

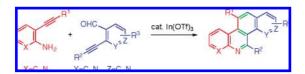
Indium(III)-Catalyzed Tandem Reaction with Alkynylbenzaldehydes and Alkynylanilines to Heteroaromatic Compounds

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Starting from *ortho*-alkynylbenzaldehydes and *ortho*-alkynylanilines, In(OTf)₃-catalyzed synthesis of ring-condensed heteroaromatic compounds was developed via a domino intramolecular nucleophilic attack/intermolecular cycloaddition/dehydration reaction.

Substituted phenanthridine alkaloids constitute an attractive class of compounds from the viewpoint of their biological and pharmaceutical activities, which are attributed to their special affinity toward DNA. There have recently been a lot of interesting applications. However, a little efficient synthetic method that is applicable to various phenanthridines has been developed. Development of short and diverse synthetic methods

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SCHEME 1

is important for further study. On the other hand, electrophilic cyclization of phenylacetylenes bearing a carbonyl group or an imino group in the ortho position is currently a popular research topic and important method from the viewpoint of synthetic potential. As shown in Scheme 1, the reaction with orthoalkynylcarbonyl compounds 1 usually produces imines 2 in the presence of amines, and then the coordination of a catalyst to the alkynyl moiety of 2 occurs to produce 3. The addition of nucleophiles to 3 results in the formation of 1,2-dihydroisoquinolines 4 (route A).³ In the reaction of compound 1 with no amines, benzannulation would proceed to give compounds 6 via intermediates 5 in the presence of nucleophiles (route B).⁴ When dienophilic alkynes are added instead of nucleophiles, Diels-Alder-type cycloaddition reactions would occur with active dienes 5 to produce naphthyl ketone derivatives 8 via intermediates 7 (route C).^{5,6}

On the basis of these results, we planned to apply π -acidic metal-catalyzed tandem reaction to rapidly access phenanthridine structures. We envisaged that Lewis acid catalyzed annulation of *ortho*-alkynylbenzaldehydes with *ortho*-alkynylanilines might successively proceed to form one carbon—nitrogen and two carbon—carbon bonds of benzophenanthridine derivatives in one step. An overview of our strategy is summarized in Scheme 2.

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SCHEME 2. Synthetic Strategy

TABLE 1. Lewis Acid Catalyzed Tandem Cyclization

entry	Lewis acid	yield ^b (%)	
1	In(OTf) ₃	93°	
2	$InBr_3$	76	
3	Sc(OTf) ₃	92	
4	$Sm(OTf)_3$	84	
5	Yb(OTf) ₃	85	
6	$Yb(NTf_2)_3$	89	
7	Fe(OTf) ₃	92	
8	AuClPPh ₃	13	
9	AgOTf	35	
10	$Cu(OTf)_2$	0^d	
11	$Pd(OAc)_2$	0^d	
12	TfOH	0	

^a The reaction was carried out in DMF (3 mL) using **9a** (1 mmol) and **10a** (1 mmol) in the presence of Lewis acid (10 mol %). ^b Determined by ¹H NMR using 1,4-di-*tert*-butylbenzene as an internal standard. ^c Isolated yield. ^d Complex mixture was formed.

Various *ortho*-alkynylbenzaldehydes and *ortho*-alkynylanilines can be readily synthesized by Sonogashira reaction. In this report, we describe a new effective indium(III)-catalyzed tandem reaction with *ortho*-alkynylbenzaldehydes and *ortho*-alkynylanilines to produce ring-condensed heteroaromatic compounds.

