

**The Molecules  $\text{AlO}_2$ ,  $\text{Al}(\text{O}_2)_2$ , and  $\text{Al}(\text{O}_2)_3$ :  
Experimental and Quantum-Chemical  
Investigations on the Oxidation of Aluminum  
Atoms\*\****Gregor Stößer and Hansgeorg Schnöckel\***Dedicated to Professor Klaus Krogmann  
on the occasion of his 80th birthday*

We have already mentioned the significance of peroxy- and hyperoxy complexes with unusual spin states as intermediates in oxidation processes in biology and synthetic chemistry when we reported the oxidation of AIX molecules with  $\text{O}_2$  under matrix-isolation conditions.<sup>[1][2]</sup> The reactions of metal atoms with oxygen discussed herein also prove to be complex, since electrons are taken up stepwise by the  $\text{O}_2$  molecules with retention of symmetry and spin rules ( $\text{O}_2 \rightarrow \text{O}_2^- \rightarrow \text{O}_2^{2-}$ ). On the preparative scale, this process results exclusively cleavage of the O–O bond and the formation of  $\text{O}^{2-}$  ions; with metal cations these ions form particularly stable oxides, such as  $\text{Al}_2\text{O}_3$ . To investigate the primary steps of this reaction we have treated aluminum atoms with an increasing excess of  $\text{O}_2$  in the matrix-gas argon under matrix-isolation conditions and have obtained the molecules  $\text{AlO}_2$  (**1**),  $\text{Al}(\text{O}_2)_2$  (**2**), and  $\text{Al}(\text{O}_2)_3$  (**3**). These results are reported herein, while details of the spectra and the results of quantum-chemical calculations are given in the Supporting Information.

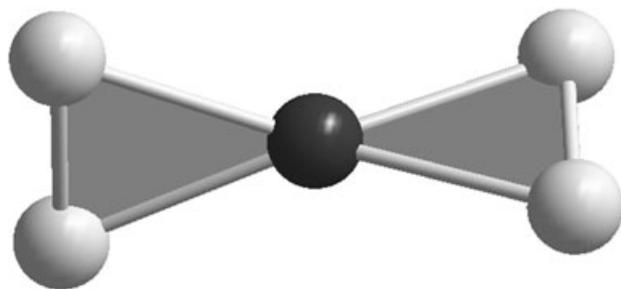
The formation of  $C_{2v}$  symmetrical  $\text{AlO}_2$  (**1**) in solid argon from aluminum atoms with approximately 0.1 %  $\text{O}_2$  has been reported elsewhere.<sup>[3]</sup> In our experiments, and in agreement with the literature, we have observed the symmetrical  $\text{AlO}_2$  vibration in the IR spectrum at  $496 \text{ cm}^{-1}$  and measured its  $^{16}\text{O}/^{18}\text{O}$  shifts.<sup>[4]</sup> A new band at  $1070 \text{ cm}^{-1}$  is observed at higher  $\text{O}_2$  concentrations in argon gas (1–3 %) after reaction of aluminum atoms, again in agreement with the literature.<sup>[5]</sup>  $^{16}\text{O}/^{18}\text{O}$  substitution experiments indicate that this new band belongs to a species with four equivalent oxygen atoms.<sup>[6]</sup> As will be shown below, this species is  $\text{Al}(\text{O}_2)_2$  (**2**), which is assigned the structure ( $D_{2d}$  symmetry) illustrated in Figure 1. At still higher  $\text{O}_2$  concentrations in the matrix and finally in pure solid  $\text{O}_2$  a band at  $688 \text{ cm}^{-1}$  is observed in the IR spectrum. This band shifts to  $671 \text{ cm}^{-1}$  in  $^{18}\text{O}_2$  enriched  $\text{O}_2$  and occurs at  $679 \text{ cm}^{-1}$  in the presence of  $^{16}\text{O}/^{18}\text{O}$  species.<sup>[7]</sup> Since,

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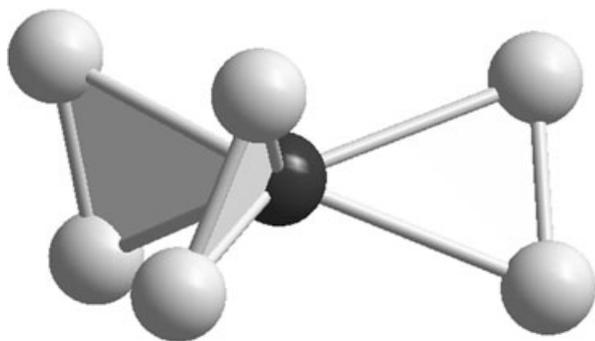


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**Figure 1.** Minimum structure of  $\text{Al}(\text{O}_2)_2$  (**2**) calculated with B3LYP/TZVPP (see Table 1).

under identical conditions, a new band appears at  $1065\text{ cm}^{-1}$  in the Raman spectrum,<sup>[8]</sup> we have assigned these two intense bands as well as a weak signal in the Raman spectrum at  $406\text{ cm}^{-1}$  to the molecule  $\text{Al}(\text{O}_2)_3$  (**3**), whose calculated molecular structure ( $D_3$  symmetry) is illustrated in Figure 2.



