

## Synthesis of 7 $\alpha$ -hydroxy-dehydroepiandrosterone and 7 $\beta$ -hydroxy-dehydroepiandrosterone

Heping Li<sup>a</sup>, Hong-Min Liu<sup>a,\*</sup>, Wenzhong Ge<sup>b</sup>, Lihua Huang<sup>a</sup>, Lihong Shan<sup>a</sup>

<sup>a</sup> Department of Chemistry, Zhengzhou University, Zhengzhou 450052, PR China

<sup>b</sup> Art and Science school, Heilongjiang August First Land Reclamation University, PR China

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### Abstract

The fermentation of dehydroepiandrosterone synthesized from the starting material diosgenin using *Mucor racemosus* produced 7 $\alpha$ -hydroxy-dehydroepiandrosterone and 7 $\beta$ -hydroxy-dehydroepiandrosterone. The bioactivity of the microbial metabolites is also discussed. The species *M. racemosus* was isolated by screening among stains from soil samples collected from various parts of China. © 2005 Elsevier Inc. All rights reserved.

**Keywords:** Microbial transformation; DHEA; Hydroxylation; *Mucor racemosus*; 7-Hydroxy

### 1. Introduction

The microbiological hydroxylation of steroids has been described in terms of a triangular relationship between two binding sites and the site of hydroxylation [1,2]. The oxidoreduction of steroids governs the biological activity and metabolic fate. 7-Oxygenated steroids are widespread in mammals, birds, fish, and plants [3]. The major 3 $\beta$ -hydroxysteroids, including dehydroepiandrosterone (DHEA, **4**), pregnenolone, cholesterol and androstane-3 $\beta$ ,17 $\beta$ -diol are efficiently 7 $\alpha$ -hydroxylated in diverse tissues including the brain [4–11]. In recent years, The significant bioactivity of DHEA and 7-OH-DHEA has been noted. The metabolism of DHEA is also of some interest. DHEA and its metabolites has been shown to promote the immune response in experimental animals [12–17]. The major metabolic pathway for DHEA in extra-hepatic tissues is via 7-hydroxylation [18–20]. Some scientists have observed that 7 $\alpha$ -OH-DHEA is more active than DHEA in preventing hypoxic cell death of neurons in vitro. Therefore, 7-oxygenation seems to be associated with the activation of DHEA [21]. There is still ongoing

debate about the stereoconfiguration of the active metabolite. In peripheral tissues, 7-OH-DHEA has been found to up-regulate immunity and to counteract immunosuppression [22–24]. Moreover, in both Alzheimer's patients and a control group, the conversion of DHEA to  $\Delta^5$ -androstene-3 $\beta$ ,17 $\beta$ -diol and to 7 $\alpha$ -OH-DHEA occurred in the frontal cortex, hippocampus, amygdala, cerebellum. The formation of these metabolites within distinct brain regions negatively correlated with the density of  $\beta$ -amyloid deposits [24].

With the aim of synthesizing 7-hydroxy-DHEA, we first synthesized **4** from diosgenin (**1**) which is a readily available commercial product. Our next objective was to screen microorganisms capable of producing 7-hydroxy steroids. In our search for various physiologically important steroid derivatives by microbial transformations, we were able to isolate a common strain of *Mucor racemosus* (A.C.C.C. 0401) from soil using **4** as the substrate.

In this study, *M. racemosus* was used to convert **4** into 7 $\alpha$ -hydroxy-dehydroepiandrosterone (**5**) and 7 $\beta$ -hydroxy-dehydroepiandrosterone (**6**). The metabolites were characterized by various spectroscopic methods. It was reported that membrane-bound *M. racemosus* lipases could be entrapped in cryogel beads obtained from polyvinyl alcohol

\* Corresponding author. Tel.: +86 371 7767200; fax: +86 371 7427200.  
E-mail address: [liuhm@public.zz.ha.cn](mailto:liuhm@public.zz.ha.cn) (H.-M. Liu).

(PVA) by a freezing–thawing method in two-phase system, and due to the porosity of PVA-cryogels, high molecular-weight substances can penetrate beads of the biocatalyst. The biocatalyst could be applied for various hydrolysis and synthesis reactions [25]. But we believe this to be the first reported instance of the microbial hydroxylation of steroids using *M. racemosus*. Moreover, we produced **5** and **6** from the readily available material diosgenin, and with a considerable yield.

