spectra (Figure 1). Behavior of **1** in both acidic (CF₃CO₂D) and basic (NaOD/D₂O/DMSO-*d*₆) media was also investigated by ¹H NMR analysis. The average chemical shift (8.29 ppm) of hydrogens on the sp2 carbon atoms in CF₃CO₂D shows a down-filed shift compared with



Figure 1. Assigned ¹H (left) and ¹³C (right) NMR signals (δ ppm) and selected coupling constants of **1**.



Scheme 3. Selected coupling constants of protonated and deprotonated spieces of 1

that (7.61 ppm) in CDCl₃, supporting generation of the protonated species 1⁺. It is worth noting that ${}^{3}J_{H-H}$ coupling constants between hydrogens on the seven-membered ring are same, evidencing formation of the delocalized tropylium ion structure in 1⁺ (Scheme 3). On the other hand, the average chemical shift in NaOD/D₂O/DMSO-*d*₆ (7.64 ppm) shows a very small up-field shift. However, more convergent ${}^{3}J_{H-H}$ coupling constants compared with those observed in CDCl₃ suggest generation of deprotonated species 1⁻. Although electron density of the azaazulene ring increases in 1⁻, a deshielding effect of the ring perimeter may compensate the shielding effect of negative charge in 1⁻, resulting in the slight up-field shift.



Figure 2. Absorption spectra of 1 in neutral, acidic, and basic media.

Changes of UV-vis spectra in acidic and Table 3. basic media (Figure 2) also evidence the formation of ionic species, 1^+ and 1^- . While the spectrum of 1 in EtOH displays mainly four bands at 224, 276, 307 and 403 nm, the spectrum in a 50% sulfuric acid solution shows a slight red-shift of the long-wave maximum (407 nm) with a hypochromic effect of the maximum around 310 nm. The spectrum in a 20% NaOH solution shows a clear red-shift of all bands. Particularly, the long-wave maximum shifts by 52 nm compared with that in EtOH. Based on these

Table 3.	Acidity	and	basicity	of 1	and	related	compo	ounds

compound	pK _a	pKb
1	13.6	12.9
indole ^{a)}	20.95	_
pyrrole ^{a)}	23.05	_
2-aminotropone ^{b)}	—	11.79
tropone ^{c)}	_	15.02
21 ^{d)}	_	7.3

^{a)} Measured in DMSO. Taken from ref 14. ^{b)} Measured in hydrochloric acid solutions. Taken from ref 15. ^{c)} Measured in sulfuric acid solutions. Taken from ref 16. ^{d)} Measured in 50% aqueous MeOH. Taken from ref 2. See below for the structure of **21**.



spectral changes, values of pK_a and pK_b for **1** were determined by a titration method. Table 3 shows the values of **1** and related compounds, indole,¹⁴ pyrrole,¹⁴ 2-aminotropone,¹⁵ tropone¹⁶ and

6-azabenz[b]azulene (21).² The relatively stronger acidity of 1 compared with those of indole and pyrrole

can be attributed to the annelation of an electron-withdrawing tropone to the indole skeleton. The basicity of 1 is between those of tropone and 2-aminotorpone and far lower than that of 21. These results are consistent to the previously suggested conclusion⁴ that 1 exist as a tropone-containing structure,



depicted as 1, not as its tautomeric azaazulene structure of 22 (Scheme 4).

In order to investigate interaction between **1** and metal ions, absorption spectra of **1** in the presence of a large excess (500 eq.) of several metal ions as the perchlorate were measured. Clear spectral change was not observed in the presence of monovalant ions, such as Li⁺, Na⁺ and Ag⁺, and divalent Ca²⁺ and Mg²⁺. In the presence of divalent Zn²⁺, the long-wave maximum was observed at 439 nm, showing a clear red-shift (Figure 3). Emission upon excitation at 439 nm in the presence of Zn²⁺ was observed at 497 nm. It is worth noting that an emission quantum yield ($\Phi = 1.2 \times 10^{-2}$) in the presence of Zn²⁺ is 23 times greater than that ($\Phi = 5.3 \times 10^{-4}$) without metal ion.¹⁷



Figure 3. Absorption spectra of 1 in the presence of various metal ions.

