



## Incorporation of novel azobenzene dyes bearing oligo(ethylene glycol) spacers into first generation dendrimers

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### ABSTRACT

Herein, we report the synthesis and inclusion of a new series of azo-dyes into first generation Fréchet type dendrimers. The incorporated dyes are amino-nitro, amino-methoxy and amino-butyl substituted azobenzenes bearing a well defined oligo(ethylene glycol) side chain. The optical properties of the dendrimers were studied by absorption spectroscopy. Dendrimer bearing amino-nitro substituted azobenzenes ( $\lambda = 480$  nm in  $\text{CHCl}_3$ ) behaved as a pseudostilbene type azobenzene whereas the others ( $\lambda = 409$  nm in  $\text{CHCl}_3$ ) showed the typical behaviour of aminoazobenzenes. Moreover, the *trans*–*cis* photoisomerization of the dendrimers was studied by UV–vis spectroscopy by irradiating at two different wavelengths (254 and 365 nm). In chloroform, the appearance of an intense red-shifted band revealed the presence of a photoprotonation effect in the azobenzene moiety. This phenomenon was also studied by absorption spectroscopy in function of time. The results were compared to those predicted by molecular modelling using Density Functional Theory calculations.

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## 1. Introduction

Nowadays, dendrimers and dendrons have been considered one of the most attractive research fields in polymer chemistry due to their well-defined structures, versatility and potential applications [1–4]. These molecules can be modified by introducing functional groups and specific units at different levels of their structure: core, branches or periphery [5], giving rise to well-structured and highly functionalized molecules. Depending on the type of functional groups present in dendrimers, different properties have been already investigated, such as response to light. Some reviews include the first reports of photo-responsive dendrimers [6–9] giving many examples of azo-dendrimers. The most recent review covering the most important aspects of azobenzene containing dendrons and dendrimers, has been published by Caminade and Deloncle [10].

Azobenzenes had been used as terminal groups of dendrimers and dendrons, being the first examples those described by Vögtle and co-workers [11]. The first structures were prepared from poly(propyleneimine) (PPI) dendrimers built from either

ethylenediamine [12] or 1,4-diaminobutane [1–14] as core. In most of the cases, all the terminal groups were azobenzenes [15–18].

The most popular types of dendrimers, poly(amidoamine) [19] and poly(arylether) [20], have been rarely used as support of azobenzene moieties. The first example of Fréchet type azodendrimers was synthesized by grafting through their core poly(arylether) dendrons bearing a single azobenzene group on the surface, leading to original dendrimers [21–23] with azobenzene as terminal groups. Moreover, dendrons have not been frequently functionalized with azobenzenes on their periphery. The first example was used as building block for dendrimers [21,22]. More sophisticated systems, such as polyether dendrons linked to fullerenes, had been also prepared [24].

Rau classified azobenzenes into three main categories based on their photochemical behaviour [25]. Unsubstituted photochromic azobenzene makes up the first category, known as “azobenzenes”. The thermally stable *trans* isomer exhibits a strong  $\pi-\pi^*$  transition at 350 nm and a weak  $n-\pi^*$  transition at 440 nm, whereas the *cis* isomer undergoes similar transitions but with a more intense  $n-\pi^*$  band. Moreover, “azobenzenes” have a relatively poor  $\pi-\pi^*$  and  $n-\pi^*$  overlap. The second category, known as “aminoazobenzenes” typically includes azobenzenes that are substituted by an electron-donor group and are characterized by the overlapping of the  $\pi-\pi^*$  and  $n-\pi^*$  bands. Finally, azobenzenes bearing both electron-donor

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and electron-acceptor groups belong to the third category, “pseudostilbenes”, where the  $\pi-\pi^*$  and  $n-\pi^*$  bands are practically superimposed and inverted on the energy scale with respect to the “azobenzenes” bands [25].

When donor–acceptor substituted azobenzenes are incorporated into a polymer backbone or side-chain, they constitute versatile materials for several applications. In particular, irradiation with linear polarized light produces rapid *trans*–*cis*–*trans* photoisomerization of “pseudostilbene” azobenzenes. As a consequence, polarized light allows the selective activation of “pseudostilbenes” with polarization axis parallel to the absorbing radiation [26–32].

Azobenzene molecules can also undergo chromic changes through aggregation in various media including solution, spin-coated films and Langmuir–Blodgett multilayers. In these media, both H-type and J-type aggregates have been observed [33]. On the other hand, azobenzene and poly(ethylene glycol) have been employed in the synthesis of amphiphilic azo-dyes, copolymers [34,35], nanomaterials [36,37], cellulose derivatives [38,39] and cyclodextrin polymers [40,41], sometimes forming supramolecular complexes with interesting properties [42]. In fact, poly(ethylene glycol) segments provide flexibility and water solubility to the systems to which they are incorporated [43,44].

In the last ten years, our research group has worked on the synthesis and characterization of amphiphilic azo-dyes and azopolymers bearing oligo(ethylene glycol) segments with different architectures. We reported the synthesis and characterization of four novel azo-dyes bearing terminal hydroxyl groups, the preparation of grafted azo-polymer films containing oligo(ethylene glycol) segments [45], and the synthesis and characterization of a new series of polymethacrylates bearing amino-nitro azobenzene units and oligo(ethylene glycol) chains their structure [46]. More recently, we published the synthesis and characterization of a series of liquid crystalline dyes bearing two amino-nitro substituted azobenzene units linked by well defined oligo(ethylene glycol) spacers [47].

Many articles about the preparation of new dendritic molecules containing azobenzene have been reported in the literature. Some of these materials exhibited outstanding optical properties, NLO response [48–54] or a liquid crystalline behaviour [55,56]. Last year, we reported the incorporation of amino-nitro substituted azobenzenes containing a tetra(ethylene glycol) side chain and other related dyes into dendritic structures, in order to get new liquid crystalline materials bearing azobenzene units. The thermal and optical properties of such dendrons were studied in detail, and some of them exhibited a liquid crystalline behaviour [57]. Herein, we report the incorporation of novel azo-dyes bearing amino-nitro, amino-methoxy and amino-butyl substituted azobenzenes into first generation Fréchet type dendrimers. The optical properties of these compounds, as well as the *trans*–*cis* photoisomerization and the photoprotonation effect, were studied by absorption spectroscopy. The results were compared to those predicted by molecular modelling using Density Functional Theory calculations. These new azo-dendrimers can be used for optical switching and storage as other azo-polymers previously reported in the literature. In addition, they can act as photochromic sensors since they exhibit noticeable colour changes arising from the photoinduced protonation which occur in  $\text{CHCl}_3$  solution when they are irradiated with UV light at 254 nm.

## 2. Experimental

### 2.1. General conditions

All reagents used in the synthesis of the azo-dyes, dendrons and dendrimers were purchased from Aldrich and used as received

without further purification. Acetone and dichloromethane were dried by distillation over calcium hydride. Precursor dyes (E)-2-(4-((4-nitrophenyl)diazaryl)phenyl)-5,8,11-trioxa-2-azatridecan-13-ol (**1**), (E)-2-(4-((4-methoxyphenyl)diazaryl)phenyl)-5,8,11-trioxa-2-azatridecan-13-ol (**2**) and (E)-2-(4-((4-butylphenyl)diazaryl)phenyl)-5,8,11-trioxa-2-azatridecan-13-ol (**3**) were synthesized according to the method previously reported by us [45], and the poly(aryl ether) dendrons were prepared as described in the literature [58]. FTIR spectra of the compounds were carried out on a Spectrum 100 (Perkin Elmer PRECISELY) spectrometer in solid state.  $^1\text{H}$  and  $^{13}\text{C}$  NMR spectra of these compounds in  $\text{CDCl}_3$  solution were recorded at room temperature on a Bruker Avance 400 MHz spectrometer operating at 400 MHz and 100 MHz for  $^1\text{H}$  and  $^{13}\text{C}$ , respectively.

All dendrons were dissolved in spectral quality solvents purchased from Aldrich, and their absorption spectra were recorded on a Varian Cary 1 Bio UV–vis (model 8452A) spectrophotometer at room temperature, using 1 cm quartz cuvettes.

Photoisomerization and photoprotonation experiments of azodendrimers **14G<sub>1</sub>** and **15G<sub>1</sub>** were carried out in  $\text{DMF}$  and  $\text{CHCl}_3$  solutions ( $2.5 \times 10^{-5}$  M) at room temperature. The samples were irradiated with UV light using a Compact UV lamp model UVGL-25, 254/365 nm (6W). Each solution was irradiated at 254 and 365 nm for 5 min. The spectral changes were monitored by absorption spectroscopy with intervals of 5 s.

### 2.2. Computational and theoretical details

All calculations were carried out by using the Gaussian 09 implementation [59]. Calculations involving atomic geometry and electronic structure were performed by applying the Density Functional Theory (DFT) framework for all the stationary points, using the B3LYP [60] functional and the basis 6-31G [61–65]. In order to verify optimized minima, harmonic analyses were achieved and local minima were identified with zero imaginary frequencies. In order to simulate the UV–visible spectra, time-dependent DFT (TD-DFT) method was employed using the same methodology. The UV–visible spectra were obtained for half singlet and half triplet states, effective for closed-shell systems.

### 2.3. Synthesis

#### 2.3.1. Synthesis of the precursor azo-dyes

Precursor azo-dyes (E)-2-(4-((4-nitrophenyl)diazaryl)phenyl)-5,8,11-trioxa-2-azatridecan-13-ol (**1**), (E)-2-(4-((4-methoxyphenyl)diazaryl)phenyl)-5,8,11-trioxa-2-azatridecan-13-ol (**2**) and (E)-2-(4-((4-butylphenyl)diazaryl)phenyl)-5,8,11-trioxa-2-azatridecan-13-ol (**3**) were prepared according to the method previously reported by us [45].

### 2.4. Synthesis of the dendrons

#### 2.4.1. Synthesis of the 3-dodecyloxy-5-hydroxybenzyl alcohol (**8**)

The synthesis and characterization of the dendron (**8**) has been previously reported by us [57]. Yield: 68%.

FTIR (Film)  $\nu/\text{cm}^{-1}$ : 3375 (OH), 2913, 2847 ( $\text{CH}_3$ ,  $\text{CH}_2$ ), 1595, 1469 ( $\text{C}=\text{C}$ , Ar), 1378, 1328 ( $\text{CH}_3$ ,  $\text{CH}_2$ ), 1305 (ArOCH), 1160 (COC) and 1037 (ArOCH).

