

# Pd-Catalyzed Intramolecular Aminohydroxylation of Alkenes with Hydrogen Peroxide as Oxidant and Water as Nucleophile

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Supporting Information

ABSTRACT: A palladium-catalyzed intramolecular aminohydroxylation of alkenes was developed, in which H<sub>2</sub>O<sub>2</sub> was applied as the sole oxidant. A variety of related alkyl alcohols could be successfully obtained with good yields and excellent diastereoselectivities, which directly derived from oxidation cleavage of alkyl C-Pd bond by H<sub>2</sub>O<sub>2</sub>. Facile transformation of these products provided a powerful tool toward the synthesis of 2-amino-1,3-diols and 3-ol amino acids. Preliminary mechanistic studies revealed that major nucleophilic attack of water (S<sub>N</sub>2 type) at high-valent Pd center contributes to the final C-O(H) bond formation.

alladium-catalyzed oxidative reactions are important transformation in organic synthesis. In the past decade, highvalent palladium catalysis has been received much attention, and a number of palladium-catalyzed oxidative C-H functionalization and alkene difunctionalization reactions have been developed.<sup>2</sup> Among these reactions, stoichiometric amount of strong oxidants are generally required to generate Pd<sup>IV</sup> (or Pd<sup>III</sup>) intermediates, which readily undergoes reductive elimination to yield the new chemical bonds.  $^{3,4}$  For instance, alkyl C-Pd $^{\rm II}$ species, which was generated from C-H activation or nucleopalladation of alkene, can be efficiently oxidized by PhI(OAc)<sub>2</sub> or other oxidant to deliver alkyl C-OAc,<sup>5</sup> which can be further transformed to related alkyl alcohols (Scheme 1, top).

Scheme 1. Oxygenation of Alkyl C-PdII Intermediates

Previous works (Sanford, Yu, Sorensen, Stahl, etc)
$$[O] = Phl(OAc)_2$$

$$Nu = OAc$$

$$hydrolysis$$

$$Pd^{||}$$

$$OH$$

$$[O] = H_2O_2$$

$$Nu = H_2O$$
this work: preferred path b

However, these reactions often produce a large amount of byproducts due to utilizing above oxidants. In order to avoid these byproducts, exploration of environmental benign, such as dioxygen or H<sub>2</sub>O<sub>2</sub> to achieve these oxidative transformations is an important new trend.<sup>6</sup> Among them, 30–35% aqueous H<sub>2</sub>O<sub>2</sub> solution is a preferred oxidant with regard to two aspects: (1)

30-35% aqueous H<sub>2</sub>O<sub>2</sub> solution is broadly used in industry, and those processes usually present "green" properties; (2) H<sub>2</sub>O<sub>2</sub> has enough oxidative potential to oxidize PdII species. Elegant studies from the Vedernikov group demonstrated that H<sub>2</sub>O<sub>2</sub> can oxidize aryl C-PdII complex with special ligand to yield aryl C-Pd<sup>IV</sup>(OH) complex. <sup>6a,8</sup> We hypothesized that if related alkyl C-Pd<sup>IV</sup>(OH) could undergo reductive elimination, or external water could act as a potential nucleophile to react with this  $Pd^{\rm IV}$ intermediate, the direct formation of alkyl alcohol product might be expected (Scheme 1, bottom). In Shilov reaction, water was reported as a nucleophile to attack carbon center of Me-Pt  $^{\! \rm IV}$ complex (S<sub>N</sub>2 type), which accounted for the formation of MeOH. But the related water substitution reaction is quite rare due to its poor nucleophilicity. 10 Herein, we report a novel Pdcatalyzed intramolecular aminohydroxylation of alkenes using H<sub>2</sub>O<sub>2</sub> as oxidant under mild reaction conditions. Notably, the final C-O bond formation was mainly achieved through external water substitution at the carbon center of alkyl C-Pd<sup>IV</sup> (or Pd<sup>III</sup>) intermediate via S<sub>N</sub>2-type nucleophilic attack pathway (Scheme 1, bottom).

