

Synthesis of novel rigid-rod and tripodal azulene chromophores

Massimiliano Lamberto, Cynthia Pagba, Piotr Piotrowiak* and Elena Galoppini*

Chemistry Department, Rutgers University, 73 Warren Street, Newark, NJ 07102, USA

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Abstract—Two novel azulene chromophores **1** and **2** were synthesized to study dynamics of ultrafast electron injection at the interface of TiO₂ semiconductor nanoparticles. Fluorescence quenching was observed upon binding.

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Azulene and its derivatives possess unusual electronic and optical properties and find application as components in advanced materials.^{1,2} In particular, azulene has a long-lived (1.4 ns) emissive second excited singlet (S₂) state and an extremely short-lived (1.6 ps) nonemissive first excited singlet (S₁) state, the rapid nonradiative relaxation of which is attributed to the presence of a conical intersection between the S₁ and S₀ states.³ For this reason, azulene was selected to study electron transfer into TiO₂ from vibrationally nonrelaxed excited states (Fig. 1a). The rates of injection and recombination from 1-carboxyazulene directly bound to TiO₂ nanoparticles and azulene encapsulated within a host molecule (hemiacarceplex) anchored to TiO₂ were recently studied.⁴ In the case of the directly bound 1-carboxyazulene injection from the S₂ state was complete within 100 fs.⁴ In the case of the encapsulated azulene the injection and recombination rates are reduced by approximately 3-orders of magnitude.⁴

In this letter, we describe the synthesis and properties of two novel azulene chromophores that bind to TiO₂ nanoparticles through a rigid-rod (**1**) or a tripodal (**2**) linker. Compounds **1** and **2** were designed as models to study the effects of linkers on electron injection of azulene into TiO₂ as illustrated in Figure 1b.

Rigid-rod **1** was synthesized by the two routes shown in Scheme 1.

Keywords: Semiconductor nanoparticles; Rigid-rod linkers; Tripodal linkers.

* Corresponding authors. Tel.: +1 973 353 5317; fax: +1 973 353 1264 (E.G.); e-mail: galoppin@andromeda.rutgers.edu

Route A involved Suzuki-type⁵ coupling of dimethyl-5-iodo-isophthalate **5b** with 2-bromoazulene **7** (Scheme 2), to afford rigid-rod **1** in 35% yield. In this reaction, instead of the commercially available dimethyl-5-bromo-isophthalate, we used the more reactive iodoisophthalate **4**,⁶ which was readily prepared from the diazonium salt of the aminoderivative **3**. The poor overall yield of **1** (~8% from **3**) from this method, which was previously employed for the synthesis of other rigid-rods,⁷ prompted us to use route B as an alternative.

Rigid-rod **1** was synthesized in 74% yield by Sonogashira⁸ cross-coupling of **4** and 2-ethynylazulene⁹ **7b** (Scheme 3). Ethynylazulene was synthesized in high yield upon iodination of azulene with *N*-iodosuccinimide (NIS) followed by cross-coupling with trimethylsilylacetylene. From this route, the overall yield of **1** from **3** was 23%. Two different cross-coupling methodologies were used in the final step (Suzuki in route B and Sonogashira in route A) because Suzuki-type coupling, proceeds through an ethynylboronate intermediate formed by reaction of the lithium acetylide of **5b**, and prevents the dimerization of **5b**. The dimerization of the highly reactive ethynylazulene **7b** in Sonogashira conditions was minimal and the cross-coupling with **4** proceeded in high yields.

The same strategy, route B involving Sonogashira cross-coupling of the linker with the ethyne-substituted chromophore, was used to synthesize azulene-tripod **2** (Scheme 4), instead of route A, which we have prevalently followed for the synthesis of tripodal dyes.¹⁰ Carbonylation of 1,3,5,7-tetrakis-(*p*-iodophenyl)adamantane **8**¹¹ with *t*-BuLi/CO₂, esterification with diazomethane and separation of the di-, tri-, and tetrasubstituted compounds by silica gel column chromatography gave