$^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ):  $\delta = 6.35$  (*s*,  $J = 2$  Hz, 2H,  $\text{H}^1-\text{H}^3$ ), 6.28 (*s*,  $J = 2$  Hz, 1H,  $\text{H}^2$ ), 4.46 (*s*, 2H,  $\text{PhCH}_2\text{OH}$ ), 3.80 (*t*,  $J = 6.62$  Hz, 2H,  $\text{PhOCH}_2$ ), 1.69 (*m*, 2H,  $\text{PhOCH}_2\text{CH}_2$ ), 1.26 (*m*, 18H, all  $\text{CH}_2$  of the aliphatic chain), 0.88 (*t*,  $J = 6.56$  Hz, 3H,  $\text{CH}_3$ ) ppm.

$^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ ):  $\delta = 160.41$  ( $1\text{C}$ ,  $\text{C}^b$ ), 157.13 ( $1\text{C}$ ,  $\text{C}^d$ ), 142.67 ( $1\text{C}$ ,  $\text{C}^f$ ), 106.32 ( $1\text{C}$ ,  $\text{C}^e$ ), 105.49 ( $1\text{C}$ ,  $\text{C}^a$ ), 101.28 ( $1\text{C}$ ,  $\text{C}^c$ ), 68.13 ( $1\text{C}$ ,  $\text{PhOCH}_2\text{C}^e$ ), 64.91 ( $1\text{C}$ ,  $\text{PhCH}_2\text{OH}$ ), 31.88 ( $1\text{C}$ ,  $\text{PhOCH}_2\text{CH}_2$ ),

29.61, 29.41, 29.32, 29.18, 25.98, 22.64 (9C, all CH<sub>2</sub> of the aliphatic chain), 14.05 (1C, CH<sub>3</sub>) ppm.

#### 2.4.2. Synthesis of the iodided intermediates

Intermediates (**4**), (**5**) and (**6**) were obtained using the methodology reported by us [57] which is described only for (**4**).

(2-{2-[2-(2-*lodo*-ethoxy)-ethoxy]-ethyl}-methyl-[4-(4-nitro-phenylazo)-phenyl]-amine (**4**)

Compound (**1**) (4.37 g, 10.11 mmol) was reacted with imidazole (0.89 g, 13.1 mmol), triphenylphosphine (3.44 g, 13.1 mmol) and iodine (3.34 g, 13.1 mmol) in 50 mL anhydrous dichloromethane at room temperature. The resulting solution was stirred for 6 h, filtered and concentrated at reduced pressure. The crude product was purified by column chromatography in silica gel, using mixtures of ethyl acetate/hexane (4:6, 5:5, and 6:4) as eluent. Since this intermediate is very unstable it was immediately used in the next reaction without further purification. Relative yield: 80%.

For (2-{2-[2-(2-*lodo*-ethoxy)-ethoxy]-ethyl}-[4-(4-methoxy-phenylazo)-phenyl]-methyl-amine (**5**): Relative yield: 85%.

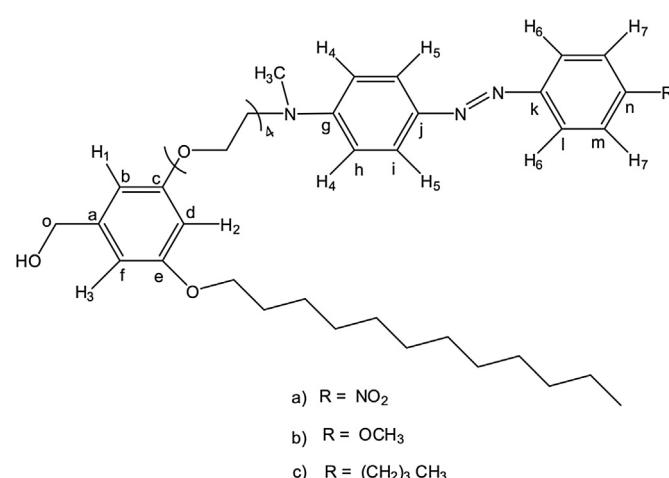
For [4-(4-Butyl-phenylazo)-phenyl]-[2-{2-(2-*lodo*-ethoxy)-ethoxy]-ethyl]-methyl-amine (**6**): Relative yield: 85%.

#### 2.4.3. Synthesis of [3-dodecyloxy-5-(2-{2-[2-(2-{methyl-[4-(4-nitro-phenylazo)-phenyl]-amino}-ethoxy)-ethoxy}-ethoxy)-phenyl]-methanol (**9G<sub>1</sub>OH**)

The dendrons **9G<sub>1</sub>OH**, **10G<sub>1</sub>OH** and **11G<sub>1</sub>OH** were obtained employing the procedure previously reported by us [57]. For **9G<sub>1</sub>OH**: Yield: 62%.

FTIR (Film)  $\nu/\text{cm}^{-1}$ : 3452 (OH), 2921, 2852 (CH<sub>3</sub>, CH<sub>2</sub>), 1602, 1514 (C=C, Ar), 1455 (N=N), 1380 (CH), 1340 (NO<sub>2</sub>), 1137 (ArOCH), 1102 (COC) and 1070 (ArOCH). MALDITOF: C<sub>40</sub>H<sub>58</sub>N<sub>4</sub>O<sub>8</sub> Calcd: [M+H]<sup>+</sup> 722.91 Found (m/z): [M+H]<sup>+</sup> 722.47.

<sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) (**Scheme 1a**):  $\delta$  = 8.24 (d, *J* = 9.02 Hz, 2H, H<sup>7</sup>), 7.84 (d, *J* = 9.05 Hz, 2H, H<sup>6</sup>), 7.81 (d, *J* = 9.21 Hz, 2H, H<sup>5</sup>), 6.70 (d, *J* = 9.23 Hz, 2H, H<sup>4</sup>), 6.49 (d, *J* = 2 Hz, 2H, H<sup>1</sup>–H<sup>3</sup>), 6.37 (t, *J* = 2 Hz, 1H, H<sup>2</sup>), 4.53 (s, 2H, PhCH<sub>2</sub>OH), 4.03 (t, *J* = 4.99 Hz, 2H, PhOCH<sub>2</sub> of the tetra(ethylene glycol) chain), 3.84 (t, *J* = 6.58 Hz, 2H, PhOCH<sub>2</sub> of the aliphatic chain), 3.75 (t, *J* = 4.62 Hz, 2H, CH<sub>2</sub>N), 3.64–3.57 (m, 12H, OCH<sub>2</sub> of the tetra(ethylene glycol) chain), 3.06 (s, 3H, CH<sub>3</sub>N), 1.77–1.70 (m, 2H, PhOCH<sub>2</sub>CH<sub>2</sub>), 1.40–1.38 (m, 2H, PhO(CH<sub>2</sub>)<sub>2</sub>CH<sub>2</sub>), 1.34–1.25 (m, 18H, all CH<sub>2</sub> of the aliphatic chain), 0.81 (t, *J* = 6.67 Hz, 3H, CH<sub>3</sub>) ppm.



**Scheme 1.** Assignment of the signals for dendrons 9G<sub>1</sub>OH, 10G<sub>1</sub>OH and 11G<sub>1</sub>OH.

<sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) (**Scheme 1a**):  $\delta$  = 160.63 (1C, C<sup>e</sup>), 160.22 (1C, C<sup>c</sup>), 156.89 (1C, C<sup>k</sup>), 152.67 (1C, C<sup>g</sup>), 147.53 (1C, C<sup>n</sup>), 143.92 (1C, C<sup>j</sup>), 143.37 (1C, C<sup>a</sup>), 126.08 (2C, C<sup>i</sup>), 124.62 (2C, C<sup>m</sup>), 122.59 (2C, C<sup>l</sup>), 111.60 (2C, C<sup>h</sup>), 105.60 (1C, C<sup>f</sup>), 105.26 (1C, C<sup>b</sup>), 101.04 (1C, C<sup>d</sup>), 70.88–70.77, 69.80, 67.63 (6C, OCH<sub>2</sub>), 68.68 (1C, PhOCH<sub>2</sub> aliphatic chain), 68.22 (1C, PhOCH<sub>2</sub> of the tetra(ethylene glycol) chain), 65.35 (1C, C<sup>o</sup>), 52.31 (1C, NCH<sub>2</sub>), 39.26 (1C, NCH<sub>3</sub>), 31.91, 29.65–29.31, 26.06, 22.65 (10C, all CH<sub>2</sub> of the aliphatic chain), 14.03 (1C, CH<sub>3</sub>) ppm.

#### 2.4.4. Synthesis of the [3-dodecyloxy-5-(2-{2-[2-(2-{[4-(4-methoxy-phenylazo)-phenyl]-methyl-amino}-ethoxy)-ethoxy]-ethoxy}-phenyl]-methanol (**10G<sub>1</sub>OH**)

Yield: 64%.

FTIR (Film)  $\nu/\text{cm}^{-1}$ : 3426 (OH), 2920, 2851 (CH<sub>3</sub>, CH<sub>2</sub>), 1594, 1514 (C=C, Ar), 1447 (N=N), 1376(CH), 1244 (ArOCH) and 1147 (ArOCH). MALDITOF: C<sub>41</sub>H<sub>61</sub>N<sub>3</sub>O<sub>7</sub> Calcd: [M+H]<sup>+</sup> 707.96 Found: (m/z): [M+H]<sup>+</sup> 707.41.

<sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) (**Scheme 1b**):  $\delta$  = 7.83 (d, *J* = 9.1 Hz, 2H, H<sup>6</sup>), 7.73 (d, *J* = 8.7 Hz, 2H, H<sup>5</sup>), 7.26 (d, *J* = 8.2 Hz, 2H, H<sup>7</sup>), 6.76 (d, *J* = 9.1 Hz, 2H, H<sup>4</sup>), 6.48 (d, *J* = 2 Hz, 2H, H<sup>1</sup>–H<sup>3</sup>), 6.37 (d, *J* = 2 Hz, 1H, H<sup>2</sup>), 4.60 (s, 2H, PhCH<sub>2</sub>OH), 4.08 (t, *J* = 4.54 Hz, 2H, PhOCH<sub>2</sub> of the tetra(ethylene glycol) chain), 3.90 (t, *J* = 6.57 Hz, 2H, PhOCH<sub>2</sub> of the aliphatic chain), 3.87 (s, 3H, PhOCH<sub>3</sub>), 3.82 (t, *J* = 4.81 Hz, 2H, CH<sub>2</sub>N), 3.69–3.62 (m, 12H, OCH<sub>2</sub>), 3.11 (s, 3H, CH<sub>3</sub>N), 1.77–1.70 (m, 2H, PhOCH<sub>2</sub>CH<sub>2</sub>), 1.45–1.38 (m, 2H, PhO(CH<sub>2</sub>)<sub>2</sub>CH<sub>2</sub>), 1.34–1.26 (m, 16H, all CH<sub>2</sub> of the aliphatic chain), 0.884 (t, *J* = 6.56 Hz, 3H, CH<sub>3</sub>) ppm.

<sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) (**Scheme 1b**):  $\delta$  = 160.72 (1C, C<sup>n</sup>), 160.34 (1C, C<sup>e</sup>), 159.96 (1C, C<sup>c</sup>), 150.90 (1C, C<sup>g</sup>), 147.34 (1C, C<sup>k</sup>), 143.51 (1C, C<sup>j</sup>), 143.35 (1C, C<sup>a</sup>), 124.49 (2C, C<sup>i</sup>), 123.71 (2C, C<sup>l</sup>), 113.99 (2C, C<sup>m</sup>), 111.36 (2C, C<sup>h</sup>), 105.30 (1C, C<sup>f</sup>), 104.86 (1C, C<sup>b</sup>), 100.56 (1C, C<sup>d</sup>), 70.67–70.59, 69.62, 67.33 (6C, OCH<sub>2</sub>), 68.46 (1C, PhOCH<sub>2</sub> of the aliphatic chain), 67.97 (1C, PhOCH<sub>2</sub> tetra(ethylene glycol) chain), 65.04 (1C, C<sup>o</sup>), 55.39 (1C, NCH<sub>2</sub>), 52.08 (1C, OCH<sub>3</sub>), 39.10 (1C, NCH<sub>3</sub>), 31.83, 29.58–29.16, 25.96, 22.60 (10C, all CH<sub>2</sub> of the aliphatic chain), 14.05 (1C, CH<sub>3</sub>) ppm.

#### 2.4.5. Synthesis of the [3-(2-{2-[2-(2-{[4-(4-butyl-phenylazo)-phenyl]-methyl-amino}-ethoxy)-ethoxy]-ethoxy}-5-dodecyloxy-phenyl]-methanol (**11G<sub>1</sub>OH**)

Yield: 63%.

FTIR (Film)  $\nu/\text{cm}^{-1}$ : 3420 (OH), 2921, 2852 (CH<sub>3</sub>, CH<sub>2</sub>), 1597, 1514 (C=C, Ar), 1447 (N=N), 1376(CH), 1155 (ArOCH), 1138 (COC), 1068 (ArOCH). MALDITOF: C<sub>44</sub>H<sub>67</sub>N<sub>3</sub>O<sub>6</sub> Calcd: [M+H]<sup>+</sup> 733.50 Found: (m/z): [M+H]<sup>+</sup> 733.54.

<sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) (**Scheme 1c**):  $\delta$  = 7.75 (d, *J* = 9.05 Hz, 2H, H<sup>6</sup>), 7.67 (d, *J* = 8.29 Hz, 2H, H<sup>5</sup>), 7.19 (d, *J* = 8.66 Hz, 2H, H<sup>7</sup>), 6.67 (d, *J* = 9.14 Hz, 2H, H<sup>4</sup>), 6.42 (t, *J* = 2 Hz, 2H, H<sup>1</sup>–H<sup>3</sup>), 6.31 (t, *J* = 2 Hz, 1H, H<sup>2</sup>), 4.48 (s, 2H, PhCH<sub>2</sub>OH), 4.08 (t, *J* = 4.39 Hz, 2H, PhOCH<sub>2</sub> of the tetra(ethylene glycol) chain), 3.91 (t, *J* = 6.55 Hz, 2H, PhOCH<sub>2</sub> of the aliphatic chain), 3.81 (t, *J* = 4.78 Hz, 2H, CH<sub>2</sub>N), 3.69–3.60 (m, 12H, OCH<sub>2</sub>), 3.08 (s, 3H, CH<sub>3</sub>N), 2.65 (t, *J* = 7.7 Hz, 2H, PhCH<sub>2</sub>CH<sub>2</sub>), 1.78–1.71 (m, 2H, PhOCH<sub>2</sub>CH<sub>2</sub>), 1.66–1.59 (m, 2H, PhCH<sub>2</sub>), 1.43–1.26 (m, 20H, Ph(CH<sub>2</sub>)<sub>2</sub>CH<sub>2</sub> and all CH<sub>2</sub> of the aliphatic chain), 0.93 (t, *J* = 7.33 Hz, 3H, CH<sub>3</sub>), 0.87 (t, *J* = 6.71 Hz, 3H, Ph(CH<sub>2</sub>)<sub>3</sub>CH<sub>3</sub>) ppm.

<sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) (**Scheme 1c**):  $\delta$  = 160.45 (1C, C<sup>e</sup>), 160.08 (1C, C<sup>c</sup>), 151.37 (1C, C<sup>g</sup>), 151.17 (1C, C<sup>k</sup>), 144.66 (1C, C<sup>n</sup>), 143.65 (1C, C<sup>j</sup>), 143.29 (1C, C<sup>a</sup>), 128.91 (2C, C<sup>m</sup>), 124.79 (2C, C<sup>i</sup>), 122.09 (2C, C<sup>l</sup>), 111.39 (2C, C<sup>h</sup>), 105.40 (2C, C<sup>f</sup>), 104.98 (1C, C<sup>b</sup>), 100.70 (1C, C<sup>d</sup>), 70.78–70.69, 69.70, 68.54 (6C, OCH<sub>2</sub>), 68.06 (1C, PhOCH<sub>2</sub> of the aliphatic chain), 67.44 (1C, PhOCH<sub>2</sub> of the tetra(ethylene glycol) chain), 65.27 (1C, C<sup>o</sup>), 52.17 (1C, NCH<sub>2</sub>), 39.21 (1C, NCH<sub>3</sub>), 35.48 (1C, PhCH<sub>2</sub>), 33.49 (1C, PhCH<sub>2</sub>CH<sub>2</sub>), 31.89, 29.60–29.31, 26.02, 22.64

(10C, all CH<sub>2</sub> of the aliphatic chain), 22.31 (1C, Ph(CH<sub>2</sub>)<sub>2</sub>CH<sub>2</sub>), 14.07 (1C, CH<sub>3</sub>), 13.89 (1C, Ph(CH<sub>2</sub>)<sub>3</sub>CH<sub>3</sub>) ppm.

## 2.5. Synthesis of the dendrimers

The synthesis of the dendrimers **13G<sub>1</sub>**, **14G<sub>1</sub>** and **15G<sub>1</sub>** is described only for **13G<sub>1</sub>** and the spectroscopic characterization is given for all the compounds.

### 2.5.1. Tris(3-(dodecyloxy)-5-(2-(methyl(4-((E)-(4-nitrophenyl)diazenyl)phenyl)amino)ethoxy)benzyl)benzene-1,3,5-tricarboxylate (**13G<sub>1</sub>**)

The dendron (**9G<sub>1</sub>OH**) (0.1476 g, 0.17 mmol) and triethylamine (0.023 mL, 0.51 mmol) were dissolved in 10 mL anhydrous CH<sub>2</sub>Cl<sub>2</sub>. A solution of 1,3,5-benzenetricarboxyl trichloride (0.016 g, 0.063 mmol) in 5 mL anhydrous CH<sub>2</sub>Cl<sub>2</sub> was added dropwise. The reaction mixture was stirred out at room temperature for 4 days. Then, it was filtrated and evaporated at reduced pressure. The crude product was washed with water, extracted with chloroform and dried with anhydrous MgSO<sub>4</sub>. The final product was evaporated at reduced pressure and purified by column chromatography in silica gel using mixtures ethyl acetate/hexane (5:5, 6:4 and 7:3) as eluent, to yield the first generation dendrimer **13G<sub>1</sub>**. Yield: 31%.

FTIR (Film)  $\nu/\text{cm}^{-1}$ : 2922, 2853 (CH<sub>3</sub>, CH<sub>2</sub>) 1727 (C=O), 1599, 1517 (C=C, Ar) and 1450 (N=N). MALDITOF: C<sub>129</sub>H<sub>174</sub>N<sub>12</sub>O<sub>27</sub> Calcd: [M+H]<sup>+</sup> 2324.83. Found: (m/z): [M+H]<sup>+</sup> 2324.92.

<sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) (**Scheme 2a**):  $\delta$  = 8.85 (s, 3H, H<sup>8</sup>), 8.29 (d, *J* = 8.87 Hz, 6H, H<sup>7</sup>), 7.88 (d, *J* = 8.96 Hz, 6H, H<sup>6</sup>), 7.86 (d, *J* = 9.28 Hz, 6H, H<sup>5</sup>), 6.74 (d, *J* = 9.04 Hz, 6H, H<sup>4</sup>), 6.55 (d, *J* = 2 Hz, 6H, H<sup>1</sup>–H<sup>3</sup>), 6.42 (d, *J* = 2 Hz, 3H, H<sup>2</sup>), 5.28 (s, 6H, PhCOOCH<sub>2</sub>Ph), 4.08 (t, *J* = 3.55 Hz, 6H, PhOCH<sub>2</sub> of the tetra(ethylene glycol) chain), 3.90 (t, *J* = 6.37 Hz, 6H, PhOCH<sub>2</sub> of the aliphatic chain), 3.81 (t, *J* = 4.54 Hz, 6H, CH<sub>2</sub>N), 3.68–3.61 (m, 36H, OCH<sub>2</sub>), 3.10 (s, 9H,

JT) 2324.83. Found: (m/z): [M+H]<sup>+</sup> 2324.92.

<sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) (**Scheme 2a**):  $\delta$  = 164.66 (3C, C<sup>r</sup>), 160.57 (3C, C<sup>e</sup>), 160.14 (3C, C<sup>c</sup>), 156.81 (3C, C<sup>k</sup>), 152.58 (3C, C<sup>g</sup>), 147.42 (3C, C<sup>n</sup>), 143.81 (3C, C<sup>j</sup>), 137.58 (3C, C<sup>a</sup>), 134.84 (3C, C<sup>p</sup>), 131.26 (3C, C<sup>q</sup>), 126.07 (6C, C<sup>i</sup>), 124.62 (6C, C<sup>m</sup>), 122.57 (6C, C<sup>l</sup>), 111.52 (6C, C<sup>h</sup>), 107.09 (3C, C<sup>f</sup>), 106.70 (3C, C<sup>b</sup>), 101.49 (3C, C<sup>d</sup>), 70.85–70.71, 68.21 (18C, OCH<sub>2</sub>), 69.68 (3C, PhOCH<sub>2</sub> of the aliphatic chain), 68.61 (3C, PhOCH<sub>2</sub> of the tetra(ethylene glycol) chain), 67.56 (3C, C<sup>o</sup>), 52.23 (3C, NCH<sub>2</sub>), 39.30 (3C, NCH<sub>3</sub>), 31.90, 29.66–29.26, 26.05, 22.66 (30C, all CH<sub>2</sub> of the aliphatic chain), 14.08 (3C, CH<sub>3</sub>) ppm.

<sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) (**Scheme 2a**):  $\delta$  = 164.66 (3C, C<sup>r</sup>), 160.57 (3C, C<sup>e</sup>), 160.14 (3C, C<sup>c</sup>), 156.81 (3C, C<sup>k</sup>), 152.58 (3C, C<sup>g</sup>), 147.42 (3C, C<sup>n</sup>), 143.81 (3C, C<sup>j</sup>), 137.58 (3C, C<sup>a</sup>), 134.84 (3C, C<sup>p</sup>), 131.26 (3C, C<sup>q</sup>), 126.07 (6C, C<sup>i</sup>), 124.62 (6C, C<sup>m</sup>), 122.57 (6C, C<sup>l</sup>), 111.52 (6C, C<sup>h</sup>), 107.09 (3C, C<sup>f</sup>), 106.70 (3C, C<sup>b</sup>), 101.49 (3C, C<sup>d</sup>), 70.85–70.71, 68.21 (18C, OCH<sub>2</sub>), 69.68 (3C, PhOCH<sub>2</sub> of the aliphatic chain), 68.61 (3C, PhOCH<sub>2</sub> of the tetra(ethylene glycol) chain), 67.56 (3C, C<sup>o</sup>), 52.23 (3C, NCH<sub>2</sub>), 39.30 (3C, NCH<sub>3</sub>), 31.90, 29.66–29.26, 26.05, 22.66 (30C, all CH<sub>2</sub> of the aliphatic chain), 14.08 (3C, CH<sub>3</sub>) ppm.

<sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) (**Scheme 2a**):  $\delta$  = 164.66 (3C, C<sup>r</sup>), 160.57 (3C, C<sup>e</sup>), 160.14 (3C, C<sup>c</sup>), 156.81 (3C, C<sup>k</sup>), 152.58 (3C, C<sup>g</sup>), 147.42 (3C, C<sup>n</sup>), 143.81 (3C, C<sup>j</sup>), 137.58 (3C, C<sup>a</sup>), 134.84 (3C, C<sup>p</sup>), 131.26 (3C, C<sup>q</sup>), 126.07 (6C, C<sup>i</sup>), 124.62 (6C, C<sup>m</sup>), 122.57 (6C, C<sup>l</sup>), 111.52 (6C, C<sup>h</sup>), 107.09 (3C, C<sup>f</sup>), 106.70 (3C, C<sup>b</sup>), 101.49 (3C, C<sup>d</sup>), 70.85–70.71, 68.21 (18C, OCH<sub>2</sub>), 69.68 (3C, PhOCH<sub>2</sub> of the aliphatic chain), 68.61 (3C, PhOCH<sub>2</sub> of the tetra(ethylene glycol) chain), 67.56 (3C, C<sup>o</sup>), 52.23 (3C, NCH<sub>2</sub>), 39.30 (3C, NCH<sub>3</sub>), 31.90, 29.66–29.26, 26.05, 22.66 (30C, all CH<sub>2</sub> of the aliphatic chain), 14.08 (3C, CH<sub>3</sub>) ppm.

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<sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) (**Scheme 2a**):  $\delta$  = 164.66 (3C, C<sup>r</sup>), 160.57 (3C, C<sup>e</sup>), 160.14 (3C, C<sup>c</sup>), 156.81 (3C, C<sup>k</sup>), 152.58 (3C, C<sup>g</sup>), 147.42 (3C, C<sup>n</sup>), 143.81 (3C, C<sup>j</sup>), 137.58 (3C, C<sup>a</sup>), 134.84 (3C, C<sup>p</sup>), 131.26 (3C, C<sup>q</sup>), 126.07 (6C, C<sup>i</sup>), 124.62 (6C, C<sup>m</sup>), 122.57 (6C, C<sup>l</sup>), 111.52 (6C, C<sup>h</sup>), 107.09 (3C, C<sup>f</sup>), 106.70 (3C, C<sup>b</sup>), 101.49 (3C, C<sup>d</sup>), 70.85–70.71, 68.21 (18C, OCH<sub>2</sub>), 69.68 (3C, PhOCH<sub>2</sub> of the aliphatic chain), 68.61 (3C, PhOCH<sub>2</sub> of the tetra(ethylene glycol) chain), 67.56 (3C, C<sup>o</sup>), 52.23 (3C, NCH<sub>2</sub>), 39.30 (3C, NCH<sub>3</sub>), 31.90, 29.66–29.26, 26.05, 22.66 (30C, all CH<sub>2</sub> of the aliphatic chain), 14.08 (3C, CH<sub>3</sub>) ppm.

<sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) (**Scheme 2a**):  $\delta$  = 164.66 (3C, C<sup>r</sup>), 160.57 (3C, C<sup>e</sup>), 160.14 (3C, C<sup>c</sup>), 156.81 (3C, C<sup>k</sup>), 152.58 (3C, C<sup>g</sup>), 147.42 (3C, C<sup>n</sup>), 143.81 (3C, C<sup>j</sup>), 137.58 (3C, C<sup>a</sup>), 134.84 (3C, C<sup>p</sup>), 131.26 (3C, C<sup>q</sup>), 126.07 (6C, C<sup>i</sup>), 124.62 (6C, C<sup>m</sup>), 122.57 (6C, C<sup>l</sup>), 111.52 (6C, C<sup>h</sup>), 107.09 (3C, C<sup>f</sup>), 106.70 (3C, C<sup>b</sup>), 101.49 (3C, C<sup>d</sup>), 70.85–70.71, 68.21 (18C, OCH<sub>2</sub>), 69.68 (3C, PhOCH<sub>2</sub> of the aliphatic chain), 68.61 (3C, PhOCH<sub>2</sub> of the tetra(ethylene glycol) chain), 67.56 (3C, C<sup>o</sup>), 52.23 (3C, NCH<sub>2</sub>), 39.30 (3C, NCH<sub>3</sub>), 31.90, 29.66–29.26, 26.05, 22.66 (30C, all CH<sub>2</sub> of the aliphatic chain), 14.08 (3C, CH<sub>3</sub>) ppm.

<sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) (**Scheme 2a**):  $\delta$  = 164.66 (3C, C<sup>r</sup>), 160.57 (3C, C<sup>e</sup>), 160.14 (3C, C<sup>c</sup>), 156.81 (3C, C<sup>k</sup>), 152.58 (3C, C<sup>g</sup>), 147.42 (3C, C<sup>n</sup>), 143.81 (3C, C<sup>j</sup>), 137.58 (3C, C<sup>a</sup>), 134.84 (3C, C<sup>p</sup>), 131.26 (3C, C<sup>q</sup>), 126.07 (6C, C<sup>i</sup>), 124.62 (6C, C<sup>m</sup>), 122.57 (6C, C<sup>l</sup>), 111.52 (6C, C<sup>h</sup>), 107.09 (3C, C<sup>f</sup>), 106.70 (3C, C<sup>b</sup>), 101.49 (3C, C<sup>d</sup>), 70.85–70.71, 68.21 (18C, OCH<sub>2</sub>), 69.68 (3C, PhOCH<sub>2</sub> of the aliphatic chain), 68.61 (3C, PhOCH<sub>2</sub> of the tetra(ethylene glycol) chain), 67.56 (3C, C<sup>o</sup>), 52.23 (3C, NCH<sub>2</sub>), 39.30 (3C, NCH<sub>3</sub>), 31.90, 29.66–29.26, 26.05, 22.66 (30C, all CH<sub>2</sub> of the aliphatic chain), 14.08 (3C, CH<sub>3</sub>) ppm.

<sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) (**Scheme 2a**):  $\delta$  = 164.66 (3C, C<sup>r</sup>), 160.57 (3C, C<sup>e</sup>), 160.14 (3C, C<sup>c</sup>), 156.81 (3C, C<sup>k</sup>), 152.58 (3C, C<sup>g</sup>), 147.42 (3C, C<sup>n</sup>), 143.81 (3C, C<sup>j</sup>), 137.58 (3C, C<sup>a</sup>), 134.84 (3C, C<sup>p</sup>), 131.26 (3C, C<sup>q</sup>), 126.07 (6C, C<sup>i</sup>), 124.62 (6C, C<sup>m</sup>), 122.57 (6C, C<sup>l</sup>), 111.52 (6C, C<sup>h</sup>), 107.09 (3C, C<sup>f</sup>), 106.70 (3C, C<sup>b</sup>), 101.49 (3C, C<sup>d</sup>), 70.85–70.71, 68.21 (18C, OCH<sub>2</sub>

(3C, C<sup>q</sup>), 124.65 (6C, C<sup>i</sup>), 123.82 (6C, C<sup>l</sup>), 114.09 (6C, C<sup>m</sup>), 111.49 (6C, C<sup>h</sup>), 107.08 (3C, C<sup>f</sup>), 106.62 (3C, C<sup>b</sup>), 101.36 (3C, C<sup>d</sup>), 70.75–70.62, 69.60, 67.41 (18C, OCH<sub>2</sub>), 68.49 (3C, PhOCH<sub>2</sub> of the aliphatic chain), 68.09 (3C, PhOCH<sub>2</sub> of the tetra(ethylene glycol) chain), 67.24 (3C, C<sup>o</sup>), 55.45 (3C, NCH<sub>2</sub>), 52.15 (3C, OCH<sub>3</sub>), 39.20 (3C, NCH<sub>3</sub>), 31.88, 29.64–29.20, 26.01, 22.65 (30C, all CH<sub>2</sub> of the aliphatic chain), 14.10 (3C, CH<sub>3</sub>) ppm.