Recently, our group revealed that H<sub>2</sub>O<sub>2</sub> can be used as the sole oxidant to achieve Pd-catalyzed chlorination of alkenes.1 However, acidic solvent (HOAc) is crucial for these transformations. During further studies, we were delighted to find that an aminohydroxylation product 4a (32% yield) was observed in the absence of chloride additives, along with aminoacetoxylation product 3a in 48% yield. Further treatment of 3a under standard condition could not deliver product 4a (eq 1). Thus, we believed that 4a should be generated from direct reductive elimination of alkyl-Pd<sup>IV</sup>(OH) or external water nucleophilic attack at carbon center of alkyl-Pd<sup>IV</sup> complex.

Inspired by the above understanding, we thought replacing an acidic solvent with another solvent could avoid the formation of 3a, which is beneficial for aminohydroxylation. After extensive screening of different reaction parameters, the optimized reaction condition was obtained as follows (Table 1): Pd(OAc)<sub>2</sub> (5 mol %), 35% aqueous H<sub>2</sub>O<sub>2</sub> (3 equiv), LiO<sub>2</sub>CCF<sub>3</sub> (2 equiv), and substrate 1a in acetone at room temperature. The reaction

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Table 1. Optimization of the Reaction Conditions

		yield (%) <sup>b</sup>	
entry	reaction condition	4a	5a
1	standard condition	85	7
2	no Pd catalyst	0	0
3	Pd(O <sub>2</sub> CCF <sub>3</sub> ) <sub>2</sub> instead of Pd(OAc) <sub>2</sub>	75	7
4	Pd(CH <sub>3</sub> CN) <sub>2</sub> Cl <sub>2</sub> instead of Pd(OAc) <sub>2</sub>	66	5
5	Pd(dba) <sub>2</sub> instead of Pd(OAc) <sub>2</sub>	20	10
6	no CF <sub>3</sub> CO <sub>2</sub> Li	80	14
7	CF <sub>3</sub> CO <sub>2</sub> Na instead of CF <sub>3</sub> CO <sub>2</sub> Li	35	23
8 <sup>c</sup>	CH <sub>3</sub> CO <sub>2</sub> Li instead of CF <sub>3</sub> CO <sub>2</sub> Li	40	32
9	CH <sub>3</sub> CO <sub>2</sub> H instead of CH <sub>3</sub> CO <sub>2</sub> Li	33	15
10	LiOH instead of CH <sub>3</sub> CO <sub>2</sub> Li	0	40
11	urea-H <sub>2</sub> 0 <sub>2</sub> instead of aq H <sub>2</sub> 0 <sub>2</sub>	50	28
12	Na <sub>2</sub> C0 <sub>3</sub> -H <sub>2</sub> 0 <sub>2</sub> instead of aq H <sub>2</sub> 0 <sub>2</sub>	20	5
13	dioxane instead of acetone	80	9
14	THF instead of acetone	67	5
15	toluene instead of acetone	61	9
16	DMF instead of acetone	33	15
17	NMP instead of acetone	trace	30
18	CH <sub>3</sub> CN instead of acetone	trace	trace

<sup>a</sup>All the reactions were run at 0.2 mmol scale. <sup>b</sup>Yield obtained by 1H NMR with 1,3,5-trimethoxylbenzene as internal standard. <sup>c</sup>21% aminoacetoxylation product.

