Ruthenium-Catalyzed Aldol and Michael Reactions of Nitriles. Carbon—Carbon Bond Formation by α -C—H Activation of Nitriles

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Received July 27, 1995[®]

Abstract: The ruthenium(II)-catalyzed reaction of nitriles with carbonyl compounds proceeds highly efficiently under neutral and mild conditions to give α,β -unsaturated nitriles. Under similar reaction conditions, nitriles react with olefins bearing electron-withdrawing groups to give the corresponding Michael adducts. The efficiency of the reaction is illustrated by the selective additions to α,β -unsaturated aldehydes and acetylenes bearing electron-withdrawing groups, which are difficult to perform using conventional bases. Chemoselective aldol and Michael reactions of nitriles can be performed in the presence of other active methylene compounds. Tandem Michael and Michael—aldol condensations of nitriles 30 can be performed with high diastereoselectivity. These reactions can be rationalized by assuming oxidative addition of ruthenium(0) to the α -C-H bond of nitriles and subsequent insertions to carbonyl compounds or olefins. As the key intermediates and active catalysts hydrido(N-bonded enolato)ruthenium(II) complexes, mer-RuH(NCCHCO₂R)(NCCH₂CO₂R)(PPh₃)₃ (R = Me (41a), Et (41b), n-Bu (41c)) have been isolated upon treatment of RuH₂(PPh₃)₄ (3) or RuH(C₂H₄)(PPh₃)₂(PPh₂C₆H₄) (4) with alkyl cyanoacetates. Kinetic study of the catalytic aldol reaction of ethyl cyanoacetate with benzaldehyde indicates that the rate-determining step is the reaction of enolato complex 41 with aldehydes.

The C-H activation with transition metal complexes will open a new chemistry of catalytic carbon-carbon bond formation because of its potent ability to generate carbon nucleophiles and to make reactions under neutral and mild conditions. The activation of the sp³ C-H bonds has been investigated extensively with many aspects. One is the oxidative addition of low-valent metals to the C-H bonds. A second approach is the hydrogen abstraction by metal oxo species likewise cytochrome P-450. A third method is the activation of α -C-H bonds adjacent to heteroatoms by using the α -heteroatom effect. This concept is depicted in eq 1. Coordination of low-valent

$$-\stackrel{\downarrow}{c}-\stackrel{\downarrow}{V} \stackrel{M}{\longrightarrow} -\stackrel{\downarrow}{c}-\stackrel{\downarrow}{V} \stackrel{}{\longrightarrow} -\stackrel{\downarrow}{c}-\stackrel{\downarrow}{V}$$
 (1)

metal (M) to heteroatom (Y) increases both the basicity of metal

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Abstract published in Advance ACS Abstracts, December 1, 1995.
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complexes and acidity of the C-H bonds adjacent to Y, resulting in oxidative addition of metal into the α -C-H bonds. Our first approach was the investigation of the activation of α -C-H bond adjacent to nitrogen. The activation of α -C-H bond of tertiary amines proceeds upon treatment with palladium(0) catalyst. As we expected, coordination of palladium to the nitrogen of amines, followed by oxidative addition at the α -C-H bond gives iminium ion palladium complex 1.4 This concept leads to a

$$R^{1} - \stackrel{R^{2}}{\stackrel{C}{\stackrel{}}_{H}} \stackrel{R^{3}}{\stackrel{}_{H}} \stackrel{Pd}{\longrightarrow} R^{1} - \stackrel{R^{2}}{\stackrel{}_{H}} \stackrel{R^{3}}{\longrightarrow} R^{4}$$

new methodology for the activation of nitriles under neutral conditions. The basic strategy for constructing a new catalytic reaction is shown in eq 2. Coordination of nitriles to low-valent metal complexes (M) would increase both the basicity of the metal and the acidity of the α -C-H bond, and hence oxidative addition of the metal into the α -C-H bond of nitriles would occur readily to afford hydrido- α -cyanoalkyl complex 2, which

can be trapped with electrophiles to give a carbon—carbon bond at the α -position of nitriles under neutral conditions. Actually, we have found that the low-valent hydridoruthenium phosphine

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complexes are effective catalysts for the activation of the α -C-H bond of nitriles. Upon treatment with carbon electrophiles, catalytic aldol and Michael reactions of nitriles can be performed under neutral and mild reaction conditions as depicted in eqs 3 and 4, respectively.⁵

$$R^{1}CHCN + R^{2}-C-R^{3} \xrightarrow{\text{(3) (cat.)}} R^{1} = C + R^{2}$$

$$R^{2}-C-R^{3} \xrightarrow{\text{(3) (cat.)}} R^{1} = C + R^{2}$$

$$R^{3} = C + R^{3}$$

$$R^{3} = C + R^{3}$$

$$R^{3} = C + R^{3}$$

Transition metal-catalyzed aldol and Michael reactions are attractive methods for carbon—carbon bond formations, since these provide a novel strategy which can be used catalytically under neutral reaction conditions and also for controlling stereoselectivity by chelation effects. Catalytic aldol and Michael reactions promoted by transition metal complexes have been reported; however, these are limited to the Lewis acid-catalyzed reactions of silyl enolates^{6,7} and the reactions of active methylene compounds with base metal salt catalysts.^{8,9} Development of catalytic methods that proceed under neutral conditions still awaits exploration. In this regard, the present ruthenium-catalyzed reaction, which proceeds under neutral and mild reaction conditions, is highly efficient. Further, the present reactions show specific chemo- and stereoselectivity for carbon—

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carbon bond formation of nitriles, which have never been observed with the conventional base-catalyzed reactions. The key step of the catalytic reaction is the oxidative addition of low-valent ruthenium into the α -C-H bond of nitriles. Actually, the oxidative addition products, mer-RuH(NCCHCO₂R)-(NCCH₂CO₂R)(PPh₃)₃ (41), which are active catalysts for the ruthenium-catalyzed aldol and Michael reactions, have been isolated from the reaction of alkyl cyanoacetates with either RuH₂(PPh₃)₄ (3) or RuH(C₂H₄)(PPh₃)₂(PPh₂C₆H₄) (4).

In this paper, full details of the catalytic aldol and Michael reactions of nitriles with respect to scope, synthetic application, and mechanism are described.

Results and Discussion

Catalytic Aldol Condensation of Nitriles with Carbonyl Compounds. The reaction of nitriles with aldehydes in the presence of hydridoruthenium complex catalysts proceeds under neutral conditions to give the corresponding α,β -unsaturated nitriles. The catalytic activity of low-valent metal complexes has been examined with respect to the reaction of less reactive benzyl cyanide with butanal. In the presence of 3 mol % of catalysts in dry THF at room temperature under an argon atmosphere, the conversions of benzyl cyanide and the yields of (E)-2-phenyl-2-hexenenitrile (5) were determined. RuH₂-(PPh₃)₄ (3) is the best catalyst among the catalysts examined. Ruthenium ethylene complex, $RuH(C_2H_4)(PPh_3)_2(PPh_2C_6H_4)$ (4) also gave satisfactory results. Transition metal hydridocarbonyl complexes such as RuH2(CO)(PPh3)3 and IrH(CO)(PPh3)3 showed almost no catalytic activity. Recently, IrH₅(P-i-Pr₃)₂, ¹ ReH₇(P-i-Pr₃)₂, 11 and ReH(N₂)(PMe₂Ph)₄, 12 and a combination of Pd₂(dba)₃·CHCl₃¹³ have been reported as effective catalysts for aldol reactions of nitriles; however, these complexes require higher reaction temperature or activated substrates. RhH(CO)-(PPh₃)₃ has proven to be a good catalyst for Michael addition of nitriles, 14,15 although no catalytic activity was observed for the present condensation reaction. Nonpolar solvents such as benzene and toluene and ethereal solvents such as THF and 1,4-dioxane can be used, although other polar solvents such as ethanol, acetonitrile, and chloroform retarded the reaction.

Representative results of the RuH₂(PPh₃)₄-catalyzed reaction of nitriles with carbonyl compounds are shown in Table 1. Various activated nitriles such as alkyl cyanoacetates, malononitrile, and benzyl cyanides undergo condensation with aldehydes and ketones under mild conditions to afford the corresponding (E)- α,β -unsaturated nitriles selectively. The stereochemistry of the products was determined to be E (entries 1-5 and 8) by means of NMR spectral analyses; 16 selective formation of E-olefins is ascribed to the thermodynamic control of dehydration reaction. Protic substituents such as hydroxy groups tolerate the reaction (entry 3). Addition of an electrondonating phosphine ligand enhances the condensation remarkably. The conversion of the reaction of less reactive benzyl cyanide with butanal for 1 h was 51% at 60 °C; however, under the same reaction conditions, addition of a catalytic amount of a diphosphine such as 1,1-bis(diphenylphosphino)methane

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Table 1. Ruthenium-Catalyzed Aldol Condensation of Nitriles with Carbonyl Compounds^a

entry	nitrile	carbonyl compound	product	yield, ^b (%)
1	EtO ₂ C CN	∕ СНО	CN	₂ Et ₈₃ (6)
2	EtO ₂ C CN	СНО	CN	₂ Et 91
3	EtO₂C CN	но сно		l ₂ Et (8) 98
4	EtO₂C CN	eO CHO MeO		9 ₂ Et 95
5	EtO ₂ C CN	Сно		₂ Et (10)
6	EtO2C CN	= 0	CN (69
7	NC CN	= 0	CN (79 12)
8	CN	СНО	CN CN	✓ _{97°} (5)

^aA mixture of nitrile (2.0 mmol), carbonyl compound (2.2 mmol), and RuH₂(PPh₃)₄ (3) (0.06 mmol) in dry THF (0.5 mL) was stirred at room temperature for 24 h under argon. ^bIsolated yield based on the starting nitrile. ^c1,3-Bis(diphenylphosphino)propane (0.12 mmol) was added.

(dppm), 1,2-bis(diphenylphosphino)ethane (dppe), or 1,3-bis-(diphenylphosphino)propane (dppp) raised the conversion to 96–98%, although addition of PPh₃ (68%) or triethyl phosphite (62%) promoted the reaction moderately.

The present condensation can be applied to the reaction of nitriles with other electrophiles such as imines. Thus, the RuH₂-(PPh₃)₄-catalyzed reaction of ethyl cyanoacetate with *N*-benzylideneaniline at room temperature gave ethyl benzylidenecyanoacetate (7) in 82% yield along with aniline. Similarly, the reaction of 4-(methoxycarbonyl)-*N*-(4'-methylbenzylidene)-aniline (14) with 2-methylmalononitrile (13) gave 4-[4'-(methoxycarbonyl)phenyl]amino]-2-cyano-2-methyl-3-*p*-tolylpropanenitrile (15) in 91% yield. Similar aldol-type reaction of *N*-tosyland *N*-(alkoxycarbonyl)mines by using RhH(CO)(PPh₃)₃ catalyst has been reported.¹⁷

Table 2. Ruthenium-Catalyzed Michael Addition of Nitriles with Olefins^a
Table 2. Ruthenium-Catalyzed Michael Addition of Nitriles with Olefins^a

entry	nitrile	olefin	product	yield, ^b %
1	EtO ₂ C CN	∕ cn ^c	EtO ₂ C CN CN CN (17	85
2	EtO ₂ C CN	CO ₂ Et	EtO ₂ C CO ₂ Et CO ₂ Et	95
3	EtO ₂ C CN	~~~cn	CN CN CN (19	51
4	EtO ₂ C ← CN	CO ₂ Et	diastereomer ratio = $54:4$ CN CO_2Et EtO_2C CO_2Et CO_2Et CO_2Et CO_2Et CO_2Et CO_2Et	90 (b)
5 6	EtO ₂ C CN	CN	EtO ₂ C CN CN (20	71)) 77 ^f
7 8	EtO ₂ C CN	∕CO₂Me	CN CO ₂ Me (21	99
9	EtO ₂ C CN	/ СНО	EtO ₂ C CHO	72
10	EtO ₂ C CN	✓ CN	EtO ₂ C CN CN (23	82
			diastereomer ratio = 50 : 50	e
11	EtO ₂ C CN	CN [*]	23	90
12	Ph	∕CN	diastereomer ratio = 65 : 35 CN CN CN CN (24	50 ^f
13	NC CN	СОР	CN COPh	68

^aA mixture of nitrile (2.0 mmol), olefin (2.2 mmol), and RuH₂(PPh₃)₄ (3) (0.06 mmol) in dry THF (0.5 mL) was stirred at room temperature for 24 h under argon. ^bIsolated yield based on the starting nitrile. ^c6.0 mmol. ^dE/Z = 1/8. ^cDetermined by ¹H NMR analysis. $f_{RuH(C_2H_4)}(PPh_3)_2(PPh_2C_6H_4)$ (4, 0.06 mmol) was used instead of 3. ⁸E/Z = 100/0. ^hE/Z = 0/100. ⁱ1,4-Bis(diphenylphosphino)butane (0.12 mmol) was added.

Catalytic Michael Addition of Nitriles to Olefins. The reaction of nitriles with olefins bearing electron-withdrawing groups proceeds highly efficiently to give the corresponding Michael adducts. The catalytic activity of various group 8 metal complex catalysts has been examined also for the reaction of benzyl cyanide with crotononitriles ($E:Z=61:39,\ 1.1\ equiv$) in dry THF at room temperature under an argon atmosphere. Low-valent ruthenium hydride complexes, $RuH_2(PPh_3)_4$ (3) and

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RuH(C₂H₄)(PPh₃)₂(PPh₂C₆H₄) (4), and rhodium hydride complex RhH(CO)(PPh₃)₃ have proven to be effective catalysts for the reaction;¹⁴ however, other transition metal complexes such as RuH₂(CO)(PPh₃)₃ and IrH(CO)(PPh₃)₃ require higher reaction temperatures (60 °C) to complete the reaction. Pd₂(dba)₃*-CHCl₃, which is reported to be an effective catalyst for the addition of nitriles to allenes,¹⁸ is inactive for the Michael reactions. The remarkable effect of electron-donating phosphine ligands was also observed. Addition of a catalytic amount of dppp gave the best results, while other bidentate phosphine ligands such as dppm, dppe, dppb, 1,4-bis(diphenylphosphino)-pentane, PPh₂Et, and PPhMe₂ also accelerate the reaction considerably.

Table 2 summarizes the representative results of the RuH₂-(PPh₃)₄-catalyzed addition of nitriles to olefins. Various olefins bearing electron-withdrawing groups undergo addition of activated nitriles under neutral and mild conditions. Dialkylation of cyanoacetates proceeds efficiently upon treatment with 2–3 equiv of olefins (entries 1 and 2). Importantly, the addition to α,β -unsaturated aldehydes proceeds chemoselectively without contamination of the condensation product of the aldehyde (entry 9), although such a contamination is often observed by using conventional bases.

The reaction is catalyzed by ruthenium ethylene complex 4 efficiently (entries 6 and 8). The reaction of less reactive benzyl cyanides is enhanced by addition of a catalytic amount of dppb (entry 12).

An important feature of the reaction is the chemoselective reaction of nitriles with either carbonyl compounds or Michael acceptors in the presence of other active methylene compounds. Typically, the $RuH_2(PPh_3)_4$ -catalyzed reaction of benzaldehyde with an equimolar mixture of ethyl cyanoacetate and 2,4-pentanedione, which has a similar pK_a value ($pK_a = 9.0$), ¹⁹ gave (E)-ethyl 2-cyano-3-phenyl-2-propanoate (7) (80%) exclusively. In contrast, the same reaction in the presence of a catalytic amount of a conventional base such as AcONH₄ gave a mixture (75:25) of 7 and 3-benzylidene-2,4-pentanedione (26). Similar

selectivity has been observed in the catalytic additions. Thus, the RuH₂(PPh₃)₄-catalyzed reaction of crotononitrile (E:Z=1:8) with an equimolar mixture of ethyl cyanoacetate and nitroethane (pK_a = 8.6)¹⁹ gave ethyl 2,4-dicyano-3-methylbutanoate (19) chemoselectively, while similar treatment with Triton B (benzyltrimethylammonium hydroxide aqueous solution) catalyst afforded an 83:17 mixture of 19 and 3-methyl-4-nitropentanenitrile (27).

EtO₂C
$$\stackrel{\frown}{C}$$
N + $\stackrel{\frown}{N}$ O₂ + $\stackrel{\frown}{N}$ CN

CAT. EtO₂C $\stackrel{\frown}{N}$ CN + O₂N $\stackrel{\frown}{N}$ CN

19 27

Cat.: RuH₂(PPh₃)₄ 100 : 0

Triton B 83 : 17

Importantly, addition of nitriles to acetylenic compounds proceeds with high chemoselectivity. Generally, such an addition to acetylenic compounds is very difficult, because competitive 1,2-addition,²⁰ polycondensation,²¹ and nucleophilic reactions of the acetylide anion²² give complex products. Successful addition reactions reported are limited to few cases which include additions of organocuprates²³ and TiCl₄-promoted addition of silyl enolates.²⁴ The RuH₂(PPh₃)₄-catalyzed reaction of ethyl cyanoacetate with ethyl propiolate at room temperature gives diethyl 2-cyano-2-methyl-3-pentenedioate (28) in 90% (E:Z=1:1) isolated yield. Similar treatment with 3-butyn-2one gives ethyl 2-cyano-2-methyl-5-oxo-3-hexenoate (29) in 81% (E:Z = 65:35) isolated yield. These reactions proceed cleanly without contamination of the coupling products, although it is well-known that terminal acetylenic compounds undergo dimerization reaction²⁵ or codimerization with olefins²⁶ in the presence of ruthenium catalysts. This indicates that the activation of the α -C-H bond of nitriles proceeds much faster than that of an acetylenic C-H bond.

