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Title: Rapid one pot synthesis of Xanthene derivatives by an efficient and reusable nano ZnAl_2O_4 - an insight into a new process

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1 **Rapid one pot synthesis of Xanthene derivatives by an efficient and reusable nano ZnAl₂O₄**
2 **- an insight into a new process**

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11 **Highlights**

- 12 • Synthesis of nano ZnAl₂O₄ by [metal citrate]-acrylamide polymer precursor
13 method.
- 14 • The nano ZnAl₂O₄ nanoparticles were hexagonal-like with 20 nm in size.
- 15 • Nano ZnAl₂O₄ had 50 m²/g surface area and total surface acidity of 8.8 mmol
16 NH₃/g.
- 17 • The nano spinel oxide was an excellent catalyst for the synthesis of
18 xanthenes.
- 19 • We recycled the catalyst for 10 cycles with consistent activity.
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27 **Abstract**

28 Nano ZnAl_2O_4 was synthesized by blending metal-citrate complex-acrylamide polymer using the
29 precursor method. Powder X- ray diffraction analysis of the catalyst affirmed that the spinel
30 oxide was phase pure. Elemental analysis was confirmed by Energy Dispersive X-ray analysis.
31 Transmission Electron Microscopic (TEM) analysis and Scherrer's formula revealed that the
32 particles were found to be in the order of 20 nm in size. Thermal stability of the catalyst was
33 analyzed by thermogravimetric and differential thermal analysis (TG-DTA). Total acidity of the
34 nano ZnAl_2O_4 evaluated by NH_3 -TPD was 8.8 mmol NH_3/g . Diversity in the synthesis of
35 xanthene derivatives was investigated using nano zinc aluminate as a catalyst. Nanoform of the
36 catalyst showed better activity than the bulk form due to its large surface area $50 \text{ m}^2/\text{g}$. The 2,2'-
37 arylmethylene bis (3-hydroxy-2cyclohexene-1-one), 2,2'-arylmethylene bis (3-hydroxy-5,5-
38 dimethyl-2cyclohexene-1-one) and 1-Oxo-hexahydroxanthenes were synthesized rapidly using
39 ZnAl_2O_4 and the catalyst was successfully recycled without any loss of activity.

40 Key words: nano ZnAl_2O_4 , TEM, 1-Oxo-hexahydroxanthene

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43 **1. Introduction**

44 ZnAl_2O_4 (Ghanite), a normal spinel oxide with AB_2O_4 structure, is one of the most important
45 aluminates investigated in literature due to its charismatic properties such as high thermal and
46 chemical stability, high mechanical resistance, ductility, low sintering temperature. The structure

47 crystallizes in cubic system in which Zn^{2+} ion occupies tetrahedral sites and octahedral sites by
48 Al^{3+} ion. This has been widely studied by several researchers for diverse applications. For
49 instance, cobalt doped $ZnAl_2O_4$ proved as a blue pigment with good chromaticity [1]. Ghanite
50 acts as a host lattice for several transition metals and rare earth ions, enhancing the
51 photoluminescent properties such as green light emitting $ZnAl_2O_4:Mn$, red light emitting Eu^{3+}
52 doped $ZnAl_2O_4$, green light emitting Tb^{3+} doped $ZnAl_2O_4$ and yellow light emitting Dy^{3+} doped
53 $ZnAl_2O_4$ [2- 4].

54 The catalytic behavior of $ZnAl_2O_4$ has been extensively investigated for a variety of
55 organic reactions: $ZnAl_2O_4$ nanoparticle is an excellent catalyst for the acetylation of amines,
56 alcohols and phenols at room temperature [5]. $Sr(II)-ZnAl_2O_4$ acts as an efficient catalyst for the
57 oxidation of various alcohols [6]. Palladium nanoparticles immobilized on microporous $ZnAl_2O_4$
58 has been studied for Suzuki-Miyaura coupling reaction to achieve high yield within short period
59 [7]. Apart from the liquid phase reactions, Ghanite has also been used for gas phase
60 hydroxylation of 2-hydroxypyridine, gas phase transformation of anisole to cresol and gas phase
61 alkylation of m-cresol [8-10]. In addition to these, $ZnAl_2O_4$ has been used as a potential catalyst
62 for photodegradation of gaseous toluene, photooxidation of toluene and lanthanum doped
63 Ghanite for biodiesel preparation from soyabean oil. Oxidation of light alkanes has been
64 catalyzed using $Ru/ZnAl_2O_4$ and $Pt/ZnAl_2O_4$, $Ni/ZnAl_2O_4-CeO_2$ for hydrogen production from
65 ethanol steam and $Pt-Sn/ZnAl_2O_4$ for Propane dehydrogenation [11- 18].

66 Xanthene derivatives are fascinating organic compounds with vast applications in
67 pharmacology because of their anti-inflammatory, antibacterial, antifungal, anti-depressant and
68 antimalarial activity [19-21]. They are thrombin inhibitors, and also act as stimulants of the
69 central nervous system [22, 23]. These compounds are potassium channel openers [24] and

70 possess anticancer activity [25]. Apart from its biological applications, xanthene dyes have been
71 used for diagnostic and imaging applications [26]. Benzopyran derivatives possess anti-
72 inflammatory, anti-analgesic and antimicrobial properties [27]. They act as insulin sensitizers
73 [28]. The compounds having benzopyran rings function as potential potassium channel activators
74 [29], and also active towards post-coital contraceptives [30].

75 Condensation of aromatic aldehydes with cyclic 1,3-diketones is one of the methods to
76 synthesize 2,2'-arylmethylene bis (3-hydroxy-2-cyclohexene-1-one), 2,2'-arylmethylene bis (3-
77 hydroxy-5,5-dimethyl-2-cyclohexene-1-one) and 1-Oxo-hexahydroxanthenes. Traditional
78 method for synthesizing these compounds involves the use of piperidine as catalyst [31]. This
79 method has a major drawback because piperidine cannot be recycled. Synthesis of 2,2'-
80 arylmethylene bis (3-hydroxy-2-cyclohexene-1-one) and 2,2'-arylmethylene bis (3-hydroxy-5,5-
81 dimethyl-2-cyclohexene-1-one) derivatives have been reported using NaOH under high intensity
82 ultrasound radiation [32] and urea under ultrasound radiation [33]. These methods may not be
83 applicable for large scale production, and further the catalysts cannot be reused. Several
84 homogeneous catalysts such as $ZnCl_2$, ethylenediamine diacetate (EDDA), $SmCl_3$, SDS, CsF and
85 heterogeneous catalysts like ZnO , $Yb(OTf)_3-SiO_2$, $FeCl_3 \cdot 6H_2O/TMSCl/[bmim][BF_4]$, $HClO_4$ -
86 SiO_2 and L-Lysine have been reported by various authors [34-42]. However, many of these
87 catalysts are expensive, require toxic solvents, cannot be recycled and require prolonged
88 duration.

89 1-Oxo-hexahydroxanthene has been synthesized by Sabitha et al. using $CeCl_3 \cdot 7H_2O$ [43].
90 Although this protocol tends to give better yields, the catalyst is expensive, non-reusable, and
91 needs long reaction time. Pesyan et al. have used acetic acid for the synthesis of 1-Oxo-
92 hexahydroxanthenes [44]. Kuarm et al. attempted the use of cellulose sulfuric acid as catalyst

93 [45]. The catalyst requires acid for its preparation. Fei He et al. have performed the synthesis of
94 1-Oxo-hexahydroxanthenes using glycerol [46]. Even though it is a catalyst-free synthesis, it
95 requires prolonged refluxing to achieve the products. Apart from these, various homogeneous
96 catalysts such as cationic surfactant triethylbenzylammonium chloride (TEBA), TCT and tetra-n-
97 butylammonium fluoride (TBAF) and PTSA have been reported for the synthesis [47-50]. In
98 spite of showing catalytic activity, few of these catalysts are halogen containing, active only in
99 the presence of toxic solvents, and also need prolonged reaction time.

100 In the present work, we report the synthesis of nano ZnAl_2O_4 using [metal citrate
101 complex]-acrylamide polymer precursor method and the investigation of its catalytic activity for
102 the synthesis of 2,2'-arylmethylene bis (3-hydroxy-2-cyclohexene-1-one), 2,2'-arylmethylene bis
103 (3-hydroxy-5,5-dimethyl-2-cyclohexene-1-one) and 1-Oxo-hexahydroxanthenes by Knoevenagel
104 condensation followed by Micheal addition of aromatic aldehydes with cyclic 1,3-diketones.
105 Diversity in the synthesis of xanthenes derivatives has been studied using nano ZnAl_2O_4 .

106 2. Experimental Work

107 Zinc nitrate ($\text{Zn}(\text{NO}_3)_2 \cdot 6\text{H}_2\text{O}$, 99%), Aluminium nitrate ($\text{Al}(\text{NO}_3)_3 \cdot 9\text{H}_2\text{O}$, 99%) were purchased
108 from Himedia. Citric acid monohydrate ($\text{C}_6\text{H}_{10}\text{O}_8$, 99.99%), acrylamide ($\text{C}_3\text{H}_5\text{NO}$, 99%), N, N'-
109 methylenebisacrylamide ($\text{C}_7\text{H}_{10}\text{N}_2\text{O}_2$, 99%), aldehydes and diketones were purchased from
110 Sigma Aldrich.

