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# Synthesis and Biological Evaluation of Ginsenoside Compound

## K Analogues as a Novel Class of Anti-asthmatic Agents

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### ABSTRACT

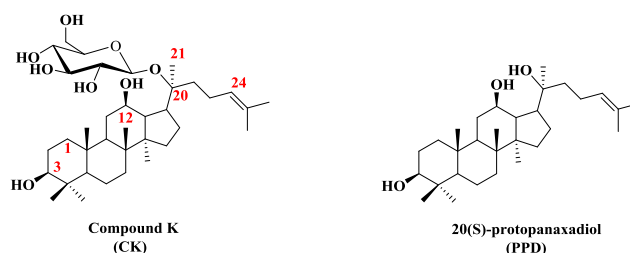
Ginsenoside Compound K (CK) showed potent activity against IgE for the treatment of asthma. A series of CK analogues were then synthesized by straightforward procedures. The *in vivo* anti-IgE activity evaluations using the OVA-induced asthmatic mouse model revealed preliminary SARs of the CK analogues, which showed that the sugar type, modifications on A-ring and the C20 side chain of CK all affected much on the activities. Primary SARs optimization led to the discovery of compounds **T1**, **T2**, **T3**, **T8** and **T12**, which displayed superior or comparable anti-asthmatic effects (IgE value =  $1237.11 \pm 106.28$ ,  $975.82 \pm 160.32$ ,  $1136.96 \pm 121.85$ ,  $1191.08 \pm 107.59$  and  $1258.27 \pm 148.70$  ng/mL, respectively) in comparison with CK ( $1501.85 \pm 184.66$  ng/mL). These potent compounds could serve as leads for further development.

**Keywords:** Ginsenoside Compound K, asthma, glycosylation, saponin, IgE

Asthma is a common, chronic inflammatory disease, characterized by lung inflammation, airway hyperresponsiveness (AHR) and mucus hypersecretion.<sup>1</sup> It is considered to be an important public health issue which affects more than 300 million people with a strong personal, social and economic impact.<sup>2</sup> However, there is no cure developed for asthma so far, which invariably relapse on ceasing the treatment.<sup>3</sup> The goal of asthma treatment is to achieve good control of symptoms, reduce exacerbations and improve quality of life. Now the Inhaled corticosteroids is still the pharmacotherapeutic cornerstone,<sup>4</sup> while systemic exposure to corticosteroids suffers from some adverse effects, including diabetes, hypertension, myopathies, and osteoporosis.<sup>5</sup> Therefore, it is necessary to find new drugs for the treatment of asthma. Allergic inflammation in asthma is associated with T-helper (Th2) cells and their cytokines (IL-4, -5, and -13). Both IL-4 and IL-13 induce the secretion of IgE by B cells, which mediates the type 1 hypersensitivity reactions and the orchestration of local chronic allergic inflammation.<sup>6</sup> 80% of childhood asthma and more than half of adult asthma were potentially mediated by IgE.<sup>7</sup> And anti-IgE omalizumab has been successfully used for more than 10 years in the treatment of asthma.<sup>8</sup> These facts validate IgE as an ideal target in the treatment of asthma.