The efficiency of the present method is highlighted by high diastereoselectivity of addition arising from specific chelation control. Thus, the ruthenium-catalyzed addition of cyano ester 30 bearing a malonate moiety proceeds with high diastereoselectivity as depicted in eq 5. Many methods for diastereoselective Michael reactions have been reported by using stoichiometric amount of lithium enolates,²⁷ silyl enolates,²⁸ tin enolates,²⁹ and enamines.³⁰ However, catalytic Michael reactions reported are limited to few reactions which include SbCl₂—

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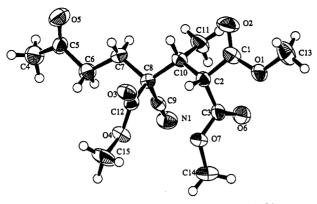


Figure 1. Molecular structure of cyano ester $(3R^*,4S^*)$ -31a.

 $Sn(OTf)_2$ ³¹ and trityl salts catalyzed reactions.³² In most of the reported methods stereocontrol is observed at only the two reacting carbon centers. This method presents a rare example of the diastereoselection control of the stereochemistry of α -and β -positions of the starting mixture of nitriles.

$$R^{1}OC$$
 $CO_{2}R^{3}$
 $CO_{2}R^{3}$
 $CO_{2}R^{3}$
 $CO_{2}R^{3}$
 $CO_{2}R^{3}$

30a: R¹ = OMe, R² = R³ = Me **30b**: R¹ = OEt, R² = Me, R³ = Et

30c: $R^1 = OEt$, $R^2 = Et$, $R^3 = Et$

30d: $R^1 = O-t$ -Bu, $R^2 = Me$, $R^3 = Et$

30e :
$$R^1 = NH_2$$
, $R^2 = Me$, $R^3 = Et$
EWG EWG

NC., CO_2R^3 NC., CO_2R^3 NC., CO_2R^3 R^1OC R^2 CO_2R^3 R^3 R^2 R^3 R^3 R

When a diastereomeric mixture of cyano ester 30a (R^1 OMe, R^2 , R^3 = Me, diastereomer ratio = 68:32), which can be readily obtained by the catalytic addition of dimethyl ethylidenemalonate to methyl cyanoacetate, was allowed to react with methyl vinyl ketone at -78 °C in the presence of catalyst 3, a mixture of $(3R^*,4S^*)$ -31a and $(3S^*,4S^*)$ -32a was obtained in 77% yield. The diastereomer ratio of 31a:32a was determined to be 96:4 by means of ¹H NMR analysis. The stereochemistry of 31a was unequivocally established by X-ray crystal structure analysis as shown in Figure 1. Crystallographic data including fractional coordinates, molecular distances, and angles are given as supporting information. Similar treatment of cyano ester 30b ($R^1 = OEt$, $R^2 = Me$, $R^3 = Et$, diastereomer ratio = 60:40) with either methyl vinyl ketone or acrylonitrile gave $(3R^*,4S^*)$ -31b or $(3R^*,4S^*)$ -31c selectively $((3R^*,4S^*)$ - $31b:(3S^*,4S^*)-32b = 97:3,73\%;(3R^*,4S^*)-31c:(3S^*,4S^*)-32c$ = 90:10, 41%). In contrast, the reactions of **30b** in the presence of a base catalyst such as Triton B gave 31 non-selectively (31b: 32b = 75:25, 89%; 31c:32c = 44:56, 37%). Representative results of diastereoselective reactions of 30 are summarized in Table 3.

Table 3. Diastereoselective Michael Reaction of Cyano Ester 30°

	cyano ester 30 ^b					diastereomer	
entry	\mathbb{R}^1	\mathbb{R}^2	R ³	EWG	product (yield, 6%)	ratio of 31:32 ^b	
1	OMe	Me	Me (30a) ^d	COMe	31a:32a (77)	96:4	
2	OEt	Me	Me (30b) ^e	COMe	31b:32b (73)	97:3	
3	OEt	Me	Et (30b)	CN	31c:32c (41) ^h	90:10	
4	OEt	Me	Et (30b)	COPh	31d:32d (77)	97:3	
5	OEt	Me	Et (30b)	COPh	31d:32d (62)i	96:4	
6	OE t	Et	Et (30c)	COPh	31e:32e (87)	90:10	
7	O-t-Bu	Me	Et (30d) ^g	COMe	31f:32f (77)	98:2	
8	NH_2	Me	Et (30e) ^e	COPh	31g:32g (63) ^h	96:4	

^a A mixture of cyano ester 30 (1.0 mmol), olefin (1.0 mmol), and RuH₂(PPh₃)₄ (3) (0.03 mmol) in dry THF (0.25 mL) was stirred at −78 °C for 6 h under argon. ^b Diastereomer ratio was determined by 270 MHz ¹H NMR analysis. ^c Isolated yield based on 30. ^d Diastereomer ratio = 60:40. ^e Diastereomer ratio = 77:23. ^f Diastereomer ratio = 60: 40. ^g Diastereomer ratio = 77:23. ^h The reaction was carried out at room temperature. ⁱ RuH(C₂H₄)(PPh₂C₆H₄) (4) (0.03 mmol) was used instead of 3.

The cyano esters derived from alkylidenemalonate diesters and activated nitriles undergo addition reactions to various electron-deficient olefins with high diastereoselectivity. When the ruthenium ethylene complex 4 is used as a catalyst, similar high diastereoselectivity was obtained (entry 5). The observed high diastereoselectivity is due to the malonate moiety of the nitrile substrates. Indeed, the RuH₂(PPh₃)₄-catalyzed reaction of diethyl 2-cyano-3-methylpentanedioate (33) (diastereomer ratio = 50:50) with methyl vinyl ketone at -78 °C gave a diastereomeric mixture (66:34) of 34 in 60% yield, indicating that the chelation of the malonate moiety of 30 plays an important role for the present diastereoselection which we discuss latter.

EtO₂C
$$\longrightarrow$$
 CO₂Et \longrightarrow COMe \longrightarrow CO₂Et \longrightarrow COMe \longrightarrow CO₂Et \longrightarrow CO₂Et \longrightarrow CO₂Et \longrightarrow CO₂Et \longrightarrow CO₂Et

Tandem addition reactions of α -cyano carboxylic acid derivatives can be performed conveniently. Typically, the RuH₂-(PPh₃)₄-catalyzed reaction of ethyl cyanoacetate with diethyl ethylidenemalonate and subsequent addition of methyl vinyl ketone at -78 °C gave 31b in 75% isolated yield ((3R*,4S*)-31b:(3S*,4S*)-32b = 97:3). Similar treatment of ethyl cyanoacetate with diethyl propylidenemalonate followed by treatment with phenyl vinyl ketone gave (3R*,4S*)-31e in 62% yield ((3R*,4S*)-31e:(3S*,4S*)-32e = 90:10).

NC
$$CO_2Et$$
 + R^2 CO_2Et CO_2Et CO_2Et CO_2Et R^2 EtO_2C R^2 EWG R^2 R^2

The adducts thus obtained undergo Claisen-type condensation, giving functionalized cyclohexene derivatives. Typically, the reaction of $(3R^*,4S^*)$ -31b with sodium ethoxide in ethanol at 0 °C gave cyclohexenol derivative $(1S^*,2R^*,3R^*)$ -35b in 52% yield as a sole product. Similarly, cyano ester $(3R^*,4S^*)$ -31f can be converted stereoselectively to $(1S^*,2R^*,3R^*)$ -35f in

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743

62% yield.

When cyano ester 30 was allowed to react with acrolein, sequential Michael—aldol ring closure occurred to afford the corresponding cyclohexanol with high diastereoselectivity. The ruthenium-catalyzed reaction of 30b with acrolein gave $(2S^*,3S^*,6R^*)$ -36 selectively in 54% yield $((2S^*,3S^*,6R^*)$ -36: $(2R^*,3S^*,6R^*)$ -37 = 95:5). The structures of 36 and 37 were determined by difference NOE experiments. This result is in contrast to the similar reaction with Triton B catalyst, which gives 36 and 37 nonselectively (36:37 = 72:28, 49% yield).

The present aldol and Michael reactions can be rationalized by assuming oxidative addition of α -sp³ C-H bonds of nitriles to the low-valent ruthenium complex. Dihydridoruthenium complex RuH_2L_n 38 is converted into zerovalent ruthenium complex 39 by reductive elimination of molecular hydrogen and coordination of nitrile. The basicity of ruthenium and the acidity of the α -C-H bond of nitrile would increase, resulting in oxidative addition of the ruthenium(0) to the α -C-H bond of the coordinated nitrile to give complex 40. In case of using

the ethyleneruthenium complex 4, dissociation of ethylene would occur to afford the same intermediate 40. Ittel et al. observed the formation of HFe(RCHCN)(dmpe)₂ from the reaction of nitriles with coordinatively unsaturated Fe(dmpe)₂ which are generated *in situ* from HNpFe(dmpe)₂.³³

Oxidative Addition of Active Methylene Compounds to Ruthenium(0) Complexes. We succeeded in the isolation of a formal oxidative addition product of alkyl cyanoacetate for the first time. When $RuH(C_2H_4)(PPh_3)_2(PPh_2C_6H_4)$ (4) was allowed to react with alkyl cyanoacetates in THF at room temperature, yellow hydrido(enolato)ruthenium(II) complexes of mer-RuH(NCCHCO₂R)(NCCH₂CO₂R)(PPh₃)₃ (R = Me (41a), Et (41b), n-Bu (41c)) were obtained with liberation of quantitative amount of ethylene (eq 6). The ruthenium complex

3 or 4
$$\frac{2 \text{ NCCH}_2\text{CO}_2\text{R}}{\text{THF. -H}_2 \text{ or -C}_2\text{H}_4}$$
 Ph_3P Ph_3P

4 is known to be in an equilibrium with Ru(0) species in spite of its orthometalated structure,³⁴ and various substrates undergo oxidative addition to the Ru(0) species.³⁵ Similarly, the reaction of RuH₂(PPh₃)₄ (3) with alkyl cyanoacetates gave 41a and 41b with evolution of molecular hydrogen. The hydrido(enolato)ruthenium(II) complexes 41, which are relatively stable but undergo decomposition slowly in air, are characterized by IR and NMR spectroscopies, elemental analysis, and X-ray structure analysis. Table 4 summarizes their IR and NMR data. The IR spectra of the hydrido(enolato)ruthenium(II) complexes 41a-c show signals assignable to $\nu(Ru-H)$ at ca. 1960 cm⁻¹. In each case, one $\nu(CN)$ band is observed at 2183-2193 cm⁻¹ and two ν (C=O) at ca. 1750 and 1600 cm⁻¹, the latter two bands being ascribed to the enolato moiety and the coordinated alkyl cyanoacetate, respectively. The ¹H NMR spectra of 41a-c show broad hydrido signals at -13 ppm. Two sets of broad signals assignable to the coordinated alkyl cyanoacetates are also observed, one being due to the enolato ligand and the other due to coordinated alkyl cyanoacetate. The hydrido signal of **41b** was resolved into a quartet at δ -12.7, when the temperature was lowered to -30 °C in toluene- d_8 , indicating that 41b essentially involves a fast exchange process in solution but at low temperature the hydrido ligand is fixed in the cis position to the three P nuclei. Accordingly, the ³¹P{¹H} NMR spectrum of 41b mainly consists of a triplet and a doublet in a 1:2 ratio. This suggests a meridional configuration of three P ligands. At the same time the broad signal assignable to a methine proton of the enolato ligand changed to a sharp singlet at δ 2.74 at low temperature. Absence of the couplings with P nuclei suggests that the enolato moiety is essentially bonded not by the methine carbon but by the cyano group (vide infra). When ethyl cyanoacetate was added to the C₆D₆ solution of 41b, the intensity of the signals due to the coordinated ethyl cyanoacetate gradually increased, and the peaks shifted to higher field, indicating fast exchange between coordinated nitriles and free nitriles, while the signals due to the enolato ligand remained intact. In spite of this fact, slow exchange between the methine proton in the enolato ligand and the methylene protons of the coordinated nitriles smoothly took place, since addition of NCCD₂CO₂CH₂CH₃ to the C₆D₆ solution of **41b** caused extensive decrease in the intensity of signals of both methine and methylene protons. Such a hydrogen exchange may proceed through hydrogen bonding between the enolato oxygen and one methylene proton of the coordinated ethyl cyanoacetate (vide infra). However, the H-D exchange process did not extend to the hydride, because no decrease of the hydrido signal was observed. The results indicate that the rate of reductive

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Table 4. IR and NMR Data of Hydrido(enolato)ruthenium Complexes

	IR ^a (cm ⁻¹)			¹H NMR ^b (ppm)		
	ν(CN)	ν(CO)	ν(Ru-H)	Ru-H	others	PPh ₃
41a	2191	1749 1602	1963	-13.0 (br, 1H)	2.8-3.1 (br, 3H, CH + CH ₂) 3.14 (s, 3H, OMe) 3.67 (s, 3H, OMe)	6.8-7.7 (m)
41b	2185	1746 1598	1950	-13.0 (br, 1H)	0.83 (t, <i>J</i> = 7.4 Hz, 3H, CH ₃) 1.18 (t, <i>J</i> = 7.4 Hz, 3H, CH ₃) 2.94 (br, 1H, CH) 3.16 (s, 2H, CH ₂) 3.78 (q, <i>J</i> = 7.4, Hz, 2H, OCH ₂) 4.23 (q, <i>J</i> = 7.4 Hz, 2H, OCH ₂)	6.8-7.7 (m)
41c	2183	1745 1601	1940	-12.9 (br, 1H)	0.6-1.7 (m, 14 H, CH ₂ CH ₂ CH ₃) 2.9-3.2 (br, 3 H, CH + CH ₂) 3.8-4.2 (br, 4 H, OCH ₂)	6.8-7.7 (m)
42a		1586	1941	-16.6 (dt, $J_{HP} = 26.9$, 22,0 Hz, 1H)	0.83 (s, 3 H, Me) 1.91 (s, 3 H, Me) 4.86 (s, 1 H, —CH=)	6.8-7.7 (m)
42b		1629	2020	$-17.8 (q, J_{HP} = 24.8 Hz, 1H)$	1.93 (s, 3 H, Me) 3.84 (s, 3 H, Me) 4.59 (s, 1 H, —CH=)	6.8-7.7 (m)
42c isomer A		1611 ^c	1975°	-17.8 (dt, $J_{HP} = 25.6$, 23.2 Hz, 1H)	0.83 (s, 3 H, Me or OMe) 3.71 (s, 3 H, OMe or Me) 4.72 (s, 1 H, —CH=)	6.8-7.7 (m)
isomer B		1611		-16.6 (dt, $J_{HP} = 25.6$, 23.2 Hz, 1H)	1.98 (s, 3 H, OMe or Me) 2.04 (s, 3 H, Me or OMe) 4.79 (s, 1 H, —CH=)	6.8-7.7 (m)
44a isomer A	2170°	$2045^{c,d}1893$ c $198^{c,d}$	-4.22 (t,	3.05 (s, 1H, CH) $J_{P-H} = 18.4$ Hz, 1H)	6.8-7.7 (m) 3.68 (s, 3H, OMe)	
isomer B		1655°		-4.28 (t, $J_{P-H} = 18.4$ Hz, 1H)	2.77 (s, 1H, CH) 3.58 (s, 3H, OMe)	6.8-7.7 (m)
44b isomer A	2159°	2044 ^{c,d} 1993 ^{c,d} 1641 ^c	1893 ^c	-4.22 (t, $J_{P-H} = 18.4$ Hz, 1H)	1.71 (t, <i>J</i> = 7.4 Hz, 3H, Me) 3.01 (s,1H, CH) 4.24 (q, <i>J</i> = 7.4 Hz, 2H, OCH ₂)	6.8-7.7 (m)
isomer B				-4.28 (t, $J_{P-H} = 18.4$ Hz, 1H)	1.01 (t, <i>J</i> = 7.4 Hz, 3H, Me) 2.77 (s, 1H, CH) 4.14 (q, <i>J</i> = 7.4 Hz, 2H, OCH ₂)	6.8-7.7 (m)

^a KBr disk. ^b 200 MHz ¹H NMR in C₆D₆. Numbers in parentheses indicate coupling constants hertz. ^c Mixture of isomers of A and B. ^d Absorbance of carbonyl ligands.

elimination of ethyl α -cyanoacetate from 41 is negligible compared to the oxidative addition of the nitrile to ruthenium.

