A Chemoselective One-Step Reduction of β -Ketoesters to 1,3-Diols

Kenso Soai*, Hidekazu Oyamada

Department of Applied Chemistry, Faculty of Science, Science University of Tokyo, Kagurazaka, Shinjuku-ku, Tokyo 162, Japan

The reduction of β -ketoesters to the corresponding 1,3-diols by complex metal hydrides requires two steps¹, involving first the reduction to β -hydroxyesters by sodium borohydride and subsequent reduction of the β -hydroxyester formed to the corresponding 1,3-diols using lithium aluminium hydride. Due to the overwhelming reducing power of lithium aluminium hydride², the second step cannot be carried out in a chemoselective manner in the presence of other reducable groups, such as nitro, amide, etc. The direct reduction of β -ketoesters to 1,3-diols by lithium aluminium hydride is known to give only very low yield with formation of by-products^{3,4}.

The reduction of an α -acetylamino- β -ketoester to the corresponding 1,3-diol by lithium borohydride formed in situ has been reported⁵. Although sodium borohydride reduces β -ketoesters to 1,3-diols to some extent⁶, to the best of our knowledge, no method has been reported for the synthetically useful one-step chemoselective reduction of β -ketoesters by sodium borohydride to the corresponding 1,3-diols.

We recently reported the chemoselective reduction of simple carboxylic esters⁷ and oxiranes⁸ by sodium borohydride in the mixed solvent system *t*-butyl alcohol/methanol. We now

606 Communications SYNTHESIS

Table. Reduction of β -Ketoesters 1 to 1,3-Diols 2

Sub- strate	R^1 R^2	R ²	R ³	Mixed solvent A / B	Product	Yield [%]	b.p.ª [°C]/torr	Molecular formula or b.p. [°C]/torr reported
la	(CH ₂) ₄		C ₂ H ₅	t-C ₄ H ₉ OH/H ₃ C-OH	2 a	88 b		C ₇ H ₁₄ O ₂ (130,4) ^e
				t - C_4H_9OH/C_2H_5OH	2a	80 в	, mana	- 14 2 ()
				THF/H ₃ C—OH	2a	94 ^b (85) ^d	170°/2	104-105/0.7°
1 b	CH_3	Н	CH ₃	t-C ₄ H ₉ OH/H ₃ COH	2 b	73 e	150°/20	$207^{\circ}/760^{10}$
			•	THF/H ₃ C—OH	2b	85° (73) ^d	150°/20	,
1b'	CH_3	Н	C_2H_5	t-C ₄ H ₉ OH/H ₃ COH	2b	79 °	150°/20	
				THF/H ₃ C—OH	2 b	78 °	150°/20	
1b"	CH_3	Н	n-C₄H ₉	t-C ₄ H ₉ OH/H ₃ COH	2 b	76°	150°/20	
1e	C_6H_5	Н	C_2H_5	THF/H ₃ C—OH	2 c	96 ^b (86) ^d	150155°/3	$126^{\circ}/2^{10}$

- * Kugelrohr distillation, bath temperatures are given.
- b Yield of isolated product purified by chromatography in small scale (1 mmol) reaction.
- c calc. C 64.58 H 10.84 found 64.50 11.13
 - I.R. (neat): v = 3400, 2931, 1482, 1420, 1352, 1327, 1273, 1199 cm⁻¹.
 - ¹H-N.M.R. (CDCl₃): $\delta = 0.60 3.20$ (m, 11H), 3.25 4.66 ppm (m, 3H)
 - ¹³C-N.M.R. (CDCl₃): δ = 20.17, 23.73, 24.17, 24.31, 24.90, 26.12, 31.43, 33.28, 36.11, 42.25, 66.81, 67.88, 70.90, 76.36 ppm.
- Ratio of cis: trans is $1.15 \pm 0.15:1$ as determined by ¹³C-N.M.R. Yield of isolated product purified by distillation in preparative scale (20 mmol) reaction.
- Yield of isolated product purified by distillation in small scale (1 mmol) reaction.

describe a one-step chemoselective reduction of β -ketoesters to the corresponding 1,3-diols by sodium borohydride in a mixed solvent system containing alcohol.

$$R^{1} \xrightarrow{Q} QR^{3} \xrightarrow{\text{mixed solvent}} R^{1} \xrightarrow{QH} R^{2} QH$$
1 2

The β -ketoesters 1 were reduced to the corresponding diols 2 in good yields when methanol was added dropwise to a refluxing mixture of 1 and sodium borohydride in tetrahydrofuran (Table). Purity and structure of products 2 were confirmed by microanalysis, I. R. 1 H-, and 13 C-N.M.R. spectra. The 13 C-N.M.R. spectra showed fourteen sets of singlets, indicating a mixture of *cis*- and *trans*-isomers. As seen from the Table, both mixed solvents system of tetrahydrofuran/methanol and *t*-butyl alcohol/methanol are suitable for the reduction. Ethanol was slightly less effective as cosolvent than methanol.

Further, chemoselective reduction of 1c in the presence of nitrobenzene and benzoylglycine was accomplished in t-butyl alcohol/methanol mixture. Compound 2c was obtained in 99 % yield and the recoveries of nitrobenzene and benzoylglycine were 61 % and 100 %, respectively.

As described, β -ketoesters were reduced chemoselectively in high yields in one-step by easily available sodium borohydride in mixed solvent system containing alcohol in the presence of other groups such as nitro, amide, or carboxylic acid.