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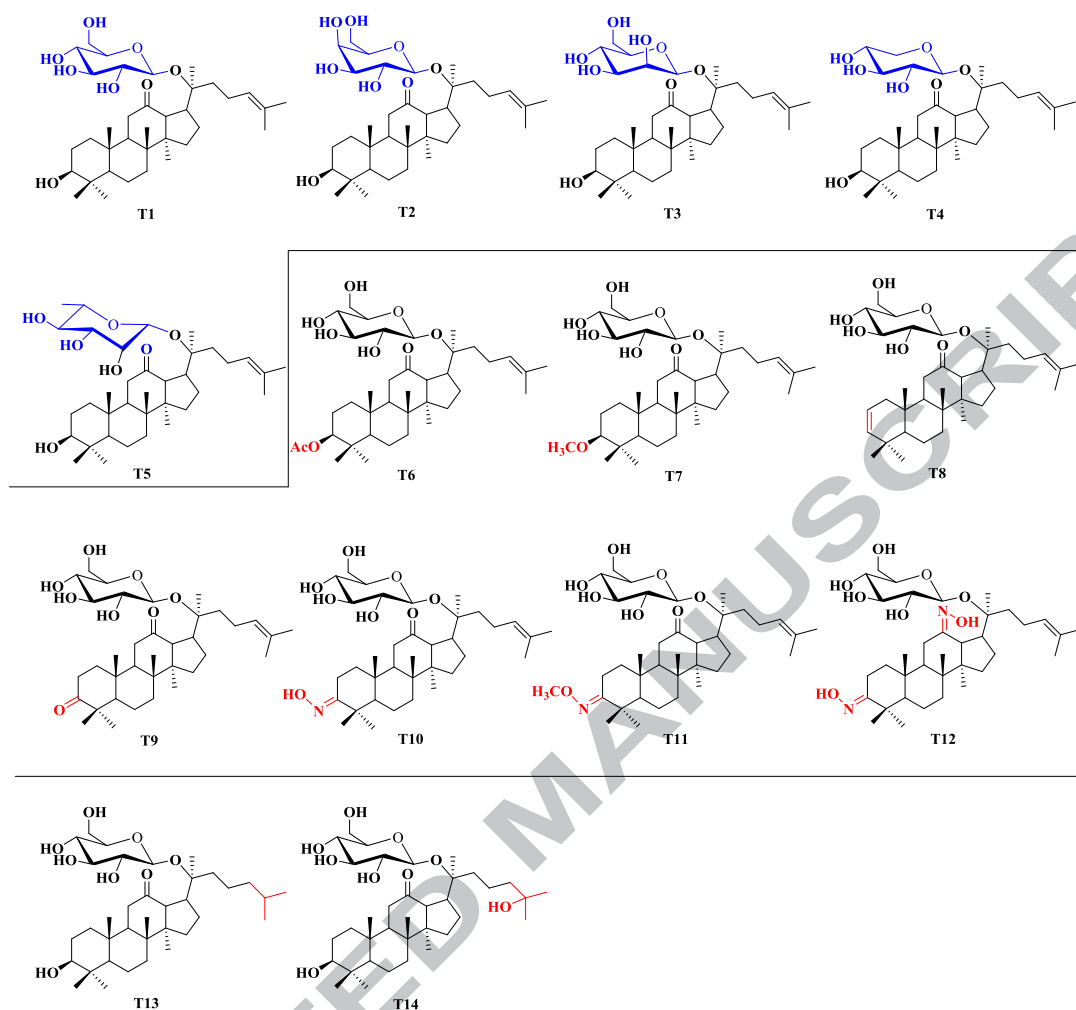
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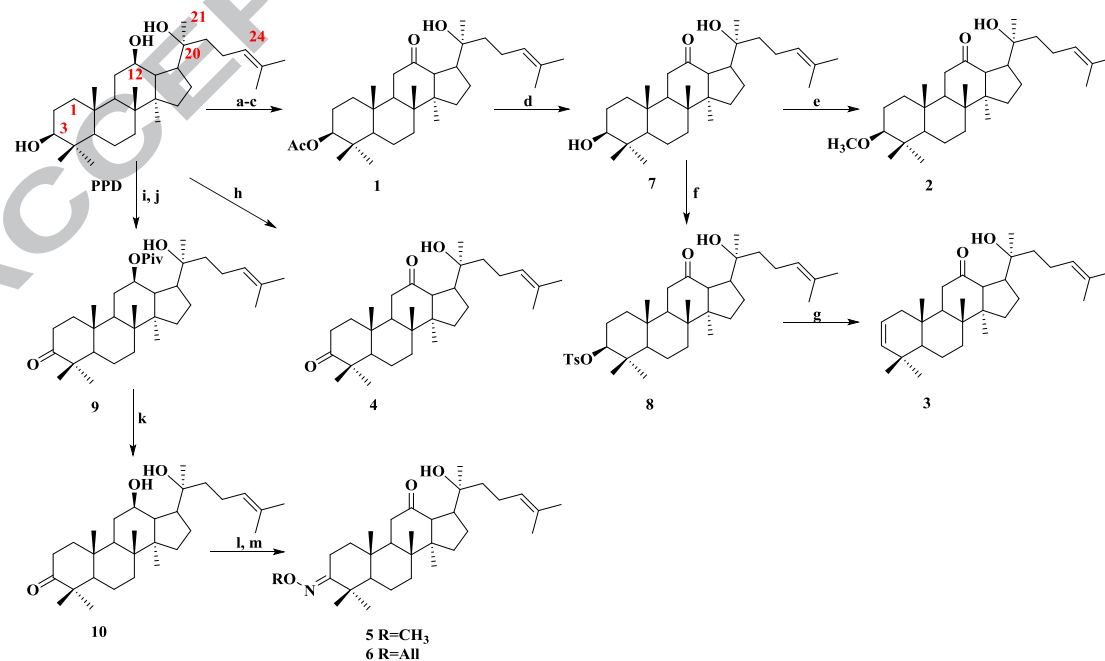
**Figure 1.** The structures of compound K and 20S-protopanaxadiol