Synthesis and properties of 6-azolyl-5-azabenz[b]azulenes

Yamane *et al.* reported the transformation of **1** into various amine-substituted 5-azabenz[*b*]azulenes (**24**) via 6-chloro-5-azabenz[*b*]azulene (**23**).⁴ Nucleophilic substitution



Scheme 5. Synthesis of 6-amino-5-azabenz[b]azulenes 24 by Yamane et al.

reactions of **23** with amines and hydrazines provide various 6-substituted derivatives in good yields by simply heating in EtOH (Scheme 5). However, less nucleophilic azoles react with **23** neither in refluxing

	CI	23	$Pd_2(dba)_3 / ligand$ Cs ₂ CO ₃	13 (Y=CH), 14 (Y=	N)
entry	azole ^a	Pd ₂ (d	ba) ₃ / ligand ^b	solvent / temp / time	yield [%] ^c (product)
1	pyrazole	2.5 mol% Pd ₂ (d	ba) ₃ / 5 mol% XPhos	dioxane / reflux / 6 h	9 (13)
2	pyrazole	3 mol% Pd ₂ (dba	a) ₃ / 6 mol% BINAP	toluene / reflux / 9 h	77 (13)
3	triazole	6 mol% Pd ₂ (dba	a) ₃ / 12 mol% BINAP	toluene / 80°C / 14 h	69 (14)
4	triazole	10 mol% Pd ₂ (dl	ba) ₃ / 20 mol% BINAP	toluene / 90°C / 20 h	72 (14)

Table 4. Palladium-catalyzed amination of 23 with pyrazole and triazole

^a 2.0 eq. of azole was used in all reactions, ^b 2.0 eq. of Cs₂CO₃ were used in all reactions, ^c Isolated yield after chromatography.

EtOH nor under base-assisted conditions. Hence, Pd-catalyzed amination was examined. The results are shown in Table 4. The desired products **13** and **14** were obtained in good yields under the conditions $Pd_2(dba)_3/BINAP/Cs_2CO_3$ in refluxing toluene (entries 2–4). In the reaction with 1,2,3-triazole, a small amount of the 2-triazolyl isomer **24** was observed as another product by ¹H NMR analysis of the crude reaction mixture. However, **24** was not isolated



because of its facile hydrolysis to 1 during chromatographic purification, as **Chart 3.** Structure of 24. seen in hydrolysis of 2. Compound 14 is more sensitive to acid and base than 13. Compounds 13 and 14 were isolated as violet crystals, having weak visible absorptions at 510 and 496 nm in EtOH, respectively (Figure 4). While no emission was observed upon excitation at those long-wave maxima, emissions at 435 nm were observed upon excitation at 383 for 13 and 385 nm for 14.¹⁸ This emission behavior is resemble to that seen in azulenes. A pK_b value of 13 was determined to be 8.4 by a UV-vis titration method, though basicity of 14 could not be determined because of its instability in acid and basic aqueous solutions, The value shows that 13 is less basic by 1.1 pK_b unit than the parent 6-azabenz[*b*]azulene (21), indicating that the pyrazole moiety shows electron-withdrawing functionality. Absorption spectra of 13 and 14 in the presence of a large excess (500 eq.) of metal ions are also examined. The results are shown in Figures. 5 and 6. A blue-shifts of the long-wave absorption maxima with a hyperchromic effect for



Figure 4. Absorption spectra of 13 and 14 in EtOH.



Figure 5. Absorption spectra of 13 in the presence of various metal ions.



Figure 6. Absorption spectra of 14 in the presence of various metal ions.

 Mg^{2+} and Zn^{2+} was observed for 13 and 14, suggesting that coordination of metal ions with their nitrogen on the 6 position occurs, though it is not clear whether azole nitrogens participate in the coordination or not so far. Interestingly, 13 interacts much strongly with Mg^{2+} , while 14 dose with Zn^{2+} . However, clear change of their emission spectra in the presence of metal ions was not observed.