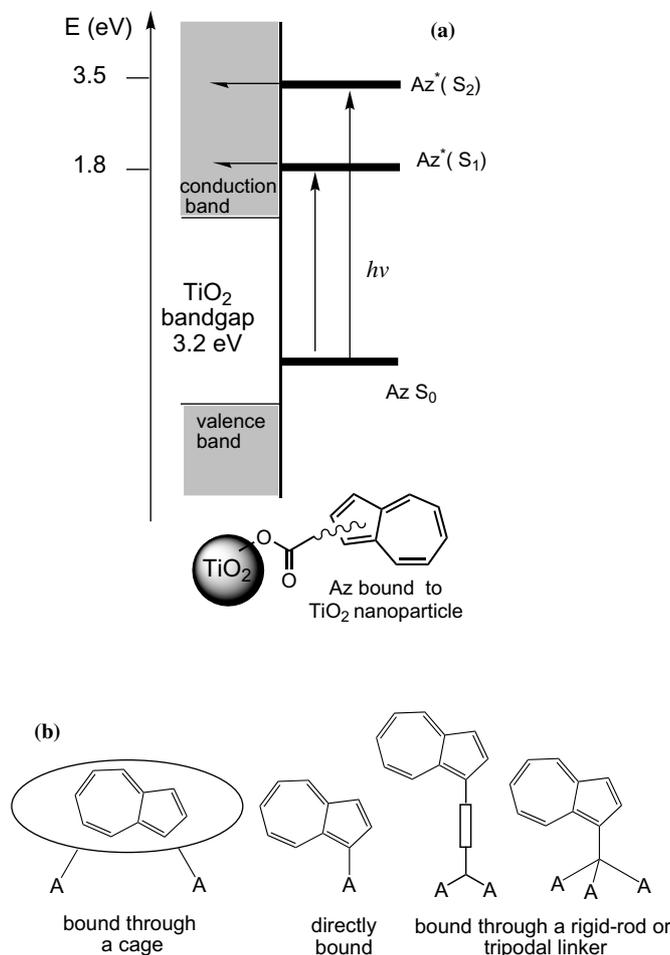
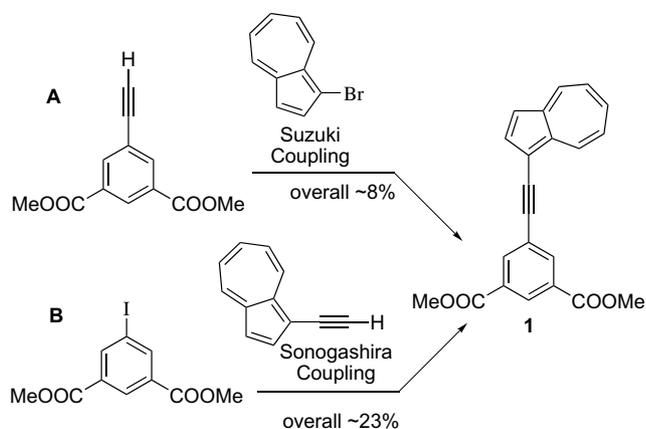


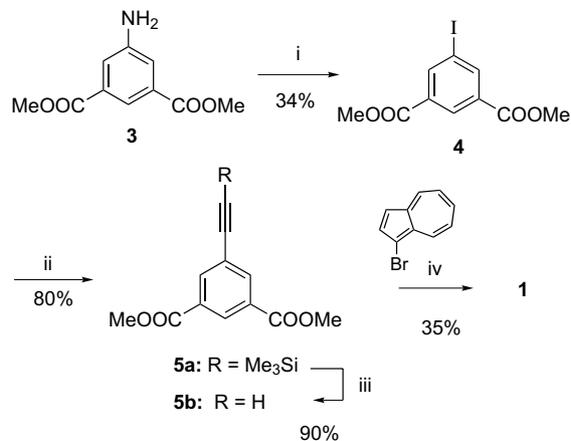
Figure 1. (a) Electron injection into TiO₂ nanoparticles from the bound photoexcited azulene (Az*). (b) Models used to study this process: azulene bound through different types of linkers. A = COOH anchoring group.



Scheme 1. Synthetic routes to azulene rigid-rod **1**.