The current anti-IgE drugs are all biological agents, while there is no small molecule one reported. Fortunately, we recently discovered that a saponin, Ginsenoside Compound K (CK) (Figure 1), could significantly reduce IgE level in the serum and decrease the airway resistance on OVA (ovalbumin)-induced mouse model with asthma. CK, one of the active metabolites of *Panaxnotoginseng* saponins, has been reported to exhibit potent anti-inflammatory effect.<sup>9, 10</sup> In 2008, Yang *et al* reported that CK was a novel agonist of glucocorticoid receptor, which could compete with the synthetic glucocorticoid dexamethasone for binding to glucocorticoid receptor and activated glucocorticoid responsive element-containing reporter plasmids in a dose-dependent manner.<sup>11</sup> In addition, Ling *et al* reported that elevating glucocorticoid receptor level and promoting glucocorticoid receptor translocation by increasing the glucocorticoid effect may be the common mechanism of ginsenosides effects.<sup>12</sup> The potent anti-asthmatic mechanism of CK and its analogous may be related to glucocorticoid receptor. These findings encouraged us to make a systematic structure and activity relationship (SAR) studies on the potential effects of the CK analogues as anti-asthmatic agents. Herein we described our efforts on this project.

A series of CK analogues that all with a sugar head were designed as shown in Figure 2. To show if the sugar type plays a role on the activity, **T1-T5** that bearing different sugar rings were prepared. In addition, it's also easy to modify on the 3-OH, 12-OH and C20 side chain of CK, resulting designed compounds **T6-T14**. We believe that the syntheses and evaluations of these CK analogues would give us a preliminary but useful body of knowledge to tell if these kinds of compounds are worthy of further studies.