111 2.1. Preparation of bulk ZnAl_2O_4

112 Stoichiometric quantities of $\text{Zn}(\text{NO}_3)_2 \cdot 6\text{H}_2\text{O}$ and $\text{Al}(\text{NO}_3)_3 \cdot 9\text{H}_2\text{O}$ were separately dissolved in
113 distilled water. The metal nitrate solutions were mixed together under constant stirring. The

114 homogenized solution mixture was dried at 80 °C overnight to get the solid mass. The obtained
 115 precursor was then calcined at 700 °C for 12 h with a heating rate of 10 °C/ min.

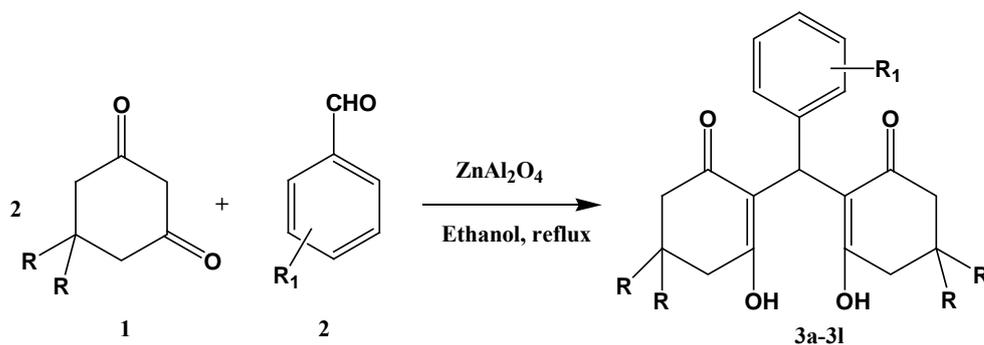
116 2.2. Preparation of nano ZnAl₂O₄

117 Stoichiometric quantities of Zn(NO₃)₂·6H₂O and Al(NO₃)₃·9H₂O were separately dissolved in
 118 distilled water. The metal nitrate solutions were added drop wise to the citric acid solution under
 119 constant stirring. The ratio of [Zn+Al] and citric acid was 1. To the above homogeneous
 120 solution, 3 g of acrylamide and N, N'-methylenebisacrylamide were added. The temperature was
 121 raised to 80 °C followed by the addition of a pinch of ammonium peroxodisulphate. The
 122 obtained white gel was dried overnight at 80 °C to get the solid mass. The solid precursor was
 123 later calcined at 300 °C, 500 °C and 700 °C for 6 h at each stage with a heating rate of 10 °C/
 124 min.

125 2.3. Catalytic investigation of ZnAl₂O₄

126 In a typical procedure, aromatic aldehyde (1 mmol), 1,3-diketone (1,3-cyclohexanedion/5,5-
 127 dimethyl-1,3-cyclohexanedione) (2 mmol) and calculated amount of catalyst bulk /nano ZnAl₂O₄
 128 along with 5 mL solvent were placed in a round bottom flask fitted with reflux condenser. The
 129 mixture was then heated at 80 °C. Completion of the reaction was monitored by thin layer
 130 chromatography (TLC). The catalyst was removed by filtration, and was washed twice with
 131 acetone. It was dried at 60 °C in oven for 3 h and used for further cycle. Xanthene derivatives
 132 were obtained by re-crystallization in ethanol-THF. Schemes 1 and 2 represent the synthesis of
 134 xanthene derivatives using ZnAl₂O₄.

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140 Scheme 1. Synthesis of 2,2'-arylmethylene bis (3-hydroxy-2-cyclohexene-1-one) and 2,2'-
141 arylmethylene bis (3-hydroxy-5,5-dimethyl-2-cyclohexene-1-one)

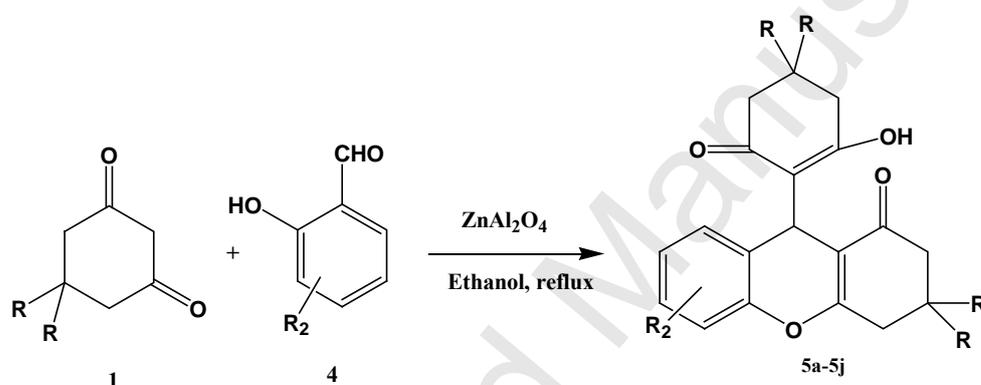
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152 Scheme 2. Synthesis of 1-Oxo-hexahydroanthene

153 2.4. Characterization

154 Phase identification of the catalyst was obtained by powder X-ray diffractometer (Bruker, D8
155 Advanced) using Cu K α radiation ($\lambda = 1.5406 \text{ \AA}$) in the angle range of $2\theta = 10^\circ - 70^\circ$ at room
156 temperature. The surface area of the powder samples was analyzed by BET method on
157 Micromeritics ASAP 2020 V3.00 H instrument. Fourier Transformed Infrared Spectra (FTIR) of
158 the prepared samples was recorded on Shimadzu IR affinity – 1 FTIR spectrometer by KBr disk
159 method. The morphology and particle size were affirmed by TEM (JEOL 3010 instrument with
160 UHR pole piece). The particles' size was calculated by using image J software. Elemental

161 analysis of the catalyst was confirmed by Field Emission Scanning Electron Microscope coupled
162 with Energy Dispersive X-ray Analysis (FESEM-EDX, JEOL JSM 7001F with BRUKER-
163 QUNTAX Version 1.8.2). Thermal analysis of the powder precursor was performed on TA
164 Instrument (SDT Q600) in the temperature range between room temperature and 1000 °C with
165 the heating rate of 20 °C per minute. Total surface acidity of the nano ZnAl₂O₄ was evaluated by
166 NH₃ temperature-programmed desorption, TPD technique (Autochem 2910, Micromeritics
167 instrument). 0.74 g of the sample was heated to 120 °C at 10 °C/min in 30 mL high pure Helium
168 flow, kept at 120 °C for 30 min. 10% NH₃ in Helium gas at 30 mL/min flow was passed through
169 the sample for 30 min. Pure Helium was purged (30 mL/min) and then desorption of NH₃ was
170 analyzed from 120 °C to 700 °C at 10 °C/min using thermal conductivity detector. Surface
171 basicity of the nano ZnAl₂O₄ was analyzed by CO₂ temperature-programmed desorption, TPD
172 technique on the same instrument. In a typical procedure, 1.00 g of the dried sample was
173 pretreated at 200 °C in 50 mL high pure Helium flow for 30 min. After pretreatment the sample
174 was saturated with 10% CO₂ in Helium gas at 30 °C (with a flow rate of 75 mL/min). Later
175 Helium was flushed at 105 °C over the sample for 2 h in order to remove physisorbed CO₂. TPD
176 analysis was done from ambient temperature to 750 °C at 10 °C/min. Concentration of leached
177 metal ions from the catalyst during recycle was tested by Atomic Absorption Spectroscopic
178 technique using Varian AA240 instrument. The synthesized Xanthene derivatives were analyzed
179 by ¹H NMR and ¹³C NMR spectra (Bruker FT NMR – 400 MHz).

180

181 **3. Results and discussion**

182 **3.1. Characterization of the catalyst**

183 3.1.1. Powder XRD

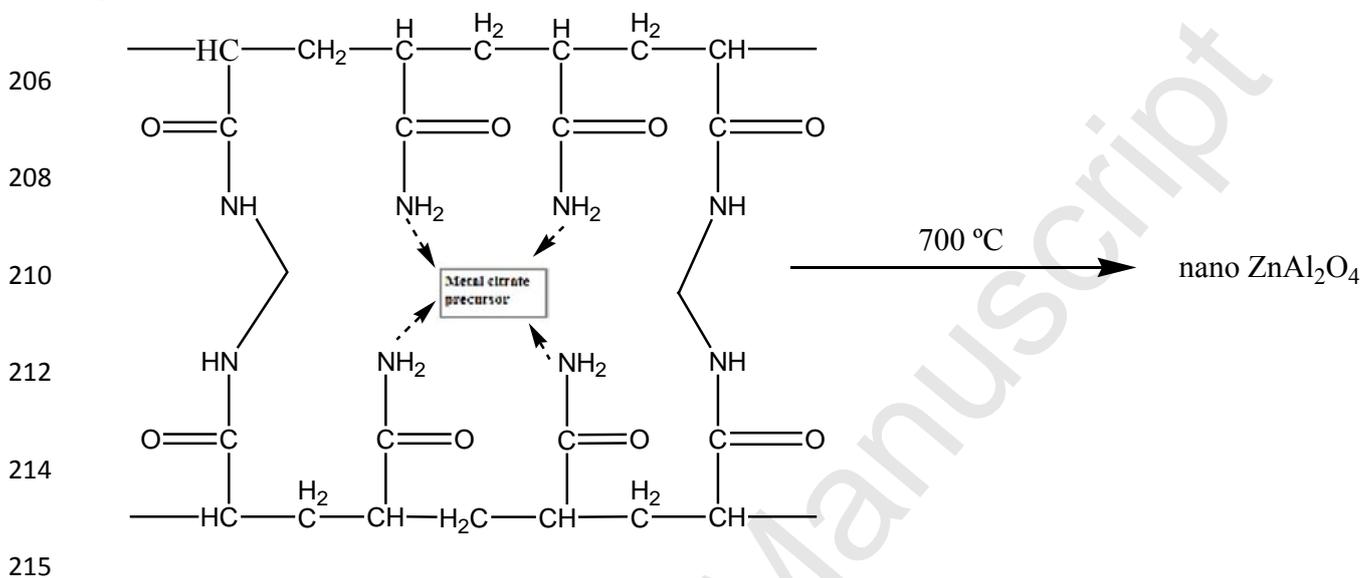
184 Powder XRD patterns of the calcined bulk and nano ZnAl_2O_4 are depicted in Fig. 1a. and 1b.
185 Both bulk and nano ZnAl_2O_4 are crystallized in face centered cubic structure. The powder XRD
186 patterns were indexed based on ICDD data (# 821043). No impurity peaks were found. Fig 1a
187 consists of narrow peaks, which is apparently bulk ZnAl_2O_4 . Whereas, the broadening of XRD
188 peaks in Fig. 1b. indicates the formation of nano ZnAl_2O_4 . The average crystallite size of both
189 bulk and nano ZnAl_2O_4 were obtained from the Scherrer's formula ($D = 0.9\lambda/B \cos \theta$) by
190 introducing full width half maximum (FWHM) values of all the peaks in the XRD pattern. 'D' is
191 the average particle size, ' λ ' is wavelength of X-ray beam used, 'B' is full width half maximum
192 of the peak and ' θ ' is the Bragg angle. The average crystallite size of bulk and nano ZnAl_2O_4 was
193 found to be 5 μm and 16 nm respectively. It is apparent from Fig. 2a. and 2b. that the nano
194 ZnAl_2O_4 exists even after the 10th cycle.

