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Triplet-Triplet Annihilation Upconversion in a MOF with Acceptorfilled Channels

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Photon upconversion enjoys an increasing interest in the last years due to its high potential for solar energy harvesting and in bioimaging. A challenge for triplet-triplet annihilation upconversion (TTA-UC) processes is to realize these features in solid materials without undesired phase segregation and detrimental dye aggregation. To achieve this, we combine a palladium porphyrin sensitizer and a 9,10-diphenylanthracene annihilator within a crystalline mesoporous metal-organic framework using an inverted design. In this modular TTA system, the framework walls constitute the fixed sensitizer, while caprylic acid coats the channels providing a solvent-like environment for the mobile annihilator in the channel. The resulting solid material shows green-to-blue delayed upconverted emission with a luminescence lifetime of 373±5 µs, a threshold value of 329 mW cm⁻² and a triplet-triplet energy transfer efficiency of 82%. The versatile design allows straightforward changing the acceptor amount and type.

Photon upconversion (UC), that is sometimes also referred to as anti-Stokes shifted luminescence, spectrally converts two low energy photons to a single high energy one.^[1] UC applications range from bio-imaging and sensing to solar energy conversion.^[2-5] Classical UC materials comprise rare-earth metal materials^[2-3] and two-photon absorbing dyes.^[6] The former materials suffer from low absorption cross sections while particularly the latter require very high excitation power densities for the simultaneous absorption of two photons.^[1] An increasingly used UC process is triplet-triplet annihilation upconversion (TTA-UC) involving strongly absorbing and emitting molecules.^[7-10] The sensitizer absorbs a low-energy photon and undergoes intersystem crossing (ISC) from the S1 to the T_1 state with a high quantum yield $\varPhi_{ISC}.$ This T_1 state transfers its energy to an acceptor (annihilator) by triplet-triplet energy transfer (TTET) with a quantum yield Φ_{TTET} (Figure 1a).

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Finally, two acceptors in their T₁ states undergo triplet-triplet annihilation (TTA) yielding one acceptor dye in its S₀ state and one acceptor dye in its S₁ state with a quantum yield Φ_{TTA} . The S₁ state emits a high-energy delayed fluorescence with a quantum yield Φ_{f} (Figure 1a).^[8,9,10] The overall internal UC quantum yield is given by equation 1.

 $\Phi_{\text{UC}} = \frac{1}{2} \Phi_{\text{ISC}} \Phi_{\text{TTET}} \Phi_{\text{TTA}} \Phi_{\text{f}} \text{ (eq 1)}.$

The factor $\frac{1}{2}$ accounts for the absorption of two photons that are converted to a single upconverted photon.





Figure 1. a) Jablonski diagram of TTA-UC with Pd(TCPP) as sensitizer and DPA as annihilator and b) design concept for the CA/DPA@PCN-222(Pd) TTA-UC MOF; Pd(TCPP) as linker and triplet donor; $Zr_6(\mu_3$ -OH)_8(OH)_8 as secondary building units (SBUs); DPA as triplet acceptor; CA coordinated to the SBUs as "solvent" in the hexagonal channels; grey (Zr), red (O), blue (N), white (C), light blue (Pd), H atoms omitted.

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Rationally designing an efficient TTA-UC system requires sensitizers with $\Phi_{\rm ISC}$ close to unity like platinum and palladium porphyrins, such as PtOEP and PdOEP (H₂OEP = 2,3,7,8,12,13,17,18-octaethylporphyrin) in combination with annihilators, which feature appropriate energy levels and very high fluorescence quantum yields like 9,10-diphenylanthracene (DPA, $\Phi_{\rm I}({\rm DPA})$ = 95%, Figure 1a).^[8-11] Such dye combinations result in high $\Phi_{\rm UC}$ for optimized concentrations in solution.