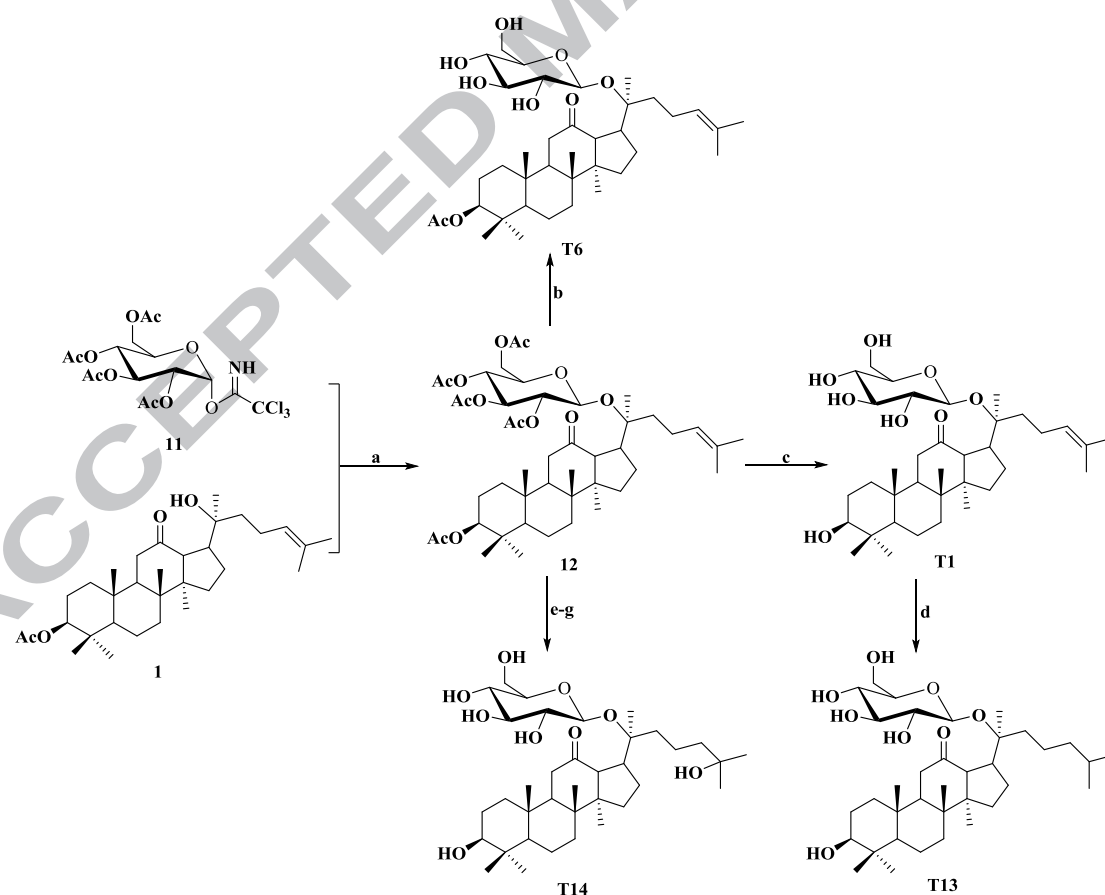
**Figure 2.** Designed CK analogues for biological evaluations.



**Scheme 1.** Synthesis of aglycones 1-6. Reagents and conditions: (a) Ac<sub>2</sub>O, DMAP, pyridine; (b)

NaOCH<sub>3</sub>, CH<sub>3</sub>OH-CH<sub>2</sub>Cl<sub>2</sub>; (c) PDC, Ac<sub>2</sub>O, CH<sub>2</sub>Cl<sub>2</sub>, 49% for three steps; (d) NaOCH<sub>3</sub>, CH<sub>3</sub>OH-CH<sub>2</sub>Cl<sub>2</sub>, 50 °C, 96%; (e) CH<sub>3</sub>I, NaH, DMF, 78%; (f) 4-Toluene sulfochloride, CH<sub>2</sub>Cl<sub>2</sub>, pyridine, 80 °C, 88%; (g) LiBr, Li<sub>2</sub>CO<sub>3</sub>, DMF, 153 °C, 84%; (h) PDC, Ac<sub>2</sub>O, CH<sub>2</sub>Cl<sub>2</sub>, 61%; (i) PivCl, Et<sub>3</sub>N, CH<sub>2</sub>Cl<sub>2</sub>; (j) PDC, Ac<sub>2</sub>O, CH<sub>2</sub>Cl<sub>2</sub>; (k) NaOCH<sub>3</sub>, CH<sub>3</sub>OH-CH<sub>2</sub>Cl<sub>2</sub>, 50 °C, 40% for three steps; (l) O-Methylhydroxylamine hydrochloride or O-Allylhydroxylamine hydrochloride, pyridine, 80 °C; (m) PDC, Ac<sub>2</sub>O, CH<sub>2</sub>Cl<sub>2</sub>, 46% for two steps for **5**, 65% for two steps for **6**.