The molecular structure of 41b is depicted in Figure 2. Essentially the same molecular geometry is observed for 41a, which has been reported in a preliminary form. 12 In both cases three phosphine ligands coordinate to ruthenium in meridional positions around the octahedron and the hydrido ligand is considered to occupy the site cis to the three P ligands. The structure is consistent with all the NMR and IR data. The ethyl cyanoacetate coordinates trans to the hydride and the enolato ligand cis to the hydride. An interesting feature in the structures of 41a and 41b is the coordination mode of the enolato ligand. The cyano group directly bonds to ruthenium, and π electrons are considered to be delocalized along the C(2)-C(3)-O(1)linkage to increase the nucleophilicity of the enolato ligand (vide infra). The dihedral angle of C(1)-C(2)-C(3)-O(1) (5°) is very small, showing the planarity for these four atoms. In contrast, the C(6)-C(7)-C(8)-O(3) linkage of coordinated ethyl cyanoacetate is tilted by the dihedral angle of 27°. A similar structural feature is also found in 41a. This may be due to the contribution of the increased oxo π -allyl anionic character along the C(2)-C(3)-O(1) bond, making the zwitterionic bond in 41a and 41b. An alternative representation such as an azaallene-type bond is possible from the linear structure of the N(1)-C(1)-C(2) bond (178°), but this is not the case here, since the dihedral angle Ru-N(1)-C(1)-C(2)C(3) is very small (9°). The relatively short interatomic distance (3.17 Å) between C(7) and O(1) atoms suggests an intramolecular nonbonding interaction probably by the hydrogen bonding of the enolato oxygen with one of the methylene protons of the coordinated ethyl cyanoacetate (3.26 Å for 41a), since the C-O bond distance due to the hydrogen bonding of C···H···O has been observed in a range of 3.0-4.0 Å.³⁶

Similarly, hydrido(enolato)ruthenium(II) complexes $RuH(R^1-COCHCOR^2)(PPh_3)_3$ ($R^1=R^2=Me$ (42a); $R^1=R^2=OMe$ (42b); $R^1=Me$, $R^2=OMe$ (42c)) were also obtained by the reactions of 4 with diketones and keto esters such as 2,4-pentanedione, dimethyl malonate, and methyl acetoacetate (eq 7). The reactions of 3 with these active methylene compounds gave the same products.

3 or 4
$$\frac{R^{1}COCH_{2}COR^{2}}{THF, -H_{2} \text{ or } -C_{2}H_{4}}$$
 $Ph_{3}P-Ru-O R^{2}$ $Ph_{3}P'-Ru-O R^{2}$

Spectroscopic analysis of 42a-c reveals that these also have octahedral structures. An important structural difference between 41a and 42a is found in the enolato moiety. The IR spectrum of 42a shows a $\nu(Ru-H)$ band at 1941 cm⁻¹ and a

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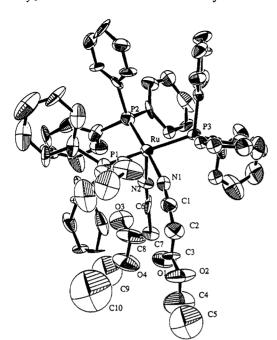


Figure 2. Molecular structure of 41b. Selected bond lengths (Å): Ru-P(1), 2.358 (7); Ru-P(2), 2.319 (6); Ru-P(3), 2.373 (6); Ru-N(1), 2.10(2); N(1)-C(1), 1.17 (3); C(1)-C(2), 1.36 (3); C(2)-C(3), 1.41 (3); O(1)-C(3), 1.10(3); O(2)-C(3), 1.41 (3); Ru-N(2), 2.16 (2); N(2)-C(6), 1.16 (2); C(6)-C(7), 1.44 (3); C(7)-C(8), 1.47 (4); O(3)-C(8), 1.18(3); O(4)-C(8), 1.31 (3). Selected bond angles (deg): P(1)-Ru-P(2), 97.3 (2); P(1)-Ru-P(3), 158.6 (2); P(1)-Ru-N(1), 82.7 (5); P(1)-Ru-N(2), 91.3 (5); P(2)-Ru-P(3), 97.5 (2); P(2)-Ru-N(1), 170.9 (5); P(2)-Ru-N(2), 90.5 (5); P(3)-Ru-N(1), 103.9 (5); N(1)-Ru-N(2), 80.4 (7); Ru-N(1)-C(1), 166 (2); N(1)-C(1)-C(2), 178 (3); C(1)-C(2)-C(3), 121 (3); O(1)-C(3)-O(2), 121 (3); O(1)-C(3)-O(2), 130 (3); O(2)-C(3)-C(2), 101 (3); C(3)-O(2)-C(4), 114 (3); Ru-N(2)-C(6), 160 (2); N(2)-C(6)-C(7), 174 (3); C(6)-C(27)-C(8), 106 (2); O(3)-C(8)-O(4), 112 (4); O(3)-C(8)-O(7), 135 (4); O(4)-C(8)-C(7), 112 (3); C(8)-O(4)-C(9), 123 (3).

 ν (C=O) band at 1586 cm⁻¹. The relatively low value of the latter for the carbonyl group suggests the chelating coordination mode of the diketonato ligand.³⁷ The ¹H NMR spectrum of **42a** shows a double triplet at δ -16.6 assignable to a hydrido ligand which is coupled with two magnetically equivalent and a unique P nuclei, all cis to the hydride. The 2,4-pentanedionato ligand is considered to coordinate to ruthenium by a bidentate O-enolato ligand form, since the methine proton resonates at relatively lower field and has no coupling with P nuclei.38 Observation of two kinds of methyl signals indicates that they are inequivalent to each other and the trans positions of the chelating 2,4-pentanedionato ligand are occupied by the hydrido and triphenylphosphine ligands. Similar spectroscopic results were obtained for 42b and 42c. It should be noted that 42c is a configurational isomeric mixture due to unsymmetry of the enolato ligand.

Reactions of Hydrido(enolato)ruthenium(II) Complexes. Protonolysis of 41a and 41b with hydrogen chloride in THF liberated methyl cyanoacetate in 124% yield per ruthenium, giving Ru₂Cl₄(PPh₃)₄(NCCH₂CO₂R) (R = Me (43a), R = Et (43b)). The enolato ligand seems to be completely hydrolyzed to alkyl cyanoacetate, and a part of the cyanoacetate ligand coordinates to ruthenium to form dimeric complexes 43a or 43b.

Treatment of **41a** or **41b** with carbon monoxide in benzene gave light yellow hydrido(carbonyl)(enolato)ruthenium(II) complex RuH(NCCHCO₂R)(CO)₂(PPh₃)₂ (R = Me (**44a**), R = Et (**44b**)). Electron withdrawal by the carbonyl ligands probably weakened the Ru-H bond to give a decrease in the stretching frequency. In contrast, a slight increase in the carbonyl stretching band in the enolato ligand is observed. This may be due to redistribution of electrons into the Ru-N-C-C linkage from the ∞ - π -allylic moiety to make the carbonyl group a more nonconjugated structure. However, the total nucleophi-

41a or 41b
$$\frac{\text{CO 1 atm}}{\text{C}_6\text{H}_6, r.t.}} \xrightarrow{\text{OC}} \xrightarrow{\text{Ru} \sim \text{NC}} \xrightarrow{\text{Ph}_3} \text{PR} \xrightarrow{\text{Ph}_3} \xrightarrow{\text{Ph}_3} \text{OR}$$

44a: R = Me

44b: R = Et

licity of the enolato ligand should decrease by strong electron withdrawal from π -accepting CO ligands. Complexes **44a** and **44b** are found to be isomeric mixtures, since their ¹H NMR spectra show two sets of signals of products in a 1:2 ratio. One is considered to contain the hydride *trans* to the enolato ligand and the other *trans* to the unique carbonyl ligand. These two geometries are exchanging with each other at 70 °C to give a thermodynamic mixture, because two sets of signals in the ¹H NMR spectrum collapsed with increasing the temperature. Protonolysis of **44b** released ethyl cyanoacetate accompanied by evolution of hydrogen gas. The resulting complex was the known carbonyl complex cis-RuCl₂(CO)₂(PPh₃)₂.³⁹

Reaction of **41a** with excess methyl iodide in benzene at room temperature gave methyl 2-cyanopropanoate and methyl cyanoacetate in 96% and 84% yields, respectively. The former is considered to be formed by methylation of the enolato ligand, while the latter by simple dissociation of the methyl cyanoacetate ligand. The resulting ruthenium product was hydridoiodotris-(triphenylphosphine)ruthenium(II), which was confirmed by spectroscopy and elemental analysis.⁴⁰ The reaction of **41b** with methyl iodide proceeded similarly. In contrast, the reaction of

41a or 41b
$$\frac{\text{CH}_{3}\text{I}}{\text{C}_{6}\text{H}_{6}, \text{r.t.}}$$
 RuHI(PPh₃)₃ + RO₂C $\stackrel{\text{CH}_{3}}{\sim}$ CN + RO₂C $\stackrel{\text{C}}{\sim}$ CN

42a with methyl iodide in benzene did not produce 3-methyl 2,4-pentanedione at all at room temperature for 1 week. Only a small amount of methane (9%) and methyltriphenylphosphonium iodide was detected. This fact indicates that the enolato moiety in 41a and 41b is highly nucleophilic, whereas the 2,4-

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pentanedionato ligand in **42a** is inactive, probably because of stability of the Ru-acac bond by the chelation effect.

In relation to the catalytic chemoselective aldol—type reactions, the reactions of these complexes **41a** and **41b** with benzaldehyde in benzene at room temperature were performed. Alkyl 2-cyano-3-phenylpropenoates were obtained in 108% and 161% yields per ruthenium, respectively. Similar treatment of

44b gave **7** in 78% yield. On the other hand, **42a** showed no reactivity toward benzaldehyde. The reaction of **41b** with acrylonitrile affords ethyl 2-(2'-cyanoethyl)-2,4-dicyanobutanoate (**17**) in 45% yield per ruthenium, while no reaction occurred upon similar treatment with **44b**. These results are consistent with the fact that the highly chemoselective aldol reactions of alkyl cyanoacetate with aldehydes are catalyzed by ruthenium complexes even in the presence of 2,4-pentanedione, which has approximately the same pK_a value (pKa = 9.0) as that of alkyl cyanoacetate. ¹⁹

The catalytic activity of the isolated hydrido(enolato)-ruthenium(II) complexes 41 was examined for aldol and Michael reactions in comparison with 3 or 4. In the case of aldol reactions of ethyl cyanoacetate with benzaldehyde, complexes 3, 4, and 41b showed similar catalytic activity, and 7 was obtained in 50–70% yields. A similar trend was also observed in the catalytic Michael addition reaction to acrylonitrile. In the presence of 3, 4, and 41b catalysts, a double Michael addition product (17) was obtained in excellent yield. It is noteworthy that carbonyl-substituted complex 44a shows low catalytic activity to both reactions.

Kinetics of the Catalytic Aldol-Type Reaction of Ethyl Cyanoacetate with Benzaldehyde. In order to obtain further insight into the mechanism of the ruthenium-catalyzed aldol reaction, kinetic experiments on the reaction of ethyl cyanoacetate with benzaldehyde were carried out by using hydrido-(enolato)ruthenium(II) catalyst 41b. The time—yield dependence of formation of 7 was periodically followed by gas chromatography. In order to keep the pseudo-first-order reaction conditions, a large excess amount of ethyl cyanoacetate was employed in these reactions. The rate was first order in the concentration of benzaldehyde (Figure 3). The first-order rate constant increases with an increase in the concentration of 41b (Figure 4) but is independent of the concentration of ethyl cyanoacetate (Figure 5). Thus, the rate law for the reaction is expressed by eq 8.

$$\frac{d[NC(EtO_2C)C=CHPh]}{dt} = k[41b][PhCHO]$$
 (8)

On the basis of these results, the aldol reaction can be rationalized by assuming the mechanism as shown in Scheme

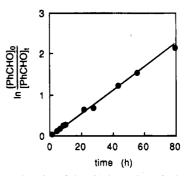


Figure 3. First-order plot of the aldol reaction of ethyl cyanoacetate with benzaldehyde catalyzed by **41b** in THF at 50 °C. **[41b]** = 0.418 mM; [NCCH₂CO₂Et] = 188 mM; [PhCHO] = 22.8 mM. $k_{\text{obs}} \times 10^6 = 8.26 \pm 0.25 \text{ s}^{-1}$.

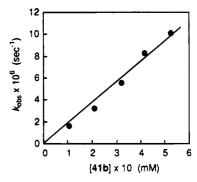


Figure 4. Dependence of the first order rate constants $k_{\rm obs}$ on the concentration of **41b**. Solvent, THF; temp = 50 °C; $k_{\rm obs} \times 10^6$ ([**41b**]) = 1.61 \pm 0.63 (0.105 mM), 3.22 \pm 0.98 (0.210 mM), 5.57 \pm 0.45 (0.320 mM), 8.26 \pm 0.25 (\times 0.418 mM), 10.1 \pm 0.33 s⁻¹ (0.523 mM); [NCCH₂CO₂Et] = 188 mM; [PhCHO] = 22.2 \pm 2 mM; $k \times 10^2$ ($k = k_{\rm obs}/([41b]) = 1.74 <math>\pm$ 0.19 s⁻¹ M⁻¹.

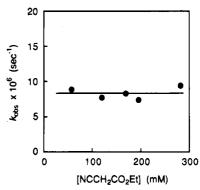


Figure 5. Dependence of the first order rate constants k_{obs} on the concentration of ethyl cyanoacetate. Solvent, THF; temp = 50 °C; [**41b**] = 0.426 \pm 0.008 mM; $k_{\text{obs}} \times 10^6$ ([NCCH₂CO₂Et]) = 8.88 \pm 0.43 (57.0 mM), 7.77 \pm 0.37 (1 19 mM), 8.26 \pm 0.25 (169 mM), 7.43 \pm 0.47 (195 mM), 9.43 \pm 0.62 s⁻¹ (282 mM); [PhCHO] = 22.5 \pm 0.8 mM.

1. The catalytically active species seems to be the zerovalent ruthenium complex 45, which is formed by coordination of nitrile to dihydridoruthenium complex 3 and subsequent reductive elimination of molecular hydrogen. When ruthenium ethylene complex 4 was used as a catalyst, dissociation of ethylene would occur upon coordination of the nitrile to 4 to give 45. Oxidative addition of the ruthenium to the α -C-H bond of the nitrile³³ affords a hydrido α -cyanoalkylruthenium complex, which is converted into stable hydrido(enolato)-ruthenium complex 46. Direct interaction of the enolato ligand with an aldehyde takes place to give hydrido(aldolato)ruthenium-(II) intermediate 47, which undergoes elimination of aldol product 49 to give a coordinatively unsaturated ruthenium

Scheme 1

3 or 4

NC R¹

$$k_4$$
 k_4
 k_4
 k_4
 k_5
 k_6
 k_7
 k_8
 k_8
 k_8
 k_8
 k_9
 k_9

complex. Coordination of the nitrile to 48 regenerates 45 to complete the catalytic cycle. Alternatively, complex 45 can be formed directly upon coordination of the nitrile to 47 with dissociation of 49. Dehydration of 49 may proceed under the reaction conditions.

The rate law is derived by assuming the equilibrium for the oxidative addition of ruthenium to the α -C-H bond of the nitrile, the association of aldehyde to **46** (K_2), and the coordination of the nitrile to **48** as shown in Scheme 1. If $K_2 \ll 1$, the rate is expressed by eq 9, where $[Ru]_{total}$ is the same as the initial catalyst concentration. If $k_1 \gg k_{-1}$ and $k_4 \gg k_{-4}$, the equation should be as eq 10. Thus, the equation is in accord with the kinetic data, which supports the proposed mechanism.

$$\frac{d[NCR^{1}C=CHR^{2}]}{dt} = \frac{k_{3}k_{2}[Ru]_{total}[NCCH_{2}R^{1}][R^{2}CHO]}{(k_{-1}/k_{1}+1)[NCCH_{2}R^{1}]+(k_{-1}/k_{1})(k_{-4}/k_{4})}$$
(9)

$$\frac{d[NCR^{1}C=CHR^{2}]}{dt} = k_{3}K_{2}[Ru]_{total}[R^{2}CHO]$$

$$= k_{0be}[R^{2}CHO]$$
(10)

The electronic properties of benzaldehydes were systematically altered with a series of para substituents. The rate constants for the ruthenium-catalyzed aldol reactions k ($k = k_{\rm obs}/[{\rm Ru}]_{\rm total}$) shows a reasonably linear Hammett correlation as shown in Figure 6. The ρ value of +1.6 indicates that the electron-deficient benzaldehydes are more effective in catalytic aldol reactions.

