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Umpolung of Carbonyl Groups as Alkyl Organometallic Reagent Surrogates for Palladium-Catalyzed Allylic Alkylation

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Abstract: Palladium catalyzed allylic alkylation of non-stabilized carbon nucleophiles is difficult and still remains a major challenge. We report a direct palladium-catalyzed highly chemo- and regioselective C-allylation of hydrazones generated from carbonyls as a source of umpolung unstabilized alkyl carbanions and surrogates of alkyl organometallic reagents. Contrary to classical allylation techniques, this umpolung reaction utilizes hydrazones prepared not only from aryl aldehydes but also from alkyl aldehydes and ketones as renewable feedstocks. This strategy complements the palladiumcatalyzed coupling of unstabilized nucleophiles with allylic electrophiles by providing an efficient and selective catalytic alternative to the traditional use of highly reactive alkyl organometallic reagents.

The Tsuji-Trost reaction has been one of the most important achievements in modern synthetic chemistry by serving as a versatile and powerful synthetic tool for the formation of C-C bonds with a wide range of applications for the synthesis of biologically active molecules.1-³ There has been a recent increase in the use of newly stabilized nucleophiles⁴⁻⁵ in order to facilitate and extend the synthetic utility of this reaction. Despite the success of those allylation methods, the use of non-stabilized nucleophiles in palladium-catalyzed allylation is still extremely limited.⁶ Traditional allylations of non-stabilized carbon nucleophiles typically involve alkyl organometallic reagents (Scheme 1A). The introduction of the Grignard reagents as cross-coupling partners with allylic substrates represented a major step forward, enabling facile access to a diverse range of alkenes as shown by Swierczewski7 and Goering.8 Later, Hoveyda,9 Feringa,10 and others.1 Since then, other alkyl organometallic reagents (such as organozinc,^{6a-} ^{6b,12} lithium,¹³ aluminium¹⁴ or zirconium¹⁵ reagents) and alkyl boron reagents^{6d-6e} have also been used for allylations. Although these alkyl organometallic reagents have numerous industrial applications, there are still substantial drawbacks associated with them. The preparation of these air/moisture sensitive organometallic reagents requires petroleumderived organic halides, which can be particularly problematic for largescale synthesis. Moreover, the high basicity and nucleophilicity of alkyl organometallic reagents generally result in poor chemoselectivity and low functional group compatibility, inhibiting their synthetic applications. In addition, although alkyl boron reagents can achieve valuable chemoselectivities and have excellent functional group compatibility, they have been largely hampered by their challenging preparation. Consequently, the development of new alternatives for alkyl organometallic reagents in the allylation of alkyl carbanions is desired.

In recent years, hydrazones¹⁶ can not only serve as nitrogen nucleophiles¹⁷ but also play the role of ligands¹⁸ in allylic alkylations. Furthermore, the reversal of electronic characteristics from simple hydrazones may facilitate the development of carbanion chemistry and create new opportunities for chemical transformations. Recently,

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Carreira and others¹⁹ employed substituted hydrazones as formyl anion equivalents or stabilized alkyl carbanion equivalents (a-carbanions of carbonyl compounds) for allylic alkylation. However, the scope of both reactions was limited to specific substrates generated from formaldehyde or α-carbonyl aldehydes. Besides, these anion equivalents were relatively stable due to the presence of α -substituted imine or carbonyls. A long-lasting challenge under such a context is if nonsubstituted hydrazones can serve as the unstabilized alkyl carbanion equivalents. In pursuit of this protocol, our group recently disclosed that non-substituted hydrazones can serve as benzyl carbanion equivalents to undergo the addition or cross coupling reactions under the ruthenium, iron or nickel-catalyzed redox system.²⁰ Nevertheless, many other highly reactive alkyl organometallic reagent surrogates including alkyl aldehydes or ketones were less efficient via these methods. Herein, we report the umpolung of carbonyls²¹ as efficient alkyl organometallic reagent surrogates for allylic alkylations via hydrazones intermediate (Scheme 1B). Such a strategy involves prevalent carbonyls (aryl or alkyl aldehydes and ketones) as renewable chemical feedstocks, and provides a catalytic alternative to the traditional use of alkyl organometallic reagents.







Scheme 1. Allylations of Non-Stabilized Alkyl Carbanions.