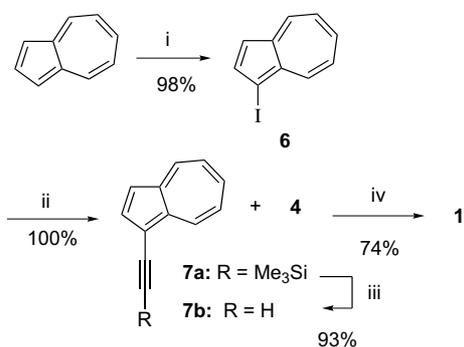
monoiodide **9** in 6–10% yields.¹² Sonogashira cross-coupling of **9** with 2-ethynylazulene **7b** gave **2** in 37% yield.

While azulene derivatives **6** and **7b** easily decomposed and were used immediately, both **1** and **2**, isolated as green powders, were remarkably stable.¹³ Their spectral properties are reported in Table 1. The absorption spectra of **1** and **2**, where azulene is substituted with a *p*-ethynylphenylene group, showed a significant



Scheme 2. Synthesis of **1** by route A. Reagents and conditions: (i) (a) NaNO₂, HCl (aq), –6 to –3 °C; (b) KI, toluene, –6 to 0 °C; (c) 12 h at rt, (d) 1 h at reflux; (ii) PdCl₂(PPh₃)₂, CuBr, *i*-Pr₂NH, TMSA, reflux 24 h; (iii) TBAF, THF, 2 h at rt; (iv) Pd(PPh₃)₄, (TMS)₂NLi, MeO-9-BBN, THF.

(60 nm) red shift of the S₀ → S₂ band compared to unsubstituted azulene. The weaker S₀ → S₁ lower energy band, responsible for the blue color of unsubstituted azulene, was also red shifted by ~30 nm in **1** and **2**.



Scheme 3. Synthesis of **1** by route B. Reagents and conditions: (i) NIS, CH_2Cl_2 , 2 h at rt; (ii) TMSA, $\text{Pd}(\text{dba})_2$, CuI, *i*-Pr₂NH, PPh₃, toluene, 24 h at rt; (iii) KOH in MeOH/THF (1:1), 4 h at rt; (iv) $\text{Pd}(\text{dba})_2$, CuI, *i*-Pr₂NH, PPh₃, toluene, 24 h at rt.

The methyl esters **1** and **2** were converted into the corresponding carboxylic acids (**1-COOH** and **2-COOH**)¹⁴ and added to diluted (250 or 500 mg/L) colloidal EtOH solutions⁴ of TiO₂ nanoparticles. The colloidal solutions (pH ~ 3) were optically transparent and light scattering was minimal.¹⁵ The ground state absorption spectra of **1-COOH** and **2-COOH** did not change considerably upon binding (~2 nm red shift), as shown in Figure 2. The absence of charge transfer bands or large shifts upon binding suggests weak electronic coupling of the azulene unit with the semiconductor.

The fluorescence emission of the azulene chromophores was clearly quenched by addition of TiO₂ (Fig. 3), indicating that the molecules bind to the nanoparticles and that binding results in electron injection in the semiconductor. The residual fluorescence is likely to originate entirely from nonbound molecules.

Table 1. Absorption and fluorescence solution data for **1**, **2**, and azulene

Chromophore	λ_{max} , nm		λ_{F} , nm
	$S_0 \rightarrow S_2$ (ϵ_{401} , $\text{M}^{-1} \text{cm}^{-1}$)	$S_0 \rightarrow S_1$	
Azulene ^a	340	572	373
1 ^b	401 (9964)	598	429
2 ^b	402 (8216)	600	425

^a MeOH, 1×10^{-4} M.

^b EtOH, 2.5×10^{-5} M.

