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Preparation of cubic-shaped sorafenib-loaded nanocomposite using well-defined poly(vinyl alcohol *alt*-propenylene) copolymer

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Vinyl alcohol (VA) copolymers having fine tunable polarities are emerging materials in drug delivery applications. VA copolymers rendering well-defined molecular architecture (C/OH ratio = 2, 4, 5 and 8) were used as carriers for model drug compound, fluorescein exhibited significantly different release characteristics depending on the polarity of the polymers. Based on the preliminary drug release tests the well-defined VA copolymer having C/OH = 5 ratio, poly(vinyl alcohol *alt*-propenylene) copolymer (PVA-5) was selected for nanocomposite synthesis. Sorafenib anticancer drug was embedded into PVA-5 (C/OH = 5 ratio) nanoparticles by nanoprecipitation resulting in nanoparticles exhibiting unusual cubic shape. The sorafenib-loaded nanocomposites showed continuous release during a day and concentration-dependant cytotoxicity on HT-29 cancer cells. This might be interpreted by the sustained release of the drug.

1. Introduction

Physiological processes at the molecular level occur at specific space and time scales, which are different for every tissue predetermining the need for the carriers that allow tunable drug release. (Uskoković and Ghosh, 2016) Metals, polymers, ceramics and bio-derived materials have been studied and used as medical implants. (Prakasam et al., 2017) Such materials can be designed for a wide range of biomedical applications, e.g. controlled drug delivery devices (Park, 2014) and tissue engineering constructs. (Uskoković, 2015) However, these materials should possess the ability to be tunable to exhibit complex kinetics of therapeutic molecules' release. Overall, there is a need for the development of sensitive and smarter controlled release carriers in pharmaceuticals.

Fluorescein (Fig. 1. a) is a chemical dye having several medical application. It has been approved by the Food and Drug Administration (FDA) of the U.S. Department of Health and Human Services since 2006.

Sorafenib (Fig. 1. b) is a multikinase inhibitor acting at tumor angiogenesis and proliferation. It is the exclusive drug approved by the FDA against advanced hepatocellular carcinoma (HCC) which is the fifth most common malignant cancer in the world and the third most frequent trigger of cancer-related death. (Hsu et al., 2017) HCC resistance to chemotherapeutic agents is especially high. The efficiency of sorafenib on tumor elimination is low most likely because of drug resistance that has substantially limited the overall therapeutic effect of cure. In addition, many patients suffer from multiple side

effects. (Long et al., 2017) Consequently, the development of sustained drug delivery systems to improve the bioavailability of sorafenib is an important nanopharmaceutical task.

Insert Figure 1 here

Polyvinyl alcohol (PVA) has been widely applied in drug delivery application in various forms, such as films, (Stampella et al., 2013) (Gan et al., 2016) hydrogels (Sakai et al., 2013), nanofibers, (Mahanta and Valiyaveetil, 2012) membranes (Manasco et al., 2014) and nanocomposites. (George et al., 2012) In composite nanoparticles generally hydrophilic drugs are incorporated by PVA. (Malarvizhi et al., 2014) In another approach sorafenib, the anticancer drug was loaded into PVA-coated superparamagnetic iron oxide nanoparticles. (Tom et al., 2018) To our knowledge lipophilic PVA has not been used for the preparation of nanomedicines. Drug delivery applications can benefit from tuning the polarity of PVA copolymers so that they can be used in the regulation of controlled drug release. (Santos et al., 2018) The polarity of the well-defined PVA copolymers can be adjusted by changing the density (i.e. C/OH ratio) of the hydroxyl groups along the polymer chain. (Su, 2013) (Tuba et al., 2016)

The polymer hydration properties can be modified by the introduction of polar functionalities on the polymer backbone. The type and the density of the polar substituents can significantly affect the hydration properties and so indirectly the embedded drug release rate. (Ghori and Conway, 2015) Different polar substitution density allows different water mobility thus different drug release characteristics. (Rajabi-Siahboomi et al., 1996) The substituents of a polymer side chain alter its polarity and its melting point. For instance, substitutions of the side-chain groups by more polar groups result in a reduction in the crystallinity of the polymer, which is reflected in a decrease in its melting point. This affects the solubility of the polymer in water. (Sarkar and Walker, 1995) The number of hydroxyl groups has an impact on the polarity of the polymers used as drug carrier which can have a great effect on the drug release, as well.

PEVA (poly (ethylene-vinyl acetate)) as copolymer of ethylene and vinyl acetate is often used in biomedical engineering applications as drug delivery matrices. The synthesis of PEVA via radical co-polymerization of ethylene with vinyl acetate leading

to VA copolymer derivatives are well-known.(Okaya, 1993) Cationic group-transfer polymerization(Mori et al., 1994) and palladium-catalyzed ethylene-vinyl acetate copolymerization(Ito et al., 2009) are also reported. These reactions result in polymers exhibiting randomly distributed polar and non-polar dyads. However, reports on the synthesis of VA copolymers exhibiting well-defined molecular architecture are rare.(Li et al., 2013; Scherman et al., 2002; Tuba et al., 2014; Valenti and Wagener, 1998)

The specific structural characteristics of PVA and VA copolymers originating from the sequencing (primary structure) of monomeric units, as well as the configurational and conformational assembly of the secondary structure, affect the drug delivery properties of the carrier material. The key aspect in these cases is the relative balance between the functional groups (structure, relative concentration, and position in the repeating unit) and the overall hydrocarbon content of the repeating units, as well as their arrangement in homopolymer or copolymer structures.(Pajak et al., 2010) The biodegradation of PVA was investigated by several research teams using different types of microorganisms over the past decades. *Pseudomonas* microorganisms can degrade the polymer by the mechanism through secondary alcohol oxidase (SAO) and β -diketone hydrolase (BDH), using specific dehydrogenase of PVA observed in *Alcaligenes faecalis* KK314 strain.(Chandra and Rustgi, 1998; Chiellini et al., 2003; Pajak et al., 2010) The biodegradation of VA copolymers is fostered by hydroxyl functionalities of the polymer chain.(Chandra and Rustgi, 1998) (Pajak et al., 2010)

When the VA-copolymers are synthesized by olefin metathesis polymerization the distribution of the OH groups along the well-defined VA-copolymer chain can be predetermined unlike in case of the random structured PEVA produced by radical polymerization.

Well-defined VA copolymers can be synthesized by ring opening metathesis polymerization (ROMP) or acyclic diene metathesis polymerization (ADMET) reactions using well-defined Grubbs, ruthenium-based metathesis catalyst systems (Fig. 1. c). ROMP is a chain-growth polymerization process where strained cyclic olefins are converted to a polymeric material. ROMP reactions are driven by the release of ring strain from the monomer(s) (e.g. cycloolefins). ADMET is a step-growth polymerization, which involves the elimination of small molecules such as ethylene (Fig. 2.).(Sutthasupa et al., 2010) (Wagener et al., 2006)

Insert Figure 2 here

In general, molybdenum and tungsten catalysts are relatively sensitive to oxygen-containing functional groups including alcohols, aldehydes and carboxylic acids. Nevertheless, ruthenium metathesis catalysts are rendering high functional group tolerances.(Balla et al., 2016) Earlier studies reported the ruthenium catalyzed ROMP of hydroxyl functionalized cyclooctene resulting in linear hydroxyl-functionalized polyoctenamers.(Hillmyer et al., 1995) The synthesis of well-defined ethylene-vinyl alcohol copolymers was reported by the ADMET of two vinyl acetate-containing symmetrical α,ω -diene monomers (Li et al., 2013) and also introduced primary, secondary and tertiary hydroxyl groups in precise intervals into the polyolefin chain.(Valenti and Wagener, 1998) By the ROMP

of a "temporary" strained *cis*-4-cyclopentene-1,3-diol poly(vinyl alcohol-*alt*-methylene) was synthesized under forcing conditions.(Scherman et al., 2002)