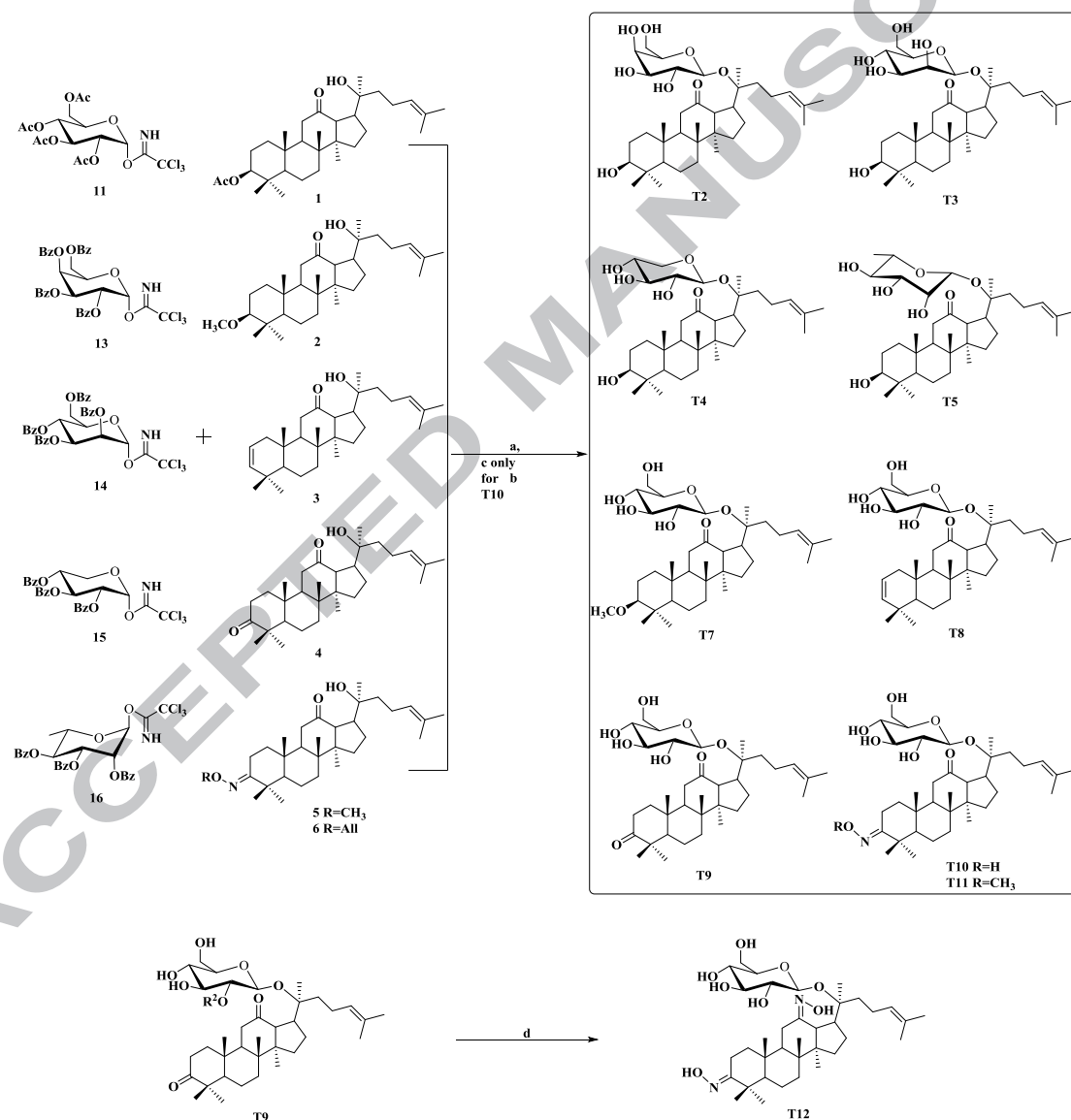
Our synthetic work commenced from (20*S*)-protopanaxadiol (PPD) which is much cheaper than CK and is more flexible to be modified. Scheme 1 described the syntheses of several aglycons that modified on the 3-OH of A-ring (compounds **1-6**). The common feature of compounds **1-6** is that they all have the 12-keto group, which is the key for the successful 20-OH glycosylation in the later stage. First, PPD was transformed into compound **1** by blocking the 3-OH with an acetyl group followed by oxidation of the 12-OH.<sup>13</sup> Replacing of the 3-*O*-acetyl group by methyl afforded compound **2**. Compound **7** was selectively tosylated on 3-OH, which was then eliminated to provide compound **8**. On the other hand, blocking of the 12-OH of PPD first with pivaloyl (Piv) followed by oxidation afforded compound **9**. Removal of the Piv group gave **10**. The 3-keto group of **10** further reacted with *O*-methylhydroxylamine or *O*-allylhydroxylamine to provide oxime derivative **5** or **6**, respectively.<sup>14</sup>



