

# Regio- and Stereoselective Synthesis of Diverse 3,4-Dihydro-2quinolones through Catalytic Hydrative Cyclization of Imine- and Carbonyl-Ynamides with Water

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this approach would lead to the facile and efficient formation of synthetically useful carbonyls. However, transition-metal-catalyzed alkyne hydration-initiated tandem reactions have seldom been explored because their metal enolate intermediates generally undergo facile protodemetallation rather than further trapped by other types of electrophiles. Described herein is an efficient coppercatalyzed tandem alkyne hydration/intramolecular Mannich reaction of imine-ynamides with water. This method allows



efficient and diastereodivergent synthesis of valuable 3,4-dihydro-2-quinolones with high regio-, diastereo-, and enantioselectivity. Moreover, this hydrative cyclization can also be applicable to the hydrative aldol reaction of carbonyl-ynamides with water to form 3,4-dihydro-2-quinolones regio- and diastereoselectively by employing zinc as the catalyst.

**KEYWORDS:** cyclization, cascade, heterocycles, alkynes, stereoselectivity

# INTRODUCTION

Transition-metal-catalyzed alkyne hydration reaction (M = Au, Pt, Pd, Ag, Zn, and Hg) has attracted considerable interest in the past decades because this approach would lead to the facile and efficient formation of synthetically useful carbonyl derivatives from the readily available alkynyl substrates.<sup>1,2</sup> In particular, a high turnover frequency (TOF) has been achieved in the gold-catalyzed reaction system,<sup>3</sup> as elegantly established by Hayashi et al.,<sup>3a</sup> Nolan et al.,<sup>3b</sup> Zhang et al.,<sup>3c</sup> and Zuccaccia et al.<sup>3d</sup> Despite these significant achievements, transitionmetal-catalyzed alkyne hydration-initiated tandem reactions have seldom been explored due to the fact that their metal enolate intermediates generally undergo facile protodemetallation rather than further trapped by other types of electrophiles such as carbonyls and imines.<sup>4-6</sup> A major breakthrough was the zinc-catalyzed hydrative aldol reaction of 3-en-1-ynamides with aldehydes and water developed by Liu and co-workers in 2015 (Scheme 1a).<sup>5a</sup> Subsequently, the relevant gold-catalyzed tandem imination/Mannich reaction of enynamide with anilines and aldehydes was realized by the same group.<sup>5b</sup> Notable is that the conjugated enynes are generally required for these reactions, and the poor diastereoselectivity was obtained in the case of typical internal ynamides. Very recently, Shi et al. disclosed an elegant formal tandem alkyne hydration/ aldol addition via Au-Fe dual catalysis, but this protocol relies on the carbonyl-group neighboring-group participation.<sup>6a</sup>

### Scheme 1. (a, b) Transition-Metal-Catalyzed Hydrative Aldol and Mannich Reactions of Alkynes

a) Zinc-catalyzed tandem alkyne hydration/intermolecular aldol reactions (Liu's work)

$$\mathbb{R}^{2} \xrightarrow{R^{3}}_{R^{3}} \mathbb{R}^{N} \xrightarrow{PG}_{R^{\prime}} \frac{Zn(OTf)_{2} (cat.)}{RCHO (1.5 \text{ equiv})} \xrightarrow{R^{2}}_{H^{2}O (2 \text{ equiv})} \mathbb{R}^{2} \xrightarrow{R^{1}}_{R^{3}} \mathbb{R}^{N} \xrightarrow{PG}_{H^{\prime}} \mathbb{R}^{2} \xrightarrow{R^{1}}_{H^{\prime}} \mathbb{R}^{2} \xrightarrow{R^{1}}_{H^{\prime}$$

b) Catalytic tandem alkyne hydration/intramolecular Mannich and aldol reactions (this work)



Received:November 4, 2020Revised:January 2, 2021Published:January 20, 2021





Therefore, the development of novel alkyne hydration-initiated tandem reactions, especially those with high flexibility, efficiency, and stereoselectivity, is highly desirable.