In this study well-defined VA-copolymers (Fig. 3.) with fine-tuneable polarity have been investigated as potential drug delivery materials for pre-programmed drug release. VA alternating copolymers have been synthesized via olefin metathesis reactions by the ROMP of cyclooct-4-ene-1-ol(Martinez et al., 2014a) and cyclooct-5-ene-1,2-diol (Scherman et al., 2005) and ADMET of 1,6-heptadiene-4-ol according to standard literature procedures.(Tuba et al., 2014) The formed polyolefins were hydrogenated by Pd on carbon giving the target VA copolymers in quantitative yield. The yields, the polymers' molecular weights (Mw) and dispersities (\bar{D}) summarized in Table 1. correlate well with the data found in the literature.(Tuba et al., 2014)(Martinez et al., 2014b)(Scherman et al., 2005)

Insert Figure 3 here

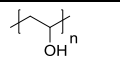
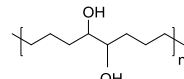
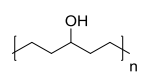
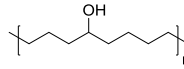
Name	C/O ratio	Structure	Mw	\bar{D}	T _g [°C]
PVA	2		2000	-	85
PVA 4	4		-*	1.3	34.4
PVA 5	5		1340	1.3	-**
PVA 8	8		6500	5.7	6.8

Table 1 The GPC analysis (Mw, \bar{D}) and glass transition point T_g values of the PVA co-polymers used in controlled drug release experiments *PVA-4 was insoluble in THF, no GPC data was obtained. **PVA-5 has crystalline structure, no T_g data was obtained

Herein, we report the sustained release of fluorescein and sorafenib using well-defined vinyl alcohol copolymers having high content of precisely-spaced vinyl alcohol functionalities. The fluorescein model compound has been selected for preliminary drug release investigations, as its logP value (3.4) is similar to that of the sorafenib (3.8). In the preliminary experiments fluorescein has been embedded into a series of well-defined VA copolymers. Based on the drug release tests, the most promising VA copolymer was selected as a nanocarrier for sustained sorafenib anticancer drug release. VA copolymer-sorafenib nanoparticles have been synthesized by nanoprecipitation and subjected to *in vitro* studies including biorelevant drug release test, cellular uptake - and cytotoxicity investigations with HT-29 human cancer cell line.

2. Materials and methods

Poly(vinyl alcohol)- (PVA, Mw=30,000-70,000, 87-90% hydrolysed), polyoxyethylene sorbitan monooleate- (Tween 80) and Pluronic F68 emulsifiers; ethanol, acetone, dimethyl

sulfoxide (DMSO), sodium azide, 1-ethyl-3-(3-dimethylaminopropyl) carbodiimide (EDC), N-hydroxysuccinimide (NHS) and 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT), abs. toluene, tripropylamine, p-toluenesulfonyl hydrazide, xylenes, BHT, 1,4-dioxane, TFA, abs. THF, LiAlH_4 , abs. diethyl ether, N-methylmorpholine N-oxide, OsO_4 , n-hexane, 1,5-cyclooctadiene, m-chloroperbenzoic acid, 1,6-heptadiene-4-ol, palladium on carbon, ethyl vinyl ether and fluorescein sodium salt were obtained from Sigma Aldrich, ruthenium catalyst G2 was from Materia Inc. Sorafenib (free base) was purchased from LC Laboratories (US). Cyanine 5 amine was the product of Lumiprobe GmbH (Germany).

Polymer preparation

PVA-4 was synthesized (Fig. 3. a) by the ROMP of acetal-substituted cyclooctene (COE), where acetal moiety worked as a protecting group for 5,6-dihydroxy-COE to increase the solubility of the polymer. Acetals were polymerized with G2 catalysts (Fig. 1. a). Saturation of the polymer backbone, followed by deprotection, generated perfectly alternating copolymers of vinyl alcohol and ethylene. (Scherman et al., 2005)

PVA-5 was synthesized (Fig. 3. b) by the ADMET of 1,6-heptadiene-4-ol (Tuba et al., 2014) and **PVA-8** (Fig. 3. c) by the ROMP of cyclooct-4-en-1-ol (Martinez et al., 2014) with G2 catalyst (Fig. 1. a) in an open vial in a glovebox at ambient temperature. Hydrogenation of the resulting polymers were performed on palladium on carbon followed by atmospheric hydrogen exposure. (Tuba et al., 2014)

Polymer characterization

Routine ^1H NMR spectra were obtained on a Varian Unity INOVA spectrometer operating at an equivalent ^1H frequency of 500 MHz performed at 30 °C.

The GPC system was composed of a Waters 515 HPLC pump, a Waters 717plus Autosampler, a column system of 2 Waters Styragel HR columns (HR1 and HR4), a Jetstream thermostat and an Agilent Infinity 1260 Differential Refractometer detector. Distilled tetrahydrofuran was used as eluent with a flow rate was 0.3 mL/min.

Thermogravimetric analyses were performed in air (50 ml/min) using a Perkin Elmer Pyris 1 Thermogravimetric Analyser over a temperature interval 30–600 °C at a heating rate of 10 °C min⁻¹.

PVA-fluorescein blend preparation

100 mg of polymer was dissolved in 5 ml of the appropriate solvent (H_2O -PVA, DMSO-PVA-4, MeOH-PVA-5, THF-PVA-8) and 5 mg of fluorescein was separately dissolved in 3 ml of methanol then the solutions were mixed and stirred together for an additional 20 minutes, then the solvent was removed by rotavapor. The sample was homogenized by mechanical mixing and 10 mg of the resulting solid material was pressed into a tablet by direct compression with a PerkinElmer Manual Hydraulic Press (5 tons).

PVA-sorafenib nanoparticle preparation

The nanocomposites were formed by nanoprecipitation method. During the process 1 ml 10 mg/ml **PVA-5** polymer dissolved in ethanol and 0.1-0.2 ml 10 mg/ml sorafenib solution in acetone were combined, and added (one-shot) to 10 ml

aqueous solution containing PVA, Pluronic F68 or Tween80 emulsifier. The organic solvent was evaporated by magnetic stirring overnight at room temperature and 1 bar. Blank **PVA-5** nanoparticles were prepared similarly using Pluronic F68 emulsifier, though without sorafenib active agent. To prepare sorafenib crystals, the drug was precipitated by the same method, but without adding the encapsulating polymer. The nanocomposite dispersions were centrifuged by a Hermle Z216 MK microcentrifuge (Germany) with 15,000 rpm for 20 min, washed and redispersed in equal volume of MilliQ water three times.

Characterization of nanoparticles

The nanocomposites were imaged with FEI Apreo scanning electron microscope (SEM, Thermofisher, USA) at 20 kV. The size distribution of the NPs was measured by dynamic light scattering method with a Zetasizer Nano ZS (Malvern Instruments, Malvern, UK). The particles were characterized by the intensity mean diameter and polydispersity index (PDI). Zeta potential was measured also by Zetasizer Nano ZS (Malvern Instruments, Malvern, UK) after washing and redispersion of the nanoparticles in MilliQ water. Thermal behavior of the samples was investigated by differential scanning calorimetry (DSC) on a Setaram LabsysEvo system. The measurements were performed in flowing (50 mL/min) high purity argon (99.999%) atmosphere, in 25-300 °C temperature range with a scanning rate of 10 °C/min. An average of 4-5 mg sample was placed into 100 μL aluminium crucible. The measurement results were blank corrected. All measurement results were evaluated with Calisto Processing (v2.01) software.

The encapsulation efficiency was determined after dissolving 10% of the prepared and washed nanoparticles in 5 ml ethanol. The sorafenib concentration was measured by HPLC. The chromatography system was Young Lin YL 9100, YL Instruments Co., Ltd, South Korea. Chromatographic separation was performed on a Zorbax SB-Aq column (150 mm \times 4.6 mm, 5 μm ; Agilent, USA). The mobile phase from time zero till 5 min was composed of 70% distilled water (0.025% tetrachloroacetic acid) and 30% methanol. The percentage of methanol was increased continuously to 100% over 22 min, and remained till the time point 30 min. Then, the composition was changed back to distilled water (0.025% TFA)–methanol (70:30; v:v) within 5 min. The flow rate was of 1 mL/min throughout the 35-min run. Chromatography was performed at 30 °C. The absorbance of eluent was measured at a wavelength of 255 nm.