The design of energy conversion devices as well as sensor and reporter systems relies on UC in the solid state. For TTA-UC, the chromophores are typically dispersed in soft materials, such as polymers, nanocapsules, or micelles.^[15-19] For example, dropcast films of PtOEP and DPA show TTA-UC with threshold excitation power densities Ithresh of several kW cm-2.[20] Rapid drying of these materials yields a favorable distribution of the sensitizer in the annihilator matrix and lowers Ithresh to 6.8 W cm ^{2.[21]} Optimization of the annihilator further reduces phase segregation and leads to an even lower I_{thresh} of 1.7 mW cm⁻².^[22] However, the interface between the chromophores and the relative orientation of the chromophores is difficult to control in less ordered systems. In highly ordered systems, like crystalline materials, the diffusion length of the triplet excitons can in principle be controlled and optimized.^[23] Very promising materials and UC properties have been observed for sensitizer/annihilator systems incorporated spatially controlled into metal-organic frameworks (MOFs). Examples present MOFs with anthracene-based linkers and surface-bound palladium sensitizers (I_{thresh} = 104 mW cm⁻²; Φ_{UC} = 0.46%),^[24] two MOFs connected by a heterojunction (I_{thresh} = 25–117 mW cm⁻²; Φ_{UC} = 0.1%),[25] and MOFs with mixed sensitizer/annihilator linkers $(I_{\rm thresh} = 2.5 \text{ mW cm}^{-2}; \Phi_{\rm UC} = 1.28\%)^{[26]}$. The confinement of the porphyrin sensitizers in the MOF reduces detrimental porphyrin dimerization/aggregation and hence aggregation-induced luminescence quenching. Other examples combine MOF annihilators with external mobile sensitizers.[27]

In this study, we combine the sensitizer and the DPA annihilator within a crystalline mesoporous MOF using an inverted design, namely immobilizing palladium porphyrin linkers Pd(TCPP) as sensitizers in the channel walls and placing the mobile DPA in the channels of the MOF (Figure 1b). To provide an optimum microenvironment for DPA enabling efficient TTET and TTA processes, the channels of the chosen MOF with $Zr_6(\mu_3-OH)_8(OH)_8$ SBUs and PCN-222 structure^[28] were modified with caprylic acid (CA; octanoic acid) acting as a solvent for DPA (Figure 1b).

The palladium derivative PCN-222(Pd) of the well-known thermally and chemically robust MOF PCN-222(M) series (M =

Mn, FeCl, Co, Ni, Cu and Zn)^[28b] is prepared from Pd(TCPP) and ZrCl₄ in a solvothermal reaction (Supporting Information). As determined by single crystal XRD, the hexagonal unit cell (a = b = 42.455(7) Å; c = 17.1037(2) Å) of the resulting needle-shaped crystals (Figure 2a) matches that of PCN-222(FeCl) (a = b = 41.968(7) Å; c = 17.143(2) Å^[28b]). In the PCN-222(Pd) Kagomé structure, the Pd[…]Pd distances across the small trigonal pore, the large hexagonal pore and along the channels amount to ~9.9, 16.9 and 19.8 Å, respectively, preventing porphyrin aggregation, yet enabling efficient energy transfer. The PXRD pattern of the bulk material matches the simulated pattern using the above unit cell metrics and Pd instead of FeCl (Figure 2a). The results from the characterization of the PCN-222(Pd) host by IR (Figure 2b), UV/Vis absorption, and emission spectroscopy are provided in the Supporting Information (Figures S1-S2).



Figure 2. Experimental and simulated PXRD pattern (fwhm $2\theta = 0.2^{\circ}$) of PCN-222(Pd); optical photograph of the crystals immersed in acetone (inset). b) IR spectra of CA (black), PCN-222(Pd) (green), CA@PCN-222(Pd) (red) and CA/DPA@PCN-222(Pd) (blue) with the relevant vibrational bands highlighted.

Small and large molecules can penetrate into the large hexagonal channels of PCN-222(M) (\oslash 3.7 nm), allowing pH sensing,^[29] catalytic reactions,^[28b,30-34] adsorptive removal of molecular species like dyes from solution,^[35] as well as loading and release of drugs^{[36],[37]} To probe the insertion of the annihilator into the channels of PCN-222(Pd), we used NMR spectroscopy and a ¹⁹F-labelled 1,2,3,4,5,6,7,8-octafluoro-9,10-bis(4-trifluoromethyl-phenyl) anthracene (DPA-F₁₄). The ¹⁹F NMR resonances of DPA-F₁₄ shift from δ = –63 (CF₃), –134 (CF)

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and –155 (CF) ppm in solution^[38] to $\delta = -64$, –130/–134 and – 153 ppm in the crystalline state (Supporting Information, Figures S3–S4). The splitting of the central resonance is attributed to different chemical environments in the crystals. DPA-F₁₄ hosted in DPA-F₁₄@PCN-222(Pd) gives two discernable resonances in the ¹⁹F MAS NMR spectrum at $\delta = -67$ and –122 ppm (Supporting Information, Figure S5). The latter can be ascribed to CF₃ groups interacting with the MOF. The resonances of the CF moieties are not observed, which is ascribed to the dispersion of the resonances by different chemical environments. These results confirm the successful insertion of DPA-F₁₄ in the MOF channels^[35,36] and suggest that DPA-F₁₄ is disordered and interacts with the MOF.