195 3.1.2. Mechanism of formation of nanosize ZnAl_2O_4

196 There are 3 different steps involved in the formation of smaller size particles.

- 197 i) Metal salts form metal-citrate complex with citric acid.
- 198 ii) Monomer acrylamide and cross linker N, N'- methylenbisacrylamide undergo polymerization
199 in the presence of radical initiator ammonium peroxodisulphate giving rise to a polymer network.
200 Thus, Metal citrate persists inside the polymer matrix as shown in the scheme 3.

201 iii) The [metal-citrate complex]-polymer matrix undergoes decomposition after calcinations and
 202 the polymer matrix hinder the growth of particles to larger size and also avoids agglomeration of
 204 particles.



216 Scheme 3. Mechanism of formation of nano ZnAl_2O_4

217 3.1.3. BET Surface area

218 Surface area of bulk and nano ZnAl_2O_4 from Nitrogen adsorption desorption isotherms obtained
 219 by BET method was found to be $10.4 \text{ m}^2/\text{g}$ and $50 \text{ m}^2/\text{g}$ respectively.

220 3.1.4. FTIR Analysis

221 Figures 3a. and 3b. show FTIR spectrum of bulk and nano ZnAl_2O_4 . In both the spectra, the
 222 bands at 663 cm^{-1} , 559 cm^{-1} and 501 cm^{-1} were assigned to stretching and bending mode of Al-O
 223 of octahedral AlO_6 units respectively. Since the characteristic stretching vibration bands of
 224 inverse spinel units (AlO_4) in the range $700\text{-}850 \text{ cm}^{-1}$ were absent, it was clear that the prepared
 225 ZnAl_2O_4 was pure with normal spinel structure [51]. In case of nano ZnAl_2O_4 , the peaks at 1630

226 cm^{-1} and 1122 cm^{-1} were assigned to OH stretching of the adsorbed water molecule and
227 asymmetric stretching vibrations of AlO-OH respectively. These two peaks were absent in the
228 FTIR spectrum of bulk ZnAl_2O_4 . This is because nano ZnAl_2O_4 possesses relatively large surface
229 area ($50 \text{ m}^2/\text{g}$) inclined to adsorb larger number of water molecules over its surface. Also, the
230 possibility of greater number of low coordination site (O^{2-}) over the surface of ZnAl_2O_4 cannot
231 be ruled out.

232 3.1.5. TEM analysis

233 Figure 4. indicates the TEM image of the prepared nano ZnAl_2O_4 , which reveals that the nano
234 ZnAl_2O_4 particles exhibit hexagonal - like morphology, and are well dispersed. TEM image
235 shows that the particles have a uniform morphology. It is clear that the particles' size is in the
236 range of 5 nm - 20 nm, which corroborates the average particle size obtained from the Scherrer's
237 formula (16 nm). Figure 4 shows the electron diffraction rings, which are indexed as cubic
238 ZnAl_2O_4 structure. Particle size distribution is represented in Fig. 5. Maximum size of the
239 particle ranged from 14 to 16 nm.

240 3.1.6. Energy Dispersive X-ray Analysis (EDX)

241 The presence of elements Zn, Al and O are confirmed by EDX spectrum (Fig. 6). It was found
242 that theoretical wt % of the elements closely matched with the experimental values.

243 3.1.7. Thermal Analysis

244 The compiled TGA and DTA curves of ZnAl_2O_4 precursor are shown in Figure. 7. Thermo
245 gravimetric profile revealed that the precursor underwent thermal degradation in two stages. The
246 first weight loss occurred between $50 \text{ }^\circ\text{C}$ and $120 \text{ }^\circ\text{C}$ corresponding to the dehydration of

247 precursor. The second stage of mass loss between 340 °C and 460 °C can be attributed to the
248 decomposition of organic molecules from the precursor. The mass loss from 460 °C to 1000 °C
249 was due to the evolution of entrapped organic molecules in the internal cavities, channels [52].
250 The weight losses observed from TGA curve was confirmed by the appearance of two
251 endothermic peaks in the same region of the DTA profile.

252 3.1.8. NH₃-TPD and CO₂-TPD Analysis

253 Total surface acidity of the nano ZnAl₂O₄ evaluated by NH₃-TPD analysis was 8.8 mmol NH₃/g.
254 It was very clear from the NH₃-TPD pattern (Fig. 8) that the broad peaks at 239 °C and 370 °C
255 were associated with weak acidic and medium acidic sites of the material respectively, whereas,
256 the peak at 599 °C was related to strong acidic site. Intensity of broad peaks indicates that the
257 larger distribution of weak and medium acidic sites than the strong acidic sites.

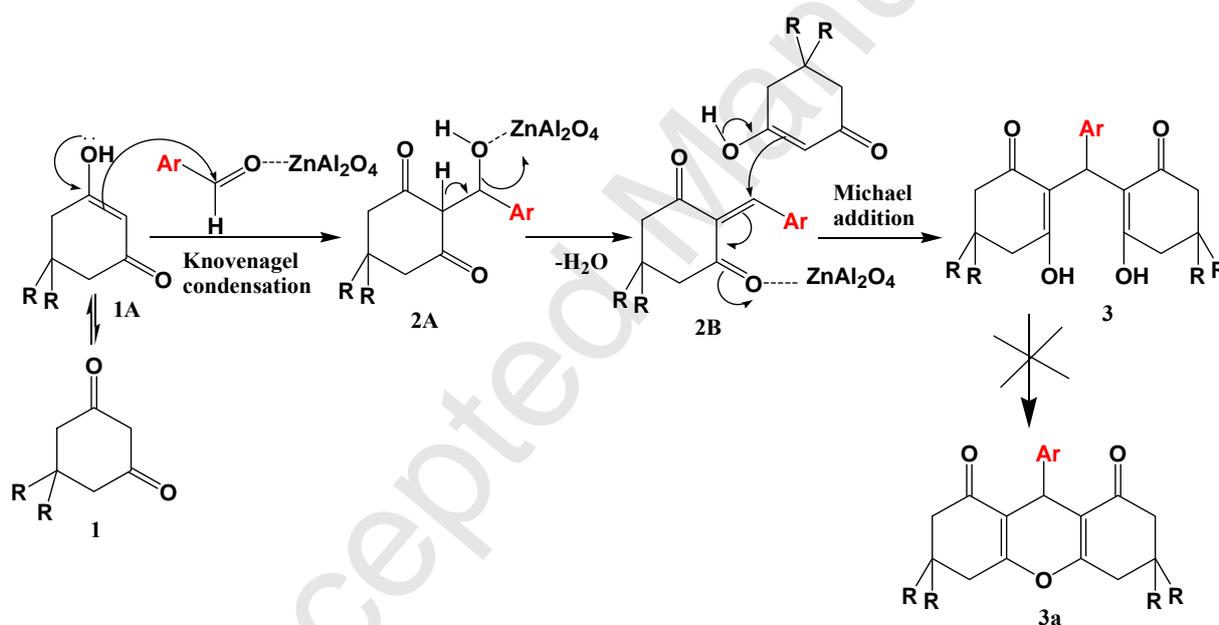
258 Surface basicity was analyzed by CO₂-TPD. From CO₂-TPD analysis (Fig. 9) it was found that
259 there were no prominent CO₂ desorption peaks and thus the presence of basic sites was ruled out.