The concentration of sorafenib was calculated using a calibration curve and the encapsulation efficiency was calculated as follows:

$$\text{Encapsulation efficiency (\%)} = (\text{mass of drug in NP} / \text{mass of total loaded drug}) \times 100.$$

In vitro drug release

During the pre-programmed drug release experiments fluorescein as a model compound was embedded into polymers (**PVA**, **PVA-4**, **PVA-5** and **PVA-8**) synthesized with different polarities, from which fluorescein release was monitored by Metertech SP-8001 UV/Visible Spectrophotometer at 490 nm. (Doughty, 2010) The physiological condition was ensured by phosphate buffered saline aqueous solution (50 ml) and incubation at 37 °C, continuous shaking at 200 rpm. Samples

were taken at the 0th, 5th, 10th, 20th, 30th minutes and 1st, 2nd, 4th, 6th hours of the experiments. In each case, the experiments were repeated at least three times, the standard deviation of the results was well within 10%.

The sorafenib-loaded nanocomposite was subjected to biorelevant in vitro drug release experiments, that is, after their washing, 10 mg nanocomposites were re-suspended in 15 ml human blood plasma containing 0.03% sodium azide bactericide. The NPs in release medium were incubated at 37°C in G24 Environmental Incubator Shaker (New Brunswick Scientific Co. Inc., USA) and shaken by BIO RS-24 Mini-rotator (Biosan, Latvia) for 2 days at 700 rpm. At predetermined intervals, 0.5 ml of each sample was centrifuged (Hermle Z216 MK microcentrifuge, Germany) for 20 min at 15,000 rpm, washed three times and the gained nanoparticles were dissolved in 0.5 ml ethanol. The sorafenib concentration was analyzed after HPLC separation as described above.

Fluorescent dye conjugation

For cellular uptake studies the PVA-sorafenib nanoparticles were fluorescently labelled in order to make them visible for fluorescent activated cell sorting (FACS). Briefly, washed nanoparticle (1 mg) dispersion that was resuspended in 0.5 ml PBS (pH 7.4) was mixed with 0.1 ml PBS (pH 7.4) containing 10 mg EDC and 10 mg NHS, and incubated for 60 min at 25°C, centrifuged and washed with MilliQ water, and redispersed in 1.0 ml PBS (pH 7.4). The prepared carbodiimide activated nanoparticle dispersion was added to 0.02 ml PBS (pH 7.4) solution containing 0.5 mg/ml Cyanine 5 amine fluorescent dye, and incubated 1 h at 25°C. Then, the suspension of nanoparticles was centrifuged, washed three times and redispersed in 1 ml PBS.

Cell cultures

The human cancer cell line HT-29 was grown in RPMI-1640 medium including 10% fetal calf serum (FCS) and 100 U/ml penicillin. The cells were cultured at 37 °C in a humidified atmosphere containing 5% CO₂. They were trypsinized, resuspended and precultured before use.

In vitro cellular uptake and cytotoxicity studies

HT-29 cellular uptake of the nanoparticles was investigated by FACS. The cells were cultured in 24-well plates at a cell density of 2x10⁵ cells per well at 37 °C for 24 h. Then, 0.1 ml suspension containing 100 µg fluorescently labelled nanoparticle was added to each well (3 parallel) and incubated for 24 h. The cells cultivated without the nanoparticles were used as negative control. The cells were washed by PBS, trypsinized and redispersed in PBS containing 2% BSA. Flow cytometry was done by a BD FACSAria III Cell sorter (BD Biosciences, San Jose, CA, US) at Ex/Em 633/660 nm wavelength. Shortly, fluorescent light is emitted by fluorescent molecules after excitation by a laser of suitable wavelength. Fluorescent light may originate from naturally fluorescing materials in the cell, or may originate from fluorescent dyes used to label the cell. Using FACS one can physically sort a heterogeneous mixture of cells into different populations. Usual representation includes the intensity of a single channel (horizontal axis) vs number of detected events (vertical axis). Histograms can be used to compare a single parameter from two different sample populations (e.g. experimental vs.

control). (see in detail <https://www.antibodies-online.com/resources/17/1247/what-is-flow-cytometry-facs-analysis/>)

MTT cytotoxicity assay based on a colorimetric reaction was applied to study the effect on viability of HT-29 carcinoma cells. Cells were seeded (10000 cells/well) in 96-well plates. After 24 h pre-incubation, 200 µl fresh RPMI-1640 medium containing 10% FCS and 50 µg PVA-sorafenib nanoparticles were pipetted to each well (8 parallel). The effect of four sorafenib concentration levels (0.5 µg, 1.0 µg, 2.5 µg and 5.0 µg per well). The positive control samples were also supplied by the same amount of free sorafenib in DMSO solution. DMSO cytotoxicity (without drugs) was also investigated. After 12 h, 24 h and 48 h incubation 20 µl/well MTT solution (5 mg MTT/ml) and 0.2 ml/well culture media were added and the incubation took 2 h. The supernatant was removed, and MTT lysis solution (DMSO, 1% acetic acid, 10% SDS) was pipetted into each well. The cells containing the formed MTT formazan crystals were dissolved during 30 min, and, the absorbance of cell suspension was measured at 492 nm by a plate reader (Robonik Readwell Touch, India). The viability of treated cells was related to that of the untreated cells (negative control).

3. Results and discussion

In vitro fluorescein release of PVA blends. Preliminary PVA-fluorescein composite release tests were performed using commercially available PVA. These experiments were used as etalon tests for the comparison of the fluorescein release properties of composites made from polymers with preadjusted polarity (various C/OH ratios).

Fig. 4 shows clearly that polymers with different polarity can affect controlled fluorescein release. From the water-soluble PVA rapid fluorescein release was observed, as the embedded compound was completely dissolved within the first 20 minutes of the reaction. The experiences demonstrated that the greater the C/OH ratio is in the polymer, the slower the release of the drug. After 6 hours, the release of the fluorescein was only 67%, 51% and 30% for the poly(vinyl alcohol *alt*-ethylene) (**PVA-4**), poly(vinyl alcohol *alt*-propenylene) (**PVA-5**) and poly(vinyl alcohol *alt*-hexenylene) (**PVA-8**), respectively.

Insert Figure 4 here

Considering the model drug release experiments, the most promising sparingly water-soluble polymer, **PVA-5** was selected for further investigations. On Fig. 5 the rate of fluorescein release is shown in a 6-day time interval. A relatively intense fluorescein release was observed on the first day of the experiment, it reached about 65%. However, the process has slowed down and reached only about 85% for the 6th day of the experiment with the standard deviation of the results within 10%.

Insert Figure 5 here

Physical and chemical properties of PVA-sorafenib nanoparticles. **PVA-5** and **PVA-8** were evaluated as having the most favourable release kinetics with the model drug, they

were examined, whether they are suitable for encapsulating sorafenib anticancer drug. Meanwhile the **PVA-8** showed highly non-polar characteristics and was hardly soluble in most general solvents, the **PVA-5** exhibited sparing solubility in water and good solubility in ethanol. In fact, **PVA-8** was soluble exclusively in hot tetrahydrofuran, and after nanoprecipitation in the presence of different emulsifiers, nanoparticles could not be prepared, a huge agglomerate formation was observed. Hence, **PVA-5** was selected to prepare nanotherapeutics by nanoprecipitation method (Miladi et al., 2016). Three different emulsifiers PVA, Tween 80 and Pluronic F68 were investigated during the preparation process. Tween 80 emulsifier in the nanoprecipitation process provided nanocomposites with large size, while the use of PVA surface active agent resulted in submicron-sized nanoparticles. Pluronic F68 provided the smallest nanocomposites (Fig. 6), thus, it was used to optimize the particle preparation.