**Scheme 2.** Synthesis of compounds **T1**, **T6**, **T13** and **T14**. Reagents and conditions: (a) TMSOTf, CH<sub>2</sub>Cl<sub>2</sub>, -40 °C; (b) NaOCH<sub>3</sub>, CH<sub>3</sub>OH-CH<sub>2</sub>Cl<sub>2</sub>, rt, 81% for two steps; (c) NaOCH<sub>3</sub>, CH<sub>3</sub>OH-CH<sub>2</sub>Cl<sub>2</sub>, 50 °C, 75% for two steps; (d) Pd-C, H<sub>2</sub>, CH<sub>3</sub>OH, 61%; (e) NBS, H<sub>2</sub>O, THF; (f)

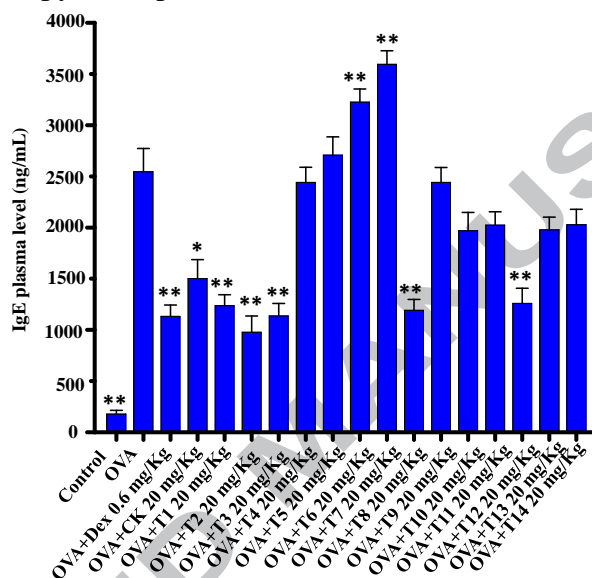
Pd-C, H<sub>2</sub>, DIEA, EtOAc; (g) NaOCH<sub>3</sub>, CH<sub>3</sub>OH-CH<sub>2</sub>Cl<sub>2</sub>, 50 °C, 37% for four steps.

With the six aglycones in hand, the final products were synthesized according to the procedures as depicted in Schemes 2-3. The preparations of target compounds **T1**, **T6**, **T13** and **T14** were shown in Scheme 2. Glycosylation of **1** with 2,3,4,6-tetra-*O*-acetyl- $\alpha$ -D-glucopyranosyl trichloroacetimidate **11** catalyzed by TMSOTf led to **12** in a good yield.<sup>15</sup> Treated **12** with sodium methoxide in MeOH at room temperature only afforded compound **T6** with 3-*O*-acetyl intact, while prolonged reaction time at 50 °C further cleaved the 3-*O*-acetyl group, resulting **T1**. Hydrogenation of the double bond of **T1** gave **T13**. Treatment of **12** with NBS in water/THF mixture, debromination with Pd-C/H<sub>2</sub>, and removal of all acetyl groups provided **T14**.