### 2.5.3. Tris(3-(2-((4-((E)-(4-butylphenyl)diazenyl)phenyl)(methyl)amino)ethoxy)-5(dodecyloxy)benzyl)benzene-1,3,5-tricarboxylate (**15G<sub>1</sub>**)

Yield: 29%.

FTIR (Film)  $\nu/\text{cm}^{-1}$ : 2923, 2853 (CH<sub>3</sub>, CH<sub>2</sub>), 1726 (C=O), 1596, 1514 (C=C, Ar) and 1446 (N=N). MALDI-TOF: C<sub>132</sub>H<sub>183</sub>N<sub>9</sub>O<sub>24</sub> Calcd: [M+H]<sup>+</sup> 2358.15. Found: (m/z):[M+H]<sup>+</sup> 2359.14.

<sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) (**Scheme 1c**):  $\delta$  = 8.87 (s, 3H, H<sup>8</sup>), 7.82 (d, *J* = 8.98 Hz, 6H, H<sup>6</sup>), 7.74 (d, *J* = 8.23 Hz, 6H, H<sup>5</sup>), 7.26 (d, *J* = 8.23 Hz, 6H, H<sup>7</sup>), 6.73 (d, *J* = 9.03 Hz, 6H, H<sup>4</sup>), 6.56 (d, *J* = 2 Hz, 6H, H<sup>1</sup>–H<sup>3</sup>), 6.44 (t, *J* = 2 Hz, 3H, H<sup>2</sup>), 5.29 (s, 6H, PhCOOCH<sub>2</sub>Ph), 4.09 (t, *J* = 4.25, 6H, PhOCH<sub>2</sub> of the tetra(ethylene glycol) chain), 3.91 (t, *J* = 6.44 Hz, 6H, PhOCH<sub>2</sub> of the aliphatic chain), 3.82 (t, *J* = 4.46 Hz, 6H, CH<sub>2</sub>N), 3.68–3.61 (m, 36H, OCH<sub>2</sub>), 3.06 (s, 6H, CH<sub>3</sub>N), 2.66 (t, *J* = 7.62 Hz, 2H, PhCH<sub>2</sub>CH<sub>2</sub>), 1.76–1.70 (m, 6H, PhOCH<sub>2</sub>CH<sub>2</sub>), 1.66–1.58 (m, 6H, PhCH<sub>2</sub>), 1.41–1.25 (m, 60H, Ph(CH<sub>2</sub>)<sub>2</sub>CH<sub>2</sub> and all CH<sub>2</sub> of the aliphatic chain), 0.94 (t, *J* = 7.33 Hz, 6H, CH<sub>3</sub>), 0.88 (t, *J* = 6.48 Hz, 3H, Ph(CH<sub>2</sub>)<sub>3</sub>CH<sub>3</sub>) ppm.

<sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) (**Scheme 1c**):  $\delta$  = 164.79 (3C, C<sup>i</sup>), 160.61 (3C, C<sup>e</sup>), 160.21 (3C, C<sup>c</sup>), 151.51 (3C, C<sup>g</sup>), 151.28 (3C, C<sup>k</sup>), 144.75 (3C, C<sup>n</sup>), 143.79 (3C, C<sup>j</sup>), 137.66 (3C, C<sup>a</sup>), 134.99 (3C, C<sup>p</sup>), 131.33 (3C, C<sup>q</sup>), 129.03 (6C, C<sup>m</sup>), 124.91 (6C, C<sup>l</sup>), 122.24 (6C, C<sup>d</sup>), 111.50 (6C, C<sup>h</sup>), 107.18 (6C, C<sup>f</sup>), 106.72 (3C, C<sup>b</sup>), 101.49 (3C, C<sup>o</sup>), 70.53–70.35, 69.37, 67.21 (18C, OCH<sub>2</sub>), 68.28 (3C, PhOCH<sub>2</sub> of the aliphatic chain), 67.87 (3C, PhOCH<sub>2</sub> of the tetra(ethylene glycol) chain), 67.01 (3C, C<sup>o</sup>), 51.89 (3C, NCH<sub>2</sub>), 38.93 (3C, NCH<sub>3</sub>), 35.22 (3C, PhCH<sub>2</sub>), 33.24 (3C, PhCH<sub>2</sub>CH<sub>2</sub>), 31.64, 29.40–29.08, 28.08, 25.78, 22.41 (30C, all CH<sub>2</sub> of the aliphatic chain), 22.06 (3C, Ph(CH<sub>2</sub>)<sub>2</sub>CH<sub>2</sub>), 13.86 (3C, CH<sub>3</sub>), 13.68 (3C, Ph(CH<sub>2</sub>)<sub>3</sub>CH<sub>3</sub>) ppm.

## 3. Results and discussion

### 3.1. Synthesis of the dendrimers

The synthesis of the dendrimers was achieved using the convergent approach and is illustrated in **Fig. 1**. Three different series of first generation Fréchet type dendrimers bearing azobenzene units in the periphery were prepared employing 3,5-dihydroxy benzyl alcohol as built unit. The dendrimers were obtained by coupling 3.2 eq of the precursor dendrons (**G<sub>1</sub>OH**) in the presence of 1 eq of the reactive 1,3,5-benzenetricarboxyl trichloride. The first series of dendrimers were functionalized with (amino-nitro), (amino-methoxy) and (amino-butyl) substituted azobenzenes.

Precursor azobenzenes (**1**), (**2**) and (**3**) have been prepared according to the method previously reported by us [45]. These compounds were treated in the presence of iodine, imidazole and PPh<sub>3</sub> to give the corresponding alkyl iodides (**4**), (**5**) and (**6**). On the other hand, 3,5-dihydroxy benzyl alcohol (**7**) (1 eq) was reacted in the presence of 1-dodecyl bromide (1 eq) using K<sub>2</sub>CO<sub>3</sub> as base and acetone as solvent, with a catalytic amount of 18-crown-6 to give the asymmetric dendron (**8**). This compound was further reacted with intermediate (**4**), using K<sub>2</sub>CO<sub>3</sub> as base and DMF as solvent in the presence of 18-crown-6 to give the first generation dendron **9G<sub>1</sub>OH**. Finally, **9G<sub>1</sub>OH** was reacted with 1,3,5-benzenetricarboxyl trichloride (3.2 eq) in the presence of Et<sub>3</sub>N to give first generation dendrimer (**13G<sub>1</sub>**). Similarly, other two series of first generation

dendrimers were prepared from (**5**) and (**6**) under the same reaction conditions described above to give the corresponding dendrons **14G<sub>1</sub>** and **15G<sub>1</sub>**, respectively (**Fig. 1**).

### 3.2. Characterization of the dendrimers

The precursor azo-dyes, dendrons and dendrimers were fully characterized by <sup>1</sup>H and <sup>13</sup>C NMR spectroscopies, and the molecular weights and purity of the final products were confirmed by MALDI-TOF mass spectrometry using dithranol as matrix. The <sup>1</sup>H NMR and <sup>13</sup>C NMR spectra of the dendrimer bearing amino-methoxy substituted azobenzene units (**14G<sub>1</sub>**) are shown in **Fig. 2**, but the spectroscopic data of all compounds are given in the experimental section. The <sup>1</sup>H NMR and <sup>13</sup>C NMR of all dendrimers can be found in the Supporting Information (SI).

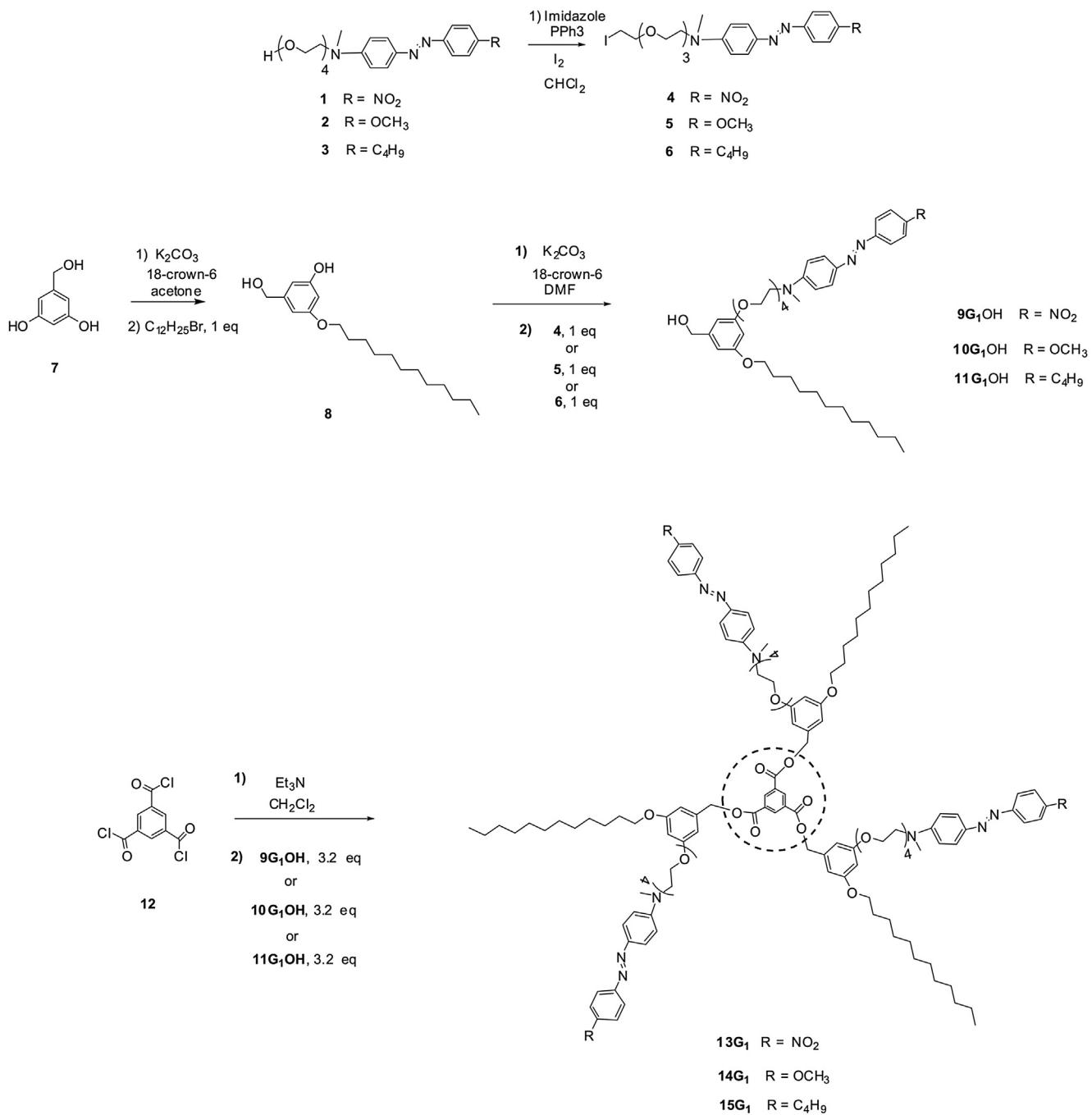
In the <sup>1</sup>H NMR spectrum of **10G<sub>1</sub>OH** (SI, **Fig. S2**), there are six signals in the aromatic region at 7.87, 7.73, 7.26, 6.76, 6.50 and 6.37 ppm due to the aromatic protons present in the azobenzene unit and phenyl group H<sup>6</sup>, H<sup>7</sup>, H<sup>4</sup>, H<sup>1</sup>–H<sup>3</sup> and H<sup>2</sup>, respectively. In the aliphatic zone, we can observe a singlet at 4.60 ppm (PhCH<sub>2</sub>OH), two triplets at 4.08 (PhOCH<sub>2</sub>) and 3.90 ppm (PhOCH<sub>2</sub>) due to the tetra(ethylene glycol) chain and aliphatic chain, respectively. In addition, we can perceive a singlet at 3.87 ppm (PhOCH<sub>3</sub>) and a triplet at 3.82 ppm (CH<sub>2</sub>N), followed by a multiplet at 3.69–3.62 ppm related to the protons OCH<sub>2</sub> of the oligo(ethylene glycol) segments as well as a singlet at 3.11 ppm (NCH<sub>3</sub>). Finally, the protons corresponding to methylenes (CH<sub>2</sub>) present in the aliphatic chain appear at 1.74, 1.42, 1.34–1.36 and 0.88 ppm.