In the reaction of ortho-alkynylbenzaldehydes and orthoalkynylanilines, there was the possibility that the three routes A, B, and C in Scheme 1 would occur. First, we turned our attention to the desired domino reaction in the presence of a metal catalyst. Fortunately, the reaction of 9a with 10a afforded benzo[i]phenanthridine $11a^{2g}$ in 93% yield with 10 mol % of indium triflate, In(OTf)₃, in DMF at 80 °C (Table 1, entry 1). The structure of compound 11a was unambiguously confirmed by X-ray crystallography. In the absence of In(OTf)₃ in DMF, the reaction did not take place at all. The reaction with In(OTf)₃ in water gave 11a (10% yield) and toluene (33% yield). Reaction with InBr₃, Sc(OTf)₃, Sm(OTf)₃, Yb(OTf)₃, Yb(NTf₂)₃, and Fe(OTf)₃ also produced the condensed aromatic product 11a in good yields (entries 2-7). In contrast to these results, AuClPPh₃ and AgOTf^{3a,e} gave **11a** in low yield, and Cu(OTf)₂^{3e,5c,e} and Pd(OAc)24b were ineffective8 to produce desired product but complex mixture (entries 8-11). Brønsted acid, TfOH (30 mol %), did not catalyze this tandem cyclization reaction (entry 12).

With these results in hand, we set out to define the scope of this methodology. A variety of *ortho*-alkynylbenzaldehydes 9 and *ortho*-alkynylanilines 10 were investigated. The reaction of aldehyde bearing phenyl-substituted alkyne 9b with amine

TABLE 2. Lewis Acid Catalyzed Tandem Cyclization of 9 with 10

entry	9	10	Lewis acid	time (h)	11	yield (%) ^a
1	9b	10a	In(OTf) ₃	24	11b	71
2	9b	10a	$Sc(OTf)_3$	24	11b	69
3	9b	10a	$Fe(OTf)_3$	24	11b	25
4	9c	10a	$In(OTf)_3$	24	11c	51
5	9d	10a	$In(OTf)_3$	24	11d	73
6	9e	10a	$In(OTf)_3$	13	11e	62
7	9f	10a	$In(OTf)_3$	14	11f	75
8	9g	10a	$In(OTf)_3$	24	_	0^b
9	9h	10a	$In(OTf)_3$	24	_	0^b
10	9a	10b	$In(OTf)_3$	24	11g	30
11	9e	10b	$In(OTf)_3$	22	11h	54
12	9a	10c	In(OTf) ₃	72	11a, 11i	33,24

^a Isolated yield. ^b Complex mixture was formed.

10a at 80 °C by In(OTf)₃ provided **11b** in low yield. However, this problem was resolved by changing the reaction temperature from 80 to 120 °C (Table 2, entry 1). Sc(OTf)₃ and Fe(OTf)₃ catalysts also catalyzed this reaction (entries 2 and 3). We selected In(OTf)₃ as a more effective and lower cost catalyst. The reactions of aldehydes 9c. 9d. 9e. and 9f with amine 10a also proceeded smoothly to give 11c, 11d, 11e, and 11f (entries 4-7). Reactions of the substrate bearing an electron-withdrawing substituent on the aromatic ring of aldehyde 9 were faster than that of an analogous substrate containing an electrondonating substituent (entries 5-7). The reaction of acetophenone derivatives 9g and 9h with 10a gave only a complex mixture (entries 8 and 9). From these results, it is clear that aldehyde function of compound 9 is important for this reaction. Compound 9a with aniline-bearing butyl-substituted alkyne 10b also provided the desired compound 11g but in low yield (entry 10). Therefore, we tried the reaction of aldehyde 9e bearing an electron-withdrawing group on the aromatic ring with amine 10b. The yield of the desired product was moderately but not greatly improved (entry 11). The reaction with 9a and trimethylsilylethynylaniline 10c afforded the desired compound 11i accompanied by desilylated condensed aromatic compound 11a in 57% total yield (entry 12).

This indium(III)-catalyzed tandem reaction also allows for the synthesis of benzophenanthroline derivatives 11j and 11k, naphthophenanthridine derivative 11l, and naphthonaphthyridine derivative 11m in moderatly high isolated yields.