## 2. Experimental

### 2.1. Instrumental methods

Melting points were determined on a XT5 melting point apparatus and are uncorrected. Infrared spectra were recorded using KBr discs on a Bruker Vector-22 spectrometer. Mass spectra were obtained on an Esquire3000 mass spectrometer by electrospray ionization. Optical rotations were measured in 1-dm cells at 20 °C on a Perkin-Elmer 341 automatic spectropolarimeter in methanol solutions. The <sup>1</sup>H and <sup>13</sup>C nuclear magnetic resonance spectra were obtained using a Bruker Avance DPX-400 spectrometer at 400 and 100 MHz, respectively, with tetramethylsilane as internal standard in DMSO-d<sub>6</sub>, and chemical shifts are given as δ values. Coupling constants (*J*) are given in hertz (Hz). Thin layer chromatography (TLC) was performed on a 0.25 mm thick layer of silica gel G (Qingdao Marine Chemical Factory, China). Chromatography was performed with petroleum ether (bp 60–90 °C)/acetone (7:3) or chloroform/methanol (8:1) and visualized by spraying the plates with 50% sulfuric acid solution and heating in an oven at 100 °C for 3 min until the colors developed.

### 2.2. 3β-Acetoxy-5,16-pregnadien-20-one (**2**)

Compound **2** was synthesized as described in the literature [26] with a few variations noted in the Results and discussion. mp 172–174 °C. [ $\alpha$ ]<sub>D</sub> = –30° (c, 0.11, CH<sub>3</sub>OH). IR (KBr)  $\nu$ : 2944, 2905, 2865, 1730, 1661, 1583, 1438, 1370, 1248, 1307 cm<sup>-1</sup>. <sup>1</sup>H NMR (DMSO-d<sub>6</sub>, 400 MHz) see Table 1. <sup>13</sup>C NMR (DMSO-d<sub>6</sub>, 400 MHz)  $\delta$ : 196.3 (C20), 169.8 (CH<sub>3</sub>COO), 154.4 (C17), 145.2 (C16), 140.1 (C5), 121.9 (C6), 73.3 (C3), 56.0 (C14), 50.0 (C9), 45.6 (C13) ppm. MS (ESI) *m/z* [M + Na]<sup>+</sup> 379.

Table 1  
<sup>1</sup>H NMR (CDCl<sub>3</sub>, 400 MHz) data of compounds **2**, **3**, **4**, **5** and **6**

	3-H	6-H	7-H	18-H	19-H
<b>2</b>	4.45, m	5.36, d <i>J</i> = 4.4		0.85, s	1.01, s
<b>3</b>	4.61, m	5.38		0.63, s	1.02, s
<b>4</b>	3.54, m	5.40, d <i>J</i> = 5.20		0.90, s	1.04, s
<b>5</b>	3.58, m	5.64, d <i>J</i> = 2.0	3.97	0.89, s	1.02, s
<b>6</b>	3.57, m	5.32	3.95, d <i>J</i> = 8.0	0.90, s	1.08, s

### 2.3. 3β-Acetoxy-5,16-pregnadien-20-oxime (**3**)

A mixture of **2** (2.5 g, 6.7 mmol), hydroxylamine hydrochloric acid (0.85 g, 12.2 mmol), pyridine (3 ml) and 95% ethanol (13 ml) was refluxed for 30 min, the progress of the reaction was monitored by TLC (acetone/ether (bp 60–90 °C) (3:7)). Then the mixture was cooled with ice, washed with hot water, dried under a vacuum. A white solid was obtained, 2.27 g; yield 87%. mp 227–229 °C (literature 228–230 °C [27]), IR (KBr)  $\nu$ : 3476, 2939, 1721, 1373, 1269, 1034 cm<sup>-1</sup>. <sup>1</sup>H NMR (see Table 1).