Insert Figure 6 here

Pluronic F68 concentration was varied in the range of 0.5-5.0% in the water phase to find out the optimal emulsifier concentration. However, a minimum in the size was found at a surfactant concentration of 2% (see Fig. 7 and Table 2) but a small peak in the micron size range can be seen in the size distribution (Fig. 7). This is also reflected in the PDI value (Table 2) that is higher than 0.2, which can be attributed to the agglomeration of the nanoparticles or separately precipitated sorafenib particles. The multimodal distribution of **PVA-5-sorafenib** prepared using 5% Pluronic F68 emulsifier might result from the micelle formation beside the mentioned reasons.

According to Fig. 7 and Table 2 it can be established that 1% Pluronic F68 concentration is optimal for the nanocomposite preparation in this process. Nanoparticle morphology was monitored by scanning electron microscope (SEM) indicating the formation of particles mostly of submicron size. This is in accordance with the results of measurements achieved by dynamic light scattering method.

Insert Figure 7 here

Emulsifier concentration (%)	Intensity mean size (d.nm)	PDI	Zeta potential (mV)
0.5	306.1	0.179	- 0.18
1	271.2	0.142	- 0.45
2	225.2	0.216	- 0.53
5	430.0	0.376	- 0.92

Table 2 Intensity mean diameter, PDI and zeta potential values of PVA-5-sorafenib nanoparticles as a function of Pluronic F68 concentration.

Interestingly, most of the particles exhibited cubic shape (Fig. 8. a) which is rather unusual among the polymeric nanoparticles. (El-Enin and AL-Shanbari, 2018; Karami and Hamidi, 2016; Salah et al., 2017) The precipitation of sorafenib also produced cubic crystals (Fig. 8. b), although their size was considerably larger, with an average diameter of 1.45 μm , while composites containing both the polymer and

sorafenib had optimally an average size of 225-271 nm (Table 2). It means the polymeric nanocomposites also followed the shape of the sorafenib crystals, however with substantially reduced size.

Insert Figure 8 here

Differential scanning calorimetry (DSC) investigation has been done in order to confirm the SEM results. Fig. 9 draws the DSC curves of pure-, precipitated sorafenib and **PVA-5-sorafenib** nanocomposite. The endothermic peaks found in all of the three thermograms clearly suggest crystal structure of each sample. Pure sorafenib and precipitated sorafenib had very similar thermograms, as expected. The two overlapping peaks show two different polymorphs: a more stable with a high and narrow endotherm and a less stable with a wide one. These peaks can be observed also in the **PVA-5-sorafenib** nanocomposite, however, with a substantial shift that verifies the formation of nanocrystals, which are visible also in the SEM image (Fig. 8).

Insert Figure 9 here

The encapsulation efficiency reached its maximum at 2% Pluronic F68 concentration (Table 3), and because the yield of the nanoparticles did not change significantly by increasing the emulsifier concentration, the loading degree was also the highest (12.0 %) at this concentration of surfactant. The ratio of encapsulated active agent reduced significantly, when the emulsifier concentration was increased to 5 %, which can be explained by the elevated solubility of the sorafenib due to the high emulsifier concentration. Zeta potential value of **PVA-5-sorafenib** nanoparticles in MilliQ water determined by Zetasizer (Malvern Instruments, UK) did not show stabilization by charge of the nanoparticles (Table 2). However, the nanoparticle suspensions did not show aggregation in the solution of emulsifier during a day or in blood plasma during the release study.

Emulsifier concentration (%)	Encapsulation efficiency (%)	Yield (%)	Loading degree (%)
0.5	52.2	70.4	6.8
1	82.1	69.4	10.7
2	93.6	71.1	12.0
5	74.7	68.9	9.9

Table 3 Encapsulation efficiency, yield and loading degree values of PVA-sorafenib nanoparticles as a function of Pluronic F68 concentration.

Biorelevant drug release of PVA-5-sorafenib nanoparticles.

Sorafenib release of the prepared nanocomposites was investigated under biorelevant condition in human blood plasma. The active agent was released from the nanocomposites continuously within one day (Fig.10.). This is substantially quicker, than that was found for fluorescein. Nevertheless, the nanocomposites possess significantly higher surface area compared to the PVA blends. Moreover, the plasma release medium contains a lot of protein that can behave as surfactant, thus via the solubilisation they can

accelerate the dissolution of sorafenib. Nevertheless, serum proteins were proved to be prone to interact with sorafenib.(Shi et al., 2015)

Insert Figure 10 here

Carcinoma cellular uptake and cytotoxicity of PVA-5-sorafenib nanoparticles. In cellular uptake studies by HT-29 model carcinoma cells, during the 24 h incubation time, all of the cells engulfed fluorescently labelled sorafenib loaded **PVA-5** nanoparticles (Fig. 11) with a relative count of 16690 ± 1401 . Fig. 10 shows the histograms of control cells (A) and cells cured with fluorescent labelled **PVA-5-sorafenib** nanoparticles. Comparing the two histograms, it can be seen that there is not any overlap between the peaks, which means all of the cells contained the fluorescent dye after incubation with the labelled nanoparticles.

Insert Figure 11 here

Cytotoxicity tests were performed on HT-29 cells using different active agent concentrations. Sorafenib solution was added to the cells in DMSO solution, thus the effect of the same concentration (0.5% related to the medium volume) of DMSO was also investigated and it did not exert significant toxic effect.

Blank **PVA-5** nanoparticles without active agent neither decreased the viability of the cells compared to the non-treated cells (negative control) (Figure 12). As expected, sorafenib solution triggered concentration-dependent cytotoxicity and this was also observed by the nanocomposites. However, the viability was reduced to a smaller extent, probably due to the prolonged release of the drug. 48 h incubation with the nanocomposites resulted in the highest cytotoxic effect. Although all of the drug was released within one day, the burst effect was not too high. Thus, the available drug concentration is substantially lower for some hours than in the case of sorafenib solution. The rate of cellular uptake must have also influenced the cytotoxicity of the nanoparticles.

Insert Figure 12 here

4. Conclusions

Well-defined vinyl alcohol (VA) copolymer carriers with fine-tuneable polarity were synthesized via olefin metathesis reactions by ROMP and ADMET. By fine tuning the number of hydroxyl groups on the polymer chain enabled pre-programmed drug release of the embedded model drug, fluorescein. Most beneficial carrier copolymer, **PVA-5** was used to incorporate sorafenib anticancer drug by nanoprecipitation resulting in the formation of unusual cubic-shaped nanocomposites. The formed particles released the active agent continuously within 24 h. In HT-29 cancer cells concentration-related cytotoxicity of the sorafenib-loaded nanoparticles was observed. The results can be associated with the sustained release of the drug. So far we have experienced the formation of cubic-shaped polymeric nanocomposites neither in our

experiments nor in the literature. The main significance of this finding is that the encapsulating polymer followed the structure of the drug, which is quite unusual, since by polymeric microencapsulation typically amorphous composites can be formed. Shape is critical parameter influencing cellular uptake and/or the speed and site specific drug delivery from the nanoparticles. Preferential interaction with targeted proteins can be reached on proper shape selection of nanomaterials (Ankamwar, 2012). This interesting feature of the well-defined PVA polymer might be useful in some other application (e.g. diagnostic or optical), where the shape gets more role in the function of a composite. Thus, in the following studies it is important to clarify, whether this surprising phenomenon can be realized using this polymer in composite with other components.

5. Acknowledgements

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6. Conflicts of interest

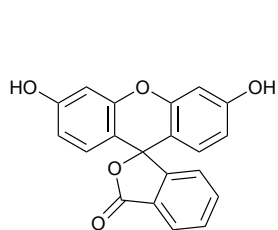
There are no conflicts to declare.