CONCLUSION

It has been demonstrated that the title compound **1** can be efficiently synthesized from 2-chrorotropone (7) by Pd-catalyzed amination with 2-bromoamniline (**15**) and subsequent by Pd-catalyzed intramolecular Heck reaction. Various 2-substituted tropones were subjected to the amination and it was revealed that, among the tropones used, 2-chlorotropones (7) reacts most effectively. In the intramolecular Heck reaction, it was found that addition of weak acid and its conjugated base and use of a sterically hindered ligand was valid to improve the yield. Basicity and acidity of **1** were disclosed and its interaction with metal ions in solution was studied. The Pd-catalyzed amination of **23**, derived from **1**, with azoles gave the azolyl products **13** and **14**. Some spectroscopic properties of these compounds were also clarified.

EXPERIMENTAL

Melting points were measured on a Yanaco MP-3. IR spectra were recorded on a JEOL Diamond-20 spectrometer. UV-vis spectra were measured on a Shimadzu UV-2550 spectrometer. Emission spectra were recorded on a Shimadzu RF-5300PC spectrometer. ¹H and ¹³C-NMR spectra were recorded with tetramethylsilane as internal standard on a JEOL λ 400 NMR instruments. Mass spectra were measured on a JMS-700 mass spectrometer. Column chromatography was done with Silica gel 60N from Kanto Chem., Inc. X-Phos, Pd₂(dba)₃, Pd(PPh₃)₄, and Cs₂CO₃ were purchased from Sigma-Aldrich Japan, Inc. BINAP, tri-*o*-tolylphosphine and 2-bromoaniline were purchased from Tokyo Kasei Industrial Co. Pd(OAc)₂ was purchased from Wako Chem. 2-Holotropones were prepared according to the literature method of Doering.¹⁹ 6-Chloro-5-azabenz[*b*]azulene (**23**) was prepared from **1** according to the literature method of Nozoe.⁴ Emission quantum yields were determined by comparison of a total emission area with that of anthracene (Φ = 0.27, upon excitation at 356 nm in ethanol).

2-(2-Bromoanilino)tropone (20)

A mixture of 7 (281 mg, 2.00 mM), 2-bromoaniline (413 mg, 2.40 mM), Pd₂(dba)₃ (55 mg, 0.060 mM), BINAP (75 mg, 0.12 mM), and Cs₂CO₃ (912 mg, 2.80 mM) in 5 mL of toluene was refluxed on an oil bath for 12 h. The resulted reaction mixture was passed through a Celite pad and washed with toluene. After evaporation of the filtrate, the residue was purified by silica gel column chromatography with AcOEt/Hexane (30/70) to give 444 mg (80% yield) of **20** as slightly brown needles. Mp 84–85 °C. ¹H NMR (CDCl₃) δ = 6.82 (td, *J* = 8.4, 0.8 Hz, 1H), 6.98 (d, *J* = 10.4 Hz, 1H), 7.12 (m, 2H), 7.30–7.35 (m, 2H), 7.38 (t, *J* = 8.4 Hz, 1H), 7.46 (d, *J* = 8.4 Hz, 1H), 7.70 (d, *J* = 10.8 Hz, 1H), 8.75 (brs, 1H) ppm; ¹³C

NMR (CDCl₃) δ = 110.8, 120.0, 125.2, 125.4, 127.2, 128.3, 131.4, 133.9, 135.7, 137.0, 137.5, 152.7, 177.2 ppm; IR (KBr) v_{max} = 3427brm, 3236m, 1547vs cm⁻¹; UV-vis (EtOH) λ_{max} = 236 (log ε = 4.25), 341 (3.99), 400 (4.10) nm; MS (70 eV) *m/z* (rel int) = 277 (M⁺, 12), 275 (M⁺, 11), 276 (19), 197 (38), 196 (100), 168 (17), 167 (53), 98 (15), 77 (15). *Anal*. Calcd for C₁₃H₁₀BrNO: C, 56.55; H, 3.65; N, 5.07%. Found: C, 56.76; H, 3.77; N, 5.15%.