Inspired by the above achievements and by our recent work on developing ynamide chemistry for N-heterocycle synthesis,<sup>7,8</sup> we envisioned that the catalytic intramolecular hydrative Mannich reaction of imine-ynamides with water might be feasible, thus leading to the formation of the corresponding 3,4-dihydro-2-quinolones (Scheme 1b), which exist in a range of bioactive molecules and natural products (Figure 1).<sup>9</sup> However, realizing this hydrative cyclization,



Figure 1. 2-Quinolones in bioactive molecules and natural products.

especially with high regio- and stereoselectivity, is highly challenging. First, the generated metal enolates may suffer from the ready protodemetallation process. Second, the imine moiety may undergo facile hydration before or after the alkyne hydration to form the carbonyl moiety. Herein, we describe the realization of such a copper-catalyzed hydrative Mannich reaction of imine-ynamides with water, which represents the first catalytic hydrative Mannich reaction. This method allows efficient, practical, and diastereodivergent synthesis of valuable 3,4-dihydro-2-quinolones with high regio-, diastereo-, and enantioselectivity. Furthermore, this hydrative cyclization can also be applicable to the hydrative

#### Table 1. Optimization of Reaction Conditions<sup>a</sup>

aldol reaction of carbonyl-ynamides with water to form 3,4dihydro-2-quinolones regio- and diastereoselectively by employing zinc as the catalyst. In this article, we report the results of our detailed investigations on this type of catalytic hydrative Mannich and aldol reactions, including substrate scopes, synthetic applications, and mechanistic studies.

# RESULTS AND DISCUSSION

At the outset, the tert-butylsulfonyl (Bus)-substituted imineynamide 1a<sup>10</sup> was chosen as the model substrate due to the facile removal of the Bus group of the formed products.<sup>1</sup> Table 1 shows the realization of the hydrative cyclization reaction of imine-ynamide 1a with water in the presence of various transition-metal catalysts.<sup>12</sup> The reaction was first performed under Liu's group previously developed condition $s_{1}^{5a}$  and the desired hydrative cyclization product 2a could be obtained in 45% yield together with significant formation of hydration product 2ab (entry 1). Further screening of other Lewis acids such as  $Sc(OTf)_3$  and  $Y(OTf)_3$  only led to the decomposition of substrate 1a (entries 2-5). To our delight, product 2a was formed in 60% yield in the presence of CuOTf albeit still with significant amounts of hydration product 2aa (entry 6). In addition, the use of other copper catalysts such as  $Cu(OTf)_2$  and  $Cu(CH_3CN)_4PF_6$  failed to improve the reaction (entries 7 and 8, respectively). Gratifyingly, subsequent investigations on the reaction concentration demonstrated that low concentrations were necessary to circumvent the competing hydration reaction and other side reactions (entries 9 and 10). The reaction proceeded smoothly under the concentration of 0.0125 M, affording the desired 3,4dihydro-2-quinolone 2a in 88% yield with high diastereoselectivity (12:1, entry 10). Of note, the reaction could produce the desired 2a in the presence of gold catalysts such as Ph<sub>3</sub>PAuNTf<sub>2</sub> and IPrAuNTf<sub>2</sub> and Brønsted acids such as MsOH and HNTf<sub>2</sub> but with low efficiency (<40%).<sup>12</sup> In the absence of the catalyst, the reaction failed to give even a trace of 2a.