The formation of 41 from the reaction of 3 or 4 suggests the alternative non-redox mechanism as shown in Scheme 2. That is, the reaction of 41 with aldehyde gives hydrido(aldolato)-ruthenium(II) 50. Intramolecular hydrogen abstraction from the coordinated alkyl cyanoacetate gives enolato complex 51. Dissociation of product followed by coordination of alkyl cyanoacetate regenerates 41 to complete the catalytic cycle.

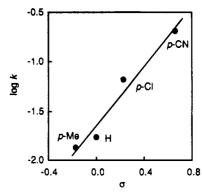


Figure 6. Hammet correlation of ruthenium-catalyzed aldol reaction of ethyl cyanoacetate with para-substituted benzaldehydes. Solvent, THF; temp = 50 °C; [41b] = 0.388-0.600 mM, [p-X-C₆H₄CHO] = 19.8 ± 1.8 mM, [NCCH₂CO₂Et] = 188 mM; log k (X, σ), -1.87 (Me, -0.17), -1.76 (H, 0.00), -1.18 (Cl, 0.23), -0.688 (CN, 0.66); $p = +1.6 \pm 0.8$.

Scheme 2

Scheme 3

Michael reaction of nitriles with olefins bearing electronwithdrawing groups can be rationalized by the pathway shown in Scheme 3, which is similar to the mechanism of aldol reactions (Scheme 1). The addition of hydrido(enolato)ruthenium 52 to an olefin bearing an electron-withdrawing group gives complex 53 which undergoes reductive elimination of Michael adducts and 48 to complete the catalytic cycle.

The highly diastereoselective Michael reaction of cyano ester 30 can be rationalized by assuming intermediate 54, where chelation of the malonate moiety to the ruthenium plays an important role. Reaction of 3 or 4 with a diastereomeric mixture of cyano ester 30 gives enolato complex 54, in which the cyano group and one carbonyl group of the malonate moiety coordinate to the ruthenium and the other alkoxycarbonyl groups are located at pseudoequatorial positions. Complex 54 is attacked

by the olefins to afford 31 with high diastereoselectivity by avoiding congestion of the pseudoaxial R^3O group. It is natural that the Michael reaction of cyano ester 33 proceeds nonselectively, since the absence of an alkoxycarbonyl group results in relaxation of the R^3O group from the strict pseudoaxial position, allowing the olefin to be easily attacked from either side.

In conclusion, we have found the first catalytic aldol and Michael reactions initiated by C-H activation of the α-position of nitriles by using low-valent ruthenium complex catalysts such as 3 and 4. These reactions provide a novel strategy for catalytic carbon-carbon bond formation of nitriles under mild and neutral conditions. The efficiency of the reaction has been demonstrated by the chemoselective aldol and Michael reactions of nitriles in the presence of other active methylene compounds and novel diastereoselection in Michael, sequential Michael-Michael, and sequential Michael-aldol reactions of cyano esters, all of which could have never been realized by using the conventional base catalysts. By using a similar concept, Ito and Sawamura recently developed a fascinating asymmetric Michael addition reaction of α-cyano carboxylates (86% ee) catalyzed by rhodium complexes having a large trans chelating ligand (R,R)-(S,S)-2,2"-bis[1-(diphenylphosphino)ethyl]-1,1"-biferrocene (TRAP).15

$$\text{i-PrO}_2\text{C} \stackrel{\text{Me}}{\longleftarrow} \text{CN} \stackrel{+}{\longleftarrow} \text{O} \stackrel{\text{RhH(CO)(PPh_3)}_3}{\longleftarrow} \text{i-PrO}_2\text{C} \stackrel{\text{NC}}{\longleftarrow} \text{Me}$$

Recently, we discovered that RuH₂(PPh₃)₄ is also an effective Lewis acid catalyst for the activation of nitriles. Capture of the activated carbon—nitrogen triple bonds with various nucleophiles provides new types of catalytic transformations of nitriles, which proceed under neutral conditions.⁴¹ Typically, amides can be prepared along with ammonia from the RuH₂-(PPh₃)₄-catalyzed reaction of amines with nitriles and water (1: 1:1).⁴² Therefore, nitriles coordinated to metals can be trapped by either nucleophiles or electrophiles. Catalytic addition

reactions of nucleophiles to the CN triple bonds of nitriles takes place generally,⁴¹ while in the presence of electrophiles coor-

dination to metals induces the C-H activation and subsequent reaction with electrophiles. These concepts will introduce a new chemistry for a variety of acid- and base-catalyzed reactions, which will be a future approach in solving the pollution problem.

Experimental Section

Catalytic Activity of Metal Complexes and Phosphine Effect for the Reaction of Benzyl Cyanide with Butanal. A mixture of benzyl cyanide (2.0 mmol), butanal (2.2 mmol), and catalyst (0.06 mmol) in dry THF (0.5 mL) was stirred at 25 °C for 1 h in a 25 mL round-bottomed flask under argon. The conversions of benzyl cyanide and the yields of (*E*)-2-phenyl-2-hexenenitrile (5) were determined by capillary GLC analysis (DB-1, 60–250 °C) using an internal standard (dodecane). The results with various catalysts are as follows: RuH₂-(PPh₃)₄ (3)⁴³ (convn 61%, yield of 5 90%), RuH(C₂H₄)(PPh₃)₂-(PPh₂C₆H₄) (4)³⁵ (54%, >99%). Other transition metal complexes such as RuH₂(CO)(PPh₃)₃, ⁴⁴ RhH(CO)(PPh₃)₃, IrH(CO)(PPh₃)₃, Pd(PPh₃)₄, ⁴⁵ Pd₂(dba)₃·CHCl₃, ⁴⁶ RuCl₂(PPh₃)₃, ⁴⁷ RhCl(PPh₃)₃, ⁴⁸ IrCl₃·nH₂O, Mo-(CO)₆, and CuCl₂ showed no catalytic activity.

The effect of phosphines was also examined under the similar conditions at 60 °C for 1 h by adding a phosphine (monophosphine 0.24 mmol, diphosphine 0.12 mmol). The results are as follows: PPh₃ (convn 68%, yield of 5 89%), P(OEt)₃ (62%, 90%), Ph₂PCH₂PPh₂ (dppm) (97%, 99%), Ph₂P(CH₂)₂PPh₂ (dppe) (98%, 88%), Ph₂P(CH₂)₃-PPh₂ (dppp) (96%, 99%), none (51%, 98%).

General Procedure for the Ruthenium-Catalyzed Reaction of Nitriles with Carbonyl Compounds. A mixture of nitrile (2.00 mmol), carbonyl compound (2.20 mmol), and $RuH_2(PPh_3)_4$ (0.06 mmol) in dry THF (0.5 mL) was stirred at room temperature for 24 h under argon. After removal of the solvent, the residue was purified by Kugelrohr distillation and thin layer or column chromatography (SiO₂) to give the α , β -unsaturated nitrile. The results are shown in Table 1.

(*E*)-2-Phenyl-2-hexenenitrile (5): IR (neat) 2963 (s), 2934 (s), 2874 (m), 2218 (m, CN), 1684 (w), 1638 (w), 1599 (w), 1497 (w), 1450 (s), 1381 (w), 1339 (w), 1078 (w), 1032 (w), 1003 (w), 965 (w), 907 (m), 762 (s), 693 (s) cm⁻¹; ¹H NMR (CDCl₃, 270 MHz) δ 1.02 (t, J = 7.3 Hz, 3 H), 1.60 (qt, J = 7.3, 7.3 Hz, 2 H), 2.57 (dt, J = 7.6, 7.6 Hz, 2 H), 6.82 (t, J = 7.8 Hz, 1 H), 7.30–7.43 (m, 3 H), 7.50–7.56 (m, 2 H). Anal. Calcd for C₁₂H₁₃N: C, 84.17; H, 7.65; N, 8.18. Found: C, 83.94; H, 7.67; N, 7.83.

(E)-Ethyl 2-cyano-2-hexenoate (6): IR (neat) 2967 (s), 2940 (s), 2876 (m), 2234 (m, CN), 1732 (s, C=O), 1626 (s), 1466 (m), 1496 (m), 1372 (m), 1279 (s), 1254 (s), 1231 (s), 1154 (m), 1071 (s), 1026 (m), 938 (w), 864 (w), 831 (w), 762 (s) cm⁻¹; ¹H NMR (CDCl₃, 270 MHz) δ 1.00 (t, J = 7.3 Hz, 3 H), 1.35 (t, J = 7.1 Hz, 3 H), 1.60 (tq, J = 7.3, 7.1 Hz, 2 H), 2.54 (dt, J = 7.8, 7.3 Hz, 2 H), 4.31 (q, J = 7.3 Hz, 2 H), 7.64 (t, J = 7.8 Hz, 2 H).

(E)-Ethyl 2-cyano-3-phenyl-2-propenoate (7): IR (KBr) 3004 (w), 2224 (m, CN), 1726 (s, C=O), 1607 (s, C=C), 1574 (m), 1496 (m), 1466 (m), 1447 (s), 1387 (m), 1302 (m), 1256 (s), 1202 (s), 1088 (m), 1011 (m), 887 (w), 859 (w), 768 (s), 685 (s) cm⁻¹; ¹H NMR (CDCl₃, 270 MHz) δ 1.40 (t, J = 7.3 Hz, 3 H), 4.39 (q, J = 7.3 Hz, 2 H), 7.52 (dddd, J = 7.8, 7.6, 2.2, 0.7 Hz, 2 H), 7.53 (dd, J = 7.8, 1.5 Hz, 1 H), 7.99 (ddd, J = 7.6, 3.6, 2.7 Hz, 2 H), 8.25 (s, 1 H). Anal. Calcd for $C_{12}H_{11}NO_2$: C, 71.60; H, 5.51; N, 6.96. Found: C, 71.89; H, 5.41; N, 7.18

(E)-Ethyl 2-cyano-3-(4-hydroxyphenyl)-2-propenoate (8): mp 176.2–177.2 °C; IR (KBr) 3380 (s), 2269 (m, CN), 1717 (s, C=O), 1590 (s), 1520 (m), 1445 (s), 1381 (w), 1284 (m), 1267 (m), 1208 (s), 1173 (s) 1115 (w), 1090 (w), 1015 (w), 845 (w), 812 (w), 763 (w), 515 (w) cm⁻¹; ¹H NMR (CDCl₃, 270 MHz) δ 1.39 (t, J = 7.1 Hz, 3 H), 4.37 (q, J = 7.1 Hz, 2 H), 5.90 (br, 1 H), 6.96 (ddd, J = 6.6, 2.9, 0.5 Hz, 2 H), 7.95 (ddd, J = 6.6, 2.9, 0.5 Hz, 2 H), 8.18 (s, 1 H). Anal.

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Calcd for $C_{12}H_{11}NO_3$: C, 66.35; H, 5.10; N, 6.45. Found: C, 66.17; H, 5.03; N, 6.45.

(E)-Ethyl 2-cyano-3-(4-methoxyphenyl)-2-propenoate (9): mp 80-81 °C; IR (KBr) 2994 (w), 2284 (m, CN), 1914 (w), 1717 (s, C=O), 1586 (s), 1563 (s), 1514 (s), 1433 (s), 1366 (s), 1321 (s), 1264 (s), 1211 (s), 1190 (s), 1184 (s), 1019 (s), 839 (m), 627 (w), 588 (w), 554 (s) cm⁻¹;

1H NMR (CDCl₃, 270 MHz) δ 1.39 (t, J = 7.1 Hz, 3 H), 3.89 (s, 3 H), 4.36 (q, J = 7.1 Hz, 2 H), 6.99 (ddd, J = 5.0, 2.9, 2.9 Hz, 2 H), 7.99 (ddd, J = 5.0, 2.9, 2.9 Hz, 2 H), 8.16 (s, 1 H). Anal. Calcd for C₁₃H₁₃NO₃: C, 67.52; H, 5.67; N, 6.06. Found: C, 67.22; H, 5.64; N, 6.01.

(*E*)-Ethyl 3-(3'-cyclohexenyl)-2-cyano-2-propenoate (10): IR (neat) 3031 (m), 2986 (m), 2920 (s), 2841 (m), 2232 (m, CN), 1734 (s, C=O), 1624 (s), 1437 (m), 1385 (m), 1294 (s), 1265 (s), 1245 (s), 1217 (s), 1082 (s), 1053 (m), 1016 (m), 762 (s) 656 (s) cm⁻¹; ¹H NMR (CDCl₃, 270 MHz) δ 1.36 (t, J = 7.3 Hz, 3 H), 1.54–2.29 (m, 6 H), 2.94–3.08 (m, 1 H), 4.32 (q, J = 7.3 Hz, 2 H), 5.64–5.71 (m, 1 H), 5.74–5.81 (m, 1 H), 7.56 (d, J = 10.5 Hz, 1 H); HRMS calcd for C₁₂H₁₅NO₂ 205.1103, found 205.1107.

Ethyl cyclohexylidenecyanoacetate (11): IR (neat) 2982 (m), 2940 (s), 2863 (s), 2224 (m, CN), 1730 (s, C=O), 1601 (s), 1449 (s), 1368 (m), 1289 (s), 1269 (s), 1237 (s), 1217 (s), 1140 (m), 1100 (s), 1028 (s), 858 (m), 779 (m) cm⁻¹; 1 H NMR (CDCl₃, 270 MHz) δ 1.34 (t, J = 7.1 Hz, 3 H), 1.60–1.85 (m, 6 H), 2.66 (dd, J = 7.0, 6.8 Hz, 2 H), 2.97 (dd, J = 6.4, 5.0 Hz, 2 H), 4.28 (q, J = 7.1 Hz, 2 H). Anal. Calcd for C₁₁H₁₅NO₂: C, 68.37; H, 7.82; N, 7.25. Found: C, 68.15; H, 7.76; N, 7.43.

Cyclohexylidenemalononitrile (12): IR (neat) 2948 (s), 2864 (s), 2232 (s, CN), 1598 (s), 1449 (s), 1352 (m), 1316 (m), 1291 (w), 1258 (w), 1130 (w), 1028 (w), 1005 (m), 895 (w), 858 (m), 706 (w) cm⁻¹; ¹H NMR (CDCl₃, 270 MHz) δ 1.63–1.74 (m, 2 H), 1.76–1.85 (m, 4 H), 2.66 (dd, J = 6.1, 6.1 Hz, 4 H). Anal. Calcd for C₉H₁₀N₂: C, 71.60; H, 5.51; N, 6.96. Found: C, 71.89; H, 5.41; N, 7.18.

Ruthenium-Catalyzed Reaction of Ethyl Cyanoacetate with N-Benzylideneaniline. A mixture of ethyl cyanoacetate (0.226~g, 2.00~mmol), N-benzylideneaniline (0.402~g, 2.22~mmol), and RuH₂(PPh₃)₄ (0.069~g, 0.06~mmol) in dry THF (0.5~mL) was stirred at room temperature for 24 h under argon. After removal of the solvent, the brown residue was purified by column chromatography (SiO₂, AcOEt: hexane = 1:5) to afford 7 (0.331~g, 82%) as a light yellow solid.

4-[[4'-(Methoxycarbonyl)phenyl]amino]-2-cyano-2-methyl-3-p-tolylpropanenitrile (**15**). The RuH₂(PPh₃)₄-catalyzed reaction of 4-(methoxycarbonyl)-*N*-(4-methylbenzylidene)aniline (**14**) (0.097 g, 0.39 mmol) with 2-methylmalononitrile (**13**) (0.048 g, 0.57 mmol) in dry THF (1.0 mL) at room temperature for 48 h gave **15** (116.6 g, 91%) as a colorless oil. After 14 h, the yield of **15** was determined to be 45% by means of NMR analysis, while similar treatment with RhH-(CO)(PPh₃)₃ catalyst¹⁷ gave **15** in 36% NMR yield. **15**: IR (KBr) 3380 (s), 2999 (s), 2251 (CN, w), 1920 (br, w), 1701 (C=O, s), 1606 (s), 1525 (s), 1435 (s), 1314 (s), 1287 (s), 1181 (s), 1113 (s), 967 (w), 911 (s), 841 (s), 772 (s) cm⁻¹; ¹H NMR (CDCl₃, 270 MHz) δ 1.81 (s, 3 H, CCH₃(CN)₂), 2.35 (s, 3 H, C₆H₄CH₃), 3.83 (s, 3 H, CO₂CH₃), 4.77 (d, J = 9.1 Hz, 1 H), 4.84 (d, J = 9.1 Hz, 1 H), 6.67 (ddd, J = 7.0, 1.7, 1.7 Hz, 2 H, C₆H₄Me), 7.22 (ddd, J = 9.0, 2.4, 2.4 Hz, 2 H, C₆H₄CO₂Me), 7.36 (ddd, J = 7.0, 1.7, 1.7 Hz, 2 H, C₆H₄Me), 7.85 (ddd, J = 9.0, 2.4, 2.4 Hz, 2 H, C₆H₄CO₂Me).