We initially began our studies by evaluating the coupling of benzaldehyde hydrazone 1ah with allyl acetates (see the Supporting Information for more details). The base-free reaction did not lead to any C-allylated product (4ah, but-3-en-1-ylbenzene), while 18% yield of Nallylated product (5, N-allyl benzaldehyde hydrazone) and 80% yield of N, N-diallylated product (6, N, N-diallyl benzaldehyde hydrazone) were observed (entry 2), indicating that hydrazone **1ah** is prone to Nallylation in the absence of the base. Besides, no C-allylated or Nallylated products were detected in the absence of catalyst/ligand, suggesting that catalyst is indispensable for the allylation of hydrazones in this system (entry 3). We postulated that the combination of both the catalyst and the base mediates the deprotonation of hydrazones and directs the reaction of 2,3-diazallyl anions 3 with π -allylpalladium to afford the C-allylated product 4ah, N-allylated product 5 and N, Ndiallylated product 6. In such a context, the key challenge is to control the chemo- and regioselectivity to afford the C-allylation.

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Table 1. Impact of Different Parameters on the Allylation of Hydrazones^a





[a] Standard conditions: hydrazones (0.25 mmol, 1.25 M generated in situ from benzaldehyde and hydrazine), allyl acetate (0.20 mmol), $[Pd(allyl)Cl]_2$ (5 mol%), $Ptol_3$ (20 mol%), 'BuOLi (2.0 equiv) in 1.0 mL THF at 45 °C for 24 h.

Surprisingly, reaction in the presence of 2.0 equiv of 'BuOLi using a [Pd(allyl)Cl]₂/Ptol₃ (tri-p-tolylphosphine) catalytic system in THF (tetrahydrofuran) at 45 °C for 24 h provided the C-allylated product 4ah in quantitative yield (98%), along with a high selectivity over the Nallylated product (4ah:5 = 98:1) (entry 1). Other palladium catalysts including Pd2(dba)3 (dba: dibenzylideneacetone), PdCl2(PPh3)2 gave moderate yields of **4ah** and a lower selectivity of allylic products (entry 4). Parallel experiments showed that with PPh3 the reaction proceeded to afford 90% yield of C-allylated product 4ah with a 90:1 selectivity over N-allylated product (entry 5); while reactions delivered unsatisfactory yields of 4ah when dmpe, dppe, trismesitylphosphine, tri(pmethoxyphenyl) phosphine, dppf, BINAP and Xantphos were used as ligands (entry 6). It is also interesting to note that the carbene ligand SIPr HCl could deliver similar results to Ptol₃ (entry 7). The choice of base was found to be crucial to the denitrogenation as well as the chemoand regioselectivity: weak bases cannot trigger denitrogenation explaining why N-allylation or N, N-diallylation were the only products, while relatively strong bases could deliver satisfactory yields of Callylated product 4ah with a high selectivity over N-allylated product. While quantitative yield of 4ah was observed with 'BuOLi (entry 1), DBU (1,8-diazabicyclo[5.4.0]undec-7-ene), K₃PO₄ or CsF could not give any of this product. It should be noted that 94%-95% yield of N, Ndiallylated products 6 was formed in the presence of K3PO4 or K₃PO₄/CsF (entry 8). Other lithium salts such as Li₂CO₃ and LiOH, were also tested and no C-allylated product was detected, albeit with 30%-38% yield of N-allylated products and 36-60% yield of N, N-diallylated products. Moreover, a few green solvents, such as methyltetrahydrofuran and cyclopentyl methyl ether, and other regular ether solvents such as 1,4-dioxane and diglyme, were also effective and delivered 37%-86% yields of C-allylated products (entry 9). Finally, the reaction could be performed at room temperature, with only a slightly decreased yield for the expected product (90%) (entry 10).

Table 2. Scope of Carbonyls^{*a,b*}



[a] Reaction conditions: hydrazones (0.75 mmol, 1.25 M generated in situ from aldehydes or ketones and hydrazine), allyl acetate (0.6 mmol), [Pd(allyl)Cl]₂ (5 mol%), Ptol₃ (20 mol%), 'BuOLi (2.0 equiv) in 3.0 mL THF at 45 °C for 24 h. [b] Isolated yield.
[c] hydrazones (0.75 mmol), allyl acetate (0.6 mmol), [Pd(allyl)Cl]₂ (5 mol%), IPr·HCl (10 mol%), 'BuOLi (2.2 equiv) in 3.0 mL THF at 45 °C for 24 h.