260 3.2. Catalytic Studies of ZnAl₂O₄

261 3.2.1. Synthesis of 2,2'-arylmethylene bis(3-hydroxy-2-cyclohexene-1-one) and 2,2'- 262 arylmethylene bis(3-hydroxy-5,5-dimethyl-2-cyclohexene-1-one)

263 A mixture of benzaldehyde (1 mmol), 1,3-diketone (1,3-cyclohexanedion/5,5-dimethyl-
264 1,3-cyclohexanedione) (2 mmol) and appropriate amount of catalyst along with 5 mL ethanol
265 were refluxed for few minutes. In general, the mechanism of the formation of xanthenediones
266 involves 2 steps: Knoevenagel condensation followed by Michael addition reaction with
267 cyclization. But surprisingly, the reaction is terminated at the Michael addition step and ended up

268 with uncyclized products (3) within few minutes of using nano ZnAl_2O_4 (Scheme 4). Irrespective
 269 of the derivatives of benzaldehyde, similar observations were noticed. There was no formation of
 270 xanthenediones (3a) even after refluxing the reaction mixture for few hours. Formations of
 271 similar products were noticed using both bulk and nano ZnAl_2O_4 . But nano was found to be
 272 more active than bulk catalyst. Since these compounds are pharmacologically very significant,
 273 we carried out the reaction with benzaldehyde derivatives and the findings are tabulated in
 274 Table.1. Similar observation was made by Malek et al. using ZnO [38]. It is very important to
 275 note that the authors achieved the product in 8-15 h of refluxing in CH_3CN solvent.



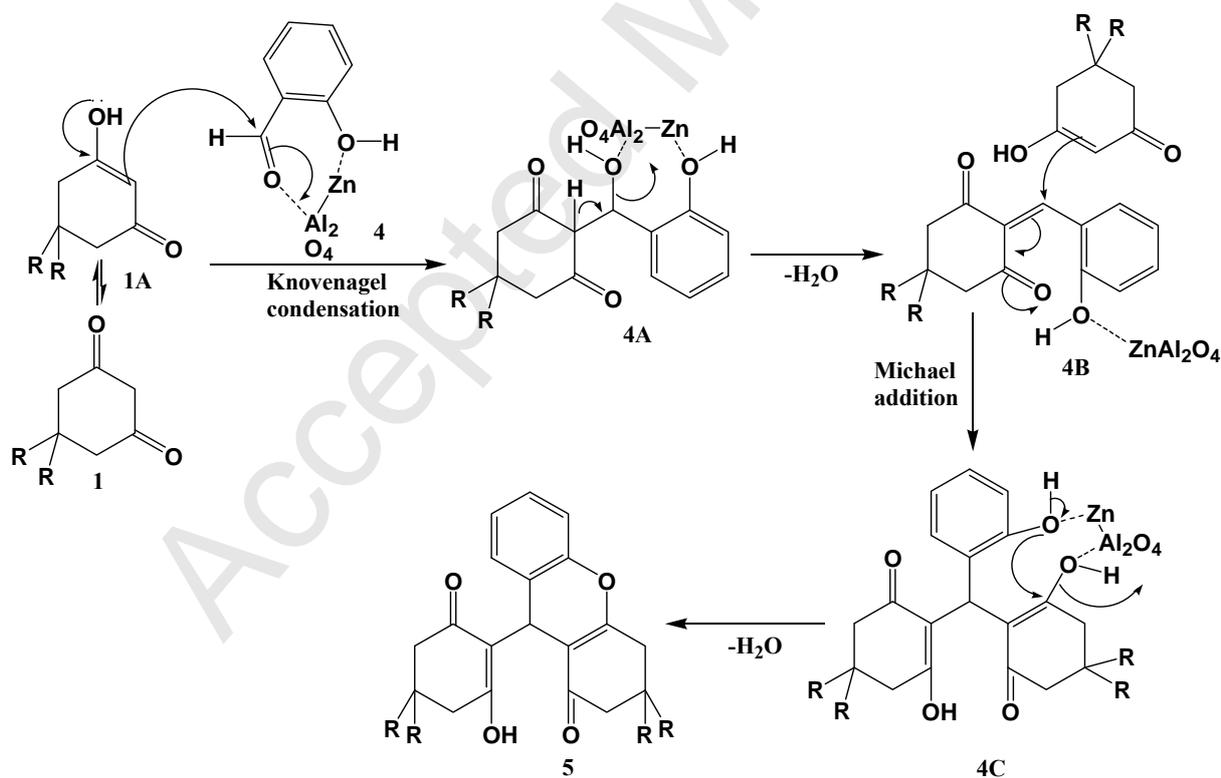
277 Scheme 4. Plausible mechanism of the formation of uncyclized products.

278 3.2.2. Synthesis of 1-Oxo-hexahydroxanthene

279 A mixture of salicylaldehyde (1 mmol), 1,3-diketone (1,3-cyclohexanedion/5,5-dimethyl-
 280 1,3-cyclohexanedione) (2 mmol) and appropriate amount of catalyst along with 5 mL ethanol
 281 were refluxed for few minutes. Surprisingly, 1-Oxo-hexahydroxanthene formed within few

282 minutes (Scheme 5). We performed reactions for the other derivatives of salicylaldehyde (Table
283 2) and obtained 1-Oxo-hexahydroxanthene.

284 We carried out the synthesis of uncyclized products as well as 1-Oxo-hexahydroxanthene
285 without using a catalyst, under similar reaction conditions, and found that the uncyclized product
286 formed after 1 h whereas the latter was formed after 2 h. Consequently, the requirement of
287 catalyst for the synthesis played a crucial role. Here, ZnAl_2O_4 accelerated the reaction by
288 bringing both the reactants together over its surface. Table 3. describes the advantage of using
289 nano ZnAl_2O_4 over bulk ZnAl_2O_4 . Due to the small size particles possessing larger surface area
290 ($50 \text{ m}^2/\text{g}$), nano exhibited better activity than the bulk catalyst whose surface area was $10.4 \text{ m}^2/\text{g}$.
291 A Plausible mechanism of 1-Oxo-hexahydroxanthene formation is shown in scheme 5.



292

293

Scheme 5. Plausible mechanism of formation of 1-Oxo-hexahydroxanthene

294 During the synthesis of 2,2'-arylmethylene bis(3-hydroxy-2-cyclohexene-1-one), 2,2'-
295 arylmethylene bis(3-hydroxy-5,5-dimethyl-2-cyclohexene-1-one) and 1-Oxo-
296 hexahydroxanthene, carbonyl group of the aldehyde interacted with the acidic sites (Zn^{2+} and
297 Al^{3+}) of the catalyst thereby activated the carbonyl carbon for the nucleophilic attack and thus
298 accelerated the reaction. Formation of xanthenediones (3a) generally required high acidic
299 catalyst for the cyclization of product (3). But due to the larger number of weak and medium
300 acidic sites on the surface of nano $ZnAl_2O_4$, the reaction was terminated at uncyclized product
301 (3). The reaction proceeded due to the presence of only acidic sites as the catalyst did not
302 exhibited basic sites.

303 It was observed that both benzaldehyde and salicylaldehyde derivatives containing
304 electron withdrawing group gave relatively higher yield in short duration (Table 1 and 2).
305 Several authors have reported the synthesis of 1-Oxo-hexahydroxanthene from salicylaldehyde
306 in which they utilized hazardous solvents [49], expensive homogeneous catalysts such
307 $CeCl_3 \cdot 7H_2O$ and TEBA [43][47], TBAF [49] and it also required longer duration to obtain the
308 products [47-49]. We have made a comparison of the activity of the several catalysts for the
309 synthesis 1-Oxo-hexahydroxanthene with nano $ZnAl_2O_4$ (Table 4). Although the reported
310 homogeneous catalysts produced good yields (Table 4), they contain halogen, very expensive,
311 could not be reused and also took hours for the completion of reaction. Hence nano $ZnAl_2O_4$
312 could be the best alternative catalyst for the synthesis of 1-Oxo-hexahydroxanthene over the
313 reported homogeneous catalysts.

314 Next, the effect of solvents for 1-Oxo-hexahydroxanthene synthesis was studied (shown
315 in Table 5). The reaction is found to be faster in protic solvents with high yield. A mere 50 mg of
316 the catalyst gave 86% yield. By increasing the amount of catalyst, the yield obtained was more

317 and also the reaction time was shortened (Table 6). The reaction was completed in 15 min in the
318 presence of 90 mg of nano ZnAl_2O_4 , whereas, it completed in 90 min in the presence of 50 mg
319 catalyst. After every cycle, the catalyst was collected by filtration and washed with acetone to
320 remove organic molecules. The catalyst was dried at 60 °C in oven before using it for the next
321 cycle. Nano ZnAl_2O_4 was found to be consistently active for 10 cycles as shown in Fig. 10. The
322 yield was reduced after 5 cycles due to the loss of negligible amount of catalyst during filtration.
323 The filtrate collected after each cycle was subjected to AAS analysis for leachability.
324 Leachability of Zn was nil, and hence same was true for Al too. This could be the reason for the
325 consistent performance of catalyst in every cycle.

326 4. Conclusion

327 Pure phase of nano ZnAl_2O_4 was successfully synthesized by using metal-citrate by polymer
328 precursor method. Particles were found to be in the order of 20 nm size. Nano ZnAl_2O_4 was
329 utilized as catalyst for the synthesis of 2,2'-arylmethylene bis(3-hydroxy-2-cyclohexene-1-one),
330 2,2'-arylmethylene bis(3-hydroxy-5,5-dimethyl-2-cyclohexene-1-one) and 1-Oxo-
331 hexahydroxanthenes. Non-toxic ethanol was used as solvent. We achieved xanthene derivatives
332 with high yields within few minutes. No column chromatography was required. The catalyst was
333 recycled for 5 times without loss of activity. Being non-toxic, inexpensive, highly stable,
334 ZnAl_2O_4 emerged as an efficient catalyst, exhibiting diversity in its activity.