A proposed mechanism for this indium(III)-catalyzed tandem reaction is shown in Scheme 3. Coordination of the triple bond of 9 to In(OTf)₃ enhances the electrophilicity of alkyne,⁹ and the subsequent nucleophilic attack of the carbonyl oxygen to the electron-deficient alkyne would result in the formation of the 6-endo-dig-cyclized pyrylium cation intermediate **B**.^{4,5}

⁽⁷⁾ CCDC 658463 contains the supplementary crystallographic data for this paper. These data can be obtained free of charge from the Cambridge Crystallographic Data Centre via www.ccdc. cam.ac.uk/data_request/cif.

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The Diels-Alder-type cycloaddition of **B** with *ortho*-alkynylaniline 10 would occur as shown in C to give the intermediate **D** with the same high regioselectivity. ^{5c,e,f} The subsequent bond rearrangement would proceed to afford E with regeneration of In(OTf)₃. Dehydration of E would produce ring-condensed heteroaromatic compound 11. To obtain further information, ¹H NMR spectroscopic analysis between 9 and 11 ppm was performed using the reaction of 9a with 10a in the presence of 10 mol % of In(OTf)₃ in DMF-d₆. First, the aldehyde proton signal (δ 10.5) of **9a** gradually decreased, and a new aldehyde proton signal (δ 10.1) of perhaps compound **E** (R¹ = H) and an imine proton signal (δ 9.0) of compound 2 ($R^1 = R^2 = H$) gradually increased (Figure 1). After 2 h at 50 °C, the CHN proton signal (δ 10.2) of the desired cyclic product **11a** appeared in the same proton signal region. The imine proton signal gradually decreased in conjunction with generation of 11a and water and then disappeared after 2 h at 80 °C. Finally, after 24 h at 80 °C, there was only the CHN proton signal of 11a, with no signal of starting aldehyde 9a or aldehyde E.

In the reaction of **9a** with **10c** (Table 2, entry 12), byproduct **12** was obtained in 4% yield (eq 1). It is thought that byproduct

SCHEME 3. Proposed Mechanism

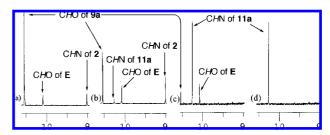


FIGURE 1. ¹H NMR spectra (DMF- d_6 , 300 MHz) of the reaction of **9a** with **10a**: (a) at 50 °C, 30 min; (b) at 50 °C, 2 h; (c) at 80 °C, 2 h; (d) at 80 °C, 24 h.

12 would be produced through air oxidation of compound **F** formed by the reaction of **9a** with **10c** through route B in Scheme 1. This supports the formation of intermediate **B**.

In conclusion, starting from readily available *ortho*-alkynylanilines and *ortho*-alkynylbenzaldehydes, we have developed a new atom-economical In(OTf)₃-catalyzed synthesis of ring-condensed heteroaromatic compounds via a domino intramolecular nucleophilic attack/intermolecular cycloaddition/dehydration reaction. Further studies on the mechanism and to extend the scope of synthetic utility are in progress.

Experimental Section

General Procedure for Preparation of Compound 11a. In(OTf)₃ (56.2 mg, 0.1 mmol) was added to a solution of ethynylbenzaldehyde 9a (130 mg, 1 mmol) with ethynylaniline 10a (117 mg, 1 mmol) in DMF (3 mL), and the mixture was stirred for 24 h at 80 °C. DMF was evaporated under reduced pressure. The mixture was filtered through a plug of Celite and concentrated in vacuo. Chromatography over silica gel using 20% AcOEt in hexane afforded the desired benzo[*i*]phenanthridine 11a (213 mg, 93%) as yellow plates.