With the optimized conditions in hand, the substrate scope of allylation of hydrazones with allyl acetate was investigated in Table 2. In general, hydrazones bearing both electron-withdrawing and electrondonating substituted groups gave good to excellent yields, with the former giving higher yields. The reaction showed good functional group compatibility as well, as many functional groups such as trifluoromethyl, fluoro, chloro, cyano, methyl, ethyl, phenyl, methoxy and alkoxy substituents were all tolerated to give the corresponding products in high yields (4aa-4ac, 4af, 4ah-4ay). Some groups (such as bromo and ester substituents), which were not compatible with the traditional allylations of alkyl organometallic reagents, also worked well with our conditions (4ad-4ae). However, no desired product was observed for hydrazones bearing a strongly oxidizing nitro group (4ag). In addition to the good functional-group compatibility, para-, meta-, ortho-, or multisubstituted aromatic hydrazones were all tolerated in this system (4aa-4ay). Moreover, hydrazones prepared from polycyclic aromatic aldehydes such as 1-naphthaldehyde, 2-naphthaldehyde or phenanthrene-9-carbaldehyde delivered high yields of C-allylated products (4az-4bb). Hydrazones generated from heterocyclic aldehydes were then investigated under the standard conditions. Hydrazones prepared from benzo[b]thiophene-3-carbaldehyde, picolinaldehyde, or isonicotinaldehyde were all compatible with this system, affording the allylic product in 66%-75% yields (4bc-4be). To emphasize the synthetic potential of our methodology, a gram scale allylation of hydrazones was performed, giving products 4ac and 4as in 89% (1.18 g) and 94% yields (1.13 g), respectively.

To expand the scope of this methodology, we also studied the palladium-catalyzed allylation of hydrazones originated from alkyl aldehydes and ketones. Alkyl hydrazones generated from 2-phenylacetaldehyde and 3-phenylpropanal gave the desired products in 78%-80% yields using Ptol₃ as the ligand (**4bf-4bg**). However, this

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system was unsuccessful with other alkyl aldehydes or ketones. After a quick screening of the reaction conditions, hydrazones prepared from alkyl aldehydes and ketones with allyl acetates occurred smoothly at 45 °C in THF, affording selectively C-allylated products in high to (1,3-bis(2,6-IPr·HCl quantitative yield when diisopropylphenyl)imidazolium chloride) was used as the ligand. Cyclohexanecarboxaldehyde hydrazone or 2-ethylbutanal hydrazone could afford 84%-92% yield of the desired products (4bh-4bi). Hydrazones originated from acetophenone and its derivatives also delivered high yields of the corresponding products (4bj, 4bm-4bn). Besides, propiophenone hydrazone and 1-phenylbutan-1-one hydrazone were also effective in this system (4bk-4bl). In addition to aryl ketones, hydrazones elaborated from alkyl ketones including heptan-3-one, undecan-6-one, 5-methylhex-4-en-2-one and cyclohexanone, could successfully give the C-allylated products in 84%-96% yields with a high selectivity (4bo-4br). Other hydrazones generated from ketones with ring strain such as adamantan-2-one, 2,3-dihydro-1H-inden-1-one or 3,4-dihydronaphthalen-1(2H)-one reacted efficiently to give the desired allylic products in >95% yields (4bs-4bu).

Table 3. Scope of Allylic Acetates^{a,b}



[a] Reaction conditions: hydrazones (0.75 mmol), allyl acetate (0.6 mmol), $[Pd(allyl)Cl]_2$ (5 mol%), Ptol₃ (20 mol%), 'BuOLi (2.0 equiv) in 3.0 mL THF at 45 °C for 24 h. [b] Isolated yield. [c] hydrazones (0.75 mmol), allyl acetate (0.6 mmol), $[Pd(allyl)Cl]_2$ (5 mol%), SIPr·HCl (10 mol%), 'BuOLi (2.2 equiv) in 3.0 mL THF at 45 °C for 24 h.

Subsequently, the allyl acetate substrate scope was investigated in Table 3. The transformations delivered the branched allylic products with excellent yields (**4bv-4cd**) and high B/L ratio (branched : linear up to > 20:1) (**4bw-4by**), likely due to the steric effect associated with the 3,3'-elimination in the regiochemistry-determining step analogous to Morken's work^{6d-6e} (see the Supporting Information for more details). Besides, coupling of hydrazones with 2-cyclohexenyl acetate could also deliver the corresponding products in 72%-81% yields (**4cb-4cd**).