N<sup>Bus</sup>

		Ph Catalyst (20 mol %) H H 20 (2 equiv) Conditions Ts Ts Ts Ts Ts Ts Ts Ts Ts Ts Ta Za	Ph H O O	Ph 2aa Ph 2ab		
				yield (%)		
entry	metal catalyst	conditions	d.r.	2a	2aa	2ab
1	$Zn(OTf)_2$	CH <sub>3</sub> CN, 80 °C, 2 h	8:1	45	<3	16
2	Sc(OTf) <sub>3</sub>	CH <sub>3</sub> CN, 80 °C, 2 h		<3	<3	<3
3	Y(OTf) <sub>3</sub>	CH <sub>3</sub> CN, 80 °C, 2 h		<3	<3	<3
4	Fe(OTf) <sub>3</sub>	CH <sub>3</sub> CN, 80 °C, 2 h		<3	<3	<3
5	$Fe(OTf)_2$	CH <sub>3</sub> CN, 80 °C, 2 h		<3	<3	<3
6	CuOTf	CH <sub>3</sub> CN, 80 °C, 2 h	10:1	60	11	<3
7	$Cu(OTf)_2$	CH <sub>3</sub> CN, 80 °C, 2 h		16	<5	<5
8	Cu(CH <sub>3</sub> CN) <sub>4</sub> PF <sub>6</sub>	CH <sub>3</sub> CN, 80 °C, 2 h	6:1	38	7	<3
9	CuOTf	CH <sub>3</sub> CN (0.025 M), 80 °C, 10 h	12:1	81	9	<3
10	CuOTf	CH <sub>3</sub> CN (0.0125 M), 80 °C, 12 h	12:1	88	<5	<3

<sup>*a*</sup>Reaction conditions: **1a** (0.1 mmol), catalyst (0.02 mmol), H<sub>2</sub>O (0.2 mmol), CH<sub>3</sub>CN (2 mL), 80 °C, 2–12 h, and in Schlenk tubes; yields are measured by <sup>1</sup>H NMR using diethyl phthalate as the internal standard; and d.r. values are determined by crude <sup>1</sup>H NMR. Bus = *tert*-butylsulfonyl.

Having the optimum reaction conditions in hand (Table 1, entry 10), the reaction scope of the copper-catalyzed hydrative cyclization of Bus-substituted imine-ynamides with water was then explored. As shown in Table 2, the reaction proceeded

Table 2. Reaction Scope for the Hydrative Cyclization of Imine-Ynamides  $1^a$ 



<sup>*a*</sup>Reactions run in vials; 1 (0.2 mmol), CuOTf (0.04 mmol), H<sub>2</sub>O (0.4 mmol), CH<sub>3</sub>CN (16 mL), 80 °C, 12 h, and in Schlenk tubes; yields are those for the isolated products; and d.r. values are determined by crude <sup>1</sup>H NMR. <sup>*b*</sup>Using 20 mol % Cu(CH<sub>3</sub>CN)<sub>4</sub>PF<sub>6</sub> as the catalyst. PG = protecting group. Bs = 4-bromobenzenesulfonyl.

smoothly with a range of aryl-tethered imine-ynamides 1, and the corresponding 3,4-dihydro-2-quinolones 2 were obtained in generally high yields. In addition to the Ts group, ynamide containing a Bs group was also a suitable substrate for this hydrative cyclization to furnish the desired benzo-fused  $\delta$ lactam 2b in 79% yield. In addition, the reaction occurred efficiently for various aryl-substituted vnamides  $(R^1 = Ar)$  and ynamides bearing both electron-withdrawing and electrondonating groups, producing the corresponding  $\delta$ -lactams 2c-2p in mostly good yields. This chemistry could also be extended to alkyl- and allyl-substituted ynamides to afford the desired products 2q-2s in 64-79% yields. Interestingly, substrates with the Ts-substituted imine moiety could also be readily converted into the expected 3,4-dihydro-2quinolones 2t and 2u in good yields. Attempts to synthesize the gem-disubstituted imine-ynamides and alkyl-tethered imine-ynamides failed probably due to the fact that these imine-ynamides are extremely unstable. Finally, it is notable that the unique regioselectivity here is distinctively different from the related copper-catalyzed arylative cyclization of imine-ynamides with arylboronic acids,<sup>10</sup> where regioselective nucleophilic addition on the  $\beta$ -position of ynamides was observed. Importantly, high diastereoselectivity was achieved

in all cases. The relative configuration of 2m was confirmed by X-ray diffraction analysis (Figure 2).<sup>13</sup> Thereby, this protocol



Figure 2. Structure of compound 2m in its crystal.