### 2.4. Dehydroepiandrosterone(**4**)

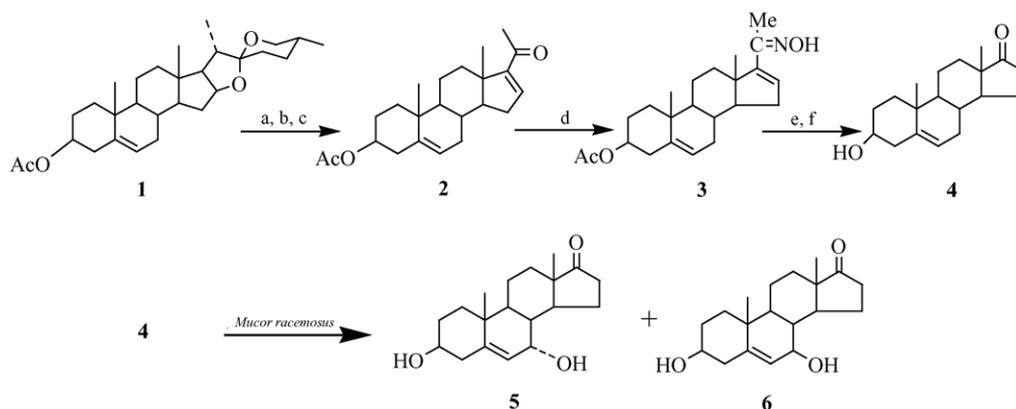
A mixture of **3** (0.70 g, 1.9 mmol) and anhydrous pyridine (70 ml) was first stirred for 2 h at 10–15 °C, then for an additional 2 h at room temperature. The mixture was then poured into ice water and put into a refrigerator for one night. The next day, the mixture was extracted with ethyl acetate (3 × 20 ml). The organic layer was evaporated under reduced pressure to obtain a solid which was dissolved in methanol and 2.5% potassium hydroxide (30 ml) and refluxed. The mixture was then extracted with ethyl acetate (3 × 20 ml), and the organic layer was evaporated under reduced pressure to obtain product **4**, which was recrystallized with methanol to afford 0.476 g; yield: 51.8%. mp 150.6–151.7 °C (literature 150–152 °C). IR (KBr)  $\nu$ : 3462, 2935, 2854, 1732, 1460, 1371, 1298, 1219, 1136, 1061, 839, 802, 592 cm<sup>-1</sup>. <sup>1</sup>H and <sup>13</sup>C NMR data (see Tables 1 and 2).

### 2.5. 7α-Hydroxy-dehydroepiandrosterone(**5**) and 7β-hydroxy-dehydroepiandrosterone(**6**)

Ten 500-ml Erlenmeyer flasks, each containing 100 ml sterilized (peptone dextrose agar) broth, were inoculated with

Table 2  
<sup>13</sup>C NMR (CDCl<sub>3</sub>, 400 MHz) data of compounds **4**, **5** and **6**

Carbon	<b>4</b>	<b>5</b>	<b>6</b>
1	37.2	35.8	36.8
2	31.5	31.1	31.2
3	71.4	71.2	71.3
4	42.2	41.9	41.6
5	141.3	146.6	143.7
6	120.8	123.6	125.5
7	31.5	64.3	72.9
8	31.5	37.2	40.5
9	50.3	42.6	48.2
10	36.7	37.2	36.7
11	20.4	20.1	20.4
12	30.8	31.3	31.5
13	47.5	47.1	47.8
14	51.8	44.9	51.2
15	21.8	21.9	24.6
16	35.8	37.0	36.0
17	221.3	220.0	221.2
18	13.2	13.3	13.6
19	19.4	18.3	19.2



Scheme 1. Reagents and conditions: (a)  $(\text{CH}_3\text{CO})_2\text{O}$ ,  $\text{NH}_4\text{Cl}$ , Py,  $140^\circ\text{C}$ ; (b)  $\text{CrO}_3$ ,  $\text{CH}_3\text{CO}_2\text{H}$ ,  $\text{ClCH}_2\text{CH}_2\text{Cl}$ ,  $0^\circ\text{C}$ ; (c)  $\text{AcON}\alpha\text{-}3\text{H}_2\text{O}$   $\Delta$ ; (d)  $\text{NH}_2\text{OH}\cdot\text{HCl}$ , Py,  $\text{C}_2\text{H}_5\text{OH}$ , reflux; (e)  $\text{POCl}_3$ , Py, benzene, condensed HCl; (f)  $\text{CH}_3\text{OH}$ , KOH.