5,6-Dihydrocyclohepta[*b*]**indol-6-one** (1)

A mixture of **20** (215 mg, 0.779 mM), XPhos (74 mg, 0.16 mM), Pd(OAc)₂ (18 mg, 0.080 mM), NaOAc (128 mg, 1.56 mM), and AcOH (47 µL, 0.78 mM) in 5 mL of DMF was refluxed on an oil bath for 27 h. The resulted reaction mixture was passed through a Celite pad and washed with CH₂Cl₂. After evaporation of the filtrate, the residue was purified by silica gel column chromatography with AcOEt/Hexane (40/60) to give 137 mg (90% yield) of 1 as yellow prisms. Mp 255–256 °C [lit. 249.5–250.5,¹ 250–252,² 245–246°C^{3a}]. ¹H NMR (CDCl₃) δ = 7.10 (ddd, *J* = 10.8, 8.8, 1.0 Hz, H-9), 7.39 (tt, *J* = 8.0, 1.2 Hz, H-2), 7.42 (dt, *J* = 12.3, 1.0 Hz, H-7), 7.55 (ddd, *J* = 12.3, 8.8, 1.0 Hz, H-8), 7.58 (tt, *J* = 8.0, 1.2 Hz, H-3), 7.72 (dm, *J* = 8.0 Hz, H-4), 8.15 (dm, *J* = 8.0 Hz, H-1), 8.20 (dm, *J* = 10.8 Hz, H-10), 10.91 (brs, N-H) ppm; ¹H NMR (CF₃CO₂D) δ = 7.69 (m, H-2), 7.90–7.95 (m, H-3,4), 8.19 (t, *J* = 10.0 Hz, H-9), 8.25 (d, *J* = 10.0 Hz, H-7), 8.42 (t, *J* = 8.4 Hz, H-8), 8.48 (d, *J* = 8.4 Hz, H-1), 9.35 (d, *J* = 10.0 Hz, H-10) ppm; ¹³C NMR (CF₃CO₂D) δ = 115.3, 123.4, 126.6, 127.1, 128.1, 134.1, 135.7, 138.2, 139.9, 141.8, 142.5, 144.8, 183.1 ppm; ¹H NMR (NaOD/D₂O/DMSO-*d*₆) δ = 6.95 (t, *J* = 9.4 Hz, H-9), 7.18 (d, *J* = 11.9 Hz, H-7), 7.27 (t, *J* = 8.2 Hz, H-1), 8.43 (d, *J* = 9.4 Hz, H-10) ppm; ¹³C NMR (NaOD/D₂O/DMSO-*d*₆) δ = 119.4, 120.7, 121.3, 121.9, 127.4, 128.5, 129.6, 130.6, 132.4, 137.8, 152.3, 155.8, 182.2 ppm;

6-(1*H*-Pyrazol-1-yl)-5-azabenz[*b*]azulene (13)

A mixture of **23** (45 mg, 0.21 mM), pyrazole (29 mg, 0.42 mM), Pd₂(dba)₃ (9 mg, 7 μ M), BINAP (6 mg, 14 μ M), and Cs₂CO₃ (137 mg, 0.420 mM) in 5 mL of toluene was refluxed on an oil bath for 9 h. The resulted reaction mixture was passed through a Celite pad and washed with toluene. After evaporation of the filtrate, the residue was purified by silica gel column chromatography with AcOEt/CHCl₃ (30/70) to give 40 mg (77% yield) of **13** as violet needles. Mp 135–136 °C. ¹H NMR (CDCl₃) δ = 6.64 (dd, *J* = 2.7, 1.3 Hz, pyrazolyl-H), 7.54 (ddd, *J* = 8.1, 7.7, 1.0 Hz, H-2), 7.69 (ddd, *J* = 10.3, 8.9, 0.5 Hz, H-9), 7.80 (ddd, *J* = 8.1, 7.7, 1.0 Hz, H-3), 7.89 (dd, *J* = 1.3, 0.5 Hz, pyrazolyl-H), 7.94 (ddd, *J* = 10.6, 10.3, 1.0 Hz, H-8), 8.13 (dt, *J* = 8.1, 1.0 Hz, H-4), 8.38 (dt, *J* = 8.1, 1.0 Hz, H-1), 8.82 (dd, *J* = 10.6, 1.1 Hz, H-7), 8.88 (ddd, *J* = 8.9, 1.1, 0.5 Hz, H-10), 9.65 (dd, *J* = 2.7, 0.5 Hz, pyrazolyl-H), ppm; ¹³C NMR (CDCl₃) δ = 107.8 (pyrazole C-4), 120.8 (C-4), 120.9 (C-1), 122.9 (C-2), 125.9 (C-7), 127.5 (C-9), 127.8 (C-10b),