335 4.1. Spectral data of selected xanthene derivatives

336 4.1.1. Compound 3g (Sl. No. 7 in table 1)

337 White solid; Melting point: 192 °C, ^1H NMR (400 MHz, CDCl_3) ppm: 1.10 (s, 6H), 1.23 (s,
338 6H), 2.48-2.29 (m, 8H), 5.54 (s, 1H), 7.10-7.09 (d, $J = 4$ Hz, 2H), 7.19-7.15 (t, $J = 8$ Hz, 1H),

339 7.28-7.25 (m, 2H), 11.09 (bs s, 2H); ^{13}C NMR (100 MHz, CDCl_3) ppm: 27.4, 29.7, 32.8, 46.5,
340 47.1, 115.6, 115.9, 125.8, 126.8, 128.2, 138.1, 189.4, 190.5.

341 **4.1.2. Compound 5b (Sl. No. 2 in table 2)**

342 Yellow solid; Melting point: 229 °C, ^1H NMR (400 MHz, CDCl_3) ppm: 1.86-1.75 (m, 2H), 2.17-
343 2.00 (m, 4H), 2.86-2.37 (m, 6H), 3.89 (s, 3H), 4.63 (s, 1H), 6.61-6.59 (d, $J = 8$ Hz, 2H), 6.77-
344 6.75 (d, $J = 8$ Hz, 1H), 6.96-6.92 (t, $J = 8$ Hz, 1H), 10.80 (bs s, 1H); ^{13}C NMR (100 MHz,
345 CDCl_3) ppm: 19.7, 19.9, 28.0, 28.0, 29.7, 36.0, 37.0, 56.1, 110.3, 112.2, 119.7, 119.8, 124.3,
346 125.6, 140.5, 146.9, 170.9, 172.8, 197.1, 201.5.

347 **4.1.3. Compound 5c (Sl. No. 3 in table 2)**

348 Off white solid; Melting point: 221 °C, ^1H NMR (400 MHz, CDCl_3) ppm: 1.47-1.44 (t, $J = 6$ Hz,
349 3H), 2.17-1.82 (m, 6H), 2.85-2.37 (m, 6H), 4.14-4.09 (m, 2H), 4.62 (s, 1H), 6.60-6.58 (d, $J = 8$
350 Hz, 1H), 6.76-6.74 (d, $J = 8$ Hz, 1H), 6.93-6.89 (t, $J = 8$ Hz, 1H), 10.80 (bs s, 1H); ^{13}C NMR
351 (100 MHz, CDCl_3) ppm: 14.9, 19.6, 20.0, 28.0, 28.0, 29.7, 36.0, 37.0, 65.0, 112.1, 112.2, 119.7,
352 119.9, 124.2, 125.6, 140.9, 146.3, 171.0, 172.6, 197.0, 210.5.

353 **4.1.4. Compound 5f (Sl. No. 6 in table 2)**

354 White solid; Melting point: 203 °C, ^1H NMR (400 MHz, CDCl_3) ppm: 0.98 (s, 3H), 0.99 (s,
355 3H), 1.02 (s, 3H), 1.12 (s, 3H), 2.01-1.91 (q, $J = 48.0, 16$ Hz, 2H), 2.37-2.33 (d, $J = 16.0$ Hz,
356 4H), 2.62-2.45 (q, $J = 48.0, 16.0$ Hz, 2H), 4.67 (s, 1H), 7.03-6.98 (m, 3H), 7.26-7.13 (m, 1H),
357 10.48 (bs s, 1H); ^{13}C NMR (100 MHz, CDCl_3) ppm: 26.5, 27.2, 27.8, 29.2, 29.8, 30.9, 32.3,
358 41.6, 43.2, 49.9, 50.6, 111.0, 115.7, 118.3, 124.3, 124.6, 127.5, 128.0, 151.0, 169.1, 170.7, 196.6,
359 200.9.

360 **4.1.5. Compound 5h (Sl. No. 8 in table 2)**

361 Off white solid; Melting point: 170 °C, ¹H NMR (400 MHz, CDCl₃) ppm: 0.99 (s, 6H), 1.03 (s,
362 3H), 1.12 (s, 3H), 1.48-1.44 (t, *J* = 8 Hz, 3H), 2.02-1.92 (q, *J* = 44.0, 20.0 Hz, 2H), 2.37-2.33 (m,
363 4H), 2.69-2.65 (d, *J* = 16.0 Hz, 1H), 2.58-2.54 (d, *J* = 16.0 Hz, 1H), 4.14-4.08 (m, 2H), 4.66 (s,
364 1H), 6.59-6.57 (d, *J* = 8 Hz, 1H), 6.76-6.74 (d, *J* = 8 Hz, 1H), 6.93-6.89 (t, *J* = 8.0 Hz, 1H),
365 10.46 (bs s, 1H); ¹³C NMR (100 MHz, CDCl₃) ppm: 14.9, 18.5, 26.5, 27.1, 27.8, 29.2, 29.9,
366 30.9, 32.3, 41.5, 43.2, 49.9, 50.6, 64.9, 110.8, 112.2, 118.2, 119.8, 124.2, 125.2, 141.1, 146.5,
367 169.0, 170.5, 196.6, 201.0.

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375 **Appendix. Supplementary data**

376 Supplementary data associated with this article can be found, in the online version at
377 <http://dx.doi.org/xxxxxxxxxx>

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464 Tables

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467 Table 1. Synthesis of 2,2'-arylmethylene bis (3-hydroxy-2cyclohexene-1-one) and 2,2'-arylmethylene bis
468 (3-hydroxy-5,5-dimethyl-2cyclohexene-1-one) - 2

469 Table 2. Synthesis of 1-Oxo-hexahydroxanthenes - 2 Table 3.
470 Comparison of the effect of reaction conditions on the yield of 1-Oxo-hexahydroxanthenes
471 - 3

472 Table 4. Comparison of the activity of the catalysts for the synthesis of 1-Oxo-hexahydroxanthenes
473 - 3

474 Table 5. Effect of solvent on the synthesis of 1-Oxo-hexahydroxanthenes - 3

475 Table 6. Effect of catalyst amount on the synthesis of 1-Oxo-hexahydroxanthenes - 4

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508 Table 1. Synthesis of 2,2'-arylmethylene bis (3-hydroxy-2cyclohexene-1-one) and 2,2'-arylmethylene bis
509 (3-hydroxy-5,5-dimethyl-2cyclohexene-1-one)

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Sl. No.	R	R ₁	Product	Reaction time (min)/yield	M. P. (° C)	
					Observed	Reported
1	H	H	3a	20/ 98	215	210 - 211 (Ref 36)
2	H	4 - NO ₂	3b	10/ 98	196	195 - 196 (Ref 36)
3	H	4 - Cl	3c	10/ 98	202	202 - 204 (Ref 39)
4	H	4 - OH	3d	15/ 95	202	200 - 205 (Ref 53)
5	H	3 - Cl	3e	15/ 95	190	187 - 191 (Ref 53)
6	H	3 - NO ₂	3f	12/ 95	198	196 - 198 (Ref 53)
7	CH ₃	H	3g	20/ 93	192	189 - 190 (Ref 33)
8	CH ₃	3 - NO ₂	3h	12/ 98	190	190 - 191 (Ref 33)
9	CH ₃	4 - OCH ₃	3i	15/ 96	142	140 - 141 (Ref 33)
10	CH ₃	4 - NO ₂	3j	15/ 90	190	188 - 189 (Ref 33)
11	CH ₃	4 - Cl	3k	10/ 97	140	137 - 138 (Ref 33)
12	CH ₃	4 - OH	3l	15/ 96	200	202 - 204 (Ref 38)

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514 Table 2. Synthesis of 1-Oxo-hexahydroxanthenes

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Sl. No.	R	R ₂	Product	Reaction time (min)/yield	M. P. (° C)	
					Observed	Reported
1	H	H	5a	15/ 98	230	226 (Ref 43)
2	H	3- OCH ₃	5b	14/ 93	229	-
3	H	3- OCH ₂ CH ₃	5c	15/ 92	221	-
4	H	5 - Br	5d	10/ 98	235	236 - 238 (Ref 50)
5	H	5 - NO ₂	5e	13/98	242	245 - 246 (Ref 47)
6	CH ₃	H	5f	15/ 92	203	204 (Ref 43)
7	CH ₃	3- OCH ₃	5g	14/ 89	230	229 - 231 (Ref 48)
8	CH ₃	3- OCH ₂ CH ₃	5h	15/ 88	170	-
9	CH ₃	5 - Br	5i	14/ 99	252	252 - 254 (Ref 50)
10	CH ₃	5 - NO ₂	5j	10/98	206	205 - 207 (Ref 47)

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522 Table 3. Comparison of the effect of reaction conditions on the yield of 1-Oxo-hexahydroxanthenes

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Sl. No.	Catalyst	Reaction time (min)	Yield (%)
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1	Without catalyst	125	90
2	Bulk ZnAl ₂ O ₄ (90 mg)	90	89
3	Nano ZnAl ₂ O ₄ (90 mg)	15	98

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525 Reaction Conditions: salicylaldehyde+1,3-cyclohexanedione (1:2 mole ratio), solvent = 5 mL
 526 ethanol, temperature = 80 °C.