7. Notes and references

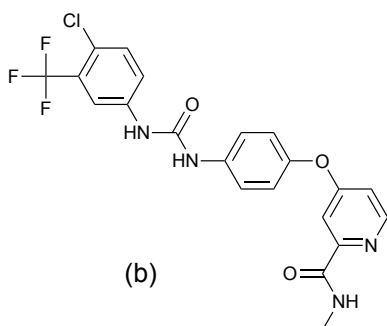
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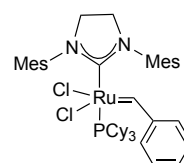
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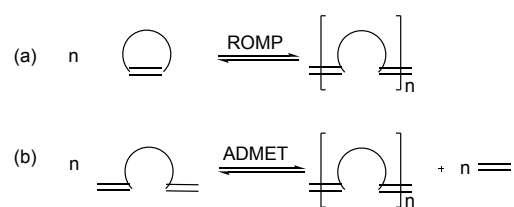
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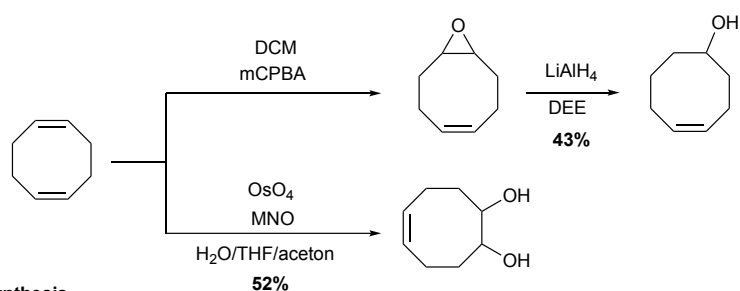
(b)



(c)

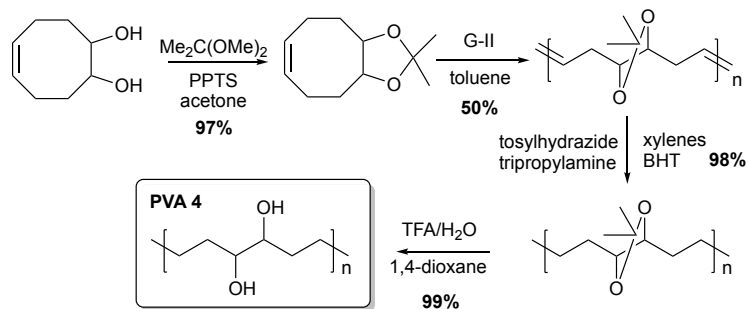


monomer synthesis

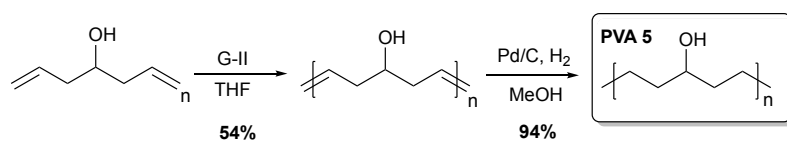


polymer synthesis

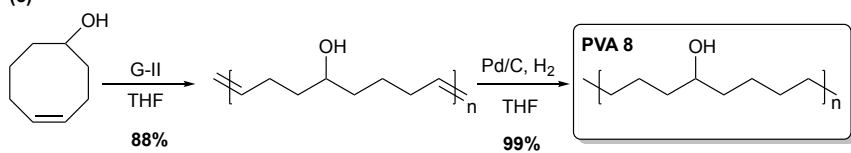
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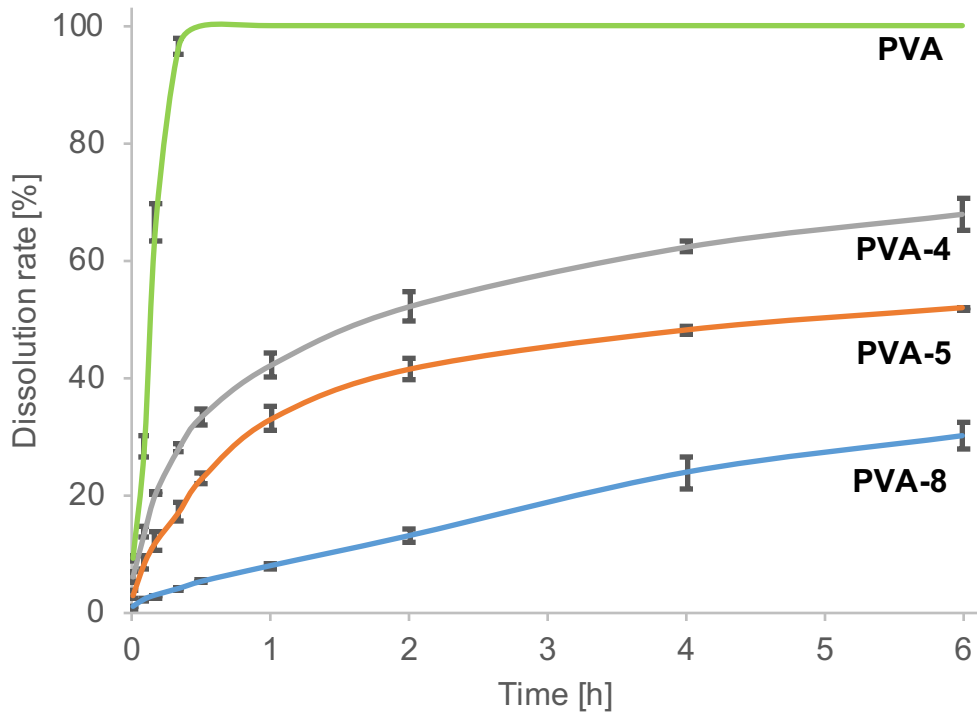


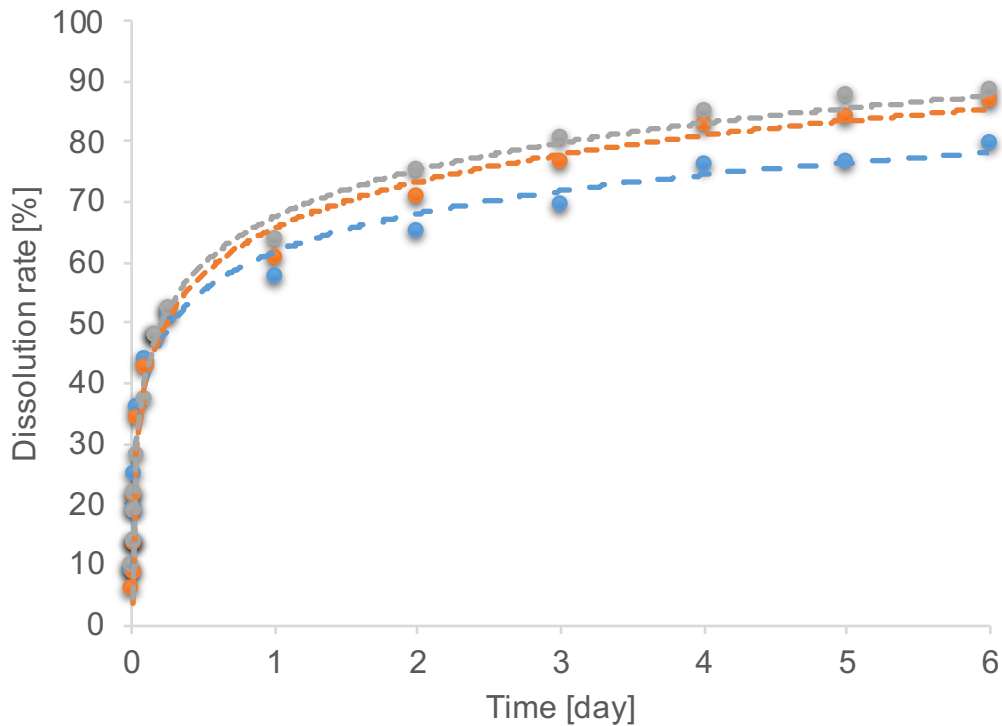
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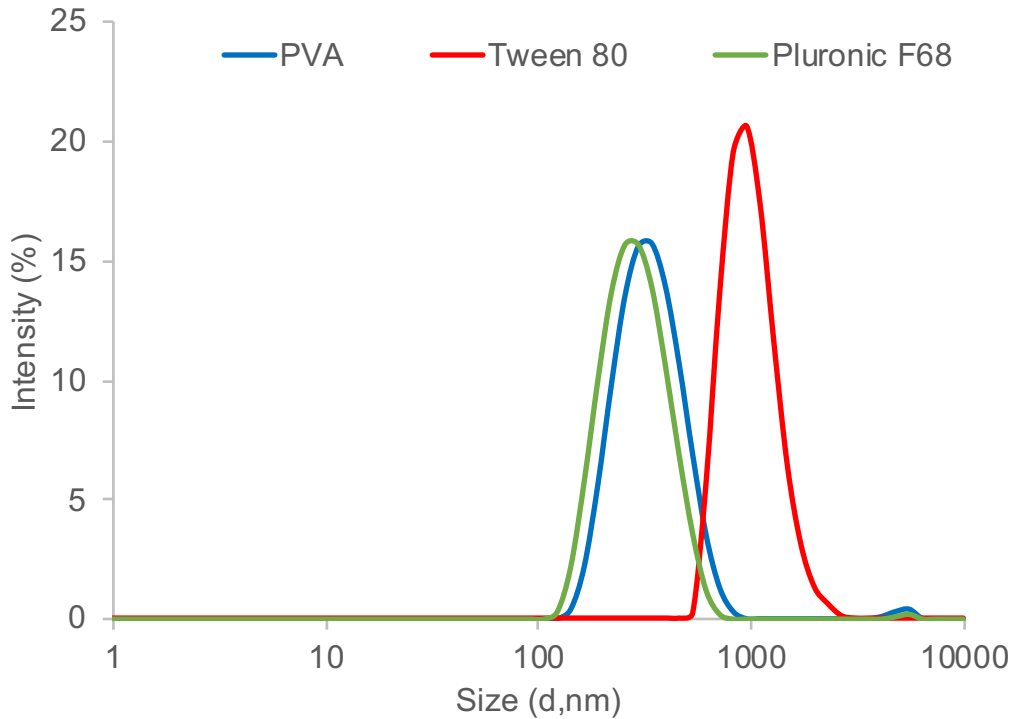