The <sup>1</sup>H NMR spectrum of dendrimer **14G<sub>1</sub>** is very similar, we can observe two signals at 8.87 and 5.29 ppm in the aromatic region, due to the protons H<sup>8</sup> and H<sup>9</sup> respectively (**Fig. 2a**). The signals corresponding to the protons present in the azobenzene unit and the phenyl group appear at the same shift as those of **10G<sub>1</sub>OH**. Finally in the aliphatic region we can observe the same signals perceived for the aliphatic protons between 1.75 and 0.88 ppm (SI, **Fig. S6**).

The <sup>13</sup>C spectrum of **14G<sub>1</sub>** is illustrated in the **Fig. 2b**. As we can see, there are 17 signals in aromatic region at 164.72, 160.82, 160.50, 160.11, 150.99, 147.38, 143.60, 137.55, 134.91, 131.21, 124.65, 123.82, 114.09, 111.49, 107.08, 106.62, 101.36 ppm due to the 17 types of aromatic carbons present in the structure of the dendrimer. Moreover, in the aliphatic region we can observe various peaks at 70.75–70.62, 69.60, 67.41 ppm, due to the methylenes present in the tera(ethylene glycol) spacers. The carbons PhOCH<sub>2</sub> of the oligo(ethylene glycol) and the aliphatic chain appear at 68.49 and 68.09 ppm, respectively. Four more signals can be perceived at 67.24, 55.45, 52.15 and 39.20 ppm, corresponding to carbons C<sup>o</sup>, NCH<sub>2</sub>, OCH<sub>3</sub> and NCH<sub>3</sub>, respectively. Finally, the signals due to all the CH<sub>2</sub> and CH<sub>3</sub> present in the aliphatic chain appear at 31.88, 29.64–29.20, 26.01, 22.65, 14.10 ppm (SI, **Fig. S7**). The structure and purity of these dendrimers were confirmed by MALDI-TOF mass spectrometry. All dendrimers showed a molecular ion peak which is in agreement with their calculated molecular weight.

### 4. Optical properties of the dendrimers

Optical properties of the azodendrimers in CHCl<sub>3</sub> and DMF solution were studied by absorption spectroscopy in the UV–vis region and the results are summarized in **Table 1**. The optical data of the dendrons employed as intermediates in the synthesis have been previously reported. The absorption spectra were normalized for a better comparison. In general, the absorption bands of the dendrimers did not show any significant shift with respect to those of the precursor dendrons. However, we can observe a solvatochromic effect; for instance azodendrimer **13G<sub>1</sub>**, which is high



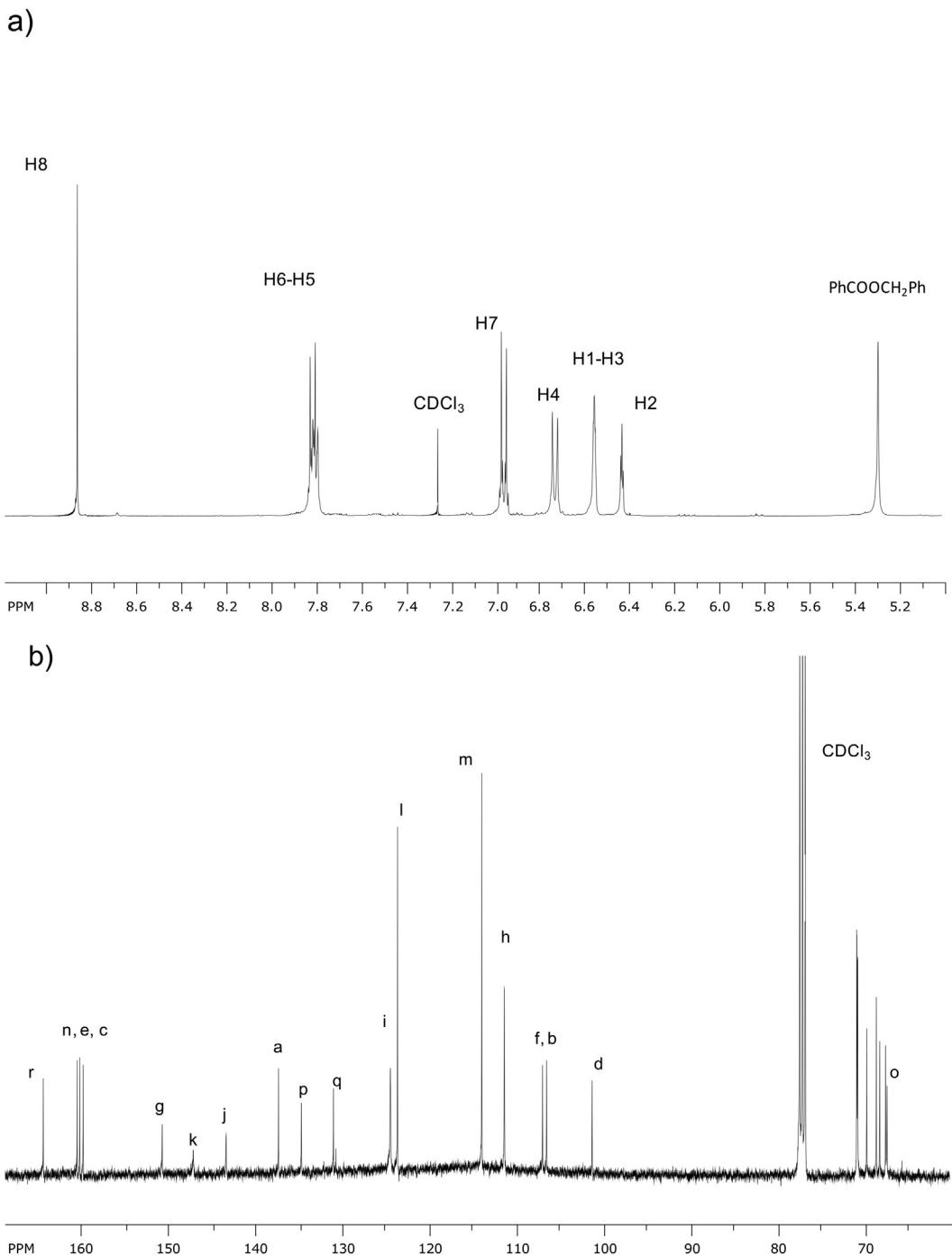
**Fig. 1.** Synthesis of the first-generations dendrimers.

dipole moment dendrimer bearing amino-nitro substituted azobenzenes, showed a maximum absorption band at  $\lambda_{\max} = 480$  nm in CHCl<sub>3</sub> solution, whereas in DMF solution the absorption band was red-shifted to  $\lambda_{\max} = 498$  nm (Fig. 3). This compound belongs to the pseudostilbenes category, so that it exhibits a total overlap of the  $\pi-\pi^*$  and  $n-\pi^*$  bands, so that only one band can be observed in the UV-vis spectra. In contrast, low dipole moment dendrimers **14G<sub>1</sub>** (amino-methoxy) and **15G<sub>1</sub>** (amino-butyl), exhibited blue-shifted absorption bands with respect to **13G<sub>1</sub>**. They exhibited maximum absorption band at  $\lambda_{\max} = 409$  nm followed by a shoulder at  $\lambda_{\max} = 423$  nm due to the  $\pi-\pi^*$  and  $n-\pi^*$  transitions, respectively. In DMF solution, a significant red-shift was observed for **14G<sub>1</sub>** and **15G<sub>1</sub>** so that the maximum absorption band appeared

at 418 nm and the shoulder at 453 nm (Fig. 3). Since these compounds belong to the aminoazobenzenes category they show a partial overlap of the  $\pi-\pi^*$  and  $n-\pi^*$  bands in their UV-vis spectra.