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529 Table 4. Comparison of the activity of the catalysts for the synthesis of 1-Oxo-hexahydroxanthenes

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Sl. No.	Catalyst	Amount of catalyst	Reaction time	Yield (%)	Reference
1	CeCl ₃ .7H ₂ O	Catalytic amount*	1.5 – 5 h	82 - 96	43
2	TEBA ^a	100 mg	3 – 5 h	85 - 95	47
3	TCT ^b	40 mg	2 – 3 h	89 - 95	48
4	Nano ZnAl ₂ O ₄	90 mg	10 -15 min	88 - 98	Our work

^a triethylbenzylammonium chloride, ^b 2,4,6-trichloro-1,3,5-triazine

* Amount is not given

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534 Table 5. Effect of solvents on the synthesis of 1-Oxo-hexahydroxanthenes

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Sl. No.	Solvent	Yield (%)
1	Ethanol	98
2	THF	75

3	Acetonitrile	74
4	Toluene	70

Sl. No.	Catalyst amount (mg)	Yield (%)
1	90	98 (15 min)
2	60	89 (30 min)
3	50	86 (90 min)

5	Water	60
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536

537 Reaction Conditions: salicylaldehyde+1,3-cyclohexanedione (1:2 mole ratio),
 538 90 mg, solvent = 5 mL ethanol, temperature = 80 °C. Reaction time = 15 min.

nano ZnAl₂O₄ =

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540 Table 6. Effect of catalyst amount on the synthesis of 1-Oxo-hexahydroxanthenes

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552 Reaction Conditions: salicylaldehyde+1,3-cyclohexanedione (1:2 mole ratio),

catalyst = nano

553 ZnAl₂O₄, solvent = 5 mL ethanol, temperature = 80 °C. Reaction time = 15 min - 90 min.

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Figures

- Fig. 1. Powder XRD pattern of bulk ZnAl_2O_4 (a) and nano ZnAl_2O_4 (b) - 2
- Fig. 2. Powder XRD pattern of fresh nano ZnAl_2O_4 (a) and nano ZnAl_2O_4 after 10 cycles (b) - 3
- Fig. 3. FTIR spectra of bulk ZnAl_2O_4 (a) and nano ZnAl_2O_4 (b) - 4
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- Fig. 10. Reusability of ZnAl_2O_4 - 11

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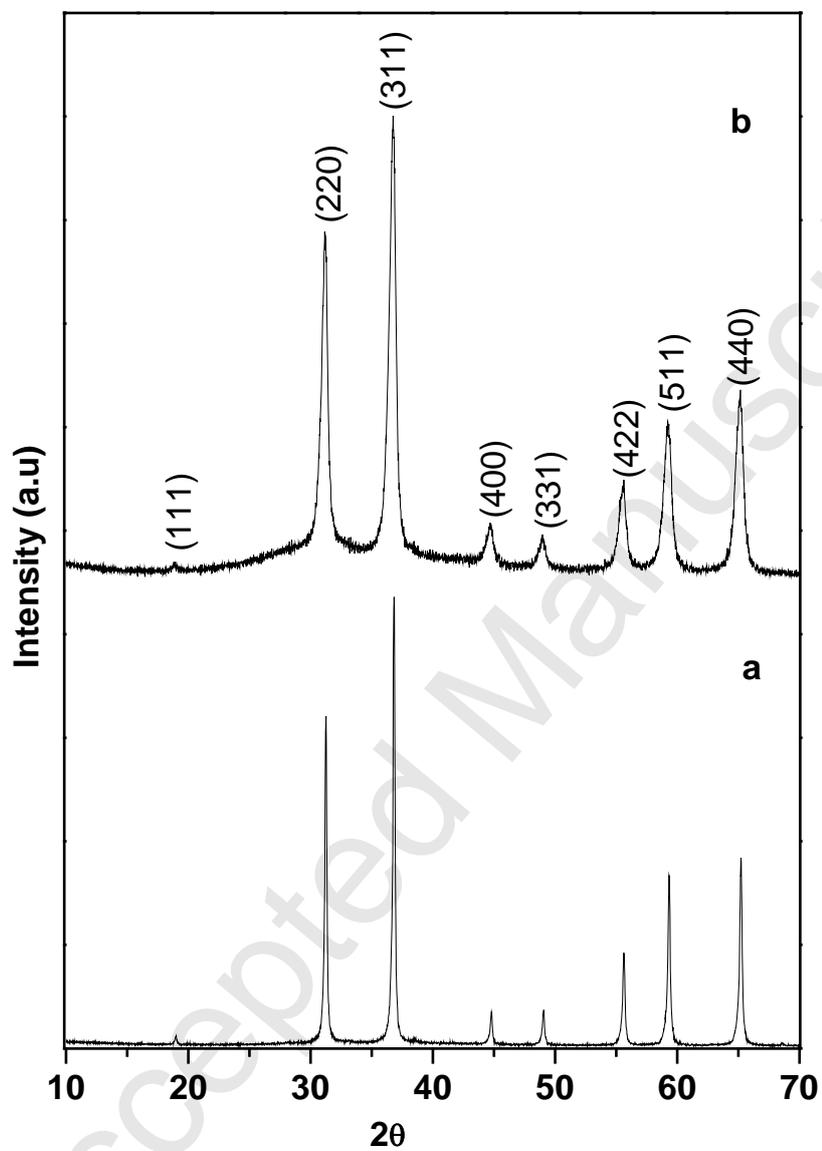
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690 Fig. 1. Powder XRD
693 ZnAl₂O₄ (a) and nano

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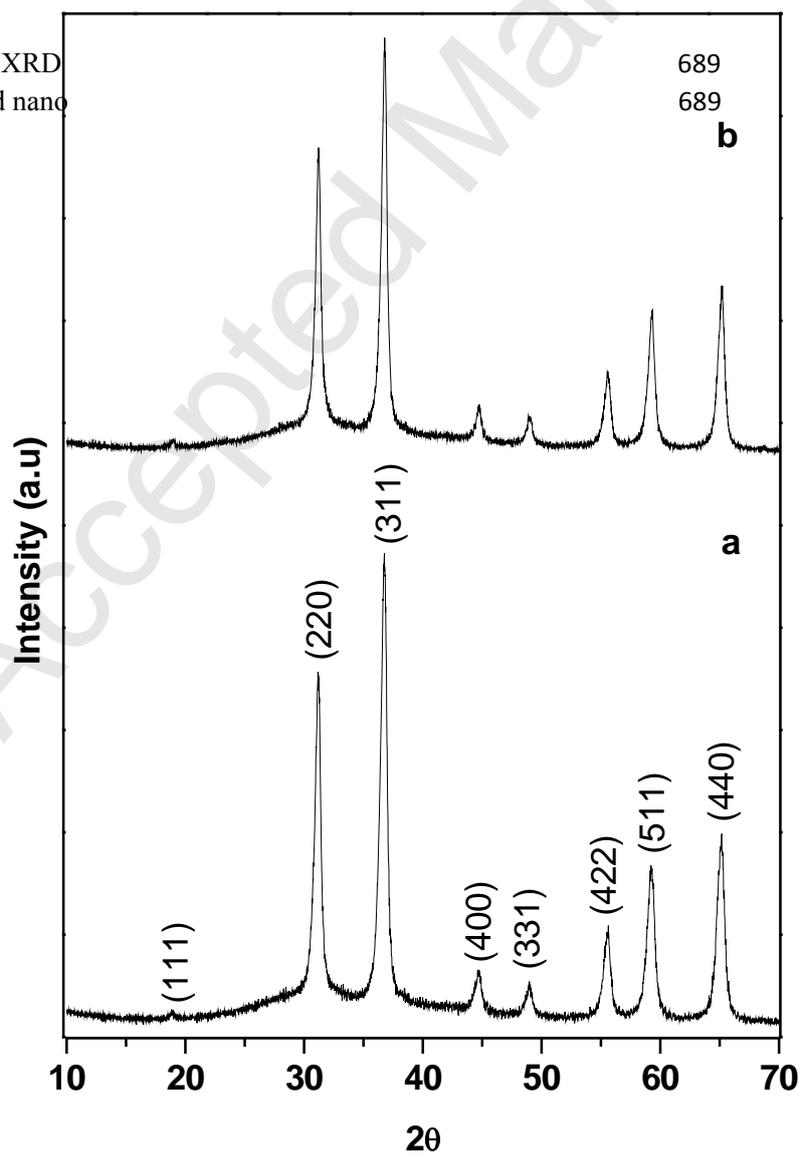
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pattern of bulk
ZnAl₂O₄ (b)