As DPA (Supporting Information, Figure S6) and DPA derivatives show an excimer emission at about 570 nm in neat films,^[39] we decided to provide the DPA molecules in the channel with a hydrophobic environment, such as a fattly acid to prevent dye aggregation and to increase the mobility of DPA.^[12] Hence, oleic acid ((9Z)-octadec-9-enoic acid) was mixed with DPA and the fluorescence recorded. However, under laser irradiation, DPA and oleic acid seemed to react, possibly by a cvcloaddition reaction.^[40] Hence, we employed the photostable saturated surfactant caprylic acid (CA, C₈H₁₆O₂). In the assynthesized PCN-222(Pd), the Zr₆ cluster contains two terminal OH ligands, one of which points into the hexagonal channel, providing six OH groups per channel segment for the functionalization with carboxylic acids (Figure 1b).^[33] To assess the attachment of CA to the SBUs, desolvated PCN-222(Pd) was first treated at 70°C with CA alone giving CA@PCN-222(Pd) (Supporting Information). The IR spectrum of CA@PCN-222(Pd) confirms coating the MOF channels with CA molecules, involving different binding modes to the SBU, i.e. hydrogen and coordinative bonds.^[41] Only a few CA molecules exist as hydrogen-bonded dimers^[42] in the channels (Supporting Information for detailed assignments). This provides a rather non-polar solution-like environment for the DPA acceptor in the channel enabling mobility of the acceptors in the channels (Figure 1b).

CA and DPA were then co-inserted into the channels of desolvated PCN-222(Pd) at 70°C yielding CA/DPA@PCN-222(Pd). Thanks to the high thermal stability of the PCN-222(M) MOFs,^[28b] the morphology of the CA/DPA@PCN-222(Pd) crystals and the powder XRD pattern remain intact under these conditions (Supporting Information, Figure S7). The IR spectrum reveals a superposition of the bands of the OH and the C=O stretching vibrations of CA/DPA@PCN-222(Pd) and those of CA@PCN-222(Pd), suggesting an identical coating of the channel walls and a solution-like interior. Discernible IR bands of DPA appear at ~1060, 1026 and 745 cm⁻¹ in CA/DPA@PCN-222(Pd) (Figure 2b inset; Supporting Information, Figure S8) confirming the successful co-uptake. UV/Vis absorption spectra of PCN-222(Pd) and CA/DPA@PCN-222(Pd) further corroborate the presence of Pd(TCPP) (526/555 nm) and DPA (397 nm), respectively (Supporting Information, Figure S9). DPA uptake by CA/DPA@PCN-222(Pd) was determined photometrically to 0.29-0.66 mg DPA / mg PCN-222(Pd). The exact amount slightly depends on the insertion conditions, i.e. concentration, temperature, and solvent.^[35,36] Without coating the channel walls, loading of methylene blue and doxorubicin in to PCN-222 gave 0.906 and 1.09 mg / mg MOF, respectively.^[35,36] Subsequent leaking of DPA from CA/DPA@PCN-222(Pd) was studied in

toluene and in water at 70°C. In toluene, 0.37 mg DPA / mg CA/DPA@PCN-222(Pd) were released after 5 h, while no release was observed in water for 2 h (Supporting Information), confirming the desired retention of the DPA guests in the hydrophobic host in water.

Oxygen can quench the phosphorescence of palladium porphyrin like Pd(TCPP) yielding ¹O₂.^[30,34,36] Under illumination with a 532 nm laser (5.25 mW) in the presence of oxygen in the MOF channels, this ¹O₂ formation leads to photobleaching of PCN-222(Pd) and CA/DPA@PCN-222(Pd). Sealing the MOF with an O₂-barrier polymer (polyvinyl alcohol) and applying Na₂SO₃ as scavenger cannot completely eliminate Pd(TCPP) quenching by remaining traces of O2 in the MOF channels. However, longterm illumination studies reveal, that after a certain period of time when all residual oxygen in the channels was consumed, PCN-222(Pd) becomes photostable (Supporting Information, Figure S10). To exclude even traces of O₂ in the MOF channels, all synthetic post-modification procedures (desolvation, CA and DPA loading) and optical measurements were performed under rigorous exclusion of O₂. Under these conditions, PCN-222(Pd) and CA/DPA@PCN-222(Pd) are photostable (Supporting Information, Figure S11).



Figure 3. a) TTA-UC luminescence (UCL) intensity of solid CA/DPA@PCN-222(Pd) as function of increasing excitation power density (emission slit width 15 nm) and b) integrated UCL intensity of CA/DPA@PCN-222(Pd) as a function of the excitation power density (λ_{exc} = 532 nm). To avoid direct excitation of DPA, a 495 nm longpass filter was placed between the 532 nm laser and the CA/DPA@PCN-222(Pd) sample.