The excellent functional group tolerance highlights the great potential of this reaction for the transformation of natural aldehydes and their derivatives (see the Supporting Information for more details). Under the standard conditions, hydrazones originated from 4-hydroxyaldehyde derivatives (methyl, benzyl or allyl 4-hydroxyaldehyde) gave *C*-allylated products in 84%, 86% and 84% yields, respectively (**4ce-4cg**). Besides, Veratraldehyde (methyl Vanillin) could efficiently afford the *C*-allylated product (4-(but-3-en-1-yl)-1,2-dimethoxybenzene) in high yield (**4ch**). Likewise, the conjugation of azaallyl anions with an olefin was also tolerated and gave the *C*-allylated product. Allylation of the hydrazone elaborated from cinnamaldehyde afforded a 68% yield with a mixture of branched and linear products (B:L (**4ci:4ci')** = 1.7:1).

Based on the mechanistic studies (see the Supporting Information for more details) and previous literatures,²² a tentative mechanism of the

palladium-catalyzed allylation of hydrazones is proposed in Scheme 2. Initially, allyl acetates **2** and Pd (0)/phosphine complex, derived from [Pd(allyl)Cl]₂ and tri-*p*-tolylphosphine, generate the π -allylpalladium complex **A** via oxidative addition. Then, after deprotonation in the presence of the base ('BuOLi), hydrazones **1** ligates to complex **A** forming 2,3-diazaallyl anions of benzaldehyde hydrazone (complex **B**). Subsequently, 2,3-diazaallyl anions attack the π -allylpalladium complex to afford the corresponding allylic products (*C*-allylated product **4** or *N*-allylated product **5** or **6**) through reductive elimination in the presence of base and regenerates the Pd (0)/phosphine. High yields of **4** and high chemo- and regioselectivity towards *C*-allylated products **4** can only be obtained in the presence of 2.0 equiv of 'BuOLi.



Scheme 2. A Tentative Mechanism.

Finally, preliminary asymmetric allylation studies were carried out. When the chiral carbene ligand generated from a sulfonate-bearing imidazolinium salt with a 3,5-diaryl-substituted phenyl moiety (L^*) was used, **4bz** was obtained in 45% yield with 78:22 enantiomeric ratio (e.r.) at room temperature (Scheme 3). The preliminary investigations thus show a potential for enantioselective allylation of hydrazones.



Scheme 3. Preliminary Investigations on Asymmetric Allylation of Hydrazones

In summary, we have developed a direct highly chemo- and regioselective C-allylation of non-substituted hydrazones enabled by palladium catalyst. Highlighted features of this methodology are: (a) effective for a wide variety of hydrazones originated not only from aryl aldehydes, but also alkyl aldehydes and ketones, (b) umpolung of carbonyls as highly reactive alkyl organometallic reagent surrogates for allylic alkylation, (c) the use of only a catalytic amount of both metal and ligand, (d) the high yields and ease to scale up, (e) the compatibility with a wide range of functional groups while maintaining a high chemoand regioselectivity of C-allylation. With all those characteristics, this umpolung reaction is expected to complement the palladium-catalyzed methods using stabilized nucleophiles and it provides with a novel catalytic alternative to the traditional use of highly reactive alkyl organometallic reagents. It is very likely that more strategies using hydrazones as unstabilized alkyl carbanion equivalents for other crosscoupling reactions will emerge in the future.

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Conflict of interest

The authors declare no conflict of interest.

Keywords: carbonyl • hydrazone • alkyl organometallic reagent • allylic alklation • palladium catalysis

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Umpolung of Carbonyls in Allylic Alklation: A direct palladium-catalyzed highly chemoand regioselective *C*-allylation of hydrazones was developed using umpolung carbonyls as a source of unstabilized alkyl carbanions and surrogates of highly reactive alkyl organometallic reagents, with a catalytic amount of both metal and ligand, high yields, ease to scale up, wide substrate scopes and great functional group compatibility. Dianhu Zhu, Leiyang Lv, Chen-Chen Li, Sosthene Ung, Jian Gao and Chao-Jun Li*

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Umpolung of Carbonyl Groups as Alkyl Organometallic Reagent Surrogates for Palladium-Catalyzed Allylic Alkylation