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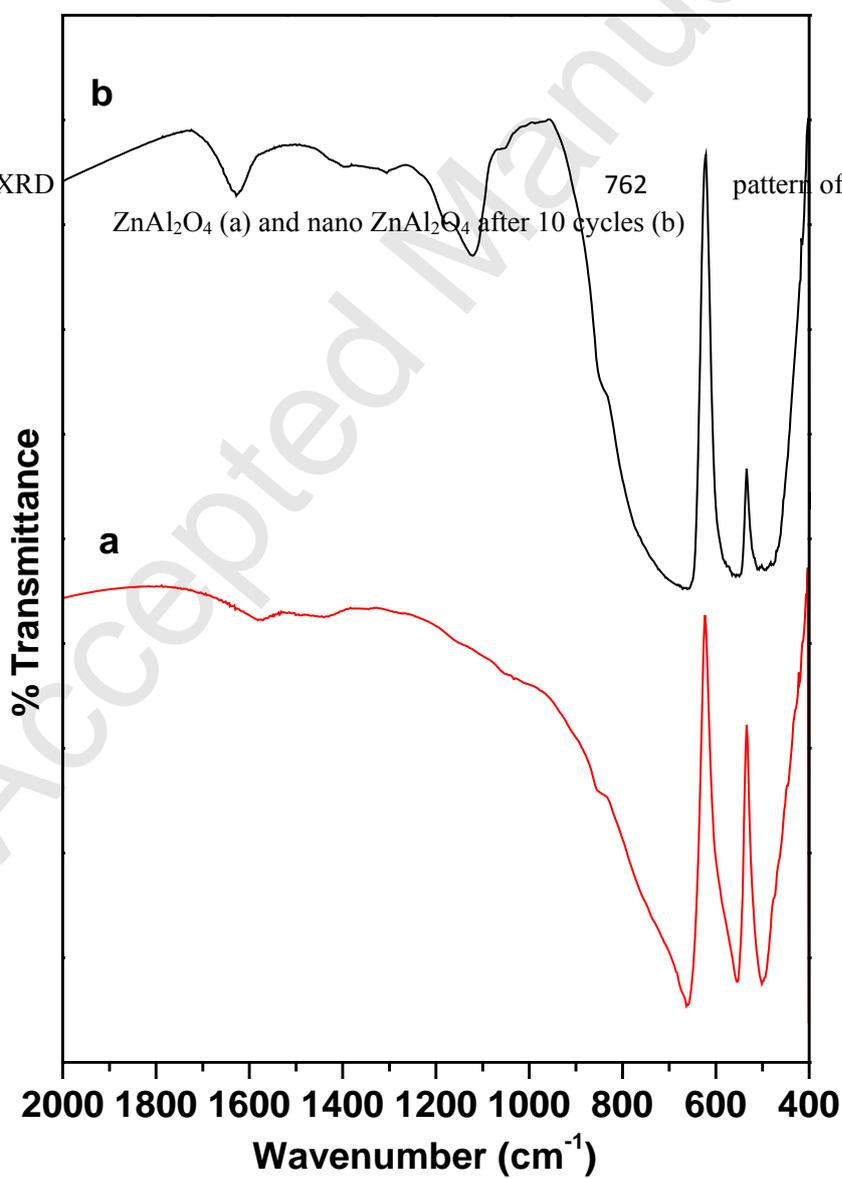
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Fig. 2. Powder XRD



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Fig. 3. FTIR spectra of bulk ZnAl_2O_4 (a) and nano ZnAl_2O_4 (b)

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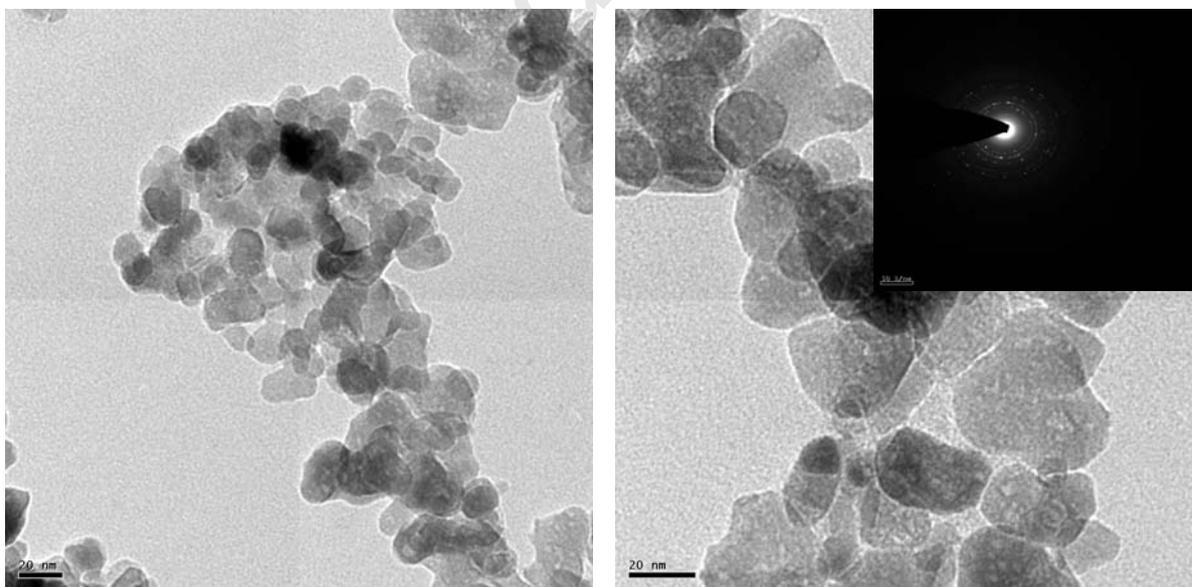
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Fig.4. TEM images of nano ZnAl_2O_4 taken and the inset is electron diffraction pattern.

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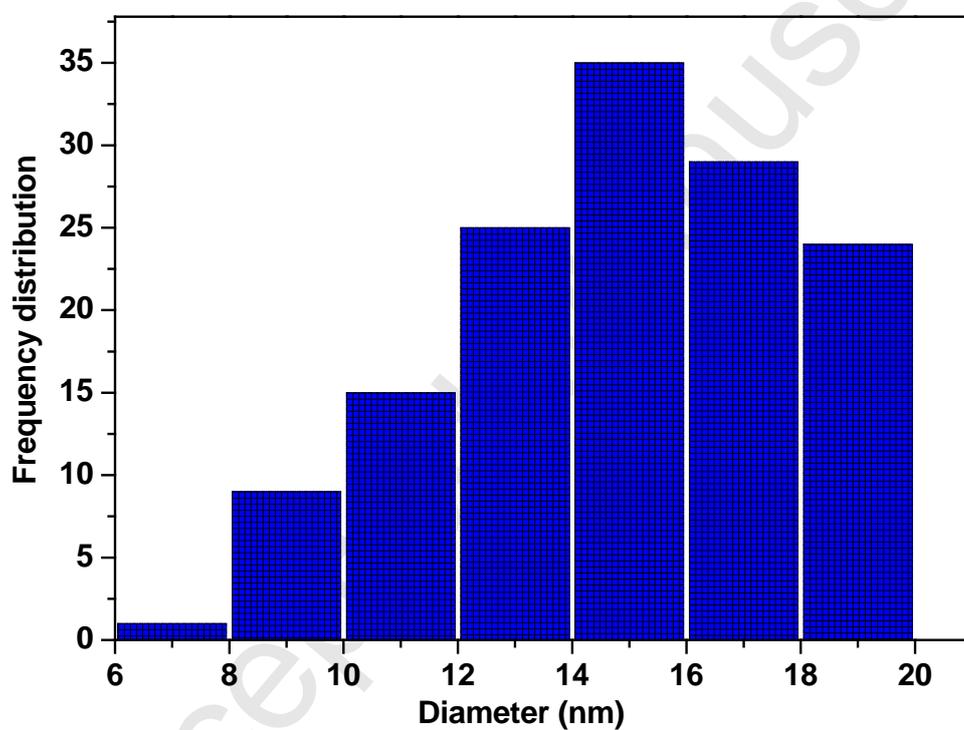
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Fig.5. Particle size distribution of nano ZnAl₂O₄ obtained by TEM

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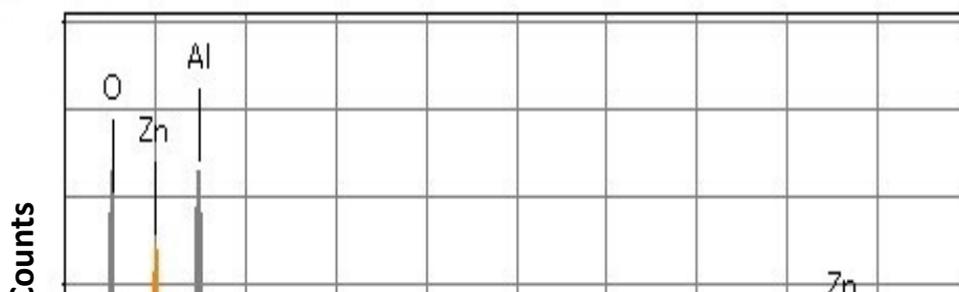
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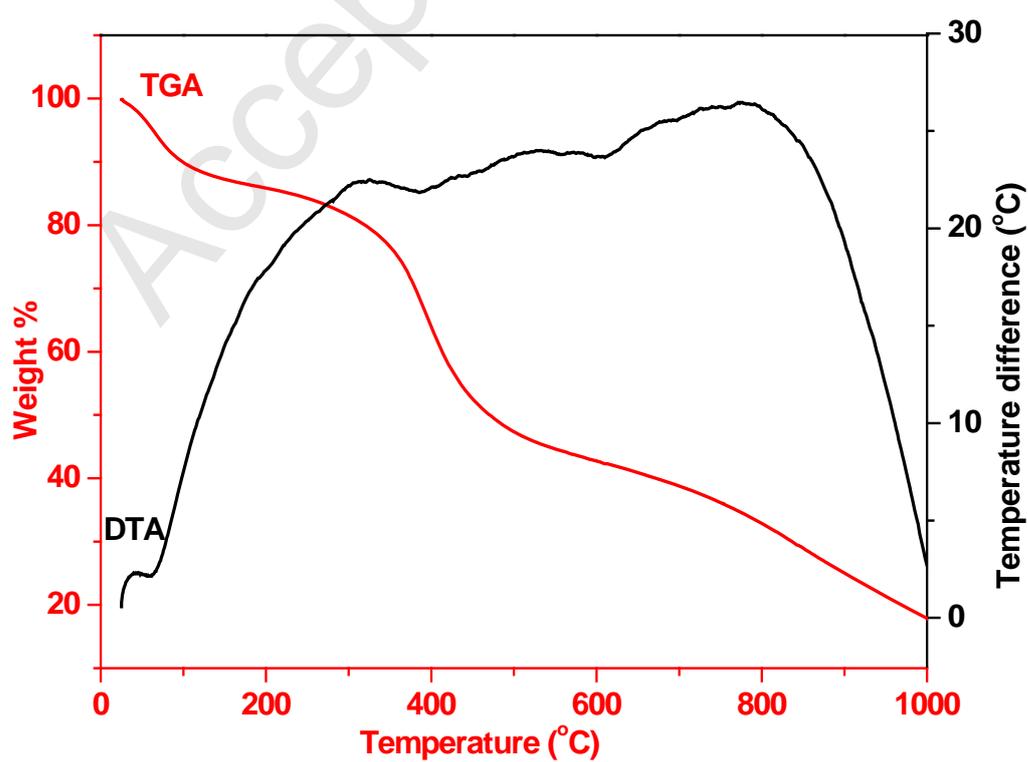