not only represents the first catalytic hydrative Mannich reaction but also provides a highly efficient and practical route to prepare valuable structurally diverse 3,4-dihydro-2-quino-lones.<sup>14</sup>

Although our attempts to employ various chiral ligands such as bisoxazoline (BOX) ligands and biphosphine ligands to realize this asymmetric catalysis failed, the asymmetric hydrative Mannich reaction could be achieved by combination of chiral *tert*-butylsulfinimine chemistry.<sup>15</sup> As depicted in Table 3, the treatment of (*S*)-*tert*-butylsulfinimine-substituted imine-ynamides 3 with 2 equiv of H<sub>2</sub>O in the presence of CuOTf allowed the enantioselective synthesis of the corresponding





"Reactions run in vials; **3** (0.2 mmol), CuOTf (0.04 mmol),  $H_2O$  (0.4 mmol),  $CH_3CN$  (32 mL), 60 °C, 6 h, and in Schlenk tubes; yields are those for the isolated products; d.r. values are determined by crude <sup>1</sup>H NMR; and ee values are determined by HPLC analysis. <sup>b</sup>(*R*)-tert-Butylsulfinamide-derived **3a**' was used as the substrate.

chiral 3,4-dihydro-2-quinolones 4a-4j in respectable yields. Of note, the reaction of alkyl-substituted imine-ynamide could also afford the desired product 4j in 54% yield albeit with poor diastereoselectivity. In addition, the (R)-(+)-tert-butylsulfinamide-derived 3a' also underwent smooth cyclization to specifically produce the other enantiomer 4a' in 58% yield. To our surprise, divergent diastereoselectivity (trans-cyclization) was observed in this protocol. Importantly, unique regioselectivity, generally high diastereoselectivity, and excellent enantioselectivity were achieved in these cases. The absolute configurations of 4g and 4a' were established based on X-ray crystallographic analysis (Figure 3).<sup>13</sup>



Figure 3. Structures of compounds 4g and 4a' in their crystals.

In addition, this hydrative cyclization is applicable to carbonyl-ynamides **5** catalyzed by zinc,<sup>12</sup> affording the corresponding 3,4-dihydro-2-quinolones **6** in generally good to excellent yields with unique regioselectivity and generally high diastereoselectivity (Table 4). Ynamides with different N-protecting groups were first investigated, and it was found that Ts-, Bs-, and Ms-substituted ynamides were all suitable

Table 4. Reaction Scope for the Hydrative Cyclization of Carbonyl-Ynamides  $5^a$ 



<sup>a</sup>Reaction conditions: **5** (0.2 mmol),  $Zn(OTf)_2$  (0.04 mmol),  $H_2O$  (0.4 mmol), toluene/CH<sub>3</sub>CN (3 mL/1 mL), 80 °C, 2 h, and in Schlenk tubes; yields are those for the isolated products; and d.r. values are determined by crude <sup>1</sup>H NMR. <sup>b</sup>Using 20 mol % Y(OTf)<sub>3</sub> as the catalyst. <sup>c</sup>Using CH<sub>3</sub>CN as the solvent, 60 °C, and 5 h.

substrates for this reaction, leading to the corresponding benzo- $\delta$ -lactams 6a-6c in 84-87% yields with high diastereoselectivity, respectively. The reaction occurred smoothly with different aryl-substituted ynamides  $(R^1 = Ar)$ , delivering the desired  $\delta$ -lactams **6d–6h** in good to excellent yields. The method also proceeded efficiently for various aryl-tethered ynamides bearing both electron-withdrawing and electrondonating groups, and the expected products 6i-6m were formed in 95-97% yields. Additionally, alkyl-substituted ynamides were also feasible for this hydrative cyclization to afford the desired 6n-60 in 91-92% yields. In addition to aldehyde-ynamides, ketone-ynamides 5p-5s also reacted smoothly to produce the corresponding 3,4-dihydro-2quinolones 6p-6s in 77-95% yields, respectively.<sup>16</sup> Interestingly, the reaction could be extended to terminal ynamides to deliver the desired 6r and 6s efficiently. Finally, it is worth noting that the use of the alkyl-tethered aldehyde-ynamides also led to the expected  $\delta$ -lactams **6t** and **6u** in good yields, but low diastereoselectivity was obtained in the case of the alkylsubstituted ynamide  $(R^1 = alkyl)$ . Thus, the hydrative aldol reaction exhibits a broader substrate scope in comparison with the above hydrative Mannich reaction. The relative configuration of 61 was confirmed by X-ray diffraction analysis (Figure 4).<sup>13</sup>



Figure 4. Structure of compound 61 in its crystal.