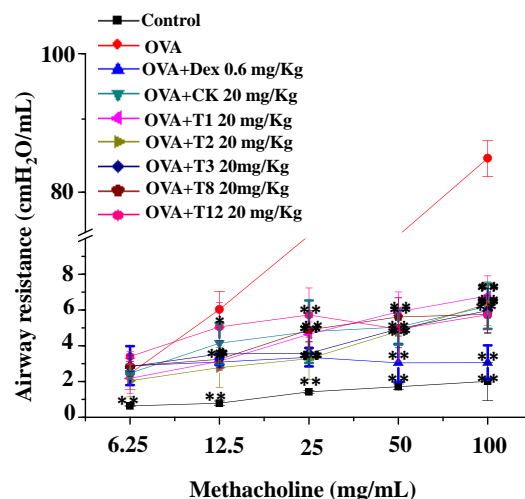
**Scheme 3.** Synthesis of compounds **T2**-**T12**. Reagents and conditions: (a) TMSOTf, CH<sub>2</sub>Cl<sub>2</sub>, -40 °C-0 °C; (b) NaOCH<sub>3</sub>, CH<sub>3</sub>OH-CH<sub>2</sub>Cl<sub>2</sub>, 50 °C, 70% for two steps for **T2**, 66% for two steps for **T3**, 63% for two steps for **T4**, 67% for two steps for **T5**; 52% for two steps for **T7**, 80% for two steps for **T8**, 39% for two steps for **T9**, 59% for two steps for **T11**; (c) Pd(OAc)<sub>2</sub>, PPh<sub>3</sub>, EtOH-H<sub>2</sub>O, 40% for three steps; (d) Hydroxylamine hydrochloride, pyridine, 80 °C, 70%.

As shown in scheme 3, the syntheses of **T2-T11** are straightforward. In detail, aglycone **1** was glycosylated by 2,3,4,6-tetra-*O*-benzoyl- $\alpha$ -D-galactopyranosyl trichloroacetimidate **13**, or the corresponding mannosyl, xylosyl and rhamnosyl type donor (**14-16**), providing **T2**, or **T3-T5**, respectively.<sup>16, 17</sup> On the other hand, when trichloroacetimidate **11** was employed as the glycosyl donor to react with aglycone **2-6**, the expected glycosides were all furnished in good yields. Removal the acyl protecting groups with sodium methoxide and allyl group with Pd(OAc)<sub>2</sub> (if needed) gave the designed CK analogues **T7-T11**. Subsequent condensation of **T9** with hydroxylamine hydrochloride in pyridine provided **T12**.



**Figure 3.** *In vivo* efficacies of all compounds on IgE level in plasma. CK and its analogues were orally administered daily for 7 consecutive days, Dex was orally given as a positive control. IgE level was measured 24 hours after the final OVA challenge. Results are expressed as the mean  $\pm$  SEM (n = 5/group). SEM, standard error of the mean. \* $p < 0.05$ , \*\* $p < 0.01$  compared to OVA group.

The synthesized CK analogues were evaluated for their *in vivo* efficacies in mice that had been sensitized to an allergen (OVA).<sup>18, 19</sup> Dexamethasone acetate (Dex) was selected as the positive control. The results are summarized in Figure 3 (See details in ESI). It is worth to mention that compound **T1** with 12-carbonyl group exhibited enhanced potency of reducing IgE level in comparison with CK. Comparison of the activities of **T1-T5** bearing different sugar types revealed that the sugar moiety do play an important role. The galactopyranosyl and mannopyranosyl containing compounds **T2** and **T3** exhibited better activities than the glucopyranoside **T1**. While the rhamonopyranosyl and xylopyranosyl containing compounds **T4** and **T5** showed almost no anti-IgE effect. In addition, modifications on A-ring also led to different activities. 3-*O*-acetyl and 3-*O*-methoxyl compounds **T6** and **T7** showed obviously increased effect on the IgE, while 3-keto (**T9**) and 3-oxime (**T10** and **T11**) analogues almost lost the activity. The  $\Delta^3$  (**T8**) and dioxime (**T12**) compounds played a similar activity to CK. Furthermore, the importance of the double bond on the C20 side chain of CK was clearly revealed. Compounds **T13** and **T14** both displayed very weak anti-IgE activity.



**Figure 4.** *In vivo* efficacies of some representative compounds on AHR to methacholine. Results are expressed as the mean  $\pm$  SEM ( $n = 5/\text{group}$ ). SEM, standard error of the mean. \* $p < 0.05$ , \*\* $p < 0.01$  compared to OVA group.

Airway hyperresponsiveness (AHR) is referred as one of the major hallmark features of asthma. Therefore, decreasing airway resistance is one of the goals to treat asthma.<sup>20</sup> Methacholine was used to induce AHR. The bronchomotor response to methacholine leads to contraction of distal airways and focal airway closure in select lung territories. As shown in Figure 4 (See details in ESI), the OVA group developed significantly greater airway resistance than the control group at methacholine doses of 25, 50 and 100 mg/mL. Based on the above anti-IgE evaluation, compounds **T1**, **T2**, **T3**, **T8**, **T12** as well as CK were selected to conduct the AHR experiment. To our delight, all of these compounds (20 mg/Kg) remarkably decreased airway resistance in mice as efficacious as 0.6 mg/Kg of DEX.

In summary, CK was first discovered to show potent activity against IgE for the treatment of asthma. A series of CK analogues were synthesized by straightforward procedures. The synthesized compounds were evaluated *in vivo* on the anti-IgE activities using OVA-induced asthmatic mouse model and the *in vivo* airway hyperresponsiveness experiment. We found that compounds **T1**, **T2**, **T3**, **T8** and **T12** led to a significant decrease in IgE and airway resistance. Mode of action and further optimization of this novel class of anti-asthmatic agents are currently under investigation.

## Acknowledgements

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## Supplementary data



Supplementary data is available on the publishers' web site along with the published article.

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#### Highlights

1. CK showed potent activity against IgE for the treatment of asthma.
2. Fourteen CK analogues were synthesized and evaluated for their *in vivo* anti-asthmatic activity.
3. Their structure-activity relationships were discussed.
4. Five compounds exhibited excellent anti-asthmatic effects.

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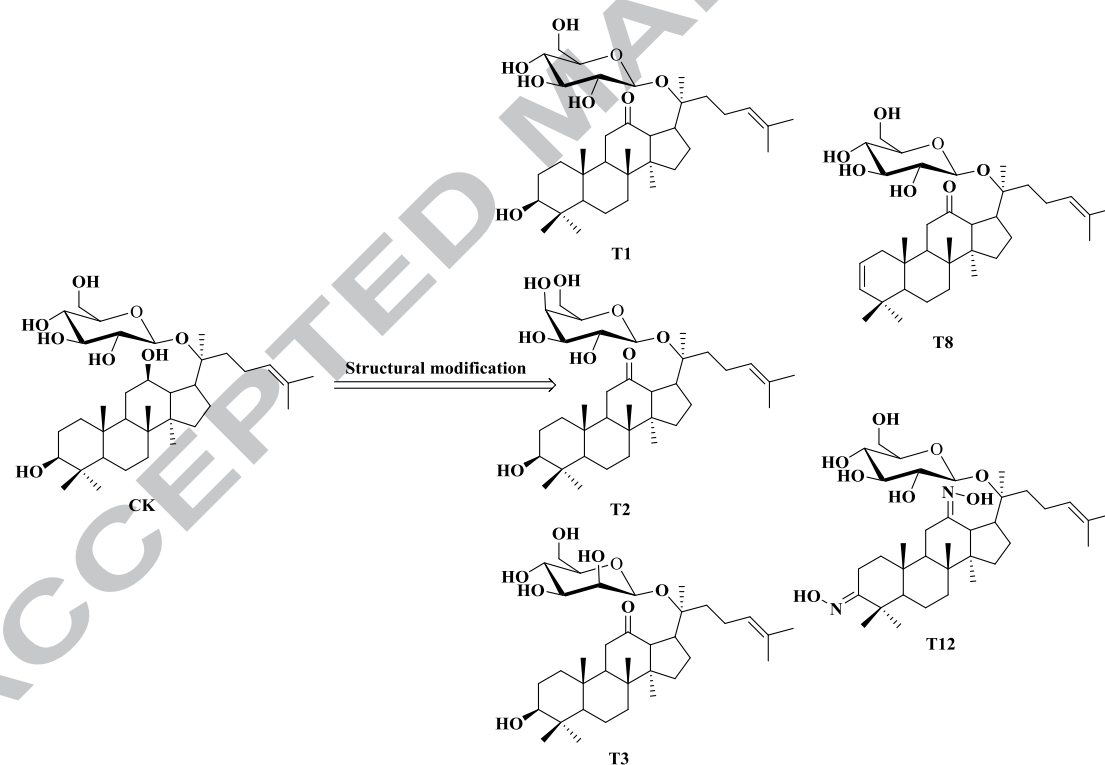
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