131.0 (C-3), 131.2 (C-10), 135.0 (C-8), 135.5 (pyrazole C-5), 142.1 (pyrazole C-3), 142.6 (C-6), 143.8 (C-10a), 152.1 (C-5a), 156.3 (C-4a) ppm; IR (KBr) $v_{max} = 1454s$, 1382s, 1334s, 1203s, 1041s, 654s, 755s, 730s cm⁻¹; UV-vis (EtOH) $\lambda_{max} = 271$ (log $\varepsilon = 4.29$), 311 (4.48), 350 (4.12), 383 (3.92), 510 (3.04) nm; MS (70 eV) *m/z* (rel int) =246 (M⁺+1, 21), 245 (M⁺, 100), 244 (27), 218 (83), 217 (30), 192 (22), 190 (15), 177 (14), 151 (12). HRMS *m/z* Calcd for C₁₆H₁₁N₃ (M⁺) 245.0953, found: 245.0915. *Anal.* Calcd for C₁₆H₁₁N₃: C, 78.35; H, 4.52; N, 17.13%. Found: C, 78.26; H, 4.79; N, 16.97%.

6-(1*H*-1,2,3-Triazol-1-yl)-5-azabenz[*b*]azulene (14)

A mixture of 23 (45 mg, 0.21 mM), 1,2,3-triazole (29 mg, 0.42 mM), Pd₂(dba)₃ (19 mg, 0.021 mM), BINAP (26 mg, 0.042 mM), and Cs₂CO₃ (137 mg, 0.420 mM) in 5 mL of toluene was heated at 90 °C on an oil bath for 20 h. The resulted reaction mixture was passed through a Celite pad and washed with toluene. After evaporation of the filtrate, the residue was purified by silica gel column chromatography with AcOEt/CH₂Cl₂ (40/60) to give 37 mg (72% yield) of 14 as violet needles. Mp 189–191 °C. ¹H NMR $(CDCl_3) \delta = 7.60 (td, J = 8.0, 0.8 Hz, H-2), 7.86 (m, H-3,9), 7.98 (d, J = 1.2 Hz, triazolyl-H), 7.99 (td, J = 1.2 Hz, triazolyl-H), 7.$ 10.2, 1.0 Hz, H-8), 8.13 (dt, J = 8.0, 0.8 Hz, H-4), 8.43 (dt, J = 8.0, 0.9 Hz, H-1), 8.79 (d, J = 10.2 Hz, H-7), 8.94 (dd, J = 8.6, 1.0 Hz, H-10), 9.51 (d, J = 1.2 Hz, triazolyl-H), ppm; ¹³C NMR (CDCl₃) $\delta =$ 120.8(C-4), 121.2 (C-1), 123.1 (C-2), 127.4 (C-7), 128.1 (C-9), 129.0 (C-10b), 130.0 (C-3), 130.8 (C-10), 132.2 (C-8), 134.4 (triazole C-4), 134.9 (triazole C-5), 139.3 (C-6), 145.1 (C-10a), 151.7 (C-5a), 156.9 (C-4a) ppm; IR (KBr) $v_{\text{max}} = 3348$ m, 3168m, 3109s, 3047m, 1616w, 1604m, 1517w, 1475w, 1458s, 1437w, 1394s, 1357m, 1330m, 1321w, 1236s, 1070s, 1016s, 789m, 758s, 727s cm⁻¹; UV-vis (EtOH) λ_{max} $= 245 (\log \varepsilon = 4.18), 258 \text{sh} (4.17), 274 (4.12), 300 \text{sh} (4.38), 317 (4.48), 368 (3.55), 385 (3.70), 401 \text{sh}$ (3.39), 514 (2.78) nm; MS (70 eV) m/z (rel int) = 246 (M⁺, 3), 218 (100), 217 (27), 190 (18), 177 (12), 167 (9), 151 (9), 150 (6), 108 (8), 106 (7), 77 (7), 57 (8). HRMS m/z Calcd for C₁₆H₁₁N₄(M⁺) 246.0911, found: 246.0904.