##### 5. Protonation effect upon irradiation

When all the dendrimers were irradiated for 5 min with UV light at 365 nm in chloroform solution, they did not show any changes in their spectra absorption. However, when they were exposed to the UV lamp at 254 nm the absorption band at 409 nm decreased drastically in intensity, whereas a very intense band appeared at 560 nm. This photochromic effect was monitored by recording



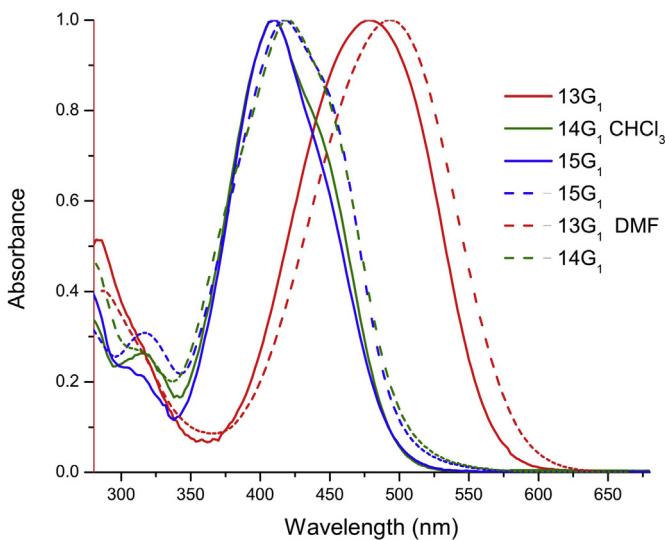
**Fig. 2.** a)  $^1\text{H}$  NMR spectral. b)  $^{13}\text{C}$  NMR spectral of the dendrimer **14G**<sub>1</sub> in  $\text{CDCl}_3$  disolvent.

**Table 1**  
Optical properties of the dendrimers.

Dendrimers	In $\text{CHCl}_3$ solution		In DMF solution	
	$\lambda_{\max}$ (nm)	Cut off (nm)	$\lambda_{\max}$ (nm)	Cut off (nm)
<b>13G</b> <sub>1</sub>	480	600	493	621
<b>14G</b> <sub>1</sub>	409	525	418	549
<b>15G</b> <sub>1</sub>	409	525	418	549

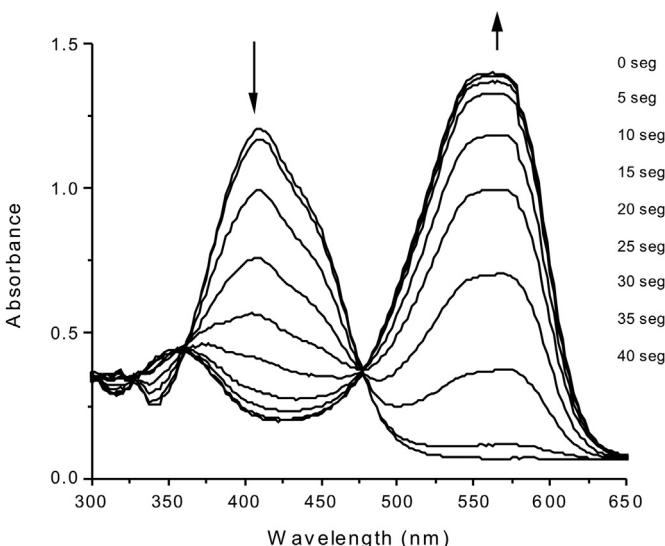
absorption spectra every 5 s. Since the extinction coefficient of the  $n-\pi^*$  band is very low the appearance of this new band cannot be attributed to *trans*-*cis* photoisomerization itself. Moreover, this band can be also observed in azodendrimer **13G**<sub>1</sub> (amino-nitro) which behaves as a pseudostilbene, where both the  $\pi-\pi^*$  and  $n-\pi^*$  bands are totally overlapped so that it is not possible to monitor a *trans*-*cis* photoisomerization for this kind of azo-compounds.

The absorption spectrum of azodendrimer **13G**<sub>1</sub> (amino-nitro) (not shown) exhibited these chromic changes reaching a photo-stationary state (PSS) after 200 s of irradiation. After this time two absorption bands were observed: the first one at 523 nm and the



**Fig. 3.** Normalized absorption spectral of the azodendrimers in  $\text{CHCl}_3$  and DMF solution.

second one (new) at 550 nm. In contrast, for azodendrimer **14G<sub>1</sub>** (amino-methoxy) (Fig. 4) the new absorption band due to photoprotonation appears at 560 nm, reaching a photostationary state (PSS) after 45 s. Finally, azodendrimer **15G<sub>1</sub>** (amino-butyl) behaved similarly, the absorption band at 409 nm decreased in intensity whereas a new absorption band due to photoprotonation increased gradually in intensity at 560 nm; in this case the PSS was attained after 55 s. Additionally these compounds exhibited drastic colour changes along the photoprotonation process. For low dipole moment dendrimers **14G<sub>1</sub>** and **15G<sub>1</sub>** a colour change from yellow to pink was observed, whereas for the highly polar dendrimer **13G<sub>1</sub>** a colour change from red to pink was seen. This colour change can be attributed to the azo-hydrazone tautomerism showed by the amino-azobenzenes derivatives. A similar behaviour was observed in the absorption spectra of some fullerene containing azobenzene derivatives after protonation, which confirmed our hypothesis [66]. In fact, the *trans*–*cis* photoisomerization and the photoprotonation can occur simultaneously but the effect of the second is more visible in the absorption spectra. Therefore, our dendrimers

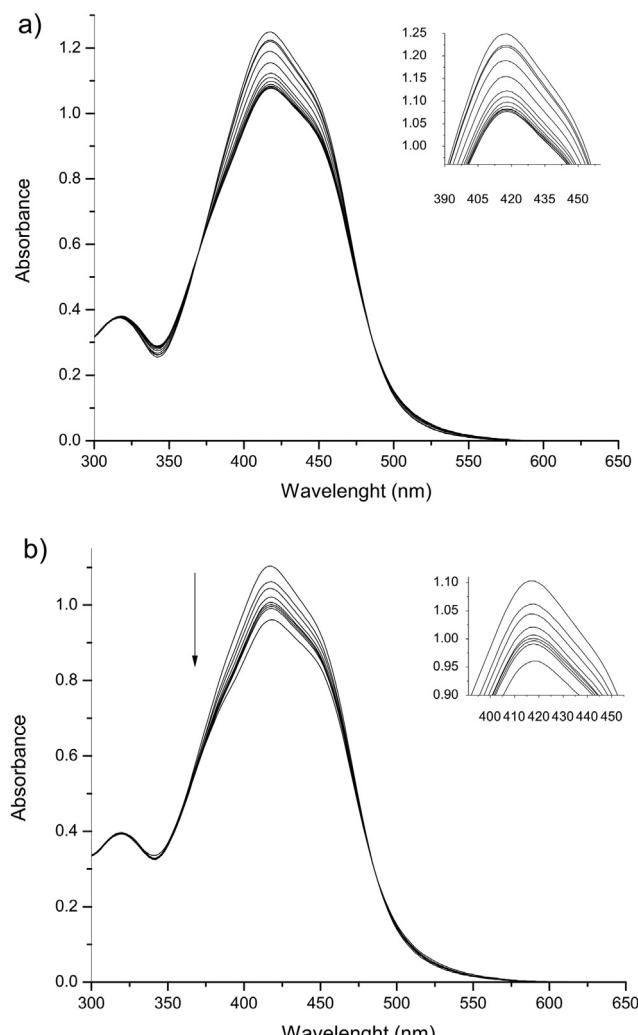


**Fig. 4.** Photoprotonation effect in **14G<sub>1</sub>** upon irradiation in function of time.

exhibited an efficient photoprotonation in the presence of a slightly acidic solvents such as  $\text{CHCl}_3$  ( $pK_a = 15.5$ ). In contrast, when the same irradiation experiments were carried out in the presence of a non acidic solvent such as DMF *trans*–*cis* occurred in the absence of protonation as expected.

## 6. *Trans*–*cis* photoisomerization

Photoisomerization experiments were performed with all dendrimers in DMF solution by irradiating with UV light at 365 and 254 nm for 5 min. Low dipole moment azodendrimers **14G<sub>1</sub>** and **15G<sub>1</sub>** exhibited a *trans* (E) to *cis* (Z) isomerization. This phenomenon was monitored by absorption spectroscopy every 5 s. In the case of azodendrimer **13G<sub>1</sub>** the sample was not monitored since kind of azobenzenes show a total overlap of the  $\pi$ – $\pi^*$  and  $n$ – $\pi^*$  bands. In contrast, low dipole moment azodendrons showed also *trans*–*cis* photoisomerization when they were irradiated with UV light. The maximum absorption band of **14G<sub>1</sub>** gradually decreases in intensity upon irradiation since the extinction coefficient of the *cis* isomer is significantly lower (Fig. 5a). This is an indication of the configuration change from the *trans* (E) to the *cis* (Z) isomer. A photostationary state (PSS) was after 45 s of irradiation when all the azobenzenes completely switched to the Z form.



**Fig. 5.** UV–vis changes spectral in *trans* to *cis* conversion of azodendrimer **14G<sub>1</sub>**. a) Upon irradiation at 365 nm. b) At 254 nm, for 60 s.

The same behaviour was observed upon irradiation at 254 nm, the maximum absorption band decreases continuously in intensity and the PPS was achieved in 55 s (Fig. 5b). In the plot  $\ln(\text{abs})$  versus time for the azodendrimer bearing amino-methoxy substituted azobenzenes has similar characteristics when was irradiated at these two wavelengths (Fig. 6). The decay of the conversion from E to Z isomer in the first 20 s exhibited an exponential increase. In the first time interval the conversion from E to the Z form was faster, furthermore the conversion resulted to be slower. However, the opposite behaviour was observed upon irradiation at 254 nm. In the first 5 s the conversion from the E to the Z isomer occurred much faster.

Finally, the azodendrimer **15G<sub>1</sub>** behaved as **14G<sub>1</sub>** (Fig. 7). The *trans*–*cis* photoisomerization of the dendrimer bearing amino-butyl substituted azobenzene was totally achieved in 60 s by irradiating at 365 nm, whereas at 254 nm the conversion occurred in only 5 s. Since **14G<sub>1</sub>** and **15G<sub>1</sub>** belong to the aminoazobenzenes category a partial overlap of the  $\pi$ – $\pi^*$  and  $n$ – $\pi^*$  bands can be observed, where the first one is more intense in intensity. Upon irradiation a significant decrease in intensity of the  $\pi$ – $\pi^*$  band was observed thereby indicating that *trans*–*cis* isomerization occurred. Changes in the  $n$ – $\pi^*$  band were not noticeable since this band appears as a shoulder.

## 7. Molecular modelling of the dendrimers

Compound **13G<sub>1</sub>** was selected as an example for a theoretical study. Fig. 8 shows the most stable optimized structures for *trans* and *cis* isomers of **13G<sub>1</sub>**. The planar reported structures were used as initial geometries to analyse the *trans*–*cis* isomerization. Initial geometries present well defined *cis* or *trans* azobenzene groups.