In addition to ynamides, this zinc-catalyzed cascade cyclization also occurred efficiently with alkynyl ethers 7, thus affording the desired dihydrocoumarins **8a** and **8b** in good yields (eq 1).<sup>17</sup> Of note, this heterocyclic moiety can also be found in a variety of bioactive natural and non-natural products.<sup>9a,18</sup>



Further synthetic applications of the as-synthesized 3,4dihydro-2-quinolones were then explored. For example, the Bus group in 2a, obtained on a gram scale in 85% yield by employing 10 mol % CuOTf as the catalyst, was smoothly removed upon treatment with AlCl<sub>3</sub> (Scheme 2a).<sup>19</sup> The free amine was capped with a Cbz or Boc group to facilitate isolation of the product. The preparative-scale reaction of 3a was also performed in the presence of 5 mol % CuOTf as the catalyst, affording 4a in 58% yield and 99% ee. 4a could undergo subsequent m-CPBA oxidation and replacement of the Bus group with the Cbz group, leading to 4ab in 38% yield (three steps) with well-maintained enantioselectivity (Scheme 2b). Of note, attempts to remove the sulfoxide group of 4a under various conditions only resulted in the formation of 2quinolone 6aa via  $\beta$ -elimination. Moreover, the formal synthesis of natural product  $(\pm)$ -martinellic acid was achieved

# Scheme 2. (a–d) Gram- or Preparative-Scale Reactions and Synthetic Applications



by this method (Scheme 2c). Oxidation of the alkenyl group of 2u with RuCl<sub>3</sub> and NaIO<sub>4</sub> led to the formation of azahemiacetal 2ua in 68% yield, which was further transformed into the desired 2uc in 40% yield (three steps) upon selective reduction of the hydroxyl group by silane and reduction of the amide group by DIBAL-H followed by allylation. Subsequent deprotection of both tosyl groups and selective *N*-Bn protection of the pyrrolidine moiety allowed the formation of the final product 2ud, which has been previously converted into natural product ( $\pm$ )-martinellic acid.<sup>20</sup> In addition, 6aa, obtained in 86% yield through the standard hydrative cyclization and dehydration in a one-pot process, could be further converted into 6ab as a KGFR inhibitor<sup>9f</sup> and 6ac as a KGFR inhibitor<sup>9f</sup> and an antitumor agent<sup>9h</sup> via deprotection of the tosyl group<sup>8g</sup> and simultaneous deprotection of the tosyl group and reduction of the double bond, respectively (Scheme 2d). Interestingly, the synthesis of the anticancer agent  $6vb^{9e}$  could also be achieved by starting from the corresponding ynamide 5v through tandem hydrative cyclization/dehydration by simply extending the reaction time and subsequent deprotection (Scheme 2d).

To probe the reaction mechanism, we first subjected **2aa** to the optimal reaction conditions and found that the reaction only afforded the corresponding **2ab** in 20% yield (75% **2aa** recovered) and the formation of **2a** was not observed, thus ruling out **2aa** as an intermediate for the formation of **2a** (eq 2). In addition, when the reaction was run in the presence of



10 equiv of D<sub>2</sub>O, 62% deuterium incorporation at the  $\alpha$ position of amide was detected (eq 3). As shown in eq 4, we
also performed the reaction in the presence of 10 equiv of
H<sub>2</sub><sup>18</sup>O and found that an oxygen atom was incorporated into
the product (<sup>18</sup>O incorporation: >80%). These results suggest
that the oxygen of the newly formed carbonyl group of product
2a originates from the water.