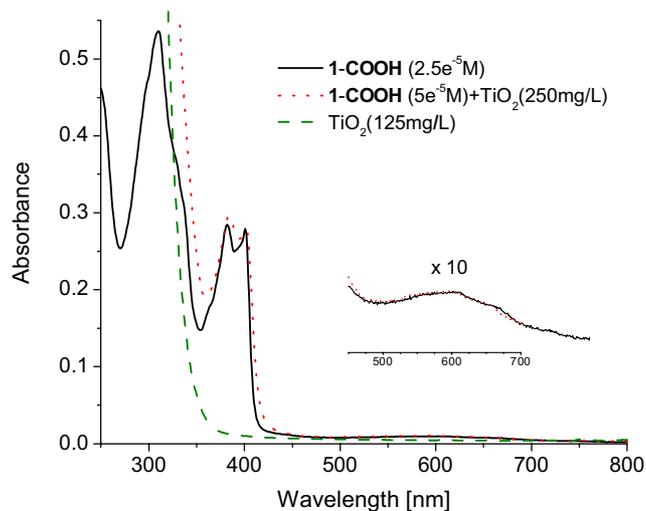
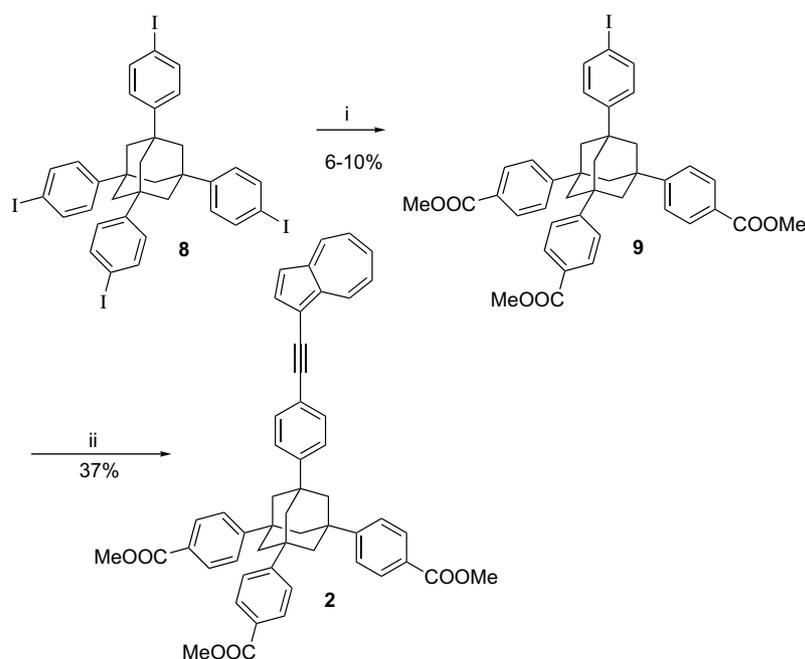


Figure 2. Absorption spectra of MeOH solutions of **1-COOH** (black solid line) and **1-COOH**/colloidal TiO₂ in EtOH (red dotted line). The spectra are overlaid with the absorption of the TiO₂ colloidal solution in EtOH (green dashed line). An identical behavior was observed for **2-COOH**.



Scheme 4. Synthesis of azulene tripod **2**. Reagents and conditions: (i) (a) *t*-BuLi, (b) CO₂ (g), H⁺, (c) CH₂N₂, (d) separation (silica gel, hexane/ethyl acetate 4:1, gradient elution); (ii) $\text{Pd}(\text{dba})_2$, CuI, *i*-Pr₂NH, PPh₃, **7b**, toluene, 24 h at rt.