Optimized structures of *cis* and *trans* isomers of the dendrimers are quite similar. In fact, the main difference is on the side chain that is twisted in the *trans* isomers in order to reduce the steric hindrance. Both are not planar molecules, with the chains separated and forming a windmill-like structure. The centre of the molecule is planar in both isomers, and in both structures the chains are separated to avoid any steric hindrances.

The *cis*–*trans* energy difference of **13G<sub>1</sub>** is about 40 kcal/mol, being the *trans* isomer the most stable. There is a remarkable difference between the dipole moments of both isomers. For **13G<sub>1</sub>**, *trans* isomer, it is 4.10 D whereas for the *cis* isomer it is 15.64 D. This difference is due to the orientation of the substituents in the

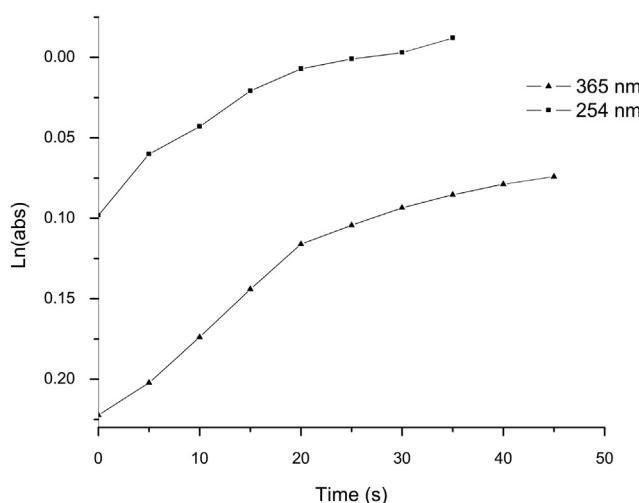


Fig. 6.  $\ln(\text{abs})$  versus time plot for change absorbance of the azodendrimer **14G<sub>1</sub>**.

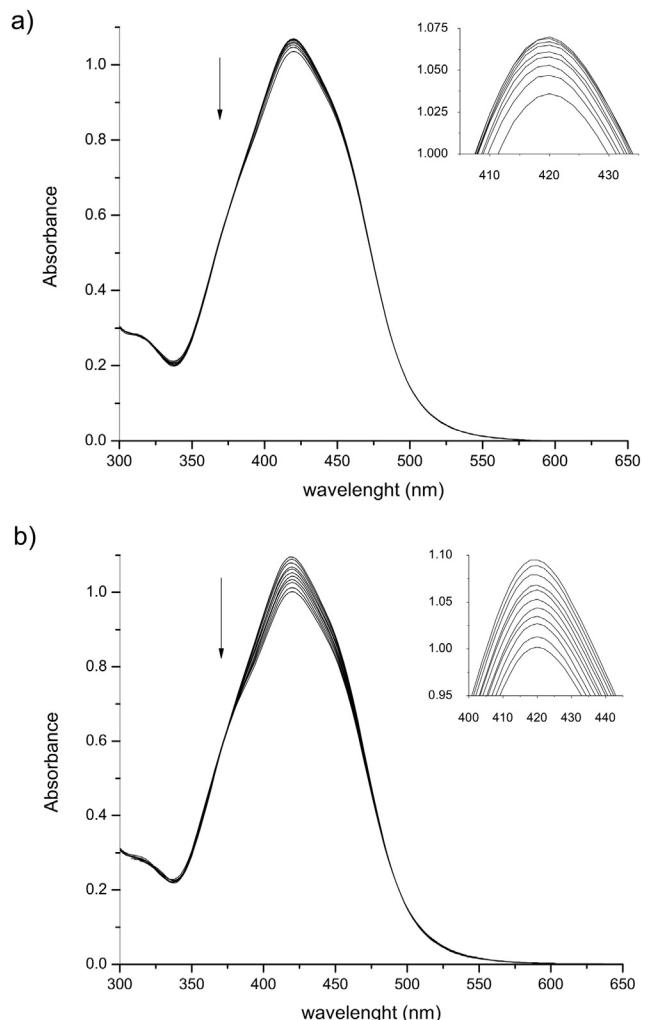


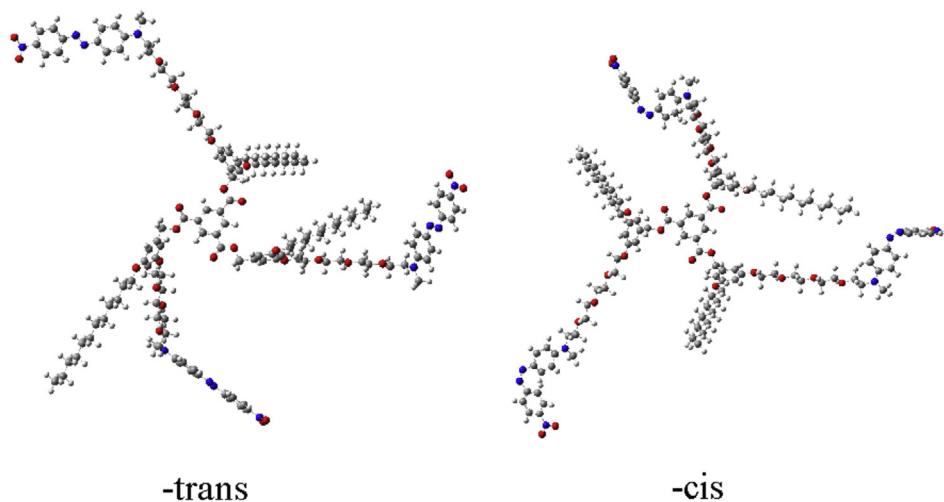
Fig. 7. Absorption spectral changes after irradiation with UV lamp of the azodendrimer **15G<sub>1</sub>**. a) Upon irradiation at 365 nm. b) Upon irradiation at 254 nm, for 60 s.

azobenzene unit. In the *trans* isomer of **13G<sub>1</sub>** the amino-nitro substituted azobenzenes are oriented up and down while in the *cis* isomer all azobenzenes are oriented in the same direction.

The theoretical UV–vis spectra of the *trans* and *cis* isomers of **13G<sub>1</sub>** are illustrated in Fig. 9. As we can see, there is a significant overlap between both spectra but there is also a significant shift in the maximum absorption bands. For the *trans* isomer, the maximum absorption appears at  $\lambda = 475$  nm, and for the *cis* isomer at  $\lambda = 540$  nm. In the experimental UV–vis spectrum of **13G<sub>1</sub>** we can observe a broad absorption band centred at 480–500 nm, since the  $\pi$ – $\pi^*$  and the  $n$ – $\pi^*$  are very close to each other and practically superimposed. Thus, the theoretical results are in good agreement with those obtained experimentally.

## 8. Conclusions

Three novel Frechet type dendrimers bearing a well defined oligo(ethylene glycol) side chain and amino-nitro (**13G<sub>1</sub>**), amino-methoxy (**14G<sub>1</sub>**) and amino-butyl (**15G<sub>1</sub>**) substituted azobenzenes were successfully synthesized and characterized. The optical properties of the azodendrimers were studied in  $\text{CHCl}_3$  and DMF solution by absorption spectroscopy in the UV–vis region. **13G<sub>1</sub>** which has amino-nitro substituted azobenzenes, showed a maximum absorption band at  $\lambda_{\text{max}} = 480$  nm in  $\text{CHCl}_3$  solution,

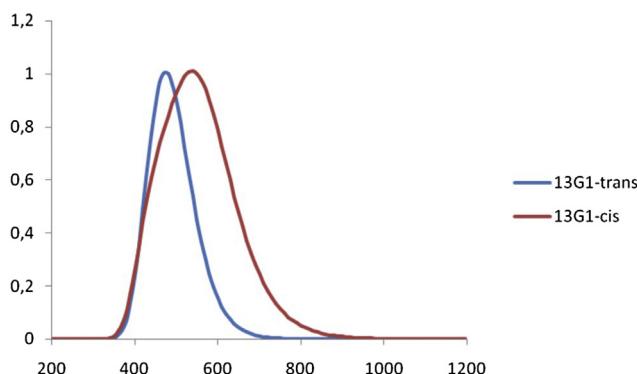


**Fig. 8.** Optimized structures for the all azodendrimers **13G<sub>1</sub>**, **14G<sub>1</sub>** and **15G<sub>1</sub>**.

which was red-shifted to  $\lambda_{\max} = 498$  nm in DMF solution. This compound exhibits a total overlap of the  $\pi-\pi^*$  and  $n-\pi^*$  bands. In contrast, **14G<sub>1</sub>** (amino-butyl) and **15G<sub>1</sub>** (amino-methoxy) exhibited maximum absorption band at  $\lambda_{\max} = 409$  nm followed by a shoulder at  $\lambda_{\max} = 423$  nm due to the  $\pi-\pi^*$  and  $n-\pi^*$  transitions. These compounds belong to the aminoazobenzenes category they show a partial overlap of the  $\pi-\pi^*$  and  $n-\pi^*$  bands in their UV-vis spectra. The obtained results fitted well with those predicted by molecular modelling.

Photoisomerization experiments were performed with all dendrimers in DMF solution by irradiating with UV light at 365 and 254 nm. Low dipole moment azodendrimers **14G<sub>1</sub>** and **15G<sub>1</sub>** exhibited a *trans* (E) to *cis* (Z) isomerization. This phenomenon was monitored by absorption spectroscopy. A photostationary state (PPS) was after 45 s of irradiation when all the azobenzenes completely switched to the Z form. The same behaviour was observed upon irradiation at 254 nm, the maximum absorption band decreases continuously in intensity and the PPS was achieved in 55 s.

When the irradiation experiments were conducted in  $\text{CHCl}_3$ , all dendrimers exhibited photochromic changes due to the photo-protonation of the azobenzene unit. This was confirmed by a significant the red-shift of the maximum absorption band (ca.  $\lambda = 523$  nm) followed by the appearance of a very intense red shifted band (ca.  $\lambda = 560$  nm). A colour change from red to pink due to the azo-hydrazone tautomerism was also observed.



**Fig. 9.** Absorption spectra theoretically predicted for the *trans* and *cis* isomers of dendrimer **13G<sub>1</sub>**.

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## Appendix A. Supplementary data

Supplementary data related to this article can be found at <http://dx.doi.org/10.1016/j.dyepig.2014.11.023>.

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