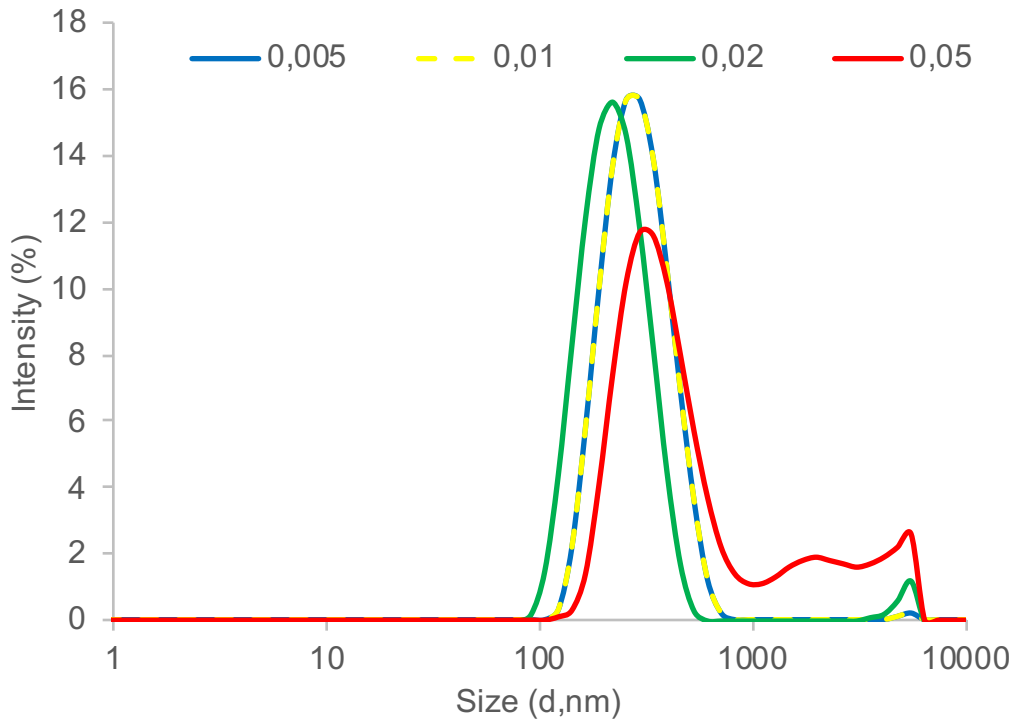
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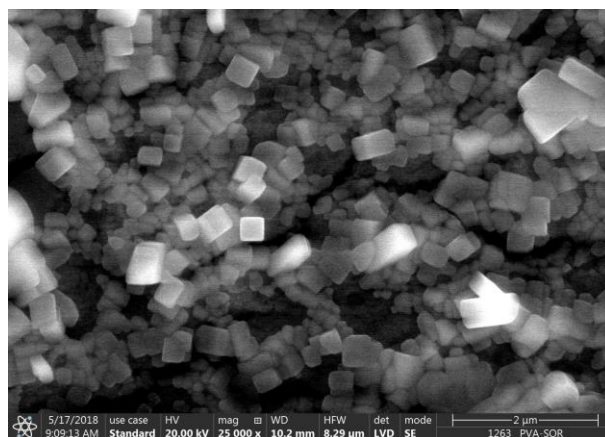