**Figure 2.** Minimum structure of  $\text{Al}(\text{O}_2)_3$  (**3**) calculated with B3LYP/TZVPP (see Table 1).

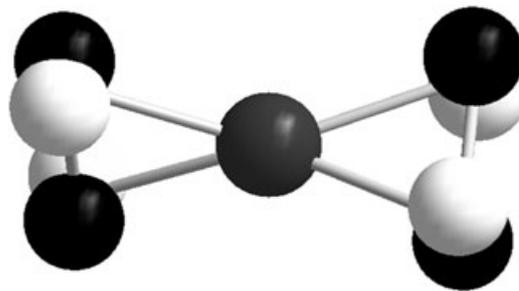
To confirm the assignment of the cited vibrational frequencies to molecules **1**, **2**, and **3** extensive quantum-chemical calculations were carried out; these gave the Al–O and O–O distances and force constants listed in Table 1.

**Table 1:** Distances  $r$  [pm] and force constants  $f$  [ $\text{N m}^{-1}$ ] of  $\text{AlO}_2$  (**1**),  $\text{Al}(\text{O}_2)_2$  (**2**), and  $\text{Al}(\text{O}_2)_3$  (**3**) calculated with B3LYP/TZVPP.

	$r_{\text{Al-O}}$	$r_{\text{O-O}}$	$f_{\text{Al-O}_2}$	$f_{\text{O-O}}$
<b>1</b>	195	134	210	648
<b>2</b>	175	148	386	473
<b>3</b>	185	136	353	632

The special bond properties in **1**, its stability, and the kinetics of the conversion of its different isomers have been reported in detail recently.<sup>[9]</sup> We have been able to confirm these results according to which the observed  $C_{2v}$  hyperoxide **1** has a stability similar to that of the linear  $D_{\infty h}$  isomer. Presumably the energy barrier between the two species is very high so that in our spectra only the somewhat less stable (according to calculation<sup>[9]</sup>)  $C_{2v}$  isomer is observed.<sup>[10]</sup>

For **2**, the  $D_{2d}$  isomer shown in Figure 1 is approximately  $55\text{ kJ mol}^{-1}$  more stable than a molecule with  $D_{2h}$  symmetry. The other isomers ( $C_{4v}$  and  $T_d$ ) also lie energetically much higher ( $266$  and  $329\text{ kJ mol}^{-1}$ , respectively). Although only one band is observed for **2**, the assignment seems to be correct since five  $^{16}\text{O}/^{18}\text{O}$  shifts were observed for this band which are in very good agreement with those calculated, and indicates that there are four equivalent oxygen atoms in this molecule. Moreover, this interpretation is plausible since the bands assigned to **2** appear after the formation of **1** and prior to the formation of **3**. The special bonding situation in **2** arises because in the highest singly occupied molecular orbital (SOMO;  $1a_2$ , Figure 3) one electron must be shared by both



**Figure 3.** Graphical representation of the SOMO ( $1a_2$ ) of  $\text{Al}(\text{O}_2)_2$  (**2**).

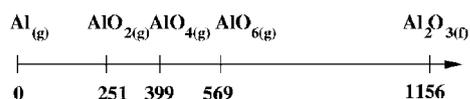
$\text{O}_2$  groups, that is, compared to neutral  $\text{O}_2$ , each  $\text{O}_2$  group is weakened because it carries an additional 1.5 electrons. Hence **2** may not be described as  $\text{O}_2^-\text{Al}^{3+}\text{O}_2^{2-}$  (that is, as hyperoxide with an additional peroxy group). Thus it appears plausible that the O–O bond in **2** is weaker and the Al–O bond stronger than in **1**.

Unlike the unusual bonding relationships in **2**, those in **3** appear analogous to the bonding relationships in the recently described  $\text{XAl}(\text{O}_2)_2$  species ( $\text{X} = \text{F}, \text{Cl}, \text{Br}$ ),<sup>[11]</sup> which means that in **3** there are three  $\text{O}_2^-$  groups attached to the  $\text{Al}^{3+}$  ion by interactions that are predominantly ionic in character. This situation becomes especially clear through the absence of vibrational coupling between the three  $\text{O}_2$  vibrations, since almost identical frequencies of  $1158$  and  $1154\text{ cm}^{-1}$  are calculated for the E and  $A_1$  vibrations. In this respect, as well as with the O–O and Al–O distances and also the force constants, the expected parallels to the  $\text{XAl}(\text{O}_2)_2$  species emerge. The unusual spin situation in the  $\text{XAl}(\text{O}_2)_2$  compounds (triplet electronic ground states) becomes even more unusual in **3** for which a quartet ground state is found. To our knowledge this is unique for molecular compounds free of transition metals.<sup>[11]</sup> Admittedly, the energy difference between the doublet and quartet states is very small. This finding and the absence of stabilization in **3** from a Jahn–Teller distortion also provide support for an essentially ionic bonding mode. In spite of the unusual bonding situations in **1**, **2**, and **3** a consistent picture emerges for the three compounds:

The following distances and force constants were calculated for the isolated ions  $\text{O}_2^-$  and  $\text{O}_2^{2-}$ :  $\text{O}_2^-$  135 pm,  $660\text{ N m}^{-1}$  and  $\text{O}_2^{2-}$  163 pm,  $190\text{ N m}^{-1}$ .<sup>[11]</sup> In comparison the force constants determined from the observed frequencies for

**1**, **2**, and **3** and the calculated distances confirm that in **1** and **3** (as with the  $\text{XAl}(\text{O}_2)_2$  species) the hyperoxide ions are essentially ionically bonded to the positively charged Al centers. In **2**, in agreement with the electronic situation, the  $\text{O}_2$  bond is clearly elongated, similar to the bond in an  $\text{O}_2^{2-}$  ion. On the other hand, the Al–O bond also clearly lengthens in the order  $2 < 3 < 1$ , which correlates very well with the calculated Al– $\text{O}_2$  force constants (Table 1). These findings are illustrated in Figure 3 for the special bonding situation of compound **2**. For compound **3** and **1** this bond lengthening may be attributed to the reduced formal charge at the Al center ( $\text{Al}^{1+}$  in **1** and  $\text{Al}^{3+}$  in **3**).

The energetic ordering of the three hypervalent compounds is shown in Figure 4 and emerges from experimental



**Figure 4.** Energy scheme [ $\text{kJ mol}^{-1}$ ] for the stepwise exothermic oxidation of Al atoms with  $\text{O}_2$ .

thermodynamic data<sup>[12]</sup> and the quantum-mechanical results obtained in this work. Relative to 1 mol aluminum atoms, in the stepwise formation of **1**, **2**, and **3** more energy is released than for any other molecular  $\text{Al}_x\text{O}_y$  compound.<sup>[13]</sup> Nevertheless, the  $\text{Al}(\text{O}_2)_n$  ( $n = 1, 2, 3$ ) molecules described herein are certainly only obtainable in measurable quantities under matrix conditions, since the formation of alumina from  $\text{Al}(\text{O}_2)_3$  is favored by  $587 \text{ kJ mol}^{-1}$

The results on the oxidation of aluminum atoms with excess  $\text{O}_2$  reported herein demonstrate the complexity of such apparently trivial reactions. On the other hand, this process is an extreme situation, in the reverse case, that is, the reaction of excess aluminum atoms with few  $\text{O}_2$  molecules is a more realistic model when it comes to the investigation of the primary products of oxidation, for example, on aluminum surfaces. This problem is at the center of current gas-phase investigations of  $\text{Al}_n$  clusters and their oxidation by  $\text{O}_2$ , reactions which are being studied with the aid of FT mass spectroscopy.<sup>[14]</sup>

## Experimental section

A detailed description of the experimental construction used may be found in ref. [15]. Aluminum was evaporated in high vacuum ( $10^{-7}$  mbar) at 1400 K from a resistance-heated boron nitride cell and cocondensed with the matrix gas ( $\text{Ar}/\text{O}_2$ ) for 2 h onto a copper block cooled to 10 K with a “closed cycle” cryostat (Leybold LB510). Each hour approximately 150  $\mu\text{g}$  Al and 50 mmol matrix gas were evaporated. Isotope substitution experiments were carried out with  $^{18}\text{O}_2$ , a 1:1 mixture of  $^{16}\text{O}_2/^{18}\text{O}_2$ , and a 1:2:1 mixture of  $^{16}\text{O}_2/^{16}\text{O}^{18}\text{O}/^{18}\text{O}_2$ . The IR and Raman spectra were recorded immediately after sample deposition. The following chemicals were used in the matrix reactions: Al (Merck, 99.9999%), Ar (Messer 99.999%),  $^{16}\text{O}_2$  (Messer 99.9998%),  $^{18}\text{O}_2$  (Linde 99.9998%, isotopic purity 99%).

The IR spectra were taken with Bruker (Karlsruhe) FTIR spectrometers, types 113v and 66v. DTGS and MCT detectors were used, the resolution was  $1 \text{ cm}^{-1}$ . Raman spectra were taken with a Dilor grating spectrometer, type 800. The Raman vibrations were

excited with a argon ion laser (Coherent), the selected wave length was 458 nm.

The quantum-chemical DFT calculations (functional B3-LYP)<sup>[16]</sup> were carried out with the Turbomole program package.<sup>[17]</sup> The ab initio calculations were carried out at the CASSCF level with Dalton,<sup>[18]</sup> Gaussian98<sup>[19]</sup> was used for calculation of the CCSD(T) energies. TZVPP basis sets were used for all calculations.<sup>[20]</sup>

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