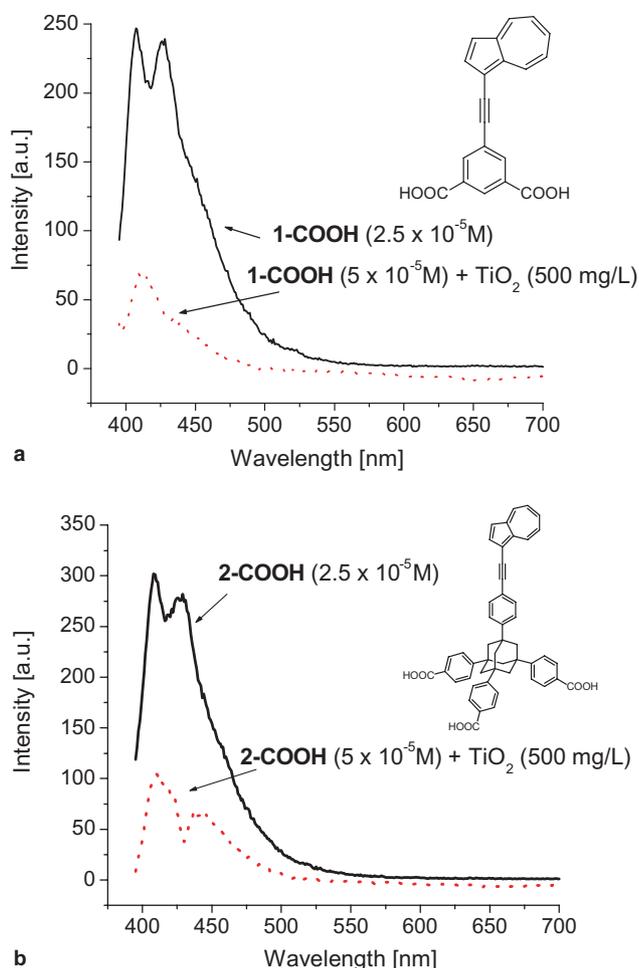


Figure 3. Fluorescence emission spectra of (a) **1-COOH** (black solid line) and **1-COOH**/colloidal TiO₂ (red dotted line). (b) **2-COOH** (black solid line) and **2-COOH**/colloidal TiO₂ (red dotted line). In all cases, the solvent was EtOH and $\lambda_{exc} = 382$ nm.

In conclusion two novel azulene chromophores, rigid-rod **1** and tripod **2**, have been synthesized and bound to colloidal solutions of TiO₂ nanoparticles. Steady-state and time-resolved fluorescence quenching studies are in progress.

Acknowledgments

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- Compound **1**: Mp 178–179 °C; IR 3021, 2195, 1726, 1439, 1396, 1344, 1249, 1215 cm⁻¹; ¹H NMR (CDCl₃) δ 8.69 (1H, d, $J = 10$ Hz), 8.61 (1H, s), 8.44 (2H, s), 8.34 (1H, d, $J = 9$ Hz), 8.05 (1H, d, $J = 4$ Hz), 7.71 (2H, t, $J = 10$ Hz), 7.37 (1H, dd, $J = 9, 6$ Hz), 7.29 (1H, t, $J = 10$ Hz), 3.99 (6H, s); ¹³C NMR (CDCl₃) δ 166.0, 141.9, 141.8, 139.8, 139.1, 137.7, 136.6, 136.2, 131.1, 129.4, 125.6, 125.3, 124.7, 118.2, 109.8, 92.4, 88.4, 52.7; FAB-MS m/z 344 (M⁺, 40); FAB-HRMS calcd for C₂₂H₁₆O₄ (M⁺) 344.1049, found 344.1048. Compound **2**: Mp 142–144 °C; IR 3414, 2973, 2866, 1725, 1459, 1364, 1181, 1066 cm⁻¹; ¹H NMR (CDCl₃) δ 8.64 (1H, d, $J = 10$ Hz), 8.29 (1H, d, $J = 10$ Hz), 8.04 (6H, d, $J = 8$ Hz), 8.02 (1H, d, $J = 4$ Hz), 7.65 (1H, t, $J = 10$ Hz), 7.61 (2H, d, $J = 8$ Hz), 7.57 (6H, d, $J = 8$ Hz), 7.48 (2H, d, $J = 8$ Hz), 7.33 (1H, d, $J = 4$ Hz), 7.27 (1H, t, $J = 10$ Hz), 7.22 (1H, t, $J = 10$ Hz), 3.92 (9H, s), 2.21 (12H, 2s); ¹³C NMR (CDCl₃) δ 166.9, 153.9, 148.1, 141.4, 141.2, 139.4, 138.7, 137.3, 136.4, 131.4, 129.8, 128.3, 125.1, 125.0, 124.6, 123.9, 122.3, 117.8, 110.6, 93.7, 85.9, 52.0, 46.7, 39.6, 39.4;

FAB-MS m/z 765 ($M+H^+$, 11); FAB-HRMS calcd for $C_{52}H_{45}O_6$ ($M+H^+$)⁺ 765.3216, found 765.3213.

14. Methyl esters **1** and **2** were converted to the corresponding carboxylic acids **1-COOH** and **2-COOH** by standard basic hydrolysis (2 M KOH in MeOH, 12 h at rt).
15. The preparation of the colloidal solution employed in this work, that yielded TiO_2 particles about 15 nm

diameter, is described in Ref. 4. For a review about the properties of the TiO_2 colloids, see: Kalyanasundaram, K.; Gratzel, M. *Coord. Chem. Rev.* **1998**, *177*, 347. Given the large excess of the colloid and the very low (10^{-5} M) dye concentration it is estimated that the coverage is low, with a few to less than one chromophore per particle.