Catalytic Activity of Metal Complexes and Phosphine Effect for the Reaction of Benzyl Cyanide with Crotononitrile. A mixture of benzyl cyanide (2.00 mmol), crotononitrile (2.20 mmol; E:Z=61:39) and catalyst (0.06 mmol) in dry THF (3.0 mL) was stirred at room temperature for 1 h under argon. The conversions of benzyl cyanide and the yields of 2-phenyl-3-methyl-4-cyanobutanenitrile (16) were determined by GLC analysis (DB-1, 60-250 °C) using an internal standard (dodecane). The results with various catalysts are as follows: RuH₂(PPh₃)₄ (3) (convn 89%, yield of 16 97%), RuH(C₂H₄)(PPh₃)₂-(PPh₂C₆H₄) (4) (93%, 90%), RhH(CO)(PPh₃)₃ (56%, 98%). Other complexes such as RuH₂(CO)(PPh₃)₃, IrH(CO)(PPh₃)₃, Pd(PPh₃)₄, Pd₂-(dba)₃·CHCl₃, Ru(OCOCF₃)₂(CO)(PPh₃)₂, ⁴⁹ RuCl₂(PPh₃)₃, RhCl(PPh₃)₃, Rh

The effect of phosphines was also examined under similar conditions by adding a phosphine (monophosphine 0.08 mmol, diphosphine 0.04

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mmol). The results are as follows: PPh₂Et (85%, 82%), PPhMe₂ (45%, 89%), Ph₂PCH₂PPh₂ (dppm) (convn 59%; yield of **16** >99%), dppe (50%, 96%), dppp (76%, >99%), Ph₂P(CH₂)₄PPh₂ (dppb) (34%, >99%), Ph₂P(CH₂)₅PPh₂ (39%, >99%), none (20%, >99%).

General Procedure for the Ruthenium-Catalyzed Reaction of Nitriles with Olefins. A mixture of nitrile (2.00 mmol), olefin (2.20 mmol), and $RuH_2(PPh_3)_4$ (0.06 mmol) in dry THF (0.5 mL) was stirred at room temperature for 24 h under argon. After removal of the solvent, the residue was purified by Kugelrohr distillation and thin layer or column chromatography (SiO₂) to give the Michael adduct. The results are summarized in Table 2.

Ethyl 2-(2'-cyanoethyl)-2,4-dicyanobutanoate (17): IR (neat) 2980 (m), 2250 (CN, s), 1740 (C=O, s), 1450 (m), 1425 (m), 1280 (m), 1220 (m), 1095 (m), 1010 (m), 855 (m) cm $^{-1}$; ¹H NMR (CDCl₃, 60 MHz) δ 1.43 (t, J=7.0 Hz, 3 H, CH₃), 1.80–2.80 (m, 8 H, CH₂), 4.42 (q, J=7.0 Hz, 4 H, OCH₂CH₃); HRMS calcd for C₁₁H₁₄N₃O₂ (M + 1) 220.1086, found 220.1086.

Diethyl 4-cyano-4-(ethoxycarbonyl)heptanedioate (18): IR (neat) 3000 (s), 2265 (CN, m), 1745 (C=O, s), 1460 (s), 1380 (s), 1100 (s), 1030 (s), 935 (m), 865 (s), 795 (m), 765 (m) cm⁻¹; ¹H NMR (CDCl₃, 60 MHz) δ 1.23 (t, J=7.2 Hz, 6 H, CH₃), 1.31 (t, J=7.2 Hz, 3 H, CH₃), 1.90–2.72 (m, 8 H, CH₂), 4.16 (q, J=7.2 Hz, 4 H, OCH₂CH₃), 4.28 (q, J=7.2 Hz, 2 H, OCH₂CH₃); HRMS calcd for C₁₅H₂₃NO₆ 313.1525, found 313.1526.

Ethyl 2,4-Dicyano-3-methylbutanoate (19). ¹H NMR (500 MHz) analysis showed that the diastereomeric ratio of 19 is 54:46: bp 150 °C; IR (neat) 3005 (s), 2260 (CN, m), 1755 (C=O, s), 1475 (m), 1440 (m), 1405 (m), 1385 (m), 1260 (s), 1110 (m), 1040 (s), 865 (m) cm⁻¹; ¹H NMR (CDCl₃, 500 MHz) δ 1.27 (d, J = 6.9 Hz, 3 H, CHCH₃ (major diastereomer)), 1.32 (d, J = 6.9 Hz, 3 H, OCH₃ (minor diastereomer)), 1.35 (t, J = 7.1 Hz, 3 H, OCH₂CH₃ (major and minor)), 2.47–2.72 (m, 3 H, CHCH₂ (major and minor)), 3.59 (d, J = 5.3 Hz, 1 H,CH(CN)CO₂Et (minor)), 3.71 (d, J = 5.3 Hz, 1 H, CH(CN)CO₂Et (major)), 4.31 (q, J = 7.1 Hz, 2 H, OCH₂CH₃ (major and minor)).

Diethyl 4-Cyano-2-(ethoxycarbonyl)-3-methylpentanedioate (30b). ¹H NMR (500 MHz) analysis focused on C³-Me protons showed that the diastereomeric ratio of 30b is 69:31: IR (neat) 3000 (s), 2275, (CN, w), 1750 (C=O, s), 1480 (s), 1400 (s), 1380 (s), 1200 (s), 1080 (m), 1030 (s), 865 (m), 765 (m) cm⁻¹; ¹H NMR (CDCl₃, 500 MHz) δ 1.15 (d, J = 6.9 Hz, 3 H, CHC H_3), 1.19 (d, J = 6.9 Hz, 3 H, CHC H_3), 1.28 (t, J = 7.1 Hz, 6 H, C(CO₂CH₂CH₃)₂), 1.29 (t, J = 7.0 Hz, 6 H, $C(CO_2CH_2CH_3)_2$, 1.33 (t, J = 7.2 Hz, 3 H, $C(CN)CO_2CH_2CH_3$), 1.33 (t, J = 7.1 Hz, 3 H, C(CN)CO₂CH₂CH₃), 2.94 (ddq, J = 8.5, 6.0, 6.9 Hz, 1 H, CHCH₃), 3.03 (ddq, J = 9.6, 4.1, 6.9 Hz, 1H, CHCH₃), 3.45 $(d, J = 9.6 \text{ Hz}, 1 \text{ H}, CH(CO_2Et)_2), 3.68 (d, J = 8.5 \text{ Hz}, 1 \text{ H}, CH(CO_2-t)_2)$ Et)₂), 3.94 (d, J = 6.0 Hz, 1 H, $CH(CN)CO_2Et$), 4.15 (d, J = 4.1 Hz, 1 H, $CH(CN)CO_2Et$), 4.22 (q, J = 7.1 Hz, 2 H, $C(CO_2Et)CO_2CH_2$ -CH₃), 4.24 (q, J = 7.1 Hz, C(CO₂CH₂CH₃)CO₂Et), 4.29 (q, J = 7.1Hz, C(CN)CO₂CH₂CH₃). Anal. Calcd for C₁₄H₂₁NO₆: C, 56.18; H, 7.07; N, 4.68. Found: C, 56.14; H, 7.00; N, 4.41.

Ethyl 2,4-dicyano-2-methylbutanoate (20): IR (neat) 2995 (s), 2250 (CN, w), 1745 (C=O, s), 1450 (m), 1425 (m), 1390 (m), 1370 (m), 1220 (m), 1010 (s), 860 (s), 765 (m) cm⁻¹; ¹H NMR (CDCl₃, 270 MHz) δ 1.35 (t, J=7.1 Hz, 3 H, CH₃), 1.65 (s, 3 H, CH₃), 2.15 (ddd, J=14.0, 9.2, 6.2 Hz, 1 H, CH₂CN), 2.37 (ddd, J=14.0, 9.2, 6.2 Hz, 1 H, CH₂CN), 2.54 (ddd, J=13.4, 9.0, 7.1 Hz, 1 H, CH₂CH₂CN), 2.63 (ddd, J=13.4, 9.0, 7.1 Hz, 1 H, CH₂CH₂CN), 4.31 (q, J=7.1 Hz, 2 H, OCH₂CH₃). Anal. Calcd for C₉H₁₂N₂O₂: C, 59.98; H,6.71; N, 15.54. Found: C, 59.72; H, 6.72; N, 15.44.

Ethyl 2-cyano-2-methyl-4-(methoxycarbonyl)butanedioate (21): IR (neat) 3467 (m), 2940 (S), 2930 (s), 2238 (CN, m), 1744 (C=O, s), 1439 (s), 1436 (s), 1300 (s), 1253 (s), 1203 (s), 1126 (s), 1080 (m), 935 (w), 865 (s), 795 (w), 765 (w) cm $^{-1}$; 1 H NMR (CDCl $_{3}$, 270 MHz) δ 1.33 (t, J=7.1 Hz, 3 H, CH $_{3}$), 1.62 (s, 3 H, CH $_{3}$), 2.13 (ddd, J=15.9, 9.1, 6.5 Hz, 1 H, CH $_{2}$ CH $_{2}$ CO $_{2}$ Me), 2.30 (ddd, J=15.9, 9.1, 6.5 Hz, 2 H, CH $_{2}$ CH $_{2}$ CO $_{2}$ Me), 3.70 (s, 3 H, OCH $_{3}$), 4.27 (q, J=7.1 Hz, 2 H, CO $_{2}$ CH $_{2}$); HRMS calcd for C $_{10}$ H $_{12}$ NO $_{4}$ 210.0766, found 210.0768.

Ethyl 2-cyano-4-formyl-2-methylbutanoate (22): IR (neat) 3000 (s), 2260 (CN, m), 1735 (C=O, s), 1450 (m), 1420 (m), 1390 (s), 1110 (s), 1020 (s), 860 (s), 775 (m), 735 (m) cm⁻¹; ¹H NMR (CDCl₃, 60

MHz) δ 1.28 (t, J = 7.0 Hz, 3 H, CH₃), 1.75 (s, 3 H, CH₃), 1.94–2.91 (m, 4 H, CH₂), 4.23 (q, J = 7.0 Hz, 2 H, OCH₂CH₃), 9.67 (s, 1 H, CHO). Anal. Calcd for C₉H₁₃NO₃: C, 59.33; H, 7.19; N, 7.68. Found: C, 59.63; H, 7.11; N, 7.32.

Ethyl 2,4-dicyano-2,3-dimethylbutanoate (23): IR (neat) 3010 (s), 2280 (m, CN), 1755 (s, C=O), 1470 (m), 1435 (w), 1400 (w), 1380 (w), 1265 (s), 1210 (s), 1110 (m), 1035 (m), 865 (m) cm⁻¹; 1 H NMR (270 MHz, CDCl₃) δ 1.27 (d, J=6.7 Hz, 3 H, CHCH₃ (major diastereomer)), 1.32 (d, J=6.8 Hz, 3 H, CHCH₃ (minor diastereomer)), 1.35 (t, J=7.1 Hz, 3 H, OCH₂CH₃ (major and minor)), 1.62 (s, 3 H, C(CN)CH₃ (minor)), 1.63 (s, 3 H, C(CN)CH₃ (major)), 2.31–2.52 (m, 3 H, CHCH₂ (major and minor)), 4.32 (q, J=7.1 Hz, 2 H, OCH₂CH₃ (major and minor)). Diastereomer ratio was determined by 1 H NMR (270 MHz) analysis. Anal. Calcd for C₁₀H₁₄N₂O₂: C, 61.84; H, 7.27; N, 14.42. Found: C, 61.71; H, 7.22; N, 14.42.

2-Methyl-2-phenylpentanedinitrile (24): IR (neat) 3010 (s), 2960 (s), 2270 (CN, s), 1615 (m), 1505 (s), 1460 (s), 1435 (m), 1400 (m), 1090 (m), 1040 (m), 770 (s), 700(s) cm $^{-1}$; ¹H NMR (CDCl₃, 60 MHz) δ 1.80 (s, 3 H, CH₃), 2.13-2.67 (m, 4 H, CH₂CH₂), 7.23-7.70 (m, 5 H, ArH). Anal. Calcd for C₁₂H₁₂N₂: C, 78.23; H, 6.56; N, 15.20. Found: C, 78.26; H, 6.49; N, 15.04.

2-Cyano-3-methyl-5-oxo-5-phenylpentanenitrile (25): IR (neat) 3050 (m), 3000 (m), 2310 (w, CN), 1740 (s), 1715 (s, C=O), 1680 (m), 1630 (m), 1610 (m), 1515 (w), 1475 (s), 1440 (w), 1400 (s), 1320 (m), 1250 (s), 1210 (m), 1100 (m), 1030 (m), 980 (w), 930 (m), 780 (s), 710 (s) cm⁻¹; 1 H NMR (CDCl₃, 270 MHz) δ 1.40 (d, J = 6.8 Hz, 3 H, CH_3), 2.89 (dtq, J = 6.8, 6.8, 4.5 Hz, 1 H, $CHCH_3$), 3.22 (d, J = 6.8 Hz, 2 H, CH_2COPh), 4.41 (d, J = 4.5 Hz, 1 H, $CH(CN)_2$), 7.46–7.54 (m, 2 H, ArH (meta)), 7.63 (tt, J = 6.6, 1.4 Hz, 1 H, ArH (para)), 7.90–7.99 (m, 2 H, ArH (ortho)); HRMS calcd for $C_{13}H_{12}N_2O$ 212.0950, found 212.0963.

Reaction of Ethyl Cyanoacetate with Benzaldehyde in the Presence of 2,4-Pentanedione Catalyzed by RuH₂(PPh₃)₄ or AcONH₄. The RuH₂(PPh₃)₄-catalyzed reaction of ethyl cyanoacetate (0.236 g, 2.09 mmol), 2,4-pentanedione (0.209 g, 2.09 mmol), and benzaldehyde (0.228 g, 2.15 mmol) was carried out at room temperature for 17 h under argon. GLC analysis showed that cyano ester 7 was obtained as the sole product. After removal of the solvent, the residue was purified by thin layer chromatography (SiO₂, AcOEt:hexane = 1:4), affording 7 (0.350 g, 83%) as a colorless solid.

When an equimolar mixture of ethyl cyanoacetate (5.65 g, 50 mmol) and 2,4-pentanedione (5.00 g, 50 mmol) was allowed to react with benzaldehyde (5.31 g, 50 mmol) in the presence of AcONH₄ (0.150 g, 1.95 mmol) and pyridine (5.5 mL) in boiling toluene (10 mL) for 6 h, cyano ester 7 and 3-benzylidene-2,4-pentanedione (26) were obtained in a ratio of 75:25 (GLC analysis).

Reaction of Ethyl Cyanoacetate with Crotononitrile in the Presence of Nitroethane Catalyzed by $RuH_2(PPh_3)_4$ or Triton B. The $RuH_2(PPh_3)_4$ -catalyzed reaction of ethyl cyanoacetate (0.226 g, 2.0 mmol), nitroethane (0.150 g, 2.0 mmol), and crotononitrile (E:Z=1:8) (0.148 g, 2.2 mmol) was carried out at room temperature for 24 h under argon. GLC analysis of the reaction mixture showed that ethyl 2,4-dicyano-3-methylbutanoate (19) was obtained as the sole product. After removal of the solvent, the residue was purified by thin layer chromatography (SiO₂, CHCl₃) to give 19 (0.232 g, 38%) as a colorless oil.

When an equimolar mixture of ethyl cyanoacetate (0.119 g, 1.0 mmol) and nitroethane (0.081 g, 1.0 mmol) was allowed to react with crotononitrile (E:Z=1:8) (0.075 g, 1.1 mmol) in the presence of Triton B (benzyltrimethylammonium hydroxide 40% aqueous solution) (0.010 g, 0.03 mmol) in 1,4-dioxane (0.25 mL) at room temperature for 24 h, cyano ester 19 and 3-methyl-4-nitropentanenitrile (27) were obtained in a ratio of 5:1 (GLC analysis).

Diethyl 2-Cyano-2-methyl-3-pentenedioate (28). GLC analysis of the reaction mixture showed that the *E:Z* ratio of 28 is 65:35. Thin layer chromatography (SiO₂, CHCl₃:ether = 5:3) gave (*E*)-28 (53%) and (*Z*)-28 (28%) as a yellow oil. (*E*)-28: IR (neat) 3005 (s), 2260 (CN, w), 1740 (C=O, s), 1665 (m), 1460 (m), 1380 (m), 1320 (m), 1240 (m), 1130 (m), 980 (m), 860 (m) cm⁻¹; ¹H NMR (CDCl₃, 60 MHz) δ 1.28 (t, J = 7.0 Hz, 3 H, CH₃), 1.31 (t, J = 7.0 Hz, 3 H, CH₃), 1.91 (s, 3 H, CH₃), 4.22 (q, J = 7.0 Hz, 2 H, CH₂), 4.28 (q, J = 7.0 Hz, 2 H, CH₂), 6.24 (d, J = 15 Hz, 1 H, -CH = C), 6.87 (d, J = 15 Hz, 1 H, -CH = C). (*Z*)-28: IR (neat) 3000 (s), 2260 (CN, w), 1750 (C=O, s), 1720 (C=O, s), 1650 (w), 1455 (m), 1380 (m), 1220 (s),

1135 (s), 1030 (s), 980 (w), 860 (m), 840 (m), 760 (m) cm⁻¹; ¹H NMR (CDCl₃, 60 MHz) δ 1.25 (t, J = 7.0 Hz, 3 H, CH₃), 1.30 (t, J = 7.0 Hz, 3 H, CH₃), 1.85 (s, 3 H, CH₃), 4.23 (q, J = 7.0 Hz, 2 H, CH₂), 4.28 (q, J = 7.0 Hz, 2 H, CH₂), 6.11 (s, 2 H, —CH=CH-).