Determination of acidity and basicity for 1 and 13

The acidity of **1** and basicity of **13** were determined from titration curves based on pH-dependent absorption spectra in 50% aqueous ethanol solutions by a curve fitting method using KaleidaGraph program. The absorption peak at 455 nm for acidity of **1** and the absorption peak at 383 nm for basicity of **13** were used. Buffer solutions used for measurements of basicity of **13** are as follows; AcOH/AcONa at a range of pH 4.35~7.40 and KH₂PO₄/Na₂HPO₄ at a range of pH 6.97~8.92. Instead buffer solutions, NaOH solutions were used a range of pH 10.50~14.00 for measurements of acidity of **1**. The basicity of **1** was determined from titration curves based on Hammet acitity function (H_0)-dependent absorption spectra. The absorption peak at 402 nm was used and solutions used are as follows; H₃PO₄ solutions at a range of

 H_0 -0.37~1.45 and H_2 SO₄ solutions at a range of H_0 -2.06~0.02.

ACKNOWLEDGEMENTS

We deeply thank emeritus Prof. Kunihide Fujimori at Shinshu University for giving us an authentic sample of **1**. We also thank Mr Hiroki Okamoto and Ms Yuko Yamaga for their technical assistance to prepare compound **14**.

REFERENCES AND NOTES

- 1. The compound has also been called as indolo[2,3-b] tropone.
- 2. J. De Jong and J. H. Boyer, J. Org Chem., 1972, 37, 3571.
- 3. S. Yamada, M. Ishikawa, and C. Kaneko, *Tetrahedron Lett.*, 1972, **13**, 977; *Chem. Pharm. Bull.*, 1975, **23**, 2818.
- 4. a) T. Nozoe, J.-K. Sin, K. Yamane, and K. Fujimori, *Bull. Chem. Soc. Jpn.*, 1975, 48, 314; b) K. Yamane and K. Fujimori, *Bull. Chem. Soc. Jpn.*, 1976, 49, 1101.
- Nozoe *et al.* also reported that 1 was obtained as a minor product in rearrangement of 2-(2-phenylhydrzino)tropone; T. Nozoe, K. Takase, H. Saito, H. Yamamoto, and K. Imafuku, *Chem. Lett.*, 1986, 1577; T. Nozoe, K. Takase, M. Yasunami, M. Ando, H. Saito, K. Imafuku, B.-Z. Yin, M. Honda, Y. Goto, T. Hanaya, Y. Hara, and H. Yamamoto, *Bull. Chem. Soc. Jpn.*, 1989, **62**, 128.
- For isolation and total synthesis of caulersin, see; a) J.-Y. Su, Y. Zhu, L.-M. Zeng, and X.-H. Xu, J. *Nat. Prod.*, 1997, **60**, 1043; b) P. M. Fresneda, P. Molina, and M. A. Saez, *Synlett*, 1999, 1651; c) N. Wahlström, B. Stensland, and J. Bergman, *Tetrahedron*, 2004, **60**, 2147; d) Y. Miki, Y. Aoki, H. Miyatake, T. Minematsu, and H. Hibino, *Tetrahedron Lett.*, 2006, **47**, 5215.
- 7. B. Joseph, D. Alagille, J.-Y. Mérour, and S. Léonce, *Chem. Pharm. Bull.*, 2000, 48, 1872.
- a) Y. Sugihara, K. Murafuji, N. Abe, T. Takeda, and A. Kakei, *New J. Chem.*, 1998, 22, 1031; b) H.
 Fujii, N. Abe, N. Umeda, and A. Kahei, *Heterocycles*, 2002, 58, 283; c) N. Abe, Y. Harada, Y.
 Imachi, H. Fujii, A. Kahei, and M. Shiro, *Heterocycles*, 2007, 72, 459; d) K. Koizumi, C. Miyake, T.
 Ariyoshi, K. Umeda, N. Yamauchi, S. Tagashira, Y. Murakami, H. Fujii, and N. Abe, *Heterocycles*, 2007, 73, 325; e) M. Oda, K. Ogura, N. C. Thanh, S. Kishi, S. Kuroda, K. Fujimori, T. Noda, and N.
 Abe, *Tetrahedron Lett.*, 2007, 48, 4471; f) T. Ariyoshi, T. Noda, S. Watarai, S. Tagashira, Y.
 Murakami, H. Fujii, and N. Abe, *Heterocycles*, 2009, 77, 565; g) M. Oda, D. Miyawaki, N.
 Matsumoto, and S. Kuroda, *Heterocycles*, 2011, 83, 547; h) M. Oda, A. Sugiyama, R. Takeuchi, Y.
 Fujiwara, R. Miyatake, T. Abe, and S. Kuroda, *Eur. J. Org. Chem.*, 2012, 2231.
- A part of this study, development of the novel synthetic procedure of 1, has been briefly reported; J. Jin, K. Ito, F. Takahashi, and M. Oda, *Chem. Lett.*, 2010, **39**, 861.