2-Hydroxymethylcyclohexanol (2a); Typical Procedure for Small Scale Reduction:

Methanol (0.8 ml, solvent B) is added dropwise over a period of 1 h to a refluxing mixture of 1a (0.166 g, 0.97 mmol) and sodium borohydride (0.093 g, 2.46 mmol) in tetrahydrofuran (4 ml, solvent A). Stirring is continued at reflux temperature for 1 h. After cooling to room temperature, 1 normal hydrochloric acid (5 ml) is added, the mixture is extracted with ether (10 × 5 ml), and the ether phase is dried with anhydrous sodium sulfate. The solvent is evaporated under reduced pressure, and the crude residue is purified by preparative T. L. C. over silica gel (eluent: ethyl acetate; $R_F = \sim 0.5-0.6$; area occupied by 2a discerned by opaque appearance under sun light) to give 2a; yield: 0.119 g (94 %).

1,3-Butanediol (2b) and 3-Phenyl-1,3-propanediol (2c); General Procedure for Small Scale Reductions:

Methanol (0.5 ml, solvent B) is added dropwise over a period of 15 min to a refluxing mixture of β -ketoester 1b, 1b' or 1b" (1 mmol) and sodium borohydride (0.095 g, 2.5 mmol) in solvent A (t-butyl alcohol or tetrahydrofuran, 4 ml). After the addition of methanol, the mixture is cooled to room temperature and several drops of water are added. The mixture is evaporated under reduced pressure and the residue is passed through a short silica gel column. Distillation using a Kugelrohr apparatus gives 2b, and purification on silica gel T. L. C. (ethyl acetate) gives 2c (Table). G. L. C. analysis (column: PEG 20 M, TCD detector) of 2b and 2c shown them to be more than 95 % pure.

1,3-Diols from $\beta\textsc{-Ketoesters};$ General Procedure for Preparative Scale Reductions:

Methanol (16 ml) is added dropwise over a period of 0.5-1 h to a refluxing mixture of 1 (20 mmol) and sodium borohydride (1.89 g, 50 mmol) in tetrahydrofuran (40 ml). After cooling to room temperature, water (10 ml) is added and the mixture is acidified with 3 normal hydrochloric acid. Most of the organic solvents are evaporated under reduced pressure. For $\bf 2a$ and $\bf 2c$, water layer is extracted with ethyl acetate (5 × 10 ml). For $\bf 2b$, water layer is made alkaline with aqueous potassium carbonate and evaporated under reduced pressure $\bf 11$. The residue is filtered and is washed with ethyl acetate (6 × 10 ml). The extracts and the filtrate are dried with anhydrous sodium sulfate and concentrated on a rotary evaporator. The residue is purified by Kugelrohr distillation; yield: 73–86%.

Selective Reduction of Ethyl 2-Benzoylacetate (1c) in the Presence of Nitrobenzene and Benzoylglycine:

Methanol (0.5 ml, solvent B) is added dropwise over a period of 15 min to a refluxing mixture of sodium borohydride (0.105 g, 2.78 mmol), 1c (0.188 g, 0.98 mmol), nitrobenzene (0.115 g, 0.93 mmol), and benzoylglycine (0.175 g, 0.98 mmol) in t-butyl alcohol (15 ml). After the addition of methanol, the mixture is refluxed for 10 min and cooled to room temperature. Water (3 ml) is added and the mixture evaporated under reduced pressure. The residue is dissolved in 0.1 molar aqueous sodium hydroxide (10 ml) and extracted with chloroform (3 \times 10 ml) followed by ethyl acetate (10 \times 10 ml). The extracts are combined and dried with anhydrous sodium sulfate. Purification by column chromatography on silica gel

(eluent: dichloromethane, then ethyl acetate) gives 3-phenyl-1,3-propanediol (2c); yield: 0.147 g, (99%) and nitrobenzene; yield: 0.071 g (61% recovery). Water layer is acidified to pH = \sim 1 with 6 normal hydrochloric acid, and extracted with ethyl acetate (8 \times 10 ml). The extract is dried with anhydrous sodium sulfate, and evaporation under reduced pressure gives benzoylglycine; yield: 0.175 g (100% recovery).

Received: October 20, 1983 (Revised form: December 27, 1983)

¹ A.C. Cope, G.W. Wood, J. Am. Chem. Soc. 79, 3885 (1957).

For reviews, see: E.R.H. Walker, Chem. Soc. Rev. 5, 23 (1976).
 H.C. Brown, S. Krishnamurthy, Tetrahedron 35, 567 (1979).
 A. Hajós, Complex Hydrides, Akademiai Kiado, Budapest, 1979.

³ V.E. Buchta, H. Bayer, Liebigs Ann. chem. 573, 227 (1951).

⁴ A.S. Dreiding, J. A. Hartman, J. Am. Chem. Soc. 75, 939 (1953).

⁵ I. Sallay, F. Dutka, G. Fodor, Helv. Chim. Acta 37, 778 (1954).

⁶ J.E.G. Barnett, P.W. Kent, J. Chem. Soc. 1963, 2743.

⁷ K. Soai, H. Oyamada, A. Ookawa, Synth. Commun. 12, 463 (1982).

K. Soai, H. Oyamada, M. Takase, A. Ookawa, *Bull. Chem. Soc. Jpn.*, in press.

⁸ K. Soai, A. Ookawa, H. Oyamada, M. Takase, Heterocycles 19, 1371 (1982).

⁹ **2a**: trans; b.p. 104–105 °C/0.7 torr, cis; m.p. 47–49 °C; A.T. Blomquist, J. Wolinsky, J. Am. Chem. Soc. **79**, 6025 (1957).

Dictionary of Organic Compounds, J. Buckingham, Ed., Chapman and Hall, New York, 1982.

²b probably exists as chelated form with boron in acidic solutions.