Benzo[*i*]phenanthridine (11a).^{2h} Yellow plates; mp 181–182 °C (from Hex–AcOEt); ¹H NMR (600 MHz, CDCl₃) δ = 10.23 (s, 1H), 8.91 (d, J = 8.2 Hz, 1H), 8.66 (d, J = 8.2 Hz, 1H), 8.60 (d, J = 8.9 Hz, 1H), 8.28 (d, J = 7.6 Hz, 1H), 8.18 (d, J = 8.9 Hz, 1H), 8.02 (d, J = 8.2 Hz, 1H), 7.81 (dd, J = 8.2, 6.9 Hz, 1H), 7.79 (dd, J = 8.2, 6.9 Hz, 1H), 7.73 (dd, J = 8.2, 6.9 Hz, 1H), 7.69 (dd, J = 7.6, 6.9 Hz, 1H); ¹³C NMR (151 MHz, CDCl₃) δ = 147.8, 145.2, 132.1, 132.0, 130.0, 130.0, 128.9, 128.8, 128.1, 127.1, 127.1, 124.3, 122.7, 122.1, 121.7, 119.8; IR (CHCl₃, cm⁻¹) 3011, 1502, 1203, 787, 775, 725, 698; MS (EI) m/z = 229 (M⁺, 100); HRMS (EI) m/z calcd for C₁₇H₁₁N 229.0891, found 229.0885.

5-Phenylbenzo[i]phenanthridine (11b). The residue was chromatographed on silica gel [AcOEt-hexane (1:10)] to afford **11b** as yellow prisms: mp 142–144 °C (from Hex-AcOEt); ¹H NMR (600 MHz, CDCl₃) δ = 8.66 (d, J = 8.2 Hz, 2H), 8.28 (d, J = 7.6 Hz, 1H), 8.17 (d, J = 8.9 Hz, 1H), 7.94 (d, J = 7.6 Hz, 1H), 7.85–7.76 (m, 2H), 7.70 (dd, J = 7.6, 7.6 Hz, 1H), 7.64–7.60 (m, 2H), 7.54–7.46 (m, 4H), 7.21 (dd, J = 7.9, 7.9 Hz, 1H); ¹³C NMR (151 MHz, CDCl₃) δ = 159.3, 144.5, 144.0, 134.3, 133.2, 132.3, 130.2, 129.9, 129.1, 129.0, 128.8, 128.4, 128.4, 128.3, 126.8, 126.4, 125.8, 123.6, 122.4, 121.4, 119.9; IR (CHCl₃, cm⁻¹) 3011, 2965, 1611, 1574, 1558, 1468, 1423, 1362, 1350, 1315, 1298, 1240, 1207, 829, 725, 698; MS (EI) m/z = 305 (M⁺, 66.8); HRMS (EI) m/z calcd for $C_{23}H_{15}N$ 305.1204, found 305.1198.

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5-Butylbenzo[i]phenanthridine (11c). The residue was chromatographed on silica gel [AcOEt-hexane (1:10)] to afford 11c as yellow prisms: mp 83–84 °C; ¹H NMR (600 MHz, CDCl₃) δ = 8.80 (d, J = 8.2 Hz, 1H), 8.58 (dd, J = 7.6, 7.6 Hz, 2H), 8.17(d, J = 8.2 Hz, 1H), 8.10 (d, J = 8.9 Hz, 1H), 8.00 (d, J = 7.6 Hz,1H), 7.75 (ddd, J = 7.5, 7.5, 1.4 Hz, 1H), 7.71 (ddd, J = 7.5, 7.5, 1.4 Hz, 1H), 7.68-7.61 (m, 2H), 3.70 (t, J = 7.9 Hz, 2H), 2.12-2.04 (m, 2H), 1.57 (sext, J = 7.6 Hz, 2H), 1.02 (t, J = 7.6Hz, 3H); ¹³C NMR (151 MHz, CDCl₃) $\delta = 160.8$, 143.9, 133.8, 133.2, 131.7, 130.2, 129.0, 128.9, 128.8, 127.1, 126.8, 126.3, 126.1, 123.2, 122.7, 122.5, 120.3, 41.3, 31.3, 23.0, 14.0; IR (CHCl₃, cm⁻¹) 3063, 3009, 2959, 2932, 2872, 1611, 1562, 1514, 1468, 1454, 1422, 1379, 1360, 1348, 1306, 1279, 1242, 1148, 1132, 1101, 864, 827, 665; MS (EI) m/z = 285 (M⁺, 45.0); HRMS (EI) m/z calcd for C₂₁H₁₉N 285.1517, found 285.1513.