Ethvl 2-Methyl-2-cvano-5-oxo-3-hexenoate (29). 1H NMR (60 MHz) analysis of the reaction mixture showed that the E:Z ratio of 29 is 50:50. Thin layer chromatography (SiO₂, CH₂Cl₂) gave (E)-29 (46%) and (Z)-29 (44%) as a yellow oil. (E)-29: IR (neat) 3000 (s), 2950 (m), 2255 (CN, w), 1750 (C=O, s), 1710 (C=O, s), 1690 (C=O, s), 1635 (s), 1460 (s), 1430 (m), 1370 (s), 1250 (s), 1125 (s), 1020 (s), 980 (s), 860 (s), 780 (m) cm⁻¹; ¹H NMR (CDCl₃, 60 MHz) δ 1.33 (t, J = 7.0 Hz, 3 H, OCH₂CH₃), 1.78 (s, 3 H, C(CN)CH₃), 2.32 (s, 3 H, $COCH_3$), 4.28 (q, J = 7.0 Hz, 2 H, OCH_2), 6.45 (d, J = 16.0 Hz, 1 H, CH=CHCOMe), 6.76 (d, J = 16.0 Hz, 1 H, CH=CHCOMe); HRMS calcd for C₁₀H₁₃NO₃ 195.0895, found 195.0887. (Z)-29: IR (neat) 3000 (s), 2255 (CN, m), 1740 (C=O, s), 1700 (m), 1620 (m), 1460 (m), 1410 (m), 1380 (m), 1250 (s), 1190 (s), 1135 (s), 1020 (s), 980 (m), 850 (m), 780 (m), 760 (m), 730 (m), 700 (m) cm⁻¹; ¹H NMR (CDCl₃, 60 MHz) δ 1.33 (t, J = 7.0 Hz, 3 H, OCH₂CH₃), 1.83 (s, 3 H, C(CN)-CH₃), 2.27 (s, 3 H, COCH₃), 4.25 (q, J = 7.0 Hz, 2 H, OCH₂), 5.94 (d, J = 11.2 Hz, 1 H, CH = CHCOMe), 6.48 (d, <math>J = 11.2 Hz, 1 H,CH=CHCOMe); HRMS calcd for C₁₀H₁₃NO₃ 195.0895, found 195.0895.

General Procedure for Diastereoselective Michael Reaction of cyano Esters. A mixture of cyano ester 30 (1.0 mmol), olefin (1.1 mmol), and RuH₂(PPh₃)₄ (0.03 mmol) in dry THF (0.25 mL) was stirred at -78 °C for 6 h under argon. The diastereomeric ratio of the product mixture of 31 and 32 was determined by ¹H NMR (500 MHz) analysis focused on the H² protons, which were observed as a diastereomeric pair of doublets. The mixture was purified by thin layer chromatography (SiO₂) to give the Michael adduct. The results are summarized in Table 3.

Methyl $(3R^*,4S^*)-2,4$ -Bis(methoxycarbonyl)-4-cyano-3-methyl-7-oxooctanoate (31a). The relative sterochemical configuration of 31a was established unequivocally by X-ray crystallographic analysis of crystals grown in diisopropyl ether (see supporting information). 31a: mp 107.2 °C; IR (KBr) 2961 (w), 2317 (CN, w), 1740 (C=O, s), 1439 (m), 1377 (m), 1323 (m), 1286 (m), 1259 (m), 1209 (m), 1170 (m), 1124 (w), 1032 (m), 1095 (w), 1003 (w), 935 (w), 837 (w), 781 (w) cm⁻¹; ¹H NMR (CDCl₃, 270 MHz) δ 1.29 (d, J = 7.1 Hz, 3 H, C(CO₂Me)CO₂CH₃), 2.11-2.30 (m, 2 H, CH₂CH₂COMe), 2.17 (s, 3 H, COCH₃), 2.46 (ddd, J = 6.1, 10.0, 17.8 Hz, 1 H, CH₂CHCOMe), 2.71 (ddd, J = 6.1, 10.0, 17.8 Hz, 1 H, CH₂CHCOMe), 2.94 (dq, J =5.1, 7.1 Hz, 1 H, CHCH₃), 3.58 (d, J=5.1 Hz, 1 H, CH(CO₂Me₂), 3.75 (s, 3 H, C(CO₂Me)CO₂CH₃), 3.77 (s, 3 H, C(CO₂CH₃)CO₂Me), 3.83 (s, 3 H, C(CN)CO₂CH₃); 13 C NMR (CDCl₃, 67.9 MHz) δ 205.2 (COMe), 168.1 (C(CO₂Me)CO₂Me)), 168.0 (C(CO₂Me)CO₂Me), 167.4 (C(CN)CO₂Me), 117.0 (CN), 53.5 (C(CO₂Me)₂), 53.1 (C(CN)-CO₂Me), 52.8 (C(CO₂CH₃)CO₂Me) 52.4 (C(CO₂Me)CO₂CH₃), 52.3 (C(CN)CO₂CH₃), 39.0 (CH₂COMe), 38.6 (CHCH₃), 29.8 (COCH₃), 29.4 (CH₂CH₂COMe), 12.8 (CHCH₃). Anal. Calcd for C₁₅H₂₂NO₇: C, 54.87; H, 6.75; N, 4.27. Found: C, 54.95; H, 6.55; N, 4.16.

Ethyl (3R*,4S*)-2,4-bis(ethoxycarbonyl)-4-cyano-3-methyl-7oxooctanoate (31): IR (neat) 3000 (s), 2250 (CN, w), 1740 (C=O, s), 1480 (s), 1450 (s), 1420 (s), 1380 (s), 1100 (s), 1030 (s), 900 (w), 860 (s), 765 (m) cm⁻¹; ¹H NMR (CDCl₃, 500 MHz) δ 1.27 (d, J = 7.1 Hz, 3 H, CHC H_3), 1.30 (t, J = 7.1 Hz, 3 H, C(CO₂Et)CO₂CH₂C H_3) 1.30 (t, J = 7.1 Hz, 3 H, C(CO₂CH₂CH₃)CO₂Et), 1.36 (t, J = 7.1 Hz, 3 H, $C(CN)CO_2CH_2CH_3$), 2.16 (ddd, J = 5.5, 10.5, 14.0 Hz, 1 H, $CHCH_2$ -COMe), 2.16 (s, 3 H, COCH₃), 2.24 (ddd, J = 4.8, 10.5, 14.0 Hz, 1 H, $CHCH_2COMe$), 2.47 (ddd, J = 5.5, 10.5, 17.9 Hz, 1 H, $CH_2CHCOMe$), 2.72 (ddd, J = 4.8, 10.5, 17.9 Hz, 1 H, CH₂CHCOMe), 2.93 (dq, J =4.8, 7.1 Hz, 1 H, CHCH₃), 3.55 (d, J = 4.8 Hz, 1 H, CH(CO₂Et)₂), 4.15-4.26 (m, 4 H, C(CO₂Et)CO₂CH₂CH₃), 4.28 (dq, J = 1.8, 7.1 Hz, 1 H, C(CN)CO₂CH₂CH₃), 4.28 (dq, J = 1.8, 7.1 Hz, 1 H, C(CN)CO₂CH₂-CH₃); ¹³C NMR (CDCl₃, 67.9 MHz) δ 205.4 (COMe), 167.7 (C(CO₂-Et)CO₂Et)), 167.7 (C(CO₂Et)CO₂Et), 167.1 (C(CN)CO₂Et), 117.1 (CN), 63.1 (C(CN)COCH2CH3), 61.9 (C(CO2CH2CH3)CO2Et), 61.6 (C(CO₂Et)CO₂CH₂CH₃), 53.2 (C(CO₂Et)₂), 52.5 (C(CN)CO₂Et), 39.0 (CH₂COMe), 38.5 (CHCH₃), 29.9 (COCH₃), 29.3 (CH₂CH₂COMe), 13.89 (C(CO₂CH₂CH₃)-CO₂Et), 13.85 (C(CO₂Et)CO₂CH₂CH₃), 13.8 (C(CN)CO₂CH₂CH₃), 12.7 (CHCH₃). Anal. Calcd for C₁₈H₂₇NO₇: C, 58.53; H, 7.31; N, 3.90. Found: C, 58.33; H, 7.31; N, 3.90.

Ethyl $(3R^*,4S^*)-2,4$ -bis(ethoxycarbonyl)-4,6-dicyano-3-methylhexanoate (31c): IR (neat) 3000 (s), 2260 (CN, m), 1750 (C=O, s), 1480 (s), 1455 (s), 1400 (s), 1380 (s), 1100 (s), 1030 (s), 905 (w), 860 (m), 785 (w) cm⁻¹; ¹H NMR (CDCl₃, 500 MHz) δ 1.28 (t, J = 7.1 Hz, 3 H, $C(CO_2Et)CO_2CH_2CH_3$), 1.29 (d, J = 7.1 Hz, 3 H, $CHCH_3$), 1.31 $(t, J = 7.1 \text{ Hz}, C(CO_2CH_2CH_3)CO_2Et), 1.38 (t, J = 7.1 \text{ Hz}, 3 \text{ H}, C(CN) CO_2CH_2CH_3$), 2.28 (ddd, J = 5.5, 10.1, 13.7 Hz, 1 H, CHCH₂CN), $2.33 \text{ (ddd, } J = 6.4, 9.5, 13.7 \text{ Hz}, 1 \text{ H, CHCH}_2\text{CN)}, 2.44 \text{ (ddd, } J = 6.4,$ 10.1, 16.7 Hz, 1 H, CH₂CHCN), 2.64 (ddd, J = 5.5, 9.5, 16.7 Hz, 1 H, CH_2CHCN), 2.95 (dq, J = 5.0, 7.1 Hz, 1 H, $CHCH_3$), 3.54 (d, J = 5.0Hz, 1 H, CH(CO₂Et)₂), 4.18-4.28 (m, 4 H, C(CO₂Et)CO₂CH₂CH₃), 4.32 (dq, J = 2.5, 7.3 Hz, 1 H, C(CN)CO₂CH₂CH₃), 4.33 (dq, J = 2.5, 7.3 Hz, 1 H, C(CN)CO₂CH₂CH₃); 13 C NMR (CDCl₃, 67.9 MHz) δ 167.6 (C(CN)CO₂Et), 166.9 (C(CO₂Et)CO₂CH₂CH₃), 166.8 (C(CO₂-CH₂CH₃)CO₂Et), 117.4 (CH₂CN), 116.2 (C(CO₂Et)CN), 63.9 (C(CN)-CO₂CH₂CH₃), 62.2 (C(CO₂CH₂CH₃)CO₂Et), 61.9 (C(CO₂Et)CO₂CH₂-CH₃), 53.1 ($C(CO_2Et)_2$), 52.5 ($C(CN)CO_2Et$), 38.7 ($CHCH_3$), 31.1 (CH₂CH₂CN), 14.0 (CH₂CN), 13.9 (CO₂CH₂CH₃), 12.9 (CHCH₃); HRMS calcd for C₁₇H₂₄N₂O₆ 352.1634, found 352.1579.

Ethyl $(3R^*,4S^*)$ -2,4-bis(ethoxycarbonyl)-4-cyano-3-methyl-7-oxo-7-phenylheptanoate (31d): IR (neat) 3000 (s), 2250 (CN, w), 1750 (C=O, s), 1695 (s), 1590 (w), 1475 (m), 1455 (s), 1410 (w), 1400 (m), 1380 (s), 1360 (m), 1115 (s), 1100 (s), 1065 (m), 1030 (m), 970 (m), 860 (m), 745 (s), 690 (s), 665 (w) cm^{-1} ; ¹H NMR (CDCl₃, 500 MHz) δ 1.28 (t, J = 7.1 Hz, 3 H, C(CO₂Et)CO₂CH₂CH₃), 1.31 (t, J =7.1 Hz, 3 H, $C(CO_2CH_2CH_3)CO_2Et$), 1.34 (d, J = 7.1 Hz, 3 H, $CHCH_3$), 1.35 (t, J = 7.1 Hz, 3 H, C(CN)CO₂CH₂CH₃), 2.38 (ddd, J = 5.7, 10.2, 14.0 Hz, 1 H, CHCH₂COPh), 2.42 (ddd, J = 5.0, 10.1, 14.0 Hz, 1 H, CHCH₂COPh), 3.00 (ddd, J = 5.7, 10.1, 17.4 Hz, 1 H, $CH_2CHCOPh$), 3.01 (dq, J = 5.0, 7.1 Hz, 1 H, $CHCH_3$), 3.27 (ddd, J= 5.0, 10.1, 17.4 Hz, 1 H, $CH_2CHCOPh$), 3.60 (d, J = 5.0 Hz, 1 H, $CH(CO_2Et)_2$), 4.22 (dq, J = 7.3, 2.5 Hz, 1 H, $C(CO_2CH_2CH_3)CO_2Et$), 4.21 (dq, J = 7.3, 2.5 Hz, 1 H, C(CO₂CH₂CH₃)CO₂Et), 4.25 (dq, J =7.3, 2.5 Hz, 2 H, $C(CO_2Et)CO_2CH_2CH_3$), 4.25 (dq, J = 7.3, 2.5 Hz, 2 H, $C(CO_2Et)CO_2CH_2CH_3$), 4.30 (dq, $J = 2.3, 7.1 Hz, 2 H, C(CN)CO_2-100$ CH_2CH_3), 4.30 (dq, J = 2.3, 7.1 Hz, 2 H, $C(CN)CO_2CH_2CH_3$), 7.25-7.95 (m, 5 H, ArH); HRMS calcd for C₂₃H₂₉NO₇ 431.1944, found

Ethyl (3R*,4S*)-2,4-bis(ethoxycarbonyl)-4-cyano-3-ethyl-7-oxo-7-phenylheptanoate (31e): IR (neat) 2982 (s), 2245 (CN, w), 1742 (C=O, s), 1687 (m), 1599 (w), 1466 (m), 1369 (m), 1300 (m), 1238 (s), 1188 (m), 1157 (m), 1113 (m), 1030 (m), 744 (w), 690 (w) cm⁻¹; ¹H NMR (CDCl₃, 500 MHz) δ 1.05 (t, J = 6.8 Hz, 3 H, CHCH₂CH₃), 1.24 (t, J = 7.2 Hz, 3 H, C(CO₂Et)CO₂CH₂CH₃), 1.26 (t, J = 7.0 Hz, 3 H, C(CO₂CH₂CH₃)CO₂Et), 1.30 (t, J = 7.1 Hz, 3 H, C(CN)CO₂-CH₂CH₃), 1.56-2.08 (m, 2 H, CHCH₂CH₃), 2.08-2.65 (m, 2 H, CH₂-CH₂COPh), 2.65-3.27 (m, 2 H, CH₂COPh), 3.61 (d, J = 4.4 Hz, 1 H, CH(CO₂Et)₂), 4.18 (q, J = 7.2 Hz, 2 H, C(CO₂Et)CO₂CH₂CH₃), 4.21 (q, J = 7.0 Hz, 2 H, C(CO₂CH₂CH₃), 7.45-7.50 (m, 2 H, ArH (meta)), 7.56-7.60 (m, 1 H, ArH (para)), 7.93-7.98 (m, 2 H, ArH (ortho)); HRMS calcd for C₂₄H₃₁NO₇ 445.2101, found 445.2071. Anal. Calcd for C₂₄H₃₁NO₇: C, 64.70; H, 7.01; N, 3.14. Found: C, 6 5.57, H,6.73, N, 3.32.