UC luminescence (UCL) spectra of CA/DPA@PCN-222(Pd) show a blue DPA emission around 440 nm upon excitation at

532 nm (Figure 3a, video in the Supporting Information). Excitation-power density dependent UCL measurements confirm the quadratic dependence expected for TTA-UC at lower power densities with a slope of 1.84±0.05 that eventually saturates (slope 1.01 \pm 0.05). At low excitation intensity (with low T₁(DPA) concentration) the UC emission depends quadratically on the laser density (weak annihilation limit), while at high laser power density the UC emission scales linearly with the excitation density (strong annihilation limit).^[9] These observations suggest that the DPA acceptor molecules diffuse essentially freely in the MOF channels leading to second and pseudo-first order reactions under low and high laser intensity, respectively. The intersection gives a threshold value of $I_{\rm thresh}$ = 329 mW cm⁻² (Figure 3b). This Ithresh is larger than that of the best systems reported (Ithresh few mW cm-2), but much smaller than that of typical two-component porphyrin-DPA systems (Ithresh several kW cm⁻²).^[20-26]

To verify that the blue DPA emission indeed arises from UC, O_2 was introduced on purpose, which led to the immediate disappearance of the DPA emission band (Supporting Information, Figure S17). As prompt DPA fluorescence is barely unaffected by oxygen, this O_2 -sensitivity clearly evidences the upconverted emission from DPA.

To further confirm the delayed nature of the DPA fluorescence in CA/DPA@PCN-222(Pd) originating from the population via longer lived triplet states, we measured the fluorescence lifetime of pristine DPA in the solid state ($\tau_{\rm f} = 4.0\pm0.2$ ns) and trapped in the MOF (Supporting Information, Figures S12-14). Due to the TTA process in the MOF, the DPA lifetime strongly increases from 4.0 ns to τ_{UC} = 373±5 µs. Correspondingly, the lifetime of the porphyrin donor in PCN-222(Pd) ($\tau_{p,0} = 702\pm 1 \ \mu s$) is reduced in CA/DPA@PCN-222(Pd) ($\tau_p = 129\pm 1 \mu s$) due to the TTET process (Supporting Information, Figures S15-S16). The TTET efficiency amounts to $\Phi_{\text{TTET}} = 1 - \tau_p / \tau_{p,0} = 82\%$. The steady-state emission spectra measured at several points of the solid CA/DPA@PCN-222(Pd) sample gave TTET efficiencies between 77-85%, supporting the time-resolved data (Supporting Information, Figure S18). According to eq. 1 and assuming $\Phi_{\rm ISC}$ = 100%, Φ_{TTA} = 11.1% (conservative maximum theoretical limit under saturation conditions)^[11], and $\Phi_{f}(DPA) = 95\%$, we estimate $\Phi_{UC,max}$ to 4.3% for CA/DPA@PCN-222(Pd). The UC efficiency might be further increased in the future by reducing the reabsorption of the UC emission caused by Pd(TCPP) (Supporting Information, Figure S9).

In summary, we report a highly modular solid TTA-UC system comprising of a crystalline, thermally stable PCN-222(Pd) MOF with CA-coated MOF channels and with a DPA annihilator embedded in a solution-like environment in the MOF channels. This solid material displays blue upconverted delayed emission with a luminescence lifetime of 373±5 µs, a threshold value of 329 mW cm⁻² and a triplet-triplet energy transfer efficiency of 82% (Supporting Information, Figure S19; video). This optical application adds another facet to the versatile chemistry of PCN-222 MOFs. The design concept is also applicable to other TTA-UC pairs and enables tuning of the UCL color, e.g. by replacing DPA with other dyes as exemplarily shown for 2,5,8,11-tetratert-butyl-perylene, that yields UCL at 450 nm (Supporting Information, Figure S20). Current work aims to reduce the oxygen sensitivity and to increase the retention of the trapped annihilators in organic environments, e.g. by tuning the chain length of the carboxylic acid and by coating the MOF surface.^[43]

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Also, the TTA-UC efficiency will be further enhanced by reducing the reabsorption of the UC emission caused by Pd(TCPP) and by optimizing the sensitizer/annihilator interface.^[12,22,39,44,45]

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TTA upconversion: Hydrophobic coated channels of a mesoporous MOF with palladium porphyrin walls hosting anthracene acceptors furnish a stable crystalline material showing efficient triplet-triplet annihilation upconversion in the solid state.



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Triplet-Triplet Annihilation Upconversion in a MOF with Acceptor-filled Channels