freshly obtained spores from agar slope cultures and incubated for 2 days at  $27^\circ\text{C}$  in a rotary shaker (150 rpm). DHEA (1.5 g) was dissolved in 20 ml acetone, and 2 ml of this solution was added to each 500-ml Erlenmeyer flask. Incubation was continued for 4 days under the same conditions. The fermentation media were extracted exhaustively with ethyl acetate ( $5 \times 200$  ml) and filtered to separate the broth from the mycelium. The extract was evaporated under reduced pressure. The transformation products were separated by silica gel column chromatography by using  $\text{CHCl}_3/\text{CH}_3\text{OH}$  (8:1) as eluent. Two metabolites, **5** (613 mg) and **6** (276 mg), were purified. Compound **5**: yield 40.9%. mp  $179.4\sim 181.7^\circ\text{C}$  (literature  $181.5\sim 183.5^\circ\text{C}$  [28]). IR (KBr)  $\nu$ : 3364, 3301, 2930, 2855, 1730, 1660, 1629, 1460, 1380, 1297, 1132,  $1056\text{ cm}^{-1}$ .  $^1\text{H}$  and  $^{13}\text{C}$  NMR data (see Tables 1 and 2). MS  $m/z$  326.7,  $[\text{M}+\text{Na}]^+$ , 342.7  $[\text{M}+\text{K}]^+$ . Analysis calculated for  $\text{C}_{19}\text{H}_{28}\text{O}_3$ : C, 74.96%; H, 9.27% O, 15.77%. Found: C, 74.83%; H, 9.34%; O, 15.70%.

Compound **6**, yield 18.0%. mp  $216.2\sim 217.6^\circ\text{C}$  (literature  $215\sim 216^\circ\text{C}$  [28]). IR (KBr)  $\nu$ : 3431, 2933, 1730, 1657, 1621, 1461, 1375, 1247, 1112, 1057,  $1029\text{ cm}^{-1}$ .  $^1\text{H}$  and  $^{13}\text{C}$  NMR data (see Tables 1 and 2). MS  $m/z$ , 326.7  $[\text{M}+\text{Na}]^+$ , 342.7  $[\text{M}+\text{K}]^+$ . Analysis calculated for  $\text{C}_{19}\text{H}_{28}\text{O}_3$ : C, 74.96%; H, 9.27% O, 15.77% found C, 74.78%; H, 9.16%; O, 15.67%.

### 3. Results and discussion

$3\beta$ -Acetoxy-5, 16-pregnadien-20-one (**2**) was prepared according to the literature [25] from diosgenin. However, we obtained better results by keeping the reaction at a higher temperature after the oxidant ( $\text{CrO}_3/\text{CH}_3\text{CO}_2\text{H}$ ) was added, and by cooling the product after it was treated with hot water rather than by treating it with cold water directly. With **2** as the precursor of  $3\beta$ -acetoxy-5,16-pregnadien-20-oxime (**3**) according to the literature [26], we produced **3**. Next, dehydroepiandrosterone (**4**) was prepared from **3** using Bechmanns' reaction and hydrolysis. In this step,

toluene was used as a solvent instead of benzene because of its lower toxicity. Finally methanol and 2.5% potassium hydroxide was used in the hydrolysis step, which produced fairly quickly (Scheme 1). The target compounds  $7\alpha$ -hydroxy-dehydroepiandrosterone (**5**) and  $7\beta$ -hydroxy-dehydroepiandrosterone (**6**) were obtained via microbiological hydroxylation. The steroid-converting fungus was isolated by screening among 96 strains from samples collected from various parts of China. The strain was grown at  $27^\circ\text{C}$  on a peptone dextrose agar slope, stored at  $4^\circ\text{C}$ , and freshly sub-cultured before the transformation experiment. The selected strain was identified as the species *M. racemosus* by China General Microbiological Culture Collection Center.

Two metabolites, **5**, **6**, and the unconverted substrate **4** were purified and identified by melting points and spectral data (IR, MS,  $^1\text{H}$  NMR,  $^{13}\text{C}$  NMR) (Scheme 1). The mass spectra of metabolites **5** and **6** showed the quasi-molecular ion  $[\text{M}+\text{Na}]^+$  at  $m/z$  326.7, which suggested that they incorporate one oxygen atom into the substrate. In the NMR spectra for compound **5**, the signal at  $\delta$  3.58 was assigned to H-3, which was similar to that of compound **6**. In the HMQC spectra for compound **5**, the signal at  $\delta$  5.64 correlated to C-6 and at 3.97 correlated to C-7, which were assigned to H-6 and H-7 respectively. Similarly, in compound **6**, there were corresponding signals at 5.32 and at 3.95, which were also assigned respectively to H-6 and H-7. Regarding the H-H COSY spectra for compound **5**, the resonance of H-7 ( $\delta = 3.97$ ) correlated with H-6 ( $\delta = 5.64$ ) and H-8 ( $\delta = 1.69$ ), while for compound **6**, the resonance of H-7 ( $\delta = 3.95$ ) only correlated with H-8 ( $\delta = 1.83$ ). These correlated peaks show that the hydroxyl group attached at C-7 of compound **5** has an axial orientation, while the hydroxyl group attached at C-7 of compound **6** has an equatorial orientation.

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