- a) S. Iseda, *Bull. Chem. Soc. Jpn.*, 1955, 28, 617; b) S. Iseda, *Bull. Chem. Soc. Jpn.*, 1957, 30, 694; c)
 T. Mukai, *Bull. Chem. Soc. Jpn.*, 1959, 32, 272; d) T. Nozoe, H. Okai, and T. Someya, *Bull. Chem. Soc. Jpn.*, 1978, 51, 2185; e) R. M. Claramunt, D. Sanz, M. Pérez-Torralba, E. Pinilla, M. R. Torres, and J. Elguero, *Eur. J. Org. Chem.*, 2004, 4452; f) M. Dochnahl, K. Löhnwitz, J.-W. Pissarek, M. Biyikal, S. R. Schulz, S. Schön, N. Meyer, P. W. Roesky, and S. Blechert, *Chem. Eur. J.*, 2007, 13, 6654.
- 11. F. A. Hicks and M. Brookhart, Org. Lett., 2000, 2, 219.
- 12. G. Bartoli and P. E. Todesco, Acc. Chem. Res., 1977, 10, 125.
- 13. XPhos is a trivial name of 2-dicyclohexylphosphino- 2',4',6'-triisopropylbiphenyl; X. Huang, K. W. Anderson, D. Zim, L. Jiang, A. Klapars, and S. L. Buchwald, *J. Am. Chem. Soc.*, 2003, **125**, 10767.
- 14. F. G. Bordwell, G. E. Drucker, and H. E. Froed, J. Org. Chem., 1981, 46, 632.
- 15. S. Seto, T. Hiratsuka, and H. Toda, Yakugaku Zashi, 1969, 89, 1673.
- 16. H. Hosoya and S. Nagakura, Bull. Chem. Soc. Jpn., 1966, 39, 1414.
- 17. Upon excitation at 403 nm in EtOH, **1** emits light with a maximum at 439 nm. The quantum yield was obtained in a molar concentration of **1** less than 10^{-5} mol/L.
- 18. Emission quauntum yields for **13** and **14** are 7.4 x 10^{-4} and 3.8 x 10^{-4} , respectively. Quantum yields were obtained in molar concentrations of these substrates less than 10^{-4} mol/L.
- 19. W. v. E. Doering and L. H. Knox, J. Am. Chem. Soc., 1952, 74, 5683.