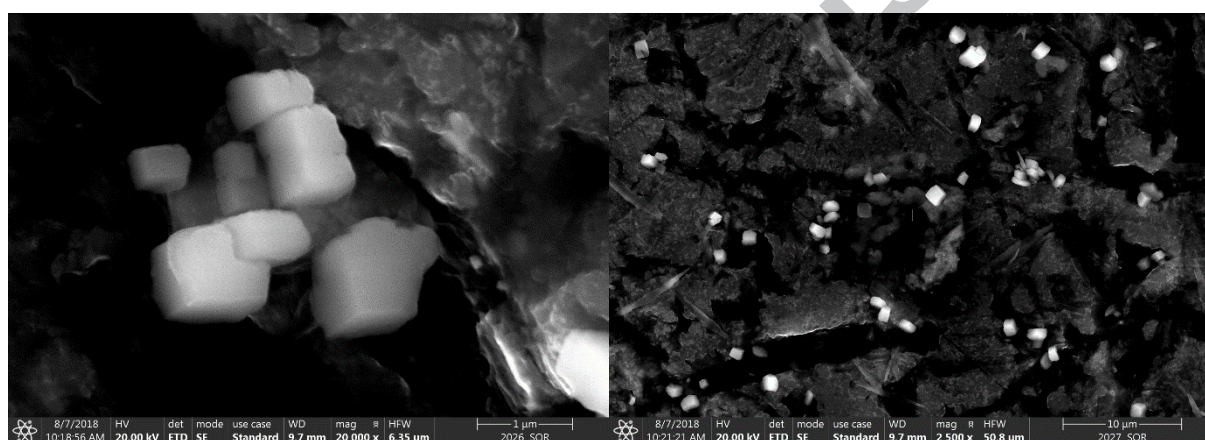


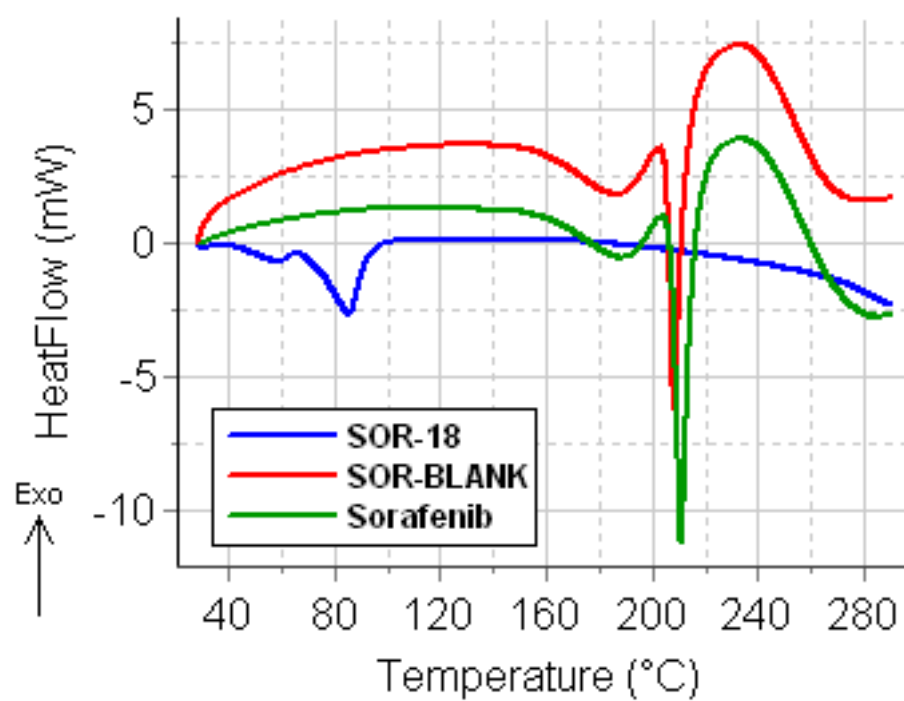


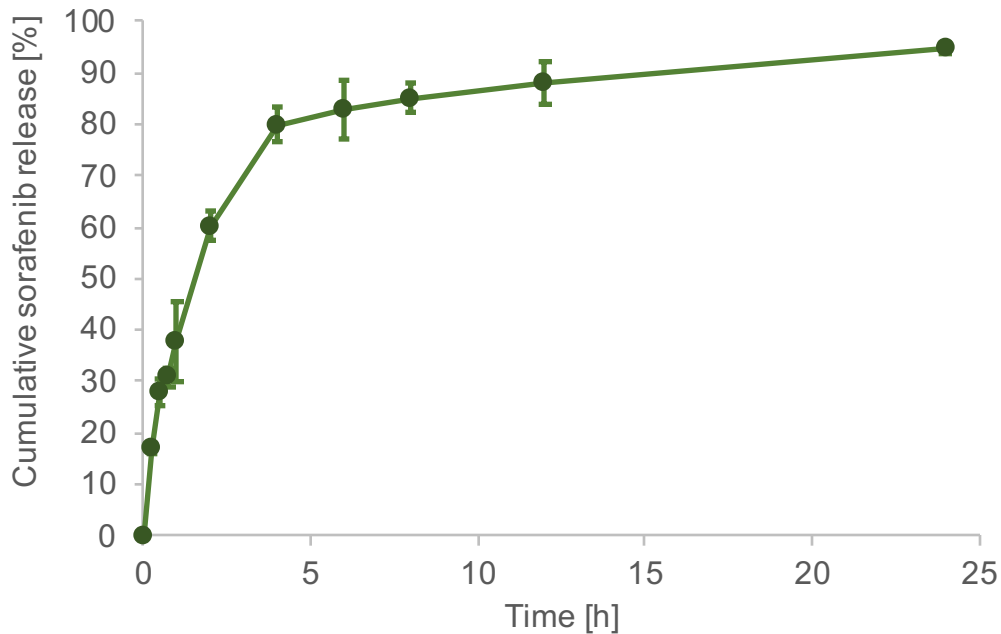
A

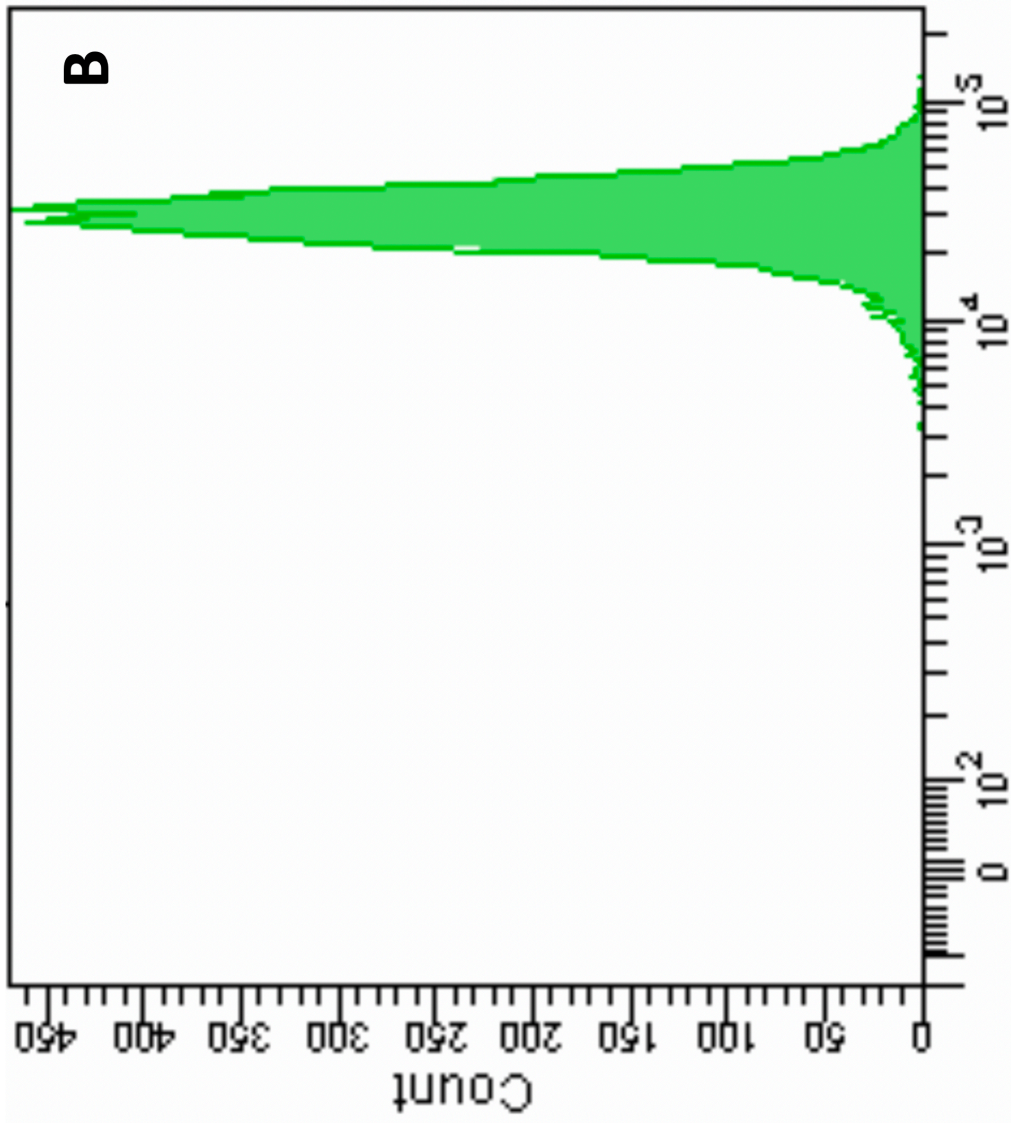
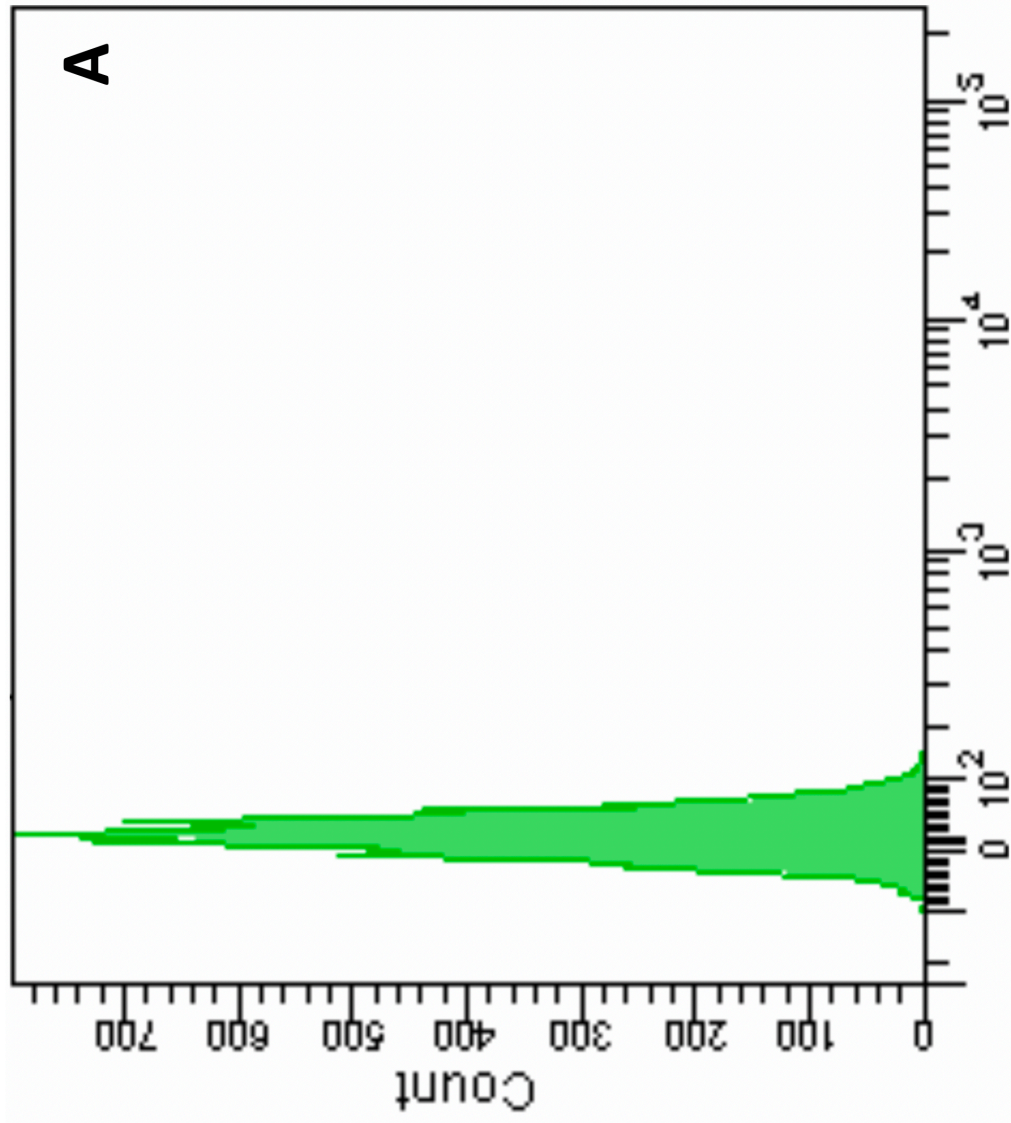