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Fig. 6. Energy Dispersive X-ray Analysis (EDX) spectrum of nano ZnAl_2O_4

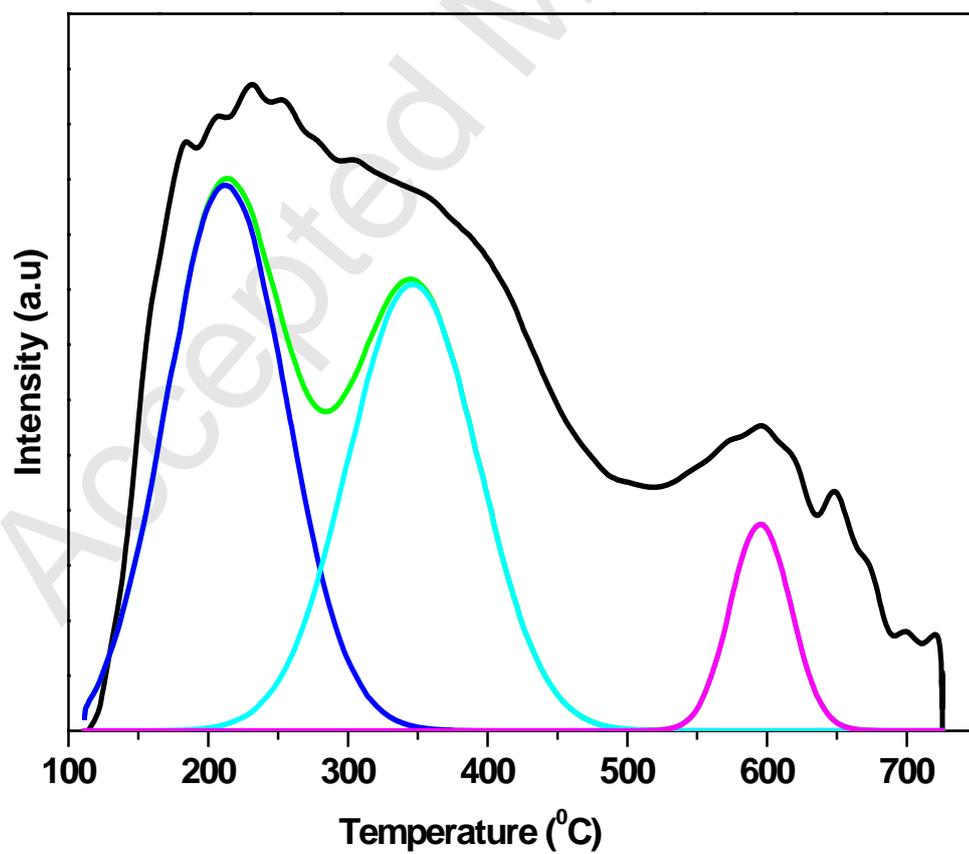
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Fig. 7. TG-DTA curves of Metal nitrate-citrate with acrylamide precursor

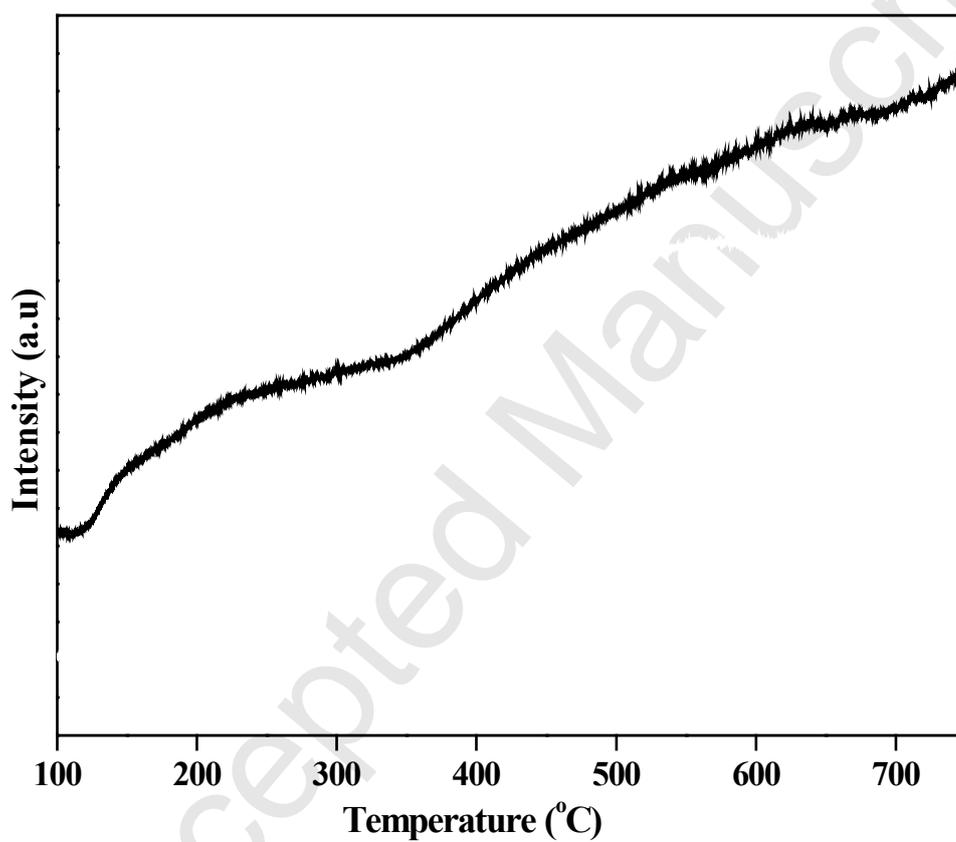
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Fig. 8. NH₃-TPD pattern of nano ZnAl₂O₄

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Fig. 9. CO₂-TPD pattern of nano ZnAl₂O₄

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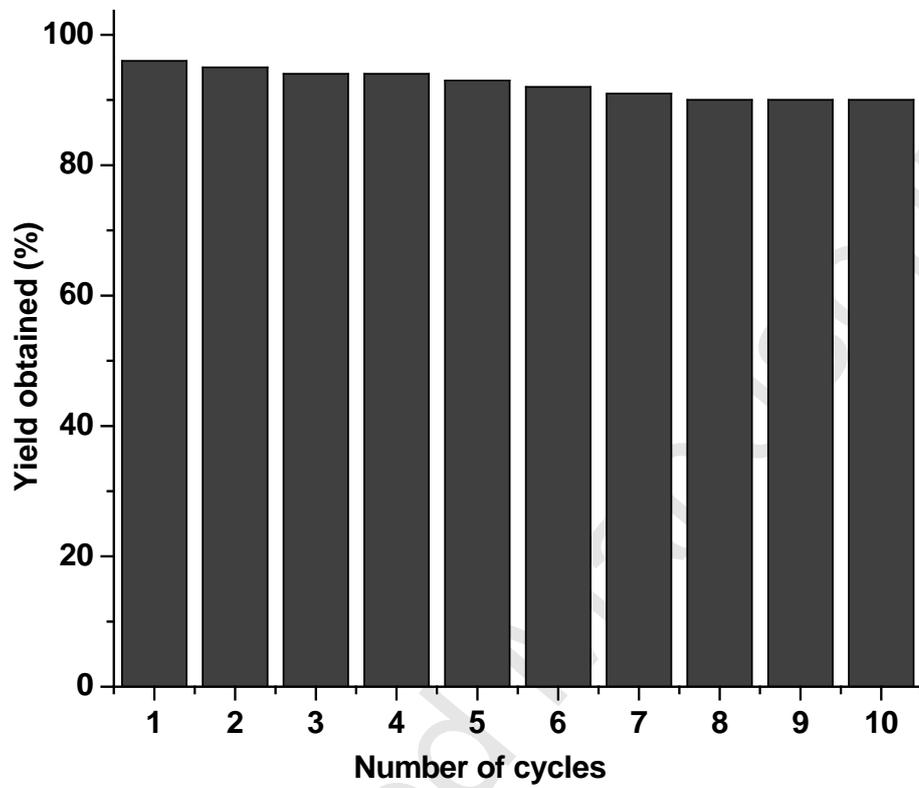
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1207 Fig. 10. Reusability of nano $ZnAl_2O_4$ for 1-Oxo-hexahydroxanthenes synthesis using salicylaldehyde and
1208 1,3-cyclohexanedione.

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