provided the desired product 4a in 85% yield, along with 7% aza-Wacker product 5a (entry 1). The structure of 4a was confirmed by X-ray crystallography. The palladium catalyst was required for the successful transformation and Pd(OAc), gave the best yield (entry 2–5). The presence of  $LiO_2CCF_3$  is beneficial to give the better result (entry 1 vs 6). However, replacing LiO<sub>2</sub>CCF<sub>3</sub> with LiO<sub>2</sub>CCH<sub>3</sub>, NaO<sub>2</sub>CCF<sub>3</sub>, or HO<sub>2</sub>CCF<sub>3</sub> diminished the reaction yields (entries 7-9). Addition of LiOH could inhibit the aminohydroxylation reaction (entry 10). For the H<sub>2</sub>O<sub>2</sub> source, aqueous solution is better than urea·H<sub>2</sub>O<sub>2</sub> and Na<sub>2</sub>CO<sub>3</sub>·H<sub>2</sub>O<sub>2</sub> complexes (entries 11-12). Finally, screening of solvents revealed that acetone and dioxane were the best for the aminohydroxylation; THF and toluene were also suitable to give the desired product in moderate yields. However, polar solvents, such as DMF, NMP, and CH<sub>3</sub>CN, were not compatible for this reaction (entry 13–18).

With the optimized condition in hand, substrate scope was further examined (Table 2). The substrates synthesized from allylic alcohols were first investigated. All those reactions proceeded very well to provide the desired aminohydroxylation products (4a-4j) in good yields. For all these  $\gamma$ -substituted terminal alkenes, excellent diastereoselectivities (>20:1) were observed to give single trans-isomer products. Interestingly, for the substrates (1k and 1l) bearing two double bonds, the reactions selectively occurred at the double bond of allylic moiety to give products 4k and 4l in excellent yields, and another double bond remained intact. It is worth noting that products 4g, 4i, and 4j were obtained from corresponding allylic alcohols with two steps in a one-pot reaction. The 1,1-disubstituted substrate 1m also afforded product 4m in 64% yield. But internal alkene (1n) was not compatible to the reaction condition. Furthermore, substrate 10 which was derived from allylic amine was also good for this transformation to give product 40 in moderate yield.

Table 2. Substrate Scope<sup>a</sup>

"Reaction condition: substrate (0.2 mmol),  $H_2O_2$  (35% aq, 3 equiv),  $Pd(OAc)_2$  (5 mol %),  $CF_3COOLi$  (2 equiv) in acetone (2 mL) at 0 °C. "Isolated yield, the data in pharenthesis is the ratio of *trans:cis*. "Room tempertaure. "At -5 °C. "Reaction was conducted from allylic alcohol in one pot. "Without  $CF_3COOLi$ .

Beside above allylic alcohol-type substrates, homoallylic substrates 1p-1s were also compatible for the current reaction condition to give aminohydroxylation products 4p-4s in good to excellent yields, *albeit* with moderate diastereoselectivities (3–5:1).

2-Amino-1,3-diol is an important moiety in natural products and bioactive compounds. For instance, safingol, which contains 2-amino-1,3-diol backbond, has been considered as a valuable candidate for antineoplastic and antipsoriatic drugs and is extensively investigated for its role in cell regulation signal transduction and inhibition of protein kinase C. <sup>12</sup> With the current transformation, safingol could be efficiently synthesized from simple allylic alcohol **6t**. As shown in eq 2, the single isomer

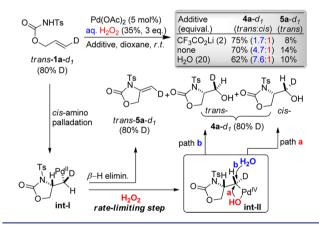
of *trans-***4t** was provided from the reaction of **6t** in high yield and excellent diastereoselectivity in one pot, and further deprotection

and ring-opening processes delivered racemic safingol in 90% yield. In addition, chiral allylic alcohol (2*R*,3*S*)-**6u** could also be converted to the product (2*R*,3*S*,4*R*)-**4u** in 83% yield with high dr selectivity. Final deprotection steps provided chiral aminotetraol carbamate (2*R*,3*S*,4*R*)-**7u** in good yields (two steps, 85% overall yields, eq 3). It is worth noting that the core of