Ethyl (3R*,4S*)-4-(tert-butoxycarbonyl)-4-cyano-2-(ethoxycarbonyl)-3-methyl-7-oxooctanoate (31f): IR (neat) 2984 (m), 2243 (CN, w), 1736 (C=O, s), 1460 (m), 1394 (m), 1371 (s), 1302 (s), 1255 (s), 1226 (s), 1157 (s), 1095 (m), 1030 (m), 837 (w) cm⁻¹; ¹H NMR (CDCl₃, 270 MHz) δ 1.27 (t, J = 7.1 Hz, 3 H, C(CO₂Et)CO₂CH₂CH₃), 1.30 (d, J = 7.3 Hz, 3 H, CHCH₃), 1.32 (t, J = 7.1 Hz, 3 H, C(CO₂- $CH_2CH_3)CO_2Et$, 1.54 (s, 9 H, $C(CH_3)_3$), 2.09 (ddd, J = 5.4, 11.2, 13.8 Hz, 1 H, CHCH₂COMe), 2.18 (s, 3 H, COCH₃), 2.23 (ddd, J = 4.4, 11.2, 13.8 Hz, 1 H, CHCH₂COMe), 2.45 (ddd, J = 5.4, 11.2, 17.6 Hz, CHCOMe), 2.75 (ddd, J = 4.4, 11.2, 17.6 Hz, 1 H, CHCOMe), 2.88 $(dq, J = 3.9, 7.3 \text{ Hz}, 1 \text{ H}, CHCH_3), 3.54 (d, J = 3.9 \text{ Hz}, 1 \text{ H}, CH(CO_2-1))$ Et)₂), 4.15-4.30 (m, 4 H, CO₂CH₂CH₃); ¹³C NMR (CDCl₃, 67.9 MHz) δ 205.6 (COMe), 168.0 (CO₂-t-Bu), 167.2 (CO₂Et), 166.7 (CO₂Et), 117.4 (CN), 85.1 (CO₂C(CH₃)₃), 62.0 (CO₂CH₂CH₃), 61.6 (CO₂CH₂-CH₃), 53.1 (C(CN)CO₂-t-Bu), 52.9 (C(CO₂Et)₂), 39.1 (CHCH₃), 38.7 (CH₂COMe), 30.0 (CH₂CH₂COMe), 29.6 (COCH₃), 27.8 (C(CH₃)₃), 14.0 (CO₂CH₂CH₃), 13.9 (CO₂CH₂CH₃), 12.5 (CHCH₃). Anal. Calcd for C₂₀H₃₁NO₇: C, 60.44; H, 7.86; N, 3.52. Found: C, 60.16; H, 7.72;

Ethyl (3R*,4S*)-4-carbamoyl-4-cyano-2-(ethoxycarbonyl)-3-methyl-7-oxo-7-phenylheptanoate (31g): IR (neat) 3420 (NH, s), 3000 (s),

2250 (CN, w), 1740 (C=O, s), 1685 (C=O, s), 1600 (m), 1475 (m), 1455 (s), 1420 (m), 1375 (s), 1280 (s), 1245 (s), 1220 (s), 1125 (s), 1095 (s), 1040 (s), 975 (m), 860 (w), 750 (s), 690 (m) cm⁻¹; ¹H NMR (CDCl₃, 270 MHz) δ 1.26 (t, J = 7.3 Hz, 3 H, CO₂CH₂CH₃), 1.32 (t, J = 7.3 Hz, 3 H, CHCH₃), 2.30 – 2.50 (m, 2 H, CH₂CO), 2.90 (dq, J = 3.4, 7.3 Hz, 1 H, CHCH₃), 3.02 – 3.27 (m, 2 H, CH₂COPh), 3.64 (d, J = 3.4 Hz, 1 H, CH(CO₂Et)₂), 4.22 (dq, J = 7.3, 12.4 Hz, 2 H, CO₂CH₂CH₃), 4.24 (dq, J = 7.3, 17.6 Hz, 2 H, CO₂CH₂CH₃), 7.43 – 7.99 (m, 5 H, ArH); ¹³C NMR (CDCl₃, 67.9 MHz) δ 197.5 (COPh), 168.6 (CONH₂), 167.8 (CO₂Et), 167.6 (CO₂Et), 136.3 (Ph (para)), 133.5 (Ph (ipso)), 128.7 (Ph (ortho)), 128.1 (Ph (meta)), 119.0 (CN), 62.0 (CO₂CH₂CH₃), 61.8 (CO₂CH₂CH₃), 53.2 (C(CO₂Et)₂), 52.4 (C(CN)-CO₂Et), 38.7 (CHCH₃), 34.3 (CH₂COPh), 30.7 (CH₂CH₂COPh), 14.0 (CO₂CH₂CH₃), 13.9 (CO₂CH₂CH₃), 12.7 (CHCH₃).

Triton B-Catalyzed Reaction of 30 with Olefin. A mixture of 30 (1.0 mmol), olefin (1.1 mmol), and Triton B (0.01 mL) in 1,4-dioxane (0.25 mL) was stirred at room temperature for 24 h under argon. The reaction mixture was acidified with 2 N HCl (20 mL) and extracted with ether. The combined organic layers were washed successively with 2 N HCl solution, water, and saturated NaHCO₃ aqueous solution and dried over MgSO₄. Removal of the solvent gave the Michael adduct as a colorless oil. The diastereomeric ratio of the product was determined by ¹H NMR analysis.

Ethyl 4-Cyano-4-(ethoxycarbonyl)-3-methyl-7-oxooctanoate (34). The RuH₂(PPh₃)₄-catalyzed reaction of diethyl 2-cyano-3-methylpentanedioate (33, diastereomer ratio 50:50) with methyl vinyl ketone was carried out at -78 °C. ¹H NMR (270 MHz) analysis of the reaction mixture showed that the diastereomeric ratio of 34 was 66:34: IR (neat) 3000 (m), 2255 (CN, w), 1740 (C= O, s), 1475 (m), 1450 (m), 1380 (m), 1255 (s), 1190 (s), 1105 (s), 1030 (s), 860 (m) cm⁻¹; ¹H NMR (CDCl₃, 60 MHz) δ 1.17 (d, J = 6.6 Hz, 3 H, CHCH₃), 1.27 (t, J = 7.2 Hz, 3 H, CH₂CO₂CH₂CH₃), 1.34 (t, J = 7.1 Hz, 3 H, C(CN)CO₂-CH₂CH₃), 2.18 (s, 3 H, COCH₃), 1.97–2.97 (m, 7 H, CH₂CH₂COMe, CH(Me)CH₂), 4.21 (q, J = 7.2 Hz, 2 H, CH₂CO₂CH₂CH₃), 4.33 (q, J = 7.1 Hz, 2 H, C(CN)CO₂CH₂CH₃).

General Procedure for the Ruthenium-Catalyzed Sequential Michael Reaction. A mixture of nitrile (1.0 mmol), dialkyl alkylidenecyanomalonate (1.0 mmol), and $RuH_2(PPh_3)_4$ (0.03 mmol) in dry THF (0.25 mL) was stirred at room temperature for 2 h under argon. The solution was cooled to -78 °C, and the second olefin (1.0 mmol) was added dropwise over a period of 1 min. The solution was stirred at -78 °C for 6 h. After removal of the solvent, the residue was purified by thin layer chromatography (SiO₂) to give the Michael adduct. The diastereomeric ratio of the product was determined by ¹H NMR analysis.

Diethyl (1S*,2R*,3R*)-5-Acetyl-1-cyano-4-hydroxy-2-methyl-4cyclohexene-1,3-dicarboxylate (35b). To a solution of 31b (0.375 g, 1.02 mmol) in absolute ethanol (2 mL) was added a solution of sodium ethoxide in ethanol (2.5 M, 0.44 mL) at 0 °C, and the mixture was stirred for 3 h. After usual workup, 35b (0.170 g, 52%) was obtained as a yellow oil: IR (neat) 2984 (s), 2247 (CN, w), 1740 (C=O, s), 1631 (w), 1466 (s), 1392 (s), 1369 (s), 1248 (s), 1182 (s), 1119 (s), 1026 (s), 949 (w), 912 (w), 856 (m), 806 (w) cm⁻¹; ¹H NMR (CDCl₃, 270 MHz) δ 1.11 (d, J = 6.3 Hz, 3 H, CHC H_3), 1.32 (t, J = 7.8 Hz, 3 H, $CHCO_2CH_2CH_3$), 1.38 (t, J = 7.1 Hz, 3 H, $C(CN)CO_2CH_2CH_3$), 2.19 (s, 3 H, COCH₃), 2.72 (dq, J = 6.3, 11.7 Hz, 1 H, CHCH₃), 2.91(dd, J = 1.5, 15.1 Hz, 1 H, C(CN)CH), 3.05 (dd, J = 1.5, 15.1 Hz, 1)H, C(CN)CH), 3.42 (dd, J = 1.5, 11.7 Hz, 1 H, CHCO₂Et), 4.28 (q, J= 7.1 Hz, 2 H, CHCO₂CH₂CH₃), 4.36 (dq, J = 2.0, 7.1 Hz, 2 H, C(CN)CO₂CH₂CH₃), 15.8 (s, 1 H, OH); ¹³C NMR (CDCl₃, 67.9 MHz) δ 198.5 (C=O), 175.5 (C=COH), 169.5 (CO₂Et), 167.7 (CO₂Et), 116.6 (CN), 102.6 (C=COH), 63.5 (CO₂CH₂CH₃), 62.1 (CO₂CH₂CH₃), 53.4(C(CN)CO₂Et), 36.8 (CHCH₃), 34.2 (C(CN)CH₂), 25.3 (CHCH₃), 15.9 (COCH₃), 14.2 (CO₂CH₂CH₃), 14.1 (CO₂CH₂CH₃); HRMS calcd for C₁₆H₂₁NO₆ 323.1369, found 323.1369.

tert-Butyl (1S*,2R*,3R*)-5-Acetyl-1-cyano-3-(ethoxycarbonyl)-4-hydroxy-2-methyl-4-cyclohexene-1-carboxylate (35f). To a solution of 31f (0.137 g, 0.35 mmol) in absolute ethanol (0.6 mL) was added sodium ethoxide in ethanol (2.0 M, 0.2 mL) at 0 °C, and the mixture was stirred for 5 h. After usual workup, 35f (0.075 g, 62%) was obtained as a yellow oil: 1 H NMR (CDCl₃, 60 MHz) δ 1.26 (d, J = 7.0 Hz, 3 H, CHCH₃), 1.30 (t, J = 7.0 Hz, 3 H, CO₂CH₂CH₃), 1.55 (s, 9 H, CO₂C(CH₃)₃), 2.17 (s, 3 H, COCH₃), 2.16–2.85 (m, 1 H, CHCH₃),

2.85–3.17 (m, 2 H, C(CN)C H_2), 3.56 (d, J = 11.6 Hz, 1 H, CHCO₂-Et), 4.40 (q, J = 7.0 Hz, 2 H, CO₂C H_2 CH₃), 15.90 (s, 1 H, C \blacksquare COH); HRMS calcd for C₁₈H₂₅NO₆ 351.1682, found 351.1682.

Triethyl (2S*,3S*,6R*)-3-Cyano-6-hydroxy-2-methyl-1,1,3-cyclohexanetricarboxylate (36). A mixture of 30b (0.299 g, 1.00 mmol), acrolein (0.067 g, 1.19 mmol), and RuH₂(PPh₃)₄ (0.035 g, 0.03 mmol) in dry THF (0.25 mL) was stirred at -78 °C for 6 h under argon. ¹H NMR (500 MHz) analysis focused on H² protons showed that the diastereomeric ratio of 36 (2S*,3S*,6R*):37 (2R*,3S*,6R*) was 95:5. After removal of the solvent, the residue was purified by thin layer chromatography (SiO₂, CHCl₃) to give 36 (0.191 g, 54%) as a yellow oil. 36: IR (neat) 3520 (OH, s), 3000 (s), 2250 (CN, w), 1750 (C=O, s), 1455 (s), 1400 (s), 1380 (s), 1240 (s), 1135 (s), 1100 (s), 1080 (s), 1030 (s), 930 (m), 910 (w), 885 (s), 775 (w), 730 (w) cm⁻¹; ¹H NMR (CDCl₃, 500 MHz) δ 1.30 (t, J = 7.1 Hz, 3 H, OCH₂CH₃), 1.31 (t, J= 7.1 Hz, 3 H, OCH₂CH₃), 1.35 (t, J = 7.1 Hz, 3 H, OCH₂CH₃), 1.37 (d, J = 7.1 Hz, 3 H, CHC H_3), 1.93–2.00 (m, 2 H, H⁴(ax) and H⁵(eq)), 2.16-2.30 (m, 1 H, H⁵(ax)), 2.46 (ddd, J = 12.1, 5.5, 4.8 Hz, 1 H, $H^4(eq)$), 2.92 (br.q, J = 7.1 Hz, 1 H, H^2), 3.84 (br, 1 H, OH), 4.11 (br, 1 H, H⁶), 4.17 (dq, J = 10.8, 7.0 Hz, 1 H, OCHH), 4.25 (dq, J = 7.1, 2.2 Hz, 2 H, OCH₂), 4.25 (dq, J = 7.1, 2.2 Hz, 2 H, OCH₂), 4.27 (dq, $J = 7.2, 3.7 \text{ Hz}, 2 \text{ H}, \text{ OCH}_2$, $4.33 \text{ (dq}, J = 10.9, 7.1 \text{ Hz}, 1 \text{ H}, \text{ OCH}_H$); 13 C NMR (CDCl₃, 67.9 MHz) δ 170.0 (C=O), 169.1 (C=O), 167.2 (C=O), 119.7 (CN), 73.0 (C6OH), 62.8 (OCH₂), 62.5 (OCH₂), 61.9 (OCH_2) , 61.8 (C^1) , 46.5 (C^3) , 41.7 (C^2) , 29.7 (C^4) , 27.3 (C^5) , 14.5 (C²CH₃), 13.9 (CH₃), 13.8 (CH₃); HRMS calcd for C₁₇H₂₅NO₇ 355.1631; found 355.1640. 37: IR (neat) 2984 (m), 2245 (CN, w), 1744 (C=O, s), 1466 (m), 1390 (m), 1259 (s), 1219 (s), 1138 (m), 1114 (m), 1060 (m), 1026 (m), 963 (w), 860 (w) cm⁻¹; ¹H NMR (CDCl₃, 270 MHz) δ 1.28 (t, J = 7.3 Hz, 3 H, OCH₂CH₃), 1.30 (d, J= 7.3 Hz, 3 H, CHC H_3), 1.32 (t, J = 7.3 Hz, 3 H, OCH₂C H_3), 1.33 (t, $J = 7.3 \text{ Hz}, 3 \text{ H}, \text{ OCH}_2\text{C}H_3), 1.82 - 1.99 \text{ (m, 1 H, H}^5\text{(eq)}), 2.10 - 2.22$ (m, 2 H, H⁵(ax)) and H⁴(eq), 2.23–2.36 (m, 1 H, H⁴(ax)), 3.20 (q, J =7.3 Hz, 1 H, CHCH₃), 3.25 (br, OH), 4.26 (q, J = 7.3 Hz, 4 H, OCH₂), 4.29 (q, J = 7.3 Hz, 2 H, OCH₂); ¹³C NMR (CDCl₃, 67.9 MHz) δ 170.7 (C=O), 168.1 (C=O), 167.0 (C=O), 119.6 (CN), 68.1 (C6OH), 62.8 (OCH₂), 62.2 (OCH₂), 61.7 (OCH₂), 60.3 (C¹), 47.0 (C³), 37.0 (C^2) , 27.2 (C^4) , 26.7 (C^5) , 13.9 (OCH_2CH_3) , 13.8 (OCH_2CH_3) , 13.7

mer-Hydrido[[(methoxycarbonyl)methyl]cyano](methyl cyanoacetate)tris(triphenylphosphine)ruthenium(II) (41a). RuH(C_2H_4)-(PPh₃)₂(PPh₂C₆H₄) (4) (0.116 g, 0.126 mmol) was treated with methyl cyanoacetate (0.639 mmol) in THF (1 mL) at room temperature for 4 h to give a yellow solution. Evolution of ethylene (2.73 mL STP, 97% Ru) was observed. After removal of the solvents, the residual brown solid was recrystallized from THF/hexane to give yellow crystals (0.106 g, 69%) containing two molecules of THF. mp 110–115 °C dec. Anal. Calcd for $C_{70}H_{71}N_2O_6P_3Ru$: C, 68.34; H, 5.82; N, 2.28. Found: C, 67.75; H, 5.34; N, 2.34. The structure of 41a was unequivocally determined by X-ray structure analysis. Molar electric conductivity $\Lambda = 0.015 \text{ S cm}^2 \text{ mol}^{-1}$ in THF at 25 °C.

Reaction of $RuH_2(PPh_3)_4$ (3) (0.0682 g, 0.0592 mmol) with methyl cyanoacetate (0.0296 mmol) in THF (3 mL) was carried out at room temperature for 7 h. Workup of the solution gave a yellow solid of **41a** (0.0503 g, 72%) which was identified by IR and NMR analysis.

mer-Hydrido[[(ethoxycarbonyl)methyl]cyano](ethyl cyanoacetate)-tris(triphenylphosphine)ruthenium(II) (41b). Complex 4 (0.150 g, 0.164 mmol) was allowed to react with ethyl cyanoacetate (0.840 mmol) in THF (1 mL) at room temperature for 6 h to give a yellow solution. Ethylene (3.25 mL STP, 89% Ru) was detected. Addition of hexane gave yellow plates at room temperature. Recrystallization from THF/hexane gave yellow crystals (0.155 g, 80%) containing one molecule of THF: mp 120–121 °C dec. Anal. Calcd for $C_{68}H_{67}N_2O_5P_3Ru$: C, 68.85; H, 5.69; N, 2.36. Found: C, 68.51; H, 5.48; N, 2.60. Λ = 0.006 S cm² mol⁻¹ in THF at 25 °C.