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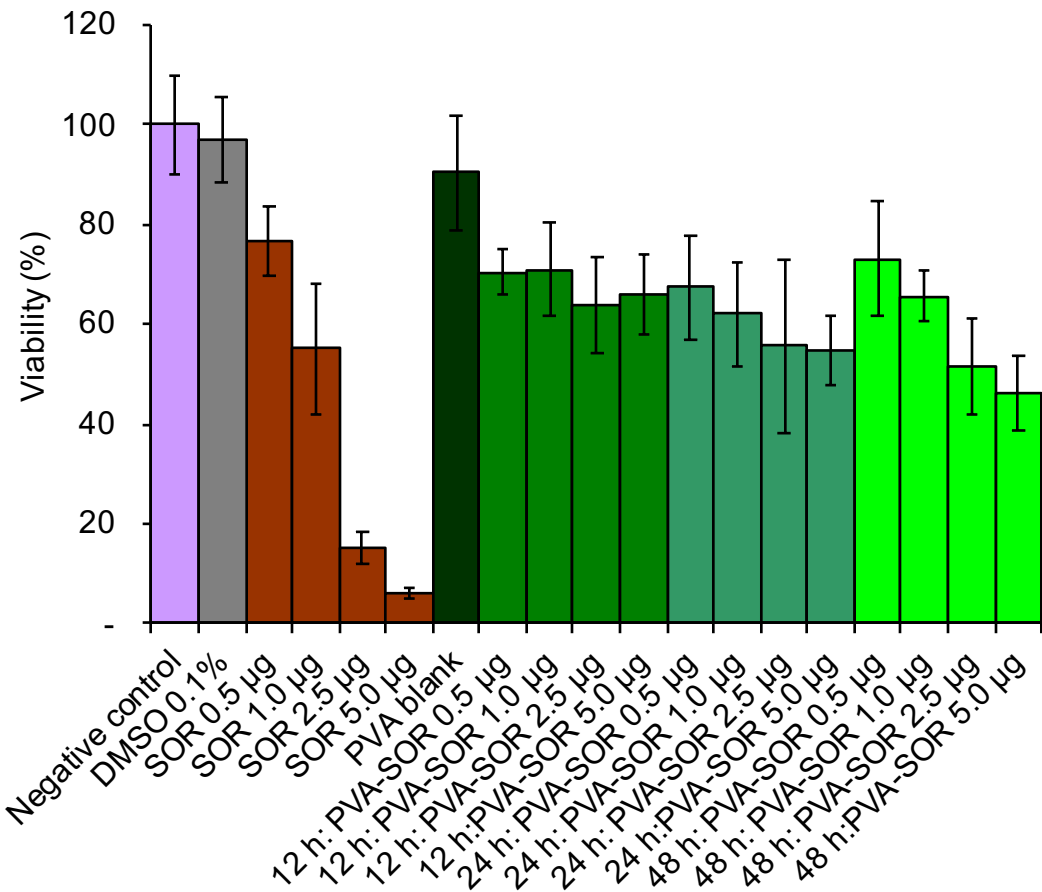


Fig. 1 Structure of fluorescein model (drug compound - a), sorafenib (anticancer drug - b), **G-II** catalyst (ruthenium-based metathesis catalyst - c).

Fig. 2 A generalized example of ring opening metathesis polymerization (ROMP - a) and acyclic diene metathesis polymerization (ADMET - b) reactions.

Fig. 3 Synthesis of well-defined VA-copolymers with different polarities (PVA-4, PVA-5 and PVA-8).

Fig. 4 Rate of fluorescein release from PVA blends as a function of carriers possessing different C/O ratio.

Fig. 5 Rate of fluorescein release by PVA-5 blend (3 independent experiments) in a 6-day time interval.

Fig. 6 Size distribution of PVA-5-sorafenib nanoparticles prepared by PVA, Tween 80 or Pluronic F68 emulsifiers.

Fig. 7 Size distribution of PVA-5-sorafenib nanoparticles prepared by varying concentrations (0.5 % - blue line, 1.0 % - yellow dashed line, 2.0 % - green line, 5.0 % - red line) of Pluronic F68 emulsifier.

Fig. 8 Scanning electron microscopic image of PVA-5-sorafenib nanoparticles (A) and precipitated sorafenib crystals (B).

Fig. 9 Differential scanning calorimetry thermograms of pure sorafenib (Sorafenib, green line), precipitated sorafenib (SOR-BLANK, red line) and PVA-5-sorafenib nanocomposite (SOR-18, blue line).

Fig. 10 Cumulative sorafenib release of the PVA-5-sorafenib nanocomposites in human blood plasma.

Fig. 11 Fluorescence-activated cell sorting (FACS) diagram of control cells without treatment (A) and cells treated with Cyanine5-labelled PVA-5-sorafenib nanocomposites (B).

Fig. 12 Viability of HT-29 cells by MTT assay due to DMSO, sorafenib in solution ('SOR', brown columns) and PVA-5-sorafenib nanoparticles ('PVA-SOR', green columns) after 12 h, 24 h and 48 h incubation related to the non-treated (negative control) cells.

Graphical Abstract



onged
active
agent
release.

Sorafenib
chemotherapeutic
drug
VA-copolymer
(C/OH = 5 ratio)
nanocomposite
exhibiting
unusual
cubic
shape
shown
prol