(2*R*,3*S*,4*R*)-7**u** is an enantiomer of the core of natural products bathymodiolamides A and B, which exhibit the potential activity to inhibit the growth of two cancer cell lines (cervical and breast cancer). <sup>13</sup>

In order to gain insights into the stereochemical course of the C-N and C-O bond forming steps, trans-1a- $d_1$  (80% D) was subjected to the standard condition with different additives (Scheme 2). First, only a single isomer trans-5a- $d_1$  was obtained

Scheme 2. Mechanism in Standard Condition



as a side product in ~10% yield in the above reactions, which suggest the reaction involved a *cis*-aminopalladation process to give alkyl C-Pd(II) species (**int-I**). Meanwhile, these reactions also afforded the mixture of two isomers cis-**4a**- $d_1$  and trans-**4a**- $d_1$ , in which the trans-isomer is predominant. And the ratio of cis-and trans-isomer varied significantly according to the different additives. For instance, the ratio of trans/cis-**4a**- $d_1$  was increased from 1.7:1 to 4.7:1 by removal of CF<sub>3</sub>CO<sub>2</sub>Li and further increased to 7.6:1 by adding exogenous water.

With the above results, we believe the high-valent palladium complex **int-II** might be involved to account for C-OH bond forming (Scheme 2):  $^{14}$  (1) cis-4a- $d_1$  was delivered from the direct reductive elimination of Pd<sup>IV</sup> complex **int-II**, resulting the retention of the C(sp³) center (path a); and this process could be promoted by addition of CF<sub>3</sub>CO<sub>2</sub>Li (see above). (2) For the case of *trans*-4a- $d_1$ , the reaction should involve a S<sub>N</sub>2 type nucleophilic attack pathway due to the inversion of the C(sp³) center, and external water acts as a nucleophile (path b).  $^{15}$  As shown in

Scheme 2, addition of extra water resulting in highly selective trans-4a- $d_1$  formation was consistent with  $S_N2$  nucleophilic pathway **b**. Furthermore, the reaction rate was significantly enhanced by increasing the concentration of  $H_2O_2$  (see the SI), implying that oxidation of  $Pd^{II}$  should contribute to the rate-limiting step.

Interestingly, when the reaction was conducted in HOAc, the opposite stereoconfiguration products were obtained (Scheme 3). The reaction of  $trans-1a-d_1$  gave the mixture of  $cis-4a-d_1$  and

#### Scheme 3. Mechanism in HOAc

cis-3a- $d_1$  in high diastereoselectivities (>20:1), combined with a small amount of cis-5a- $d_1$ . The formation of cis-5a- $d_1$  indicated that the reaction should be initiated by trans-aminopalladation to give int-III. After oxidation by  $H_2O_2$ , high-valent palladium complex int-IV possibly reacts with solvent HOAc to give complex int-V. The selective formation of cis-4a- $d_1$  and cis-3a- $d_1$  revealed that the C-O bond formation undergoes  $S_N2$  nucleophilic attack by  $H_2O$  or HOAc at the carbon center of high-valent palladium complex int-IV or int-V.

Critical evidence was obtained in the isotope labeling experiments by using  $H_2O_2$  in  $H_2O^{18}$  solution.<sup>17</sup> The reaction in dioxane led to the formation of [ $^{18}O$ ]-4a and 4a with the ratio of 1.3:1 (eq 4), and this result was unequivocally confirmed by

mass spectrometry. In addition, the mixture of [<sup>18</sup>O]-4a and 4a with the similar ratio was obtained in the same reaction in AcOH (eq 5). Interestingly, this reaction afforded product 3a without <sup>18</sup>O incorporation, which also implied the formation of 4a was not derived from product 3a.