3-Methyl-5-phenylbenzo[*i*]**phenanthridine** (11d). The residue was chromatographed on silica gel [AcOEt-hexane (1:10)] to afford 11d as yellow prisms: mp 142-144 °C; ¹H NMR (600 MHz, CDCl₃) $\delta = 8.64$ (d, J = 8.2 Hz, 1H), 8.58 (d, J = 8.9 Hz, 1H), 8.27 (d, J = 8.2 Hz, 1H), 8.12 (d, J = 8.2 Hz, 1H), 7.82 (d, J =8.2 Hz, 1H), 7.77 (dd, J = 7.6, 7.6 Hz, 1H), 7.69 (ddd, J = 6.9, 6.9, 1.4 Hz, 1H), 7.62-7.59 (m, 2H), 7.53-7.50 (m, 3H), 7.45 (s, 1H), 7.32 (d, J = 8.2 Hz, 1H), 2.15 (s, 3H); ¹³C NMR (151 MHz, $CDCl_3$) $\delta = 159.4$, 144.6, 144.0, 135.6, 134.4, 132.1, 131.2, 130.3, 129.9, 129.0, 128.9, 128.8, 128.7, 128.4, 128.3, 128.2, 128.1, 126.7, $123.7,\ 122.5,\ 121.1,\ 118.9,\ 22.0;\ IR\ (CHCl_3,\ cm^{-1})\ 3063,\ 3011,$ 2963, 2924, 1622, 1612, 1572, 1557, 1468, 1445, 1383, 1356, 1310, 1244, 1223, 1207, 839, 740, 704; MS (EI) m/z = 319 (M⁺, 79.9); HRMS (EI) m/z calcd for $C_{24}H_{17}N$ 319.1361, found 319.1358.

2-Fluoro-5-phenylbenzo[*i*]**phenanthridine** (11e). The residue was chromatographed on silica gel [AcOEt-hexane (1:10)] to afford 11e as yellow prisms: mp 149-150 °C; ¹H NMR (600 MHz, CDCl₃) $\delta = 8.69$ (d, J = 8.9 Hz, 1H), 8.63 (d, J = 8.2 Hz, 1H), 8.27 (d, J = 7.6 Hz, 1H), 8.10 (d, J = 8.9 Hz, 1H), 7.81 - 7.75 (m,2H), 7.72 (dd, J = 8.2, 8.2 Hz, 1H), 7.63-7.59 (m, 2H), 7.55 (dd, J = 8.9, 2.7 Hz, 1H), 7.54 - 7.50 (m, 3H), 6.96 (td, J = 10.0, 2.8 (m, 3H)) Hz, 1H); 13 C NMR (151 MHz, CDCl₃) δ = 161.4, 159.7, 144.3, 143.9, 134.6, 133.7, 131.5, 131.4, 130.7, 130.6, 130.0, 129.2, 129.1, 128.8, 128.6, 127.0, 123.4, 122.3, 121.4, 121.1, 115.2, 115.1, 112.1, 112.0; IR (CHCl₃, cm⁻¹) 3065, 2963, 1620, 1560, 1516, 1495, 1443, 1431, 1356, 1312, 1294, 1267, 1244, 1207, 1144, 1128, 968, 870, 833, 710, 698, 665; MS (EI) m/z = 323 (M⁺, 59.5); HRMS (EI) m/z calcd for C₂₃H₁₄NF 323.1110, found 323.1112.

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Supporting Information Available: Experimental details and spectral data for all new compounds. This material is available free of charge via the Internet at http://pubs.acs.org.

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