

One-Pot Palladium-Catalyzed Carbonylative Sonogashira Coupling using Carbon Dioxide as Carbonyl Source

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Carbonylation coupling reaction has emerged as a powerful and versatile strategy for the construction of carbonyl-containing compounds in modern synthetic chemistry over the past years. Carbon dioxide, a renewable one carbon molecule, has become one of the most attractive and promising alternative carbonyl sources due to its highly abundance, nontoxicity and stability in comparison with CO in recent years. However, in most cases, a two-chamber technique was generally necessary to allow the CO-producing and CO-consuming processes to perform successfully because of the complexities and incompatibility of reaction conditions, when carbon dioxide was utilized as carbonyl source. Herein, a practical one-pot protocol using

Introduction

Palladium-catalyzed carbonylation reactions represent a powerful and versatile tool for the preparation of many fine chemicals,^[1] such as aldehydes, carboxylates, heterocycles, hydrocarbon fuels, and the construction of bioactive natural products, pharmaceutical agrochemical compounds containing carbonyl structural motif^[2] over the past few years. Traditionally, carbon monoxide (CO) was used as the carbonyl source in the transformations. However, the high toxicity, flammability as well as the difficulties in handling of CO might remain to be formidable problems, which have limited its applications in the carbonylative coupling. To circumvent these issues, various CO surrogates, such as formates,^[3] formamides,^[4] aldehydes,^[5] pivaloyl chloride,^[6] and metal carbonyls,^[7] have been exploited in recent years.^[8] However, the transition metal catalyst, strong base and high temperatures are generally employed in order to release CO successfully in these cases, which inspire the development of efficient and sustainable CO surrogates. Carbon dioxide (CO₂) has recently been regarded as a more attractive and promising one-carbon source because of its highly abundance, nontoxicity and stability in comparison with CO^[9] which renders the replacement of CO with CO₂ as the carbonyl

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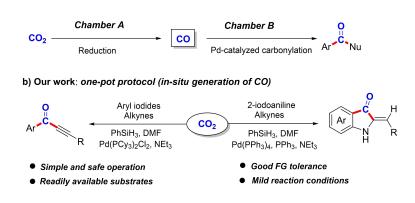
Supporting information for this article is available on the WWW under https://doi.org/10.1002/cctc.202100051 carbon dioxide as the carbonyl source for the palladiumcatalyzed carbonylative Sonogashira coupling has been established, providing an expedient and practical route to a wide range of functionalized alkynones and indoxyls under mild reaction conditions. By finding a suitable catalytic system, the method allowed the CO-generating and CO-consuming processes to proceed in one pot, wherein carbon monoxide was generated in situ from the reduction of carbon dioxide in the absence of any fluoride reagents. Simple and safe operation, readily available substrates, good functional group tolerance and mild reaction conditions are the features of the method.

source highly valuable in synthetic chemistry. Actually, the exploitation of catalytic methods for the reduction of CO_2 to CO have been well documented in the presence of appropriate reductants in recent years,^[10] including the photo- and electrochemical catalytic approaches. However, due to the complexities and incompatibility of reaction conditions between the CO-producing and CO-consuming process, the direct application of CO_2 as the carbonyl source to catalytic carbonylation coupling in a one-pot manner still remain a significant challenge.

To address these problems, a two-chamber technique, which can allow the CO-producing and CO-consuming processes to take place separately, has been developed by Skrydstrup and coworkers.^[11] For example, recently, they reported a low-pressure, low-temperature and near-stoichiometric hydroformylation efficient operation on a diverse array of terminal olefins without the need for expensive equipment by decoupling the syngas formation and consumption via a two-chamber reactor.^[11c] By using the technique, several elegant processes for the carbonylation reactions using CO₂ as the CO source have been successfully demonstrated (Scheme 1, a).^[12] Skrydstrup and Gee et al. reported that silacarboxylic acids, which were produced from CO₂ and chlorosilane in the presence of lithium, could be utilized as efficient CO releasing molecules and further applied in the carbonylation process.^[12a-d] The merges of fluoride-catalyzed reduction of CO₂ by using stoichiometric amount of disilane and aminocarbonylations have also been reported respectively by the groups of Skrydstrup, Sundararaju and He.^[12e-g] Additionally, Fleischer and coworkers proved that N-formyl saccharin derived from CO2 could also be used as CO surrogate and applied in the Pdcatalyzed alkoxycarbonylation of alkenes.^[12h] Moreover, photocatalysis is also effective for the reduction of CO₂ and applied in