NHTs 
$$Pd(OAc)_2$$
 (5 mol%)  $Pd(OAc)_2$  (6 mol%)  $Pd(OAc)_2$  (7 mol%)  $Pd(OAc)_2$  (8 mol%)  $Pd(OAc)_2$  (9 mol%)  $Pd$ 

Vedernikov has reported that the C-O reductive elimination of LPt<sup>IV</sup>(OH)<sub>2</sub>Me in  $H_2O^{18}$  via water nucleophilic substitution is much faster than that of  $^{16}$ OH/ $^{18}$ OH exchange in Pt center. In addition, there is no oxygen exchange between  $H_2O_2$  and  $H_2O^{18}$  in the absence or presence of palladium catalyst. Thus, the formation of  $[^{18}O]$ -4a implied that  $H_2O^{18}$  should act a nucleophile to attack the high-valent Pd complex to construct a C-O<sup>18</sup>H bond via a favorable  $S_N^2$ -type substitution. To the best

of our knowledge, this is a rare example of oxidative cleavage of a C-Pd bond involving water as the nucleophile to give an alcohol product. However, the above mechanism could not address the formation of [\$^{16}O\$]-4a.\$^{18} We assumed that alkyl Pd(IV)\$^{16}OH complex\$^{19} could also act as a nucleophile to compete with water, allowing for nucleophilic attack of another alkyl Pd(IV)\$^{16}OH to give [\$^{16}O\$]-4a.\$^{20}

In conclusion, we have developed a simple catalytic system to achieve intramolecular aminohydroxylation of alkenes with Pd catalyst under mild reaction conditions. In this transformation, aq  $\rm H_2O_2$  solution plays two roles to achieve C-OH bond formation via a favorable  $\rm S_N2$ -type substitution pathway:  $\rm H_2O_2$  as oxidant and water as nucleophile reacting with high-valent palladium intermediate to give a C-O bond. Further application of this aminohydroxylation reaction is in progress.

#### ASSOCIATED CONTENT

## **S** Supporting Information

Experimental procedures and characterization data. This material is available free of charge via the Internet at http://pubs.acs.org.

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#### Notes

The authors declare no competing financial interest.

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- (14) Alternative possibilities: (1) involving a sequential epoxidation of olefin and ring-opening amination procedures; (2) nucleophilic attack of  $\rm H_2O_2$  at carbon center of Pd(IV) complex to give peroxide intermediate, then following reduction to afford the corresponding alcohol product. However, both pathways are unlikely. For details, see the SI
- (15) Alternatively, alkyl Pd(IV)OH complex **int-II** could also act as nucleophile to attack another high-valent palladium complex **int-II** to give product trans-4a- $d_1$ .
- (16) The *trans*-aminopalladation is favorable in the acidic reaction condition: Liu, G.; Stahl, S. S. J. Am. Chem. Soc. **2007**, 129, 6328.
- $(17)\,H_2O_2$  in  $H_2O^{18}$  was derived from the mixture of 50% aq  $H_2O_2$  (0.3 mmol) in  $H_2O^{18}$  (98%  $O^{18},$  9 mmol). Mixture was measured by mass spectrometry. No  $\,O^{18}$  incorporation into  $\,H_2O_2$  was observed. For details, see the SI.
- (18) In the mixture, the ratio of  $H_2O^{18}$ : $H_2O^{16}$  is around 200–300:1, and KIE value between  $^{18}O$  and  $^{16}O$  is <1.1. Thus, it is impossible to give the equal amount of  $^{18}O$  and  $^{16}O$  incorporation with a single external water nucleophilic pathway.
- (19) Alkyl  $Pd(IV)^{16}OH$  complex was proposed to be derived from oxidation of alkyl Pd(II) by  $H_2^{16}O_2$ , but the detailed mechanism is not clear at the moment.
- (20) A similar observation on oxygen incorporation and mechanistic analysis was reported in the stoichiometric reaction of Me-Pt(IV)OH complex. And the nucleophilicity of Me-Pt(IV)OH was estimated to be  $\sim\!10^3$  greater than that of water. For details, see refs 9e–9i, and SI.