On the basis of the above experimental observations, density functional theory (DFT) calculations, and previous works,<sup>5</sup> plausible reaction mechanisms for stereoselective synthesis of 3,4-dihydro-2-quinolones 2a, 4a, and 6a were proposed, as shown in Scheme 3. The reaction presumably involves the formation of Z/E-configured metal enolate intermediates followed by intramolecular cyclization by the Mannich reaction and aldol reaction, respectively, via preferred chair-like transition states. Through the DFT calculations, it is found that the activation barriers of cis-2a and cis-6a are lower than trans-2a and trans-6a by 15.1 and 9.5 kcal/mol, respectively. In the case of sulfoxide ynamide 3a, the positive charge can be located on the low-valent sulfur atom with the proton linked with the oxygen atom of the sulfoxide moiety. According to this catalytic model, the barrier of trans-4a is 1.5 kcal/mol lower than cis-4a. Thus, the sulfoxide moiety of 3a not only dominates the observed high enantioselectivity but also leads to the divergent diastereoselectivity. Moreover, the free energies of cis-2a, cis-6a, and trans-4a are more stable than trans-2a, trans-6a, and cis-4a by 3.7, 1.2, and 3.0 kcal/mol, respectively.<sup>12,21</sup> Hence, these computational results are consistent with the experimental observations in the cyclization reactions.

Scheme 3. Plausible Reaction Mechanism and Theoretical Studies on the Key Transition States for the cis and trans Selectivity in the Hydrative Cyclization of Ynamides 1a, 3a, and 5a. The Relative Free Energies Are Given in kcal/mol



# CONCLUSIONS

In summary, we have developed the challenging tandem alkyne hydration/intramolecular Mannich reaction of imine-ynamides with water by copper catalysis, which represents the first example of the catalytic hydrative Mannich reaction. Moreover, such an asymmetric hydrative cyclization has been achieved with divergent diastereoselectivity and high enantioselectivities (up to 99% ee) by combination of chiral tert-butylsulfinimine chemistry. In addition, this cascade cyclization can also be applicable to the zinc-catalyzed hydrative aldol reaction of carbonyl-ynamides with water. These cascade cyclizations deliver structurally diverse 3,4-dihydro-2-quinolones with unique regioselectivity and high stereoselectivity. The synthetic utility of this chemistry is indicated by the practical and efficient synthesis of two bioactive compounds and formal synthesis of natural product  $(\pm)$ -martinellic acid. Thus, this method opens novel and concise routes to valuable 3,4dihydro-2-quinolone derivatives. Theoretical calculations are also performed to clarify the origins of diastereoselectivity and enantioselectivity. Owing to these advantages, we believe that this chemistry will be welcomed by academic and industrial researchers. Further direction will focus on the development of the asymmetric version of this protocol by chiral Lewis acid catalysis.

#### ASSOCIATED CONTENT

#### **Supporting Information**

The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/acscatal.0c04786.

Experimental procedures, compound characterization data, computational details, and NMR and HPLC spectra (PDF)

Crystallographic data of 2m (CIF)

Crystallographic data of **4g** (CIF) Crystallographic data of **4a**' (CIF) Crystallographic data of **6l** (CIF)

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The authors declare no competing financial interest.

#### ACKNOWLEDGMENTS

We are grateful for financial support from the National Natural Science Foundation of China (21772161, 21622204, and 21828102), the Natural Science Foundation of Fujian Province of China (2019J02001), the President Research Funds from Xiamen University (20720180036), the Fundamental Research Funds for the Central Universities (20720202008), NFFTBS (J1310024), PCSIRT, the Science & Technology Cooperation Program of Xiamen (3502Z20183015), the Opening Project of PCOSS, Xiamen University (201909), the Bioinformatics

Center of Nanjing Agricultural University, and the Start-up Research Fund of Nanjing Agricultural University (050-804099). We also thank Mr. Zanbin Wei from Xiamen University for assistance with X-ray crystallographic analysis.

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