Reaction of 3 (0.352 g, 0.305 mmol) with ethyl cyanoacetate (2.99 mmol) in THF (2 mL) was carried out at room temperature for 26 h. A considerable amount of ethyl cyanoacetate (162% Ru) was consumed in the reaction. Workup of the solution gave yellow solid of 41b (0.160 g, 44%). In the reactions of 3, hydrogen gas was detected. However, the amount of H_2 varied in each experiment between 1-36% Ru. Hydrogenation of ethyl cyanoacetate did not take place even in the presence of hydrogen. At present, complete determination of the fate

of the hydrides is unsuccessful, but at least a part of the hydrides was converted to hydrogen gas, giving the product.

mer-Hydrido[[(butoxycarbonyl)methyl]cyano](butyl cyanoacetate)-tris(triphenylphosphine)ruthenium(II) (41c). Complex 4 (0.183 g, 0.200 mmol) was treated with butyl cyanoacetate (0.992 mmol) in THF (1 mL) at room temperature for 6 h to give a yellow solution. Ethylene (4.38 mL STP, 98% Ru) was evolved. After removal of the solvent in vacuo, the residual solid was washed with hexane. Recrystallization from THF/hexane gave yellow crystals (0.217 g, 93%): mp 138–139 °C dec. Anal. Calcd for $C_{68}H_{67}N_2O_4P_3Ru$: C, 69.79; H, 5.77; N, 2.39. Found: C,70.77; H, 5.71; N, 2.57. Λ = 0.006 S cm² mol⁻¹ in THF at 25 °C.

mer-Hydrido(2,4-pentanedionato)tris(triphenylphosphine)-ruthenium(II) (42a). 2,4-Pentanedione (0.215 mmol) was added to a toluene solution (3 mL) of 4 (0.156 g, 0.170 mmol) at room temperature, and the solution was stirred for a day to give a homogeneous brown solution. Addition of hexane and cooling to -20 °C gave yellow needles which were washed with hexane (0.111 g, 66%): mp 220 °C dec. Anal. Calcd for $C_{59}H_{53}O_2P_3Ru$: C, 71.72; H, 5.41. Found: C, 71.36; H, 5.84.

Reaction of 3 (0.0183 g, 0.0159 mmol) with 2,4-pentanedione (0.048 mmol) in C_6D_6 for 2 days gave **42a** in ca. 100% yield. A small amount of hydrogen gas was detected but the amount was not measured. The hydrogenation product of 2,4-pentanedione (4-hydroxy-2-pentanone) was also detected in 80% yield by 1H NMR analysis.

mer-Hydrido(dimethyl malonato)tris(triphenylphosphine)-ruthenium(II) (42b). Dimethyl malonate (0.271 mmol) was added to a toluene solution (3 mL) of 4 (0.166 g, 0.181 mmol) at room temperature, and the solution was stirred for 18 h to give pale yellow precipitates. After filtration, the precipitates were washed with hexane. Recrystallization from toluene/hexane gave yellow fine needles (0.0384 g, 21%): mp 213-216 °C dec. Anal. Calcd for $C_{53}H_{53}O_4P_3Ru$: C, 69.47; H, 5.24. Found: C, 69.59; H, 5.60.

mer-Hydrido(methyl acetoacetonato)tris(triphenylphosphine)-ruthenium(II) (42c). Methyl acetoacetate (0.0240 mmol) was added to a C_6D_6 solution (0.3 mL) of 4 (0.022 g, 0.0240 mmol) at room temperature. After 18 h, ¹H NMR analysis showed the formation of 42c. This complex is characterized by spectroscopy, consisting of two configurational isomers (see text and Table 4).

Hydrido[[(methoxycarbonyl)methyl]cyano]dicarbonylbis-(triphenylphosphine)ruthenium(II) (44a). A C_6D_6 solution (0.3 mL) of 41a (0.0146 g, 0.0119 mmol) in the NMR tube was degassed. Then, carbon monoxide (1 atm) was introduced. After 1 h a pale yellow homogeneous solution was obtained. Formation of an isomeric mixture of 44a (94%) was confirmed and free methyl cyanoacetate (103%) was detected by ¹H NMR analysis. ³¹P{¹H} NMR showed the presence of an equimolar amount of free PPh₃ in solution. Complex 44a was characterized by spectroscopic methods (Table 4). ³¹P{¹H} NMR (toluene- d_8 , external 85% H₃PO₄) isomer A: δ 39.50 (s), isomer B: δ 40.48 (s).

Hydrido[[(ethoxycarbonyl)methyl]cyano]dicarbonylbis-(triphenylphosphine)ruthenium(II) (44b). Carbon monoxide (1 atm) was introduced into a THF solution (2 mL) of 41b (0.131 g, 0.110 mmol). The solution was stirred at room temperature for 20 h to give a pale yellow homogeneous solution. After removal of all the volatile compounds, the residual solid was recrystallized from THF/hexane to give crystals of an isomeric mixture of 44b (0.0367 g, 42%): mp 215–216 °C dec. Anal. Calcd for C₄₃H₃₇NO₄P₂Ru: C, 64.55; H, 4.72; N, 1.68. Found: C, 64.98; H, 4.69; N, 1.76. $\Lambda = 0.005$ S cm⁻¹ mol⁻¹. Complex 44b was characterized by spectroscopic methods (Table 4). ³¹P{¹H} NMR (toluene- d_8 , external 85% H₃PO₄) isomer A δ 39.31 (s), isomer B δ 40.27 (s).

Reaction of 41b with Ethyl Cyanoacetate- d_2 . To a solution of **41b** (6.2 mg, 0.0052 mmol) in C_6D_6 was added ethyl cyanoacetate- d_2 (0.0147 mmol), which was prepared by the reaction of ethyl cyanoacetate with deuterium oxide in THF (yield 58%, deuterium content at methylene protons was 79%). The solution was left at room temperature for 24 h. ¹H NMR analysis of the solution revealed that 49% of the methine proton and 65% of the methylene protons were deuterated, while no hydride was deuterated under the reaction conditions.

Protonolysis of 41a with Hydrogen Chloride. Hydrogen chloride gas (0.81 mmol) was added to a THF solution (1 mL) of 41a (0.0317

g, 0.0257 mmol), and the solution was stirred for 19 h at room temperature to give a homogeneous red solution. A quantitative amount of hydrogen gas (0.60 mL STP, 104% Ru) was evolved, and methyl cyanoacetate (0.0320 mmol, 124% Ru) was detected by GLC analysis. After removal of the solvent in vacuo, the residual red solid was recrystallized from THF/hexane to afford red crystals of Ru₂Cl₄(NCCH₂-COOMe)(PPh₃)₄ THF (**43a**) (0.0120 g, 60%): mp 250–251 °C; IR (KBr) 2269, 1753 cm⁻¹. Anal. Calcd for C₈₀H₇₃NO₃Cl₄P₄Ru₂: C, 61.42; H, 4.70; N, 0.90; Cl, 9.07. Found: C, 61.81; H, 5.06; N, 1.12; Cl, 9.89.

Protonolysis of 41b with Hydrogen Chloride. Hydrogen chloride gas (0.81 mmol) was added to a THF solution (1 mL) of 41b (0.0388 g, 0.0327 mmol), and the solution was stirred for 21 h at room temperature to give a homogeneous red solution. A quantitative amount of hydrogen gas (0.58 mL STP, 79% Ru) was evolved, and ethyl cyanoacetate (0.0419 mmol, 128% Ru) was detected by GLC analysis. After removal of the solvent in vacuo, the residual yellow brown solid was recrystallized from THF/hexane to afford red crystals of Ru2-Cl₄(NCCH₂COOEt)(PPh₃)₄•THF (**43b**) (0.0232 g, 90%): mp 223-224 °C; IR (KBr) 2269, 1747 cm⁻¹. ¹H NMR spectrum of the crystals of 43b indicated the presence of one THF molecule in 43b; ¹H NMR (CDCl₃, 200 MHz) δ 1.15 (t, J = 7.4 Hz, Me), 2.97, 3.10 (AB quartet, $J = 18.4 \text{ Hz}, \text{CH}_2$, 4.12 (q, $J = 7.4 \text{ Hz}, \text{OCH}_2$), 6.8-8.3 (m, PPh₃); ³¹P{¹H} NMR (CDCl₃, external 85% H₃PO₄) δ 51.01 (d, J = 37.7 Hz) 47.46 (d, J = 29.1 Hz), 47.25 (d, J = 37.7 Hz), 44.29 (d, J = 29.1Hz). Anal. Calcd for C₈₁H₇₅NO₃Cl₄P₄Ru₂: C, 61.64; H, 4.79; N, 0.89; Cl, 8.99. Found: C, 61.17; H, 5.00; N, 0.95; Cl, 9.54.

Protonolysis of 44b with Hydrogen Chloride. Hydrogen chloride gas (1.62 mmol) was added to a THF solution (1 mL) of **44b** (0.156 g, 0.197 mmol), and the solution was stirred for 19 h at room temperature to give a red homogeneous solution. Hydrogen gas (1.60 mL STP, 36% Ru) was evolved, and ethyl cyanoacetate (0.266 mmol, 135% Ru) was detected by GLC analysis. After removal of the solvent in vacuo, the residual colorless solid was recrystallized from THF/hexane to afford colorless crystals of *cis*-RuCl₂(CO)₂(PPh₃)₂ (0.0375 g, 25%): IR (KBr) 2042, 1978, (CHCl₃) 2059, 1996 cm⁻¹. Anal. Calcd for C₃₈H₃₀O₂-Cl₂P₂Ru: C, 60.05; H, 4.02. Found: C, 60.01; H, 4.07.

Reaction of 41a or 41b with Methyl Iodide. Typically, methyl iodide (0.0225 mmol) was added to a C_6D_6 solution (0.3 mL) of 41a (0.0112 g, 0.0091 mmol), and the solution was allowed to stand for 6 h at room temperature to give a homogeneous purple solution. Methyl cyanoacetate (0.0764 mmol, 84% Ru) and methyl 2-cyanopropanoate (0.0875 mmol, 96% Ru) were detected by ¹H NMR analysis. The resulting ruthenium product was found to be RuHI(PPh₃)₃⁴⁰ (8.8 mg, 95%). Similarly, the reaction of 41b (0.0927g, 0.0781 mmol) with methyl iodide (0.0964 mmol) in THF (2 mL) at room temperature for 4 h gave ethyl cyanoacetate (67% Ru) and ethyl 2-cyanopropanoate (0.0952 mmol, 122% Ru) along with RuHI(PPh₃)₃ (28%). IR (KBr): 2032 (ν_{RuH}) cm⁻¹; ¹H NMR (C₆D₆, 200 MHz): δ -14.6 (q, J = 24.5 Hz).

Reaction of 42a with Methyl Iodide. Methyl iodide (0.049 mmol) was added to a C_6D_6 solution (0.3 mL) of 42a (9.8 mg, 0.0099 mmol), but no reaction took place after at least 10 h at room temperature. After 1 week a trace amount of methane was released, and in solution 2,4-pentanedione was detected in 48% yield. Colorless crystals were also formed during the reaction and were identified as methyltriphenylphosphonium iodide by its IR spectrum. Yield was not measured.

Reaction of 41a, 41b, 42a, or 44b with Benzaldehyde. Benzaldehyde (0.010 mmol) was added to a THF solution of 41b (5.0 mg, 0.0020 mmol), and the solution was stirred at room temperature. The solution was periodically analyzed by GLC. After 1 h, benzaldehyde was consumed in 110% yield per mol of 41b, and product 7 was detected in 8% yield. The yield of the latter gradually increased to 40% in 10 h. Addition of ethyl cyanoacetate to the resulting solution increased the yield of 7 to 79%.

The reaction of benzaldehyde (0.0139 mmol) with **41b** (7.0 mg, 0.0059 mmol) in C_6D_6 was monitored by measuring the NMR spectra periodically. After 7 days, product 7 was detected (0.00953 mmol, 161% Ru). The following reactions were performed analogously. From **41a** (6.3 mg, 0.0051 mmol) and benzaldehyde (0.012 mmol), 0.0055 mmol (108%) of (*E*)-methyl 2-cyano-3-phenyl-2-propenoate was obtained after 6 days. From **44b** (13.1 mg, 0.0165 mmol) and

benzaldehyde (0.325 mmol), 0.0129 mmol (78%) of 7 was obtained after 7 days. Complex **42a** did not react at all with benzaldehyde at room temperature.

Reaction of 41b or 44b with Acrylonitrile. Acrylonitrile (1.53 mmol) was added to a THF solution of complex 41b (0.171 g, 0.144 mmol), and the solution was stirred for 18 h at room temperature. After removal of volatile compounds in vacuo, the residue was extracted with diethyl ether. Dryness of the solution gave ethyl 2-(2'-cyanoethyl)-2,4-dicyanobutanoate (17) (0.0650 mmol, 45% Ru). From 44b (0.0192 g, 0.0242 mmol) and acrylonitrile (0.107 mmol), no product 17 was detected after a week.

Catalytic Aldol and Michael Reactions Promoted by Ruthenium Enolate Complexes. Ethyl cyanoacetate (0.654 mmol) was added to a solution of the catalyst (1-6 mol %) in THF (1.0 mL) under a nitrogen atmosphere. Then, benzaldehyde (1.1-1.2 equiv) was added using a microsyringe. After the reaction, the solution was evaporated to dryness and the residual oil was dissolved in C_6D_6 . The yields of 7 were determined by ¹H NMR analysis using an internal standard (dioxane). The results with various catalysts are as follows: 3 (52%), 4 (67%), 41b (57%), 44b (26%), PPh₃ (6%). The reaction of ethyl cyanoacetate with acrylonitrile (2.2-2.3 equiv) was carried out under similar reaction conditions. The yields of 17 are determined by ¹H NMR analysis. The results are as follows: 3 (86%), 4 (100%), 41b (90%), 44b (59%).

Kinetics. A settled amount of catalyst and ethyl cyanoacetate were placed in a 20 mL Schlenk tube under nitrogen and dissolved in THF (5.00 mL). Dibenzyl was added as an internal standard, and the solution was kept at 50 ± 1 °C by a thermostatted oil bath. Benzaldehyde was added by a microsyringe to start the reaction. The amounts of benzaldehyde employed were always smaller than one-tenth of ethyl cyanoacetate to ignore the concentration change of ethyl cyanoacetate during the reaction. Yields of 7 were estimated periodically by GLC using a PEG-20M capillary column. Though the reactions finally proceeded to 80-90% yields in most of the reactions, saturation of the time—yield curve was observed when the concentrations of the catalysts were very small. First-order plots of the reactions gave straight lines at least in the initial 20-50% of the reactions, where the first-order rate constants were estimated.

X-ray Structure Analysis of 41b. A crystal suitable for X-ray analysis was sealed in a thin glass capillary under nitrogen. Intensity data were collected at room temperature on a Rigaku AFC-5R fourcircle diffractometer. The ω -2 θ data collection technique was used, and 15947 data were collected to a maximum 2θ of 50°. Absorption corrections were not applied. The structure was solved by a heavy atom method. The non-hydrogen atoms except for C(23), C(46), C(48), C(53), C(57), and C(58) were refined anisotropically. The structure was refined by full matrix least-squares techniques using the teXsan crystallographic software package. One THF molecule included in the crystal was not determined because of its high disorder of the atoms. Hydrogens were included in the calculation but they were not refined. The final $R(R_w)$ factor is 9.9 (9.9)% for 5892 reflections ($|F_o| \ge 5\sigma |F_o|$), where $R = \Sigma[|F_0| - |F_c|] / \Sigma |F_0|$ and $R_w = \{\Sigma(w[|F_0| - |F_c|]^2\}$ $(|F_0|)^2$ The highest peak in the final difference Fourier map had a height of 1.04 e/Å³. The structure factor table, final positional data, iso and anisotropic thermal parameters, bond distances and lengths with F_0/F_c Table are available as the supporting information.

Acknowledgment. This work was supported by a Grant-in-Aid for Scientific Research on Priority Area of Reactive Organometallics (Grants 05236104 and 05236106) from the Ministry of Education, Science and Culture, Japan.

Supporting Information Available: Crystal structure data for 31a and 41b including the final positional data, iso- and anisotropic thermal parameters, and bond distances and lengths (29 pages); tables of observed and calculated structure factors (44 pages). This material is contained in many libraries on microfiche, immediately follows this article in the microfilm version of the journal, can be ordered from the ACS, and can be downloaded from the Internet; see any current masthead page for ordering information and Internet access instructions.

JA952530E