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a) Previous work: two-chamber technique (ex-situ generation of CO)



Scheme 1. Pd-catalyzed carbonylation reactions using carbon dioxide as carbonyl source

carbonylation with this strategy.^[13] Although great progress has been made in this research field, the two-chamber technique was necessary in these cases to enable the CO-producing and CO-consuming process performing smoothly. Moreover, the pre-preparation of carboxylation reagents such as silacarboxylic acids and N-formyl saccharin, or a catalytic amount of fluoride reagents were required. To our knowledge, the one-pot green technique for the carbonylation reactions with CO₂ as the carbonyl source, in which CO is generated in situ, has remained unexplored but is more desirable and appealing due to the operation convenience. With our continuous interest in developing efficient methods for the transformation of CO2,^[14] we envisioned that the CO-producing and CO-consuming might be conducted simultaneously in one chamber through finding a suitable catalytic system between the CO2 reduction and the carbonylative coupling. Herein, we reported a new one-pot method for the Pd-catalyzed carbonylation of aryl iodides/2iodoaniline, alkynes and CO₂ under mild reaction conditions, wherein CO was acted as intermediate and generated in situ under the fluoride-free conditions in the presence of phenylsilane. A wide array of alkynones and indoxyls were obtained, which are important building blocks found in numerous biologically active compounds and valuable intermediates widely utilized in cycloadditions for the efficient synthesis of heterocycles, such as oxazole, pyrazole, etc.^[1,2]

Results and Discussion

Our initial studies were directed towards finding an appropriate combination of reductant and catalyst by employing iodobenzene (**1a**) and phenyl acetylene (**2a**) as the model substrates, and the representative optimization conditions were summarized in Table 1. To our delight, when the reaction was performed *via* a two-step, one-pot procedure with phenylsilane as reductant, Pd(PCy₃)₂Cl₂ as the catalyst and NEt₃ as the base in *N*,*N*-dimethyl formamide (DMF) at 60 °C, the desired product **3a** could be obtained with 63 % yield (entry 1). No better results were obtained when Et₂SiH₂, Ph₃SiH and PhSi(OEt)₃ were examined (entries 2–4) in comparison with PhSiH₃. Notably, in contrast to the previous report,^[12g] the introduction of a fluoride inhibited the formation of the desired product, which might be due to the reason that fluoride was not conducive to the carbonylation reaction (entry 5). Moreover, we observed the amount of reductant had great impact on the reaction. Decreasing the amount of PhSiH₃ led to lower yields, whereas the result was not improved when it was further increased to 6 equivalents (entries 6-8). Among the palladium precursors investigated, including Pd(PPh₃)₂Cl₂, Pd(dppp)Cl₂, Pd(OAc)₂, PdCl₂ and Pd(PPh₃)₄, Pd(PCy₃)₂Cl₂ gave the best result (entries 9– 13). However, the yield of product 3a decreased when the combination of PdCl₂ and PCy₃ was used as the catalyst system (entry 14). During the screening of bases, NEt₃ was found to be the best out of a variety bases such as DBU, DABCO and NEt (iPr)₂ (entries 15–17). Further experiments were carried out to test the influence of the stirring time of CO₂ reacting with reductant (entries 18-20). Finally, The optimal result was obtained when the stirring time was increased to 3 h, affording the desired product with 79% isolated yield, while a further increase demonstrated to be not beneficial.

With the optimized reaction conditions in hand, the generality of the one-pot palladium-catalyzed carbonylative Sonogashira coupling by the use of CO₂ as carbonyl source was then investigated with a variety of terminal alkynes (Scheme 2). In general, various alkynes containing various substituents including electron-donating and electron-withdrawing on the phenyl groups were all tolerated under the optimized reaction conditions (3a-3j). Notably, aryl alkynes with electron-donating groups (3b-3e) gave the corresponding products in higher yields than those with electron-withdrawing groups (3f-3j), which revealed that the electronic nature of the substituents had significant influence on this transformation. Moreover, meta-substituted substrates, such as 1-ethynyl-3-methylbenzene (2k), 1-ethynyl-3-methoxybenzene (2l), 1-ethynyl-3fluorobenzene (2m), 2-ethynylnaphthalene (2o), and orthosubstituted aryl acetylene (2n) also could undergo the reaction smoothly, affording the corresponding products with atisfactory yields. It was worth mentioning that 3-ethynylthiophene (2p) could also be employed in this one-pot transformation, albeit with moderate yield. Moreover, aliphatic terminal alkynes such



	+ CO ₂ , [H], Solvent then [Pd], Base			
Entr y	1a 2 Catalyst [mol %]	la [H] [equiv.]	3a Base [equiv.]	Yield[%] ^[a]
1	Pd(PCy ₃) ₂ Cl ₂	PhSiH ₃	NEt ₃	63
2	Pd(PCy ₃) ₂ Cl ₂	(Et) ₂ SiH ₂	NEt ₃	trace
3	Pd(PCy ₃) ₂ Cl ₂	(Ph)₃SiH	NEt ₃	n.d.
4	Pd(PCy ₃) ₂ Cl ₂	PhSi(OEt) ₃	NEt ₃	n.d.
5 ^[b]	Pd(PCy ₃) ₂ Cl ₂	PhSiH	NEt ₃	n.d.
6 ^[c]	Pd(PCy ₃) ₂ Cl ₂	PhSiH	NEt ₃	6
7 ^[d]	Pd(PCy ₃) ₂ Cl ₂	PhSiH	NEt ₃	34
8 ^[e]	Pd(PCy ₃) ₂ Cl ₂	phSiH	NEt ₃	61
9	Pd(PPh ₃) ₂ Cl ₂	PhSiH ₃	NEt ₃	16
10	Pd(dppp)Cl ₂	PhSiH	NEt ₃	49
11	Pd(OAc) ₂	PhSiH	NEt ₃	35
12	PdCl ₂	PhSiH	NEt ₃	47
13	Pd(PPh₃)₄	PhSiH	NEt ₃	35
14 ^[f]	PdCl ₂	PhSiH ₃	NEt ₃	35
15	$Pd(PCy_3)_2Cl_2$	PhSiH	DBU	18
16	Pd(PCy ₃) ₂ Cl ₂	PhSiH ₃	DABCO	10
17	Pd(PCy ₃) ₂ Cl ₂	PhSiH ₃	NEt(<i>i</i> Pr) ₂	62
18 ^[g]	Pd(PCy ₃) ₂ Cl ₂	PhSiH ₃	NEt ₃	37
19 ^[h]	Pd(PCy ₃) ₂ Cl ₂	PhSiH ₃	NEt ₃	85(79)
20 ^[i]	Pd(PCy ₃) ₂ Cl ₂	PhSiH ₃	NEt ₃	72

[a] Reaction conditions: The mixture of CO₂ (1 atm), [H] (0.8 mmol), DMF (2 ml) was first stirred at 60 °C for 2 h; then another mixture of 1a (0.2 mmol), 2a (0.3 mmol), [Pd] (0.01 mmol) and base (0.6 mmol) was added dropwise with syringe and stirred for overnight. The yield was GC yield with dodecane as the internal standard, the number in parentheses was the isolated yield. "n.d." was "not detected". [b] CsF (0.8 mmol) was added. [c] PhSiH₃ (0.4 mmol). [d] PhSiH₃ (0.6 mmol). [e] PhSiH₃ (1.2 mmol). [f] PCy₃ (0.04 mmol) was added. [g] The mixture of CO₂, PhSiH₃ in DMF was first stirred for 1 h. [h] The mixture of CO₂, PhSiH₃ in DMF was first stirred for 5 h.

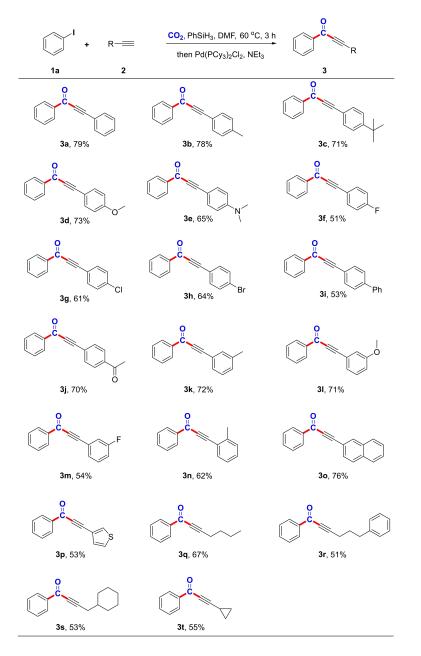
as *n*-butyl, 3-phenyl-propyl, 1- cyclohexyl-methyl and cyclopropane were all compatible with the standard reaction conditions, giving the corresponding products in moderate to high yields (3 q-3 t).

We subsequently turned our attention to the scope of this transformation with various aryl iodides. As shown in Scheme 3, aryl iodides bearing different electron-donating groups on the aryl ring, including 4-methoxy (1b), 4-tbutyl (1c) and 4morpholino (1d), could furnish the corresponding products (3u-3w) in 63%, 75% and 80% yields, respectively. Aryl iodides bearing electron-withdrawing groups, such as 4-chloro (1 e), 4bromo (1 f), 4- trifluoromethyl (1 g), 4-acetyl (1 h) and 4-ester (1 i), could also undergo the reaction and delivered the corresponding products with moderate yields. Similarly, aryl iodides with electron-donating substituents gave higher yields in comparison with those with electron-withdrawing ones. Substituents at the meta and ortho positions were also assessed and all of them were compatible with the conditions, affording the desired products in moderate yields (3ac-3ae). Moreover, polysubstituted aryl iodides were also well-tolerated to give the corresponding alkynones (3 af-3 ah) in 71%, 74% and 78% yield, respectively. A fused ring containing aryl iodides could also enter into the reaction without difficulty, furnishing alkynone 3ai in 68% yield. It is worth noting that other aryl halides including aryl bromide and aryl chloride exhibited very low reactivity, and only trace amounts of the desired product was detected by GC-MS analysis, and most of the starting materials were recovered.

Encouraged by the above results, we attempted to extend our methodology for the synthesis of indoxyls through a carbonylation / intramolecular cyclization process by using 2iodoanilines as the coupling partner. Pleasingly, with the above slightly modified conditions, various indoxyls could be produced as well by using the Pd(PPh₃)₄/PPh₃ catalytic system, wherein PPh₃ might not only function as the ligand but also serve as the organocatalyst to promote the intramolecular cyclization of in-situ generated alkynones.^[15a] As shown in Scheme 4, 2-iodoanilines with simple methyl- and methoxysubstituted on the phenyl ring proceeded smoothly to give the desired products 5a-5c in moderate yields. Electron-withdrawing substituents (F, Cl, Br, CO₂Me, CN, CF₃) were also compatible with the standard reaction conditions, finishing the expected products in 52-72% yields (5d-5i). The structure of product 5d was characterized by X-ray crystallographic analysis.^[16]

Besides mono-substituted substrates, the substrate bearing 3,4-dimethyl on the aromatic ring could also be extended to the protocol, giving product **5j** with 71% yield. Heteroaromatic moieties could also be contained in substrate and allowing the formation of the desired product **5k** in acceptable yields. However, the use of 2-iodoaniline protected by *N*-acetyl failed to yield the desired product **5l**, but led to the formation of a small amount of unidentified product and most of the raw material could be recovered. Subsequently, various alkynes with 2-iodoaniline under standard conditions were examined. Alkynes containing electron-rich 4-methyl, 4-*t*-butyl or 4-*n*-amyl yielded the desired products **5m**-**5o** in 61–72% yield, and





Scheme 2. Carbonylative synthesis of alkynones with various alkynes. Reaction conditions: the mixture of CO_2 (1 atm), PhSiH₃ (0.8 mmol), DMF (2 ml) was first stirred at 60 °C for 3 h, then another mixture of 1 a (0.2 mmol), 2 (0.3 mmol), Pd(PCy₃)₂Cl₂ (0.01 mmol), NEt₃ (0.6 mmol) in DMF (1 mL) was added dropwise and stirred for overnight. The yield was the isolated yield.

electron-deficient 4-phenyl, 4-fluoro, 4-chloro, 4-bromo or 4-trifluomethyl derivatives yielded the desired products 5p-5t smoothly. Moreover, *meta*-substituted substrates also could be subjected to the reaction conditions, and the desired products 5u-5w were obtained in acceptable yields. In addition, alkyne containing pyridine heterocyclic was tested, and gave the product 5x with a moderate yield.

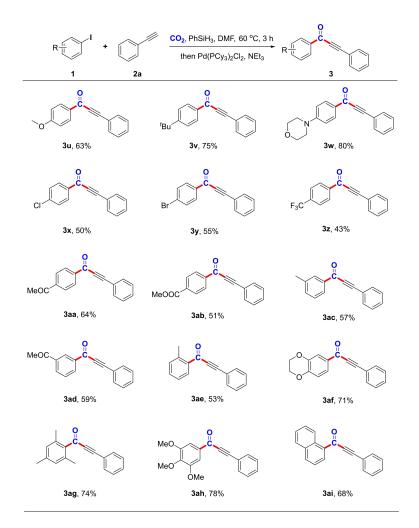
To further extend the application of this transformation, a series of heterocycles were prepared (Scheme 5). As expected, the treatment of **3a** with NH₂OH-HCl in the presence of KOH at room temperature in a mixture solvent of MeOH/H₂O (9:1) gave oxazole derivative **6** in 81% yield. Moreover, pyrazole derivative

7 and **8** could be produced by conducting the reaction of alkynone and hydrazine at room temperature in DMF. The intermolecular cycloaddition at the triple bond could be readily achieved by the reaction of alkynone with TMSN₃ or S_{8} , generating triazole derivative **9** or *tetra*- substituted thiophene derivative **10**, which represented a useful structural motif found in numerous luminescent functional molecules.

Moreover, in order to unambiguously determine the source of C atom of carbonyl group in alkynone, an isotopic tracing experiment by replacing CO_2 with ${}^{13}CO_2$ under the standard conditions was conducted, and 99% ${}^{13}C$ incorporation was found in the desired product (Scheme 6a). Subsequently, to get

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Scheme 3. Carbonylative synthesis of alkynones with various aryl iodides. Reaction conditions: the mixture of CO_2 (1 atm), PhSiH₃ (0.8 mmol), DMF (2 ml) was first stirred at 60 °C for 3 h, then another mixture of 1 (0.2 mmol), 2a (0.3 mmol), Pd(PCy₃)₂Cl₂ (0.01 mmol), NEt₃ (0.6 mmol) in DMF (1 mL) was added dropwise and stirred for overnight. The yield was the isolated yield.

a deeper understanding of the cyclization process, several control experiments were designed. First, the reaction of CO_2 with **4a** and **2a** under the standard conditions without PPh₃ was carried out, trace amount of the desired product was observed together with the formation of a small amount of non-cyclized product (Scheme 6b). Then, when the intermediate **11** was synthesized and treated with PPh₃ and NEt₃ in DMF at 60 °C for 24 h, the reaction afforded **5a** with 55% isolated yield and the intermediate **11** with 40% recovered (Scheme 6c). In contrast, the product **5a** could not be obtained without the addition of PPh₃ (Scheme 6d). These results demonstrated that PPh₃ might not only serve as the ligand but also function as the organocatalyst to promote the intramolecular cyclization of insitu generated alkynones.

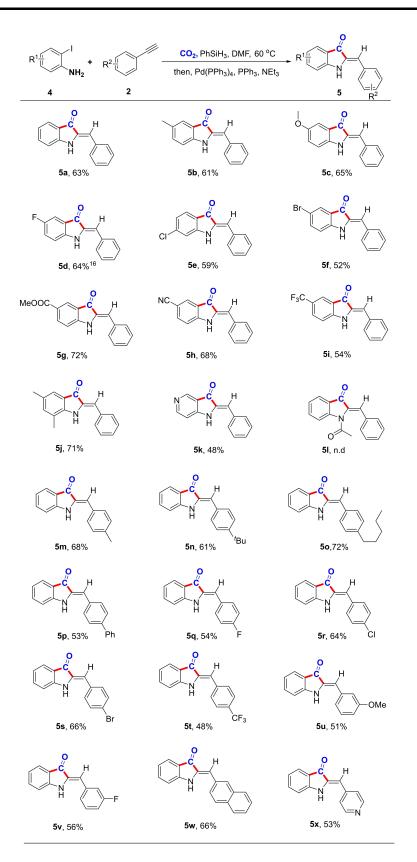
With respect to this transformation, a reaction mechanism was tentatively proposed based on the experimental results as well as the previous works,^[15] as showed in Scheme 7. The reduction of CO₂ with the assistance of PhSiH₃ will generate CO and release PhSiH₂OH. And the generated CO can undergo the insertion with (σ -aryl)palladium species **B** derived from the

oxidative addition of palladium(0) **A** with aryl halide 1 or 4 to form intermediate **C**. Then, the intermediate **C** will react with alkynes to give intermediate **D** and release HI in the presence of base, followed by the reductive elimination to afford product **3a** or intermediate **11**. Intermediate **11** can further react with PPh₃ to produce intermediate **E**, which undergoes the isomerization and intramolecular cyclization to yield the product **5a** and release PPh₃.

Conclusions

In summary, we have successfully developed a protocol for the palladium-catalyzed carbonylative Sonogashira coupling with CO₂ as the CO source, providing an efficient and practical route to a range of functionalized alkynones and indoxyls under mild reaction conditions. Notably, this method allowed the CO-generating and CO-consuming process to proceed in one pot, thus avoiding the use of two-chamber technique that requires a special apparatus. Simple and safe operation, readily available

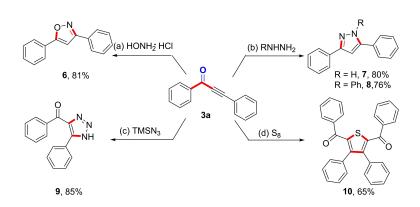




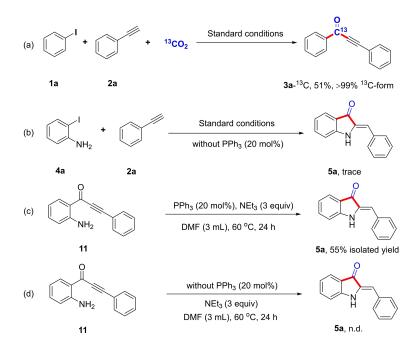
Scheme 4. Carbonylative synthesis of indoxyls. Reaction conditions: the mixture of CO₂ (1 atm), PhSiH₃ (1.6 mmol), DMF (2 ml) was first stirred at 60 °C for 3 h, then another mixture of 4 (0.2 mmol), 2 (0.3 mmol), Pd(PPh₃)₄ (0.01 mmol), PPh₃ (0.04 mmol), NEt₃ (0.6 mmol) in DMF (1 ml) was added dropwise and stirred for 24 h. The yield was the isolated yield.

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Scheme 5. The synthesis of heterocycles. Reaction conditions: (a) 3a (0.2 mmol), NH₂OH·HCl (4 equiv.), KOH (4 equiv.), MeOH/H₂O (v:v=9:1) (4 mL), room temperature; (b) 3a (0.2 mmol), PhNHNH₂ (0.3 mmol), room temperature, 1 h; (c) 3a (0.2 mmol), TMSN₃ (0.6 mmol), Cul (5 mol %), DMF:MeOH=5:1, reflux, 24 h. (d) 3a (0.4 mmol), S₈ (3/8 mmol), KOH (1 equiv.), toluene (2.0 mL), 100 °C, 3 h.



Scheme 6. The isotopic tracing experiment and control experiments.

substrates, good functional group tolerance and mild reaction conditions were the features of this reaction. Further application of this strategy to the efficient conversion of CO_2 into valuable chemicals are underway in our laboratory.

Experimental Section

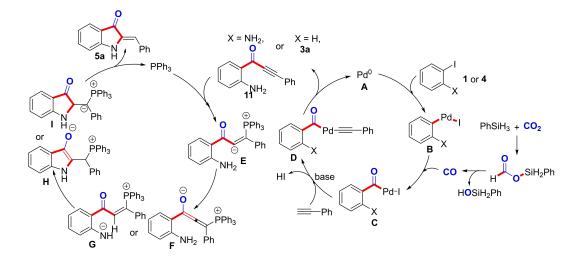
¹H and ¹³C NMR spectra were recorded using a Bruker DRX-400 spectrometer in solutions of CDCl₃ or CD₃SOCD₃ with tetramethylsilane as an internal standard. The chemical shifts (δ) are referenced to signals at 7.26 and 77.0 ppm, respectively. Multiplicity was indicated as follows: s(singlet), d(doublet), t(triplet), q(quartet), m(multiplet). Coupling constants were given in Hertz (Hz). IR spectra were obtained either as potassium bromide pellets or as liquid films between two potassium bromide pellets with a Bruker TENSOR 27 spectrometer. Melting points were measured on a Büchi

Melting Point B-545 instrument and were uncorrected. The data of HR-MS was conducted on a high-resolution mass spectrometer (LCMS-IT-TOF). Thin-layer chromatography was performed using Qingdao-Haiyang 600 mesh silica gel plates (GF254) and samples were made visual with short-wavelength UV light (254 nm). X-ray structural analyses were carried out an x-ray analysis instrument. All new compounds were characterized by ¹H NMR, ¹³C NMR and HRMS. The known compounds were characterized by ¹H NMR, ¹³C NMR and ¹³C NMR. Unless otherwise stated, all manipulations were carried out by using standard Schlenk techniques, and all anhydrous solvents and reagents were commercially purchased and used without further purification.

General procedure for the Pd-catalyzed carbonylative Sonogashira coupling of aryl iodides, alkynes and CO₂

In a glove box, a solution of the phenylsilane (0.8 mmol) in DMF (2 mL) was added to a 25 mL Schlenk tube equipped with a Teflon





Scheme 7. Proposed reaction mechanism.

cap and a magnetic stirring bar. After the sealed tube was taken out of the glovebox, the mixture was subjected to vacuum for a while and CO_2 (1 atm) was introduced into the reaction vessel. After the reaction mixture was stirred at 60 °C for 3 h, a solution of aryl iodides **1** (0.2 mmol), alkynes **2** (0.3 mmol), Pd(PCy₃)₂Cl₂ (0.01 mmol), NEt₃ (0.6 mmol) in DMF (1 mL) was added dropwise and stirred for overnight. After completion of the reaction, the residual material was carefully quenched with water and extracted several times with ethyl acetate. The combined organic layers were washed with brine and dried over anhydrous MgSO₄ and concentrated *in vacuo*. Further purification by flash column chromatography on silica gel (eluting with petroleum ether/ethyl acetate) provided the product **3**.

General procedure for the one-step Pd-catalyzed carbonylative Sonogashira coupling of 2-iodoanilines, phenyl acetylene and CO₂

In a glove box, a solution of the phenylsilane (1.6 mmol) in DMF (2 mL) was added to a 25 mL Schlenk tube equipped with a Teflon cap and a magnetic stirring bar. After the sealed tube was taken out of the glovebox, the mixture was subjected to vacuum for a while and CO_2 (1 atm) was introduced into the reaction vessel. After the reaction mixture was stirred at 60 °C for 3 h, a solution of 2-iodoanilines **4** (0.2 mmol), alkynes **2** (0.3 mmol), Pd(PPh₃)₄ (0.01 mmol), PPh₃ (0.04 mmol), NEt₃ (0.6 mmol) in DMF (1 mL) was added dropwise and stirred for 24 h. After completion of the reaction, the residual material was carefully quenched with water and extracted several times with ethyl acetate. The combined organic layers were washed with brine and dried over anhydrous MgSO₄ and concentrated *in vacuo*. Further purification by flash column chromatography on silica gel (eluting with petroleum ether/ethyl acetate) provided the product **5**.

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Conflict of Interest

The authors declare no conflict of interest.

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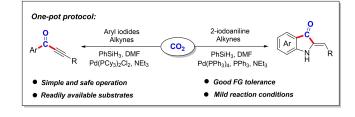
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One-Pot Palladium-Catalyzed Carbonylative Sonogashira Coupling using Carbon